
Preface to the Second Edition

Hybrid Microcircuit Technology Handbook was the first comprehensive book on hybrid microelectronics. Published in 1988, it has become the industry standard and has also been used in many university short courses. Since publication of the first edition, many significant advances have occurred in IC chips that have driven the hybrid packaging processes toward even higher densities and greater performance. The almost exponential increase in density, complexity, and performance of integrated circuits over the past ten years—for example ASIC (Application Specific Integrated Circuit), VHSIC (Very High Speed IC), VLSIC (Very Large Scale IC), and ULSIC (Ultra Large Scale IC)—have driven developments in the interconnect substrates culminating in what is now known as multichip modules (MCM). However, the fundamentals of design, fabrication, and testing of MCMs are essentially the same as for hybrid microcircuits. In the authors' opinion, MCMs are extensions of hybrid circuits that can accommodate the new generation of high-speed high-performance chips.

In this revised edition, we have therefore expanded our treatment of hybrid circuits without finding it necessary to change the fundamentals. We have included a separate chapter on multichip modules and throughout the book have included new and emerging materials and processes that are beginning to be used. Examples include: metal-matrix composites and aluminum nitride as substrate materials, plastic encapsulated microcircuits and chip-on-board as low-cost alternatives to hermetically sealed packages,

viii Preface to the Second Edition

atmospheric friendly cleaning solvents and methods, and advanced high I/O density quad flat packages (QFP) and ball grid array (BGA) packages. Since the first edition, there have also been tremendous advances in software programs for thermal and electrical analysis and these are also treated in this new edition.

We sincerely hope that the many practitioners, engineers, and suppliers of both hybrid and multichip modules will find this edition even more informative and useful than the first edition. The authors are grateful to the hundreds of companies and their personnel who provided information and photography. They are referenced and acknowledged throughout the book. Specifically, the authors are grateful to the following for providing information and reviewing portions of this book: A. Burkhart (Johnson-Matthey), T. DiStefano (Tessera), L. Duncan (Elmo Semiconductors), K. Esposito (ILC Data Devices), J. Goldstein (nCHIP), H. Green (MicroModule Systems), S. Horowitz (DuPont Electronic Materials), H. Kaakani (Honeywell Solid State Electronics), Dr. E. Kolawa (Jet Propulsion Laboratories), E. Logan (nCHIP), and Dr. D. Tuckerman (nCHIP).

Whittier, California, 1997

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Preface to the First Edition

The hybrid microcircuit is, in essence, an electronic packaging and interconnection approach that assures low weight, small volume, and high density. Hybrid circuits are thus used for the most demanding applications including manned spacecraft, heart pacemakers, communications, and navigational systems. Designing and fabricating hybrid circuits require numerous and diverse skills and technologies. A thorough understanding of materials and processes, for example, is essential in the design and production of high yield, low cost, and reliable hybrid circuits. Too often hybrids have come under attack either because they should not have been used for a particular application or because an engineer, unfamiliar with the technology trade-offs, selected a design, material, or process that was marginal or incompatible. The successful hybrid manufacturer must have an in-depth understanding of the appropriate applications for hybrids and a thorough knowledge of the design guidelines and trade-offs of the numerous materials and processes that can be used. Because of the diversity of skills and sciences involved, the successful company must employ engineers and chemists with a wide variety of expertise. Indeed, there is a challenge in hybrids for almost every scientific discipline. For example:

- Electrical engineers: design and prepare circuit layouts, generate drawings, and define the electrical test parameters;

× *Preface to the First Edition*

- Metallurgists: define the eutectic attachments, sealing methods, metal packages, and conductor materials;
- Organic chemists: specify adhesives, coatings, photore-sists, and cleaning solvents;
- Electrochemists: responsible for platings, corrosion stud-ies, and etching;
- Physicists: establish semiconductor and integrated cir-cuits reliability and conduct failure analyses;
- Ceramic engineers: responsible for evaluating and select-ing substrates and substrate fabrication processes, pack-ages, and dielectrics;
- Mechanical engineers: handle tooling, wire bonding, fur-nace firing and screening of thick films;
- Analytical chemists: analyze sources of contamination, purity of plating baths, purity of solvents and etchant compositions.

This book integrates for the first time the basic technologies and guidelines for the design, fabrication, assembly, and testing of hybrid circuits. It presents those segments of the various technologies that are critical to the success of the circuit. The electronics field, in general, and hybrid circuits in particular, have grown so rapidly during the past twenty years and have experienced so many rapid changes that it has been difficult to assemble a book on this subject. Now that most of the processes and materials have reached a degree of maturity and are used in production by many firms, a book of this nature is appropriate. In organizing the subject matter, we were quickly faced with a dilemma. We wished to arrange the subjects in the sequence in which a hybrid circuit would be designed and built, but the steps were often interdependent. As an example, hybrid circuits begin with the design; so, logically, the design guidelines should come first. But the design guidelines could not be discussed effectively without a basic understanding of materials and processes. We therefore decided on a compromise—discussing each of the process steps and parts selection in as logical a sequence as possible, then presenting **the** guidelines, and concluding with chapters on documentation and failure analysis.

It is hoped that this book will be of value to those already working in the field of hybrid circuits as well as those about to enter it. This book should also benefit the thousands of peripheral companies who purchase and

use hybrids or who supply materials and services to the hybrid manufacturers, by providing them with a better understanding of the product they are dealing with.

The authors wish to express their indebtedness to the many individuals and companies that provided technical information and reviewed sections of the manuscript. In particular, we wish to acknowledge S. Martin (Ceramic Systems, Division of General Ceramics), D. Sommerville (Engelhard Corp.), S. Caruso (NASA/MSFC), R. Dietz (Johnson-Matthey), K. Reynolds (EMCA), L. Svach (Rockwell Collins Division), and from Rockwell International's Interconnect Systems Engineering Group: J. W. Slemmons, J. Gaglani, D. Swanson, R. Bassett, H. Goldfarb, and J. Wolfe. Wayne Kooker and Lisa Licari are to be commended for their graphics support and Sharon Ewing for editing some sections.

Anaheim, California
July 1988

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1

Introduction

1.0 CLASSIFICATION OF MATERIALS FOR MICROELECTRONICS

Before proceeding to a discussion of hybrid circuit and multichip module processes, it is important to have a general understanding of the materials most commonly used in today's electronic equipment. Indeed, it is difficult to dissociate processes from materials. All electronic devices and circuits are based on combinations of three general types of materials. These are conductors, insulators, and semiconductors, and are defined in terms of their abilities to either conduct or impede the flow of electrons.

1.1 Conductors

Conductivity is a function of the number of free electrons and their mobility. Conductors conduct electricity readily because they have many free and mobile electrons available to carry the current. The best electrical conductors are metals, generally elements residing in Groups I, II, and III of the Periodic Table. Elements in Group IB, typically metals having a valence of 1, **are most** conductive. Because these elements contain only one electron in their outermost shells, the electron is easily released from the forces of attraction of the nucleus. Thus, elements of Group IB (copper, silver, and gold) are among the best conductors known and the most widely **used in**

2 Hybrid Microcircuit Technology Handbook

electronics. Metals with valences of 2 or 3 are also good conductors, but not as good as those of Group IB. Among these are aluminum, palladium, platinum, nickel, and tungsten. A comparison of the resistivities (inverse of conductivities) of various metals is given in Table 1. The metals and alloys most widely used in the fabrication of electronic circuits and systems and their general applications are given in Table 2.

Metals have a positive temperature-coefficient-of-resistivity (TCR); that is, as the temperature of a conductor increases, its resistivity increases or, conversely, its conductivity decreases. However, for the highly conductive metals, (Al, Au, Ag), the effect is not significant in the temperature range over which circuits are tested or used (room temperature to about 150°C), Fig. 1.

Table 1. Electrical Resistivities of Metal Conductors

Metal	Symbol	Resistivity (ohm-cm x 10 ⁻⁶)
Silver	Ag	1.62
Copper	Cu	1.69
Gold	Au	2.38
Aluminum	Al	2.62
Tungsten	W	5.52
Nickel	Ni	6.9
Indium	In	9.0
Platinum	Pt	10.52
Palladium	Pd	10.75
Tin	Sn	11.5
Tin-lead	Sn-Pb (60-40)	14.99
Lead	Pb	20.65

Table 2. Commonly Used Conductors and Their Uses in Electronics

Conductor	Symbol	Use
Gold	Au	Wire, plating, thick films, thin films, filler in epoxy conductive adhesives for device attachment
Gold-platinum	Au-Pt	Thick-film solderable conductors
Gold-palladium	Au-Pd	Thick-film solderable conductors
Gold-silver	Au-Ag	Low-cost thick-film solderable conductors
Gold-silicon	Au-Si	Eutectic attachment of devices for ohmic contact
Gold-germanium	Au-Ge	Eutectic attachment of devices for ohmic contact
Silver	Ag	Low-cost conductor, thick-film plating, thin film, EMI, filler for epoxy conductive adhesives
Silver-palladium	Ag-Pd	Thick film (low silver migration)
Silver-platinum	Ag-Pt	Thick film (low silver migration)
Copper	Cu	Thick film (low cost), thin film, foil and plating for printed circuit boards, wire, filler for epoxy conductive adhesives
Aluminum	Al	Wire, thin-film metallization for devices
Tungsten	W	Thick-film conductors for co-fired tape substrates and packages
Molybdenum-manganese	Mo-Mn	Thick-film conductors for co-fired tape substrates and packages
Nickel	Ni	Barrier metal, low conductivity metal, plating
Tin-lead alloys	Sn-Pb	Solder for attachment of components, plating for circuit boards
Indium and alloys	In	Low-temperature solder for attachment of devices and components
Tin-silver alloys	Sn-Ag	Low-temperature solders

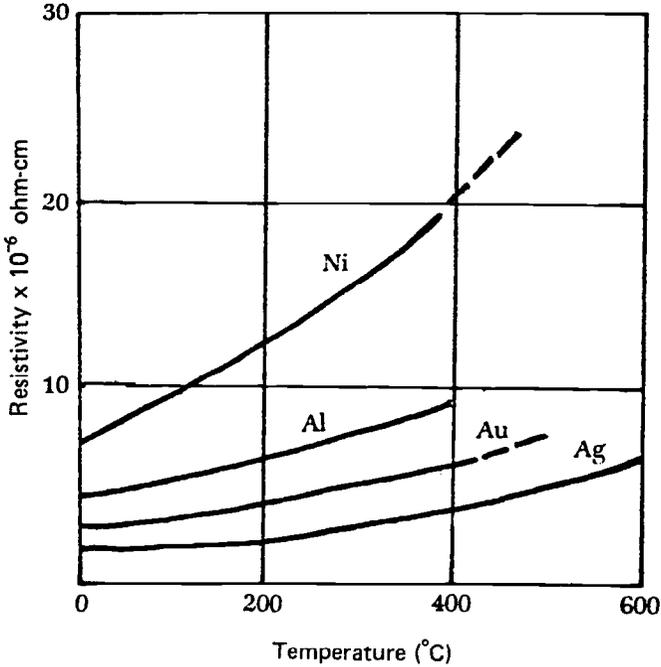


Figure 1. Electrical resistivity of metals versus temperature (note positive TCRs).

1.2 Insulators

At the other end of the spectrum from conductors are the insulators, which are very poor conductors of electricity. Their valence electrons are tightly bound and not free to move within the structure. In an insulator, the bonds that hold the atoms together, as a rule, are covalent (shared electrons) and possess high bond strengths (the energy in kilocalories per mole to break apart a bond pair). An important class of insulators are compounds based on the carbon atom—hydrocarbons, polymers, and plastics fall within this group. The bond energies for C-C, C-H, and C-O bonds are 83, 99, and 110.2 kcal/mol, respectively—thus extremely difficult to break apart to create free-moving electrons. Sufficient energy, of course, could be applied in the form of extreme heat or radiation to eventually rupture the bonds, but this is done only at the expense of causing irreversible damage to the material. Free radicals and smaller molecular fractions would then be formed which are quite different in physical properties from the original material.

Insulators generally reside in Groups V, VI, and VII, of the Periodic Table. Examples of excellent insulators include: plastics, rubber, ceramics, glass, sapphire (a form of aluminum oxide), other metal oxides (aluminum oxide, beryllium oxide, and silicon dioxide), and metal nitrides (silicon nitride, aluminum nitride). Insulators may be solids, liquids, or gases, but only solids are extensively used in electronics. Applications include substrates on which resistors, capacitors, and metal conductors are formed, substrates within which semiconductive, resistive, and conductive areas are generated (ICs), and passivation layers protecting active circuits from moisture and other harsh environments. Solid insulators have resistivities ranging from several megohm-cm to greater than 10^{14} ohm-cm, but caution should be used in their selection because in some cases the insulating properties can be rapidly degraded by ionic impurities, moisture absorption, or thermal and radiation exposures. In contradistinction to metals, insulators have negative TCRs (resistivity decreases with increase in temperature). For the ceramics that are most widely used as circuit substrates or as dielectric insulating layers, this decrease is significant only at the extremely high temperatures—far higher than any electronic circuit would be exposed to (Fig. 2).

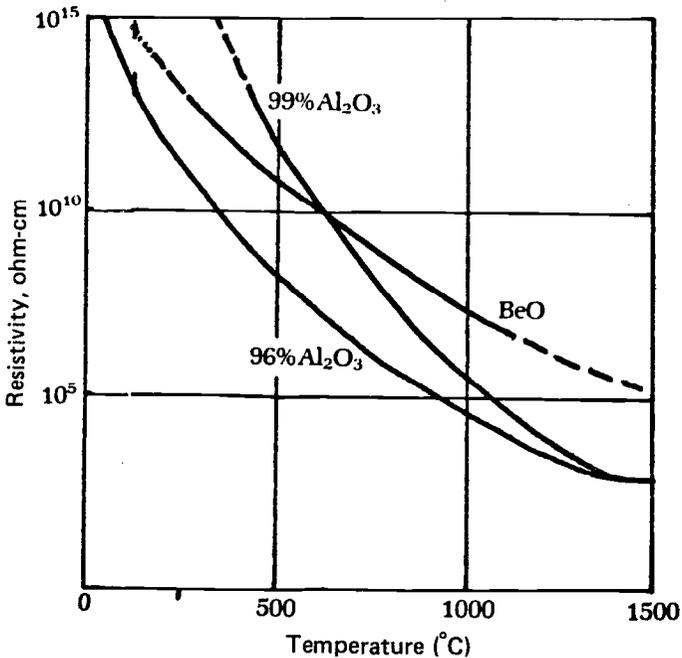


Figure 2. Electrical resistivities of ceramics (note negative TCRs).

At the maximum temperature that ceramic-based circuits might be exposed (about 150°C), the resistivity of the ceramic is still quite high (about 1×10^{13} ohm-cm). The situation is somewhat more critical for plastic insulating materials. It is well known that the insulation resistance of many epoxies, though very high in the dry state (10^{12} ohms or greater), decreases to 10^6 or lower when exposed to temperature/humidity cycling. This has been attributed to residues of ionic impurities (chloride, sodium, and ammonium ions) remaining in the epoxy from its manufacture. These ions become mobile in the presence of moisture and at elevated temperature, thus increasing the conductivity of the plastic. Other polymers that are inherently purer than epoxies, such as silicones and fluorocarbons, remain stable over the same humidity and temperature conditions (Fig. 3).¹¹¹

Liquid insulators, when they can be used, have several advantages over solid insulators. These include: ability to self-heal after discharge, better and more uniform conduction of heat, uniform electrical properties (dielectric strength and dielectric constant), and better corona and expansion control. Liquid insulators are used primarily in transformers, capacitors, circuit breakers, and switching gear. They may be used in direct contact with heat-generating devices for cooling, as impregnants for high voltage cables and capacitors, and filling compounds for transformers and high-voltage circuits. For these applications, liquid insulators must possess high electrical insulation resistance, low dielectric constants, high dielectric breakdown voltages, and must be noncorrosive. Examples of liquid insulators currently in wide use are the hydrocarbons (mineral oil), silicones, fluorocarbons, and organic esters.¹²¹

Nitrogen, argon, and helium, used as ambients in hermetically sealed circuits, are prime examples of gaseous insulators. The permeation of moisture into these packages will, of course, degrade their electrical insulating properties. Sulfur hexafluoride (SF₆) has been shown to have excellent dielectric breakdown voltage, much better than that of nitrogen, helium, or air, at the same pressure. It has been used as an insulating gas for some very high voltage applications.¹³¹

1.3 Semiconductors

Materials that are intermediate in their conductivities between insulators and conductors are known as *semiconductors*. Resistivities of semiconductors range from several ohm-cm to 10^5 ohm-cm. Two materials extensively used in the manufacture of semiconductor devices (transistors, diodes, ICs) are germanium (Ge) and silicon (Si) having resistivities of 60 ohm-cm

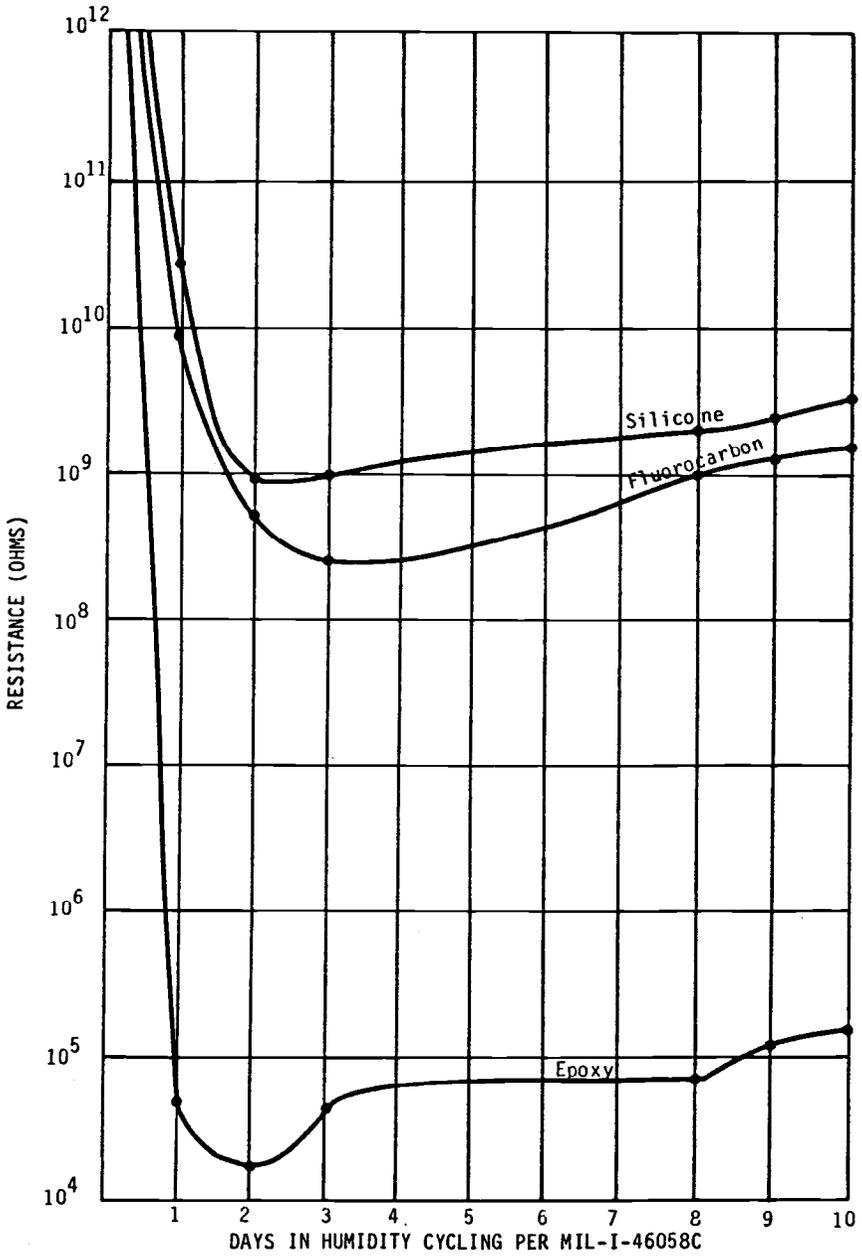


Figure 3. Effect of humidity on insulation resistance of polymer coatings.

and 6×10^4 ohm-cm, respectively. The practical significance of these materials, however, lies not so much in their initial resistivities, but in the fact that the resistivities can be varied by the introduction of small amounts of impurity atoms into their crystal structure. Then, by forming junctions of the same material doped in different ways, the movement of electrons can be precisely controlled and devices having functions of amplification, rectification, switching, detection, and modulation, can be fabricated.

For example, consider the crystal structure of silicon. It is similar to that of diamond, a tetrahedron with shared electron pair bonds. Both elements belong to Group IV of the Periodic Table and have valencies of 4. However, the Si-Si bond strength (43 kcal/mol) is far weaker than the C-C bond strength (83 kcal/mol), rendering the rupture of bonds and the release of free electrons in the silicon crystal much easier than in the diamond crystal. The breakage of bonds is a function of temperature. As single crystal silicon is exposed to higher temperatures, it becomes more conductive; thus it has a negative temperature coefficient of resistivity—a property of other semiconducting materials and of plastic insulators. The bonds which hold the germanium crystal together, Ge-Ge bonds, have an even lower bond strength, thus accounting for its lower resistivity and greater ease with which temperature can induce and mobilize free electrons. As one moves further down Group IV elements, it becomes so easy to detach electrons that the element may now be considered a conductor. This is the case with the gray form of tin. Thus, in moving down the periodic group, one has transitioned from diamond, an insulator, to silicon and germanium, both semiconductors, to gray tin, a conductor. The energy necessary to move an electron from a low energy state to a conduction state is expressed in electron volts (eV), and the correspondence of this energy with resistivity for the Group IV elements is given in Table 3.

Table 3. Energy Requirements Versus Resistivity

Group IV Element	Energy eV	Resistivity (20°C) ohm-cm
C (diamond)	≥ 7.0	$> 10^6$
Si	1.0	$\cong 6 \times 10^4$
Ge	0.7	$\cong 50$
Sn (gray)	0.08	> 1

The semiconducting properties of a material that are induced by elevating the temperature or applying strong electric fields are referred to as *intrinsic semiconductivity*. However, extrinsic semiconductors are of most interest in the commercial world of electronic devices. These are rendered semiconducting by diffusing extremely small, but controlled amounts of impurity atoms into the crystalline lattice. All crystals of silicon, no matter how pure, contain some atom vacancies which can be filled with atoms similar in structure. When elements of Group V are used (notably phosphorus, arsenic, and antimony), the atoms fit in the vacancies and form four covalent bonds with the surrounding silicon atoms; but, because the impurity atom is pentavalent, it will have an extra electron that cannot be accommodated as a bond. These extra, unbound electrons are free and mobile and can be moved and controlled by an electric field. Semiconductors, having an excess of free electrons due to the incorporation of atoms of Group V, are known as N-types.

If atoms of Group III, notably boron, are used as the impurity atoms, only three of their electrons can enter into covalent bondage with the surrounding silicon atoms, thus leaving an electron vacancy or hole. This becomes a metastable site and tends to be stabilized by drawing an electron from an adjacent silicon atom to fill the hole. No sooner is one hole filled and stabilized than another hole is created at the site from which the last electron moved. So, in essence, a movement of holes occurs in a direction opposite to the movement of the electrons, again resulting in a semiconducting material, this time referred to as P-type.

As an indication of the sensitivity of these impurity atoms, only 10 atoms of boron in one million atoms of silicon increases the conductivity of silicon by 1,000. By carefully controlling the concentration of the impurity atoms in selected regions of the silicon chip, resistors, conductors, and semiconductor devices, can be formed. Figure 4 shows that on one extreme, heavy doping of 10^{20} atoms of carriers per cubic centimeter of silicon results in a material approaching the electrical conductivity of a pure metal, while, at the other end, light doping of about 10^{13} atoms per cubic centimeter results in materials approaching the resistivity of insulators.

The theory of semiconductor devices is well established. The reader is referred to several books on this subject.¹⁴¹⁻¹⁶¹

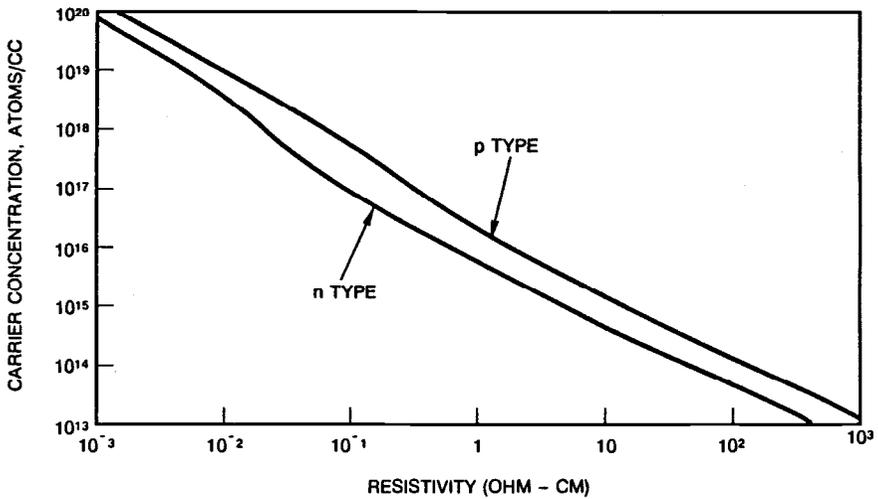


Figure 4. Effect of carrier concentration on resistivity of silicon.^[6]

2.0 CLASSIFICATION OF PROCESSES

Processes for electronics may be classified generally as either fabrication processes or assembly processes. Fabrication processes pertain to the formation of the chip devices, the integrated circuit chips, or the interconnect substrates. Generally, fabrication processes involve chemical reactions: photoresist deposition, exposure to ultraviolet light and developing, oxide formation, doping or ion implantation, metallization by vapor deposition, sputtering, screen printing and firing, etching, laser drilling, and resistor trimming. Assembly processes, on the other hand, pertain mostly to physical and physical thermal steps involved in attaching, interconnecting, and packaging the fabricated devices. Examples are adhesive attachment of devices, wire bonding, soldering, and sealing. A third group of processes are auxiliary to these two and involve cleaning, annealing, and stabilization baking.

Processes may also be classified according to the electronic products that are produced by them. The basic electronic product is the single chip, which may be an active device (diode, transistor), a passive device (capacitor, resistor), or an integrated circuit (IC). A second product is the hybrid

microcircuit or multichip module, a packaging approach in which chip devices are assembled on a specially processed substrate which electrically interconnects the chips, creating a circuit function. The third major product is the printed wiring assembly (PWA) in which prepackaged chip devices (components) are solder attached and electrically connected to a printed wiring board (PWB), also referred to as a printed circuit board (PCB). The latter is generally an array of etched metal conductors on a reinforced plastic laminate substrate. In addition to the electronic components, hybrid microcircuits and multichip modules may be interconnected and incorporated in PWAs, SEMs (Standard Electronic Modules), or SAMs (Standard Avionics Modules).

Processes may therefore be classified according to whether they pertain to:

- Single devices or integrated circuits in chip form or as packaged components
- Hybrid microcircuits or multichip modules
- Printed wiring assemblies
- SEMs or SAMs

Two things should be noted here. First, there are other packaging or assembly formats besides the four mentioned (for example, microwave circuits and welded modules); but for the most part, these employ the same or similar processes. Thus, thin-film and thick-film deposition processes used for hybrid circuits are also used for microwave circuits. Secondly, even within the four main categories there is commonality of processes. Sputtering or vapor deposition of metals are basically the same processes for both IC manufacture and hybrid circuit fabrication, differing only in the metals used, deposition parameters (temperature, pressure, etc.), and the conductor line dimensions.

3.0 DEFINITION AND CHARACTERISTICS OF HYBRID CIRCUITS

3.1 Types and Characteristics

Hybrid circuits are circuits in which chip devices of various functions are electrically interconnected on an insulating substrate on which conductors or combinations of conductors and resistors have previously been

deposited. They are called *hybrids* because in one structure they combine two distinct technologies: active chip devices such as semiconductor die, and batch-fabricated passive components such as resistors and conductors. The discrete chip components are semiconductor devices such as transistors, diodes, integrated circuits, chip resistors, and capacitors. The batch fabricated components are conductors, resistors, and sometimes capacitors and inductors.

Chip devices are small, unpackaged components. Semiconductor chip devices, also referred to as bare chips or die, may range in size from very small 12 mil-square signal transistors to large high-density integrated circuits (ICs) about 500 mils square. Recently, with the development of VHSICs (Very High Speed Integrated Circuits), gate arrays, and memory chips, integrated circuits larger than 900 x 900 mils are being produced,

Hybrid microcircuits are often classified as either *thinfilm* or *thick film* based on the process used to fabricate the interconnect substrate. The nomenclature refers directly to the thickness of the film and indirectly to the process used to deposit the conductors and resistors on the substrate. If the metallization is deposited by vacuum evaporation-by vaporizing a metal in a high vacuum, thin-film circuits are formed. The thickness of the metallization may be as low as 30 Å or as high as 25,000 Å. If the metallization is applied by screen-printing and firing conductive and resistive pastes onto a substrate, a thick-film circuit is formed. Thick films range from 0.1 mil (about 25,000 Å) to several mils in thickness (Fig. 5).

Whether the interconnect substrate is thin or thick film, the assembly steps are generally the same for both. The chip devices are first attached to the substrate with a polymeric adhesive—generally an epoxy—or with a eutectic alloy or solder. After this, two sequences may be followed: (i) the devices may be interconnected to the substrate circuitry by wire bonding, then the substrate attached to the floor of the package or, (ii) the substrate may first be attached in the package then wire bonded. The latter sequence minimizes handling of assembled substrates, avoiding damage to wire interconnections, and avoids outgassing/contamination of both the circuit and wire bonds during the processing of the epoxy used for substrate attachment. For power circuits, the substrate must be bonded into the package prior to device attachment because of the high temperatures required in processing eutectic or alloy attachment. In all the above cases, the outer bonding pads of the substrate are wire bonded to the package pins as the final assembly step. The interconnect wire may be gold or aluminum and is typically 1 mil in diameter though it may be as thin as 0.7 mil or, for high power/ampereage circuits, thicker than 10 mils. As the final step, the package is sealed by welding a lid on the package case or attaching the lid with glass or epoxy. Although the

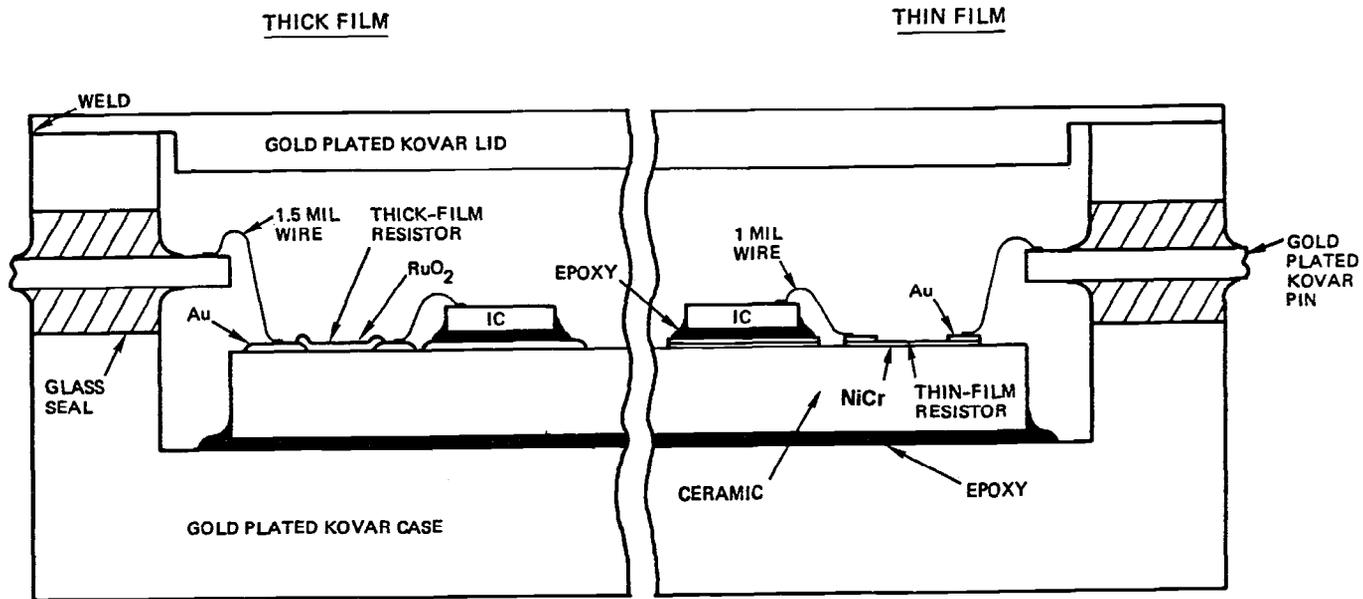


Figure 5. Cross-sections of thin and thick film hybrid assemblies. (Not to scale.)

14 Hybrid Microcircuit Technology Handbook

steps mentioned are the basic assembly steps, many other auxiliary steps are used in the production of hybrid circuits. Among these are numerous cleaning steps, annealing and trimming of resistors, curing of adhesives, visual inspection, electrical testing, nondestructive wire bond pull testing, and a host of mechanical/thermal screen tests that have been imposed by the military and space agencies.

Hybrid circuits vary in size from very small, about 200 mils square, containing only a few devices (Fig. 6), to large 4 x 4 inch circuits containing several hundred devices. Typically, their sizes are intermediate, for example, 1 x 2 inches or 0.75 x 1.5 inches, and contain 20 to 30 ICs or chips (Figs. 7 and 8). Integrated circuit densities of 25 chips per square inch and resistor densities of 90 per square inch with as many as 1,000 wire bonds are not uncommon.

In a broader sense, circuits in which pre-packaged components are solder-attached on a ceramic substrate containing the interconnect conductor pattern may also be considered hybrid circuits (Fig. 9).

In addition to their being classified as thin film or thick film according to the process used for the interconnect substrate, hybrid circuits may also be classified according to generic electronic functions. Thus there are digital, analog, RF microwave, and power circuits.

3.2 Comparison With Printed Wiring Boards

Hybrid microcircuits are used in applications where high density, low volume, and low weight are required for an electronic system. Without these constraints, printed wiring boards with solder-attached pre-packaged components are more economical. Because of their advantages of weight and volume over printed wiring assemblies, hybrids have found extensive use in airborne and space applications. Hybrid circuits were a major contributor to the successful design and development of the space shuttle. Other key commercial and military applications include communication systems, high-speed computers, guidance and control systems, radar systems, heart pacemakers, other medical electronic devices, and automotive electronics. As already mentioned, hybrid circuits are much lighter and take up less space than printed wiring assemblies. They may be 10 times lighter than an equivalent printed circuit, and 4 to 6 times smaller. Wire-bonded IC chips occupy only one-fourth the volume of dual in-line IC packages used in printed circuit assemblies. Screen-printed or vacuum-deposited resistors replace pre-packaged chip resistors and avoid solder interconnections, effecting still further reductions in space and weight.

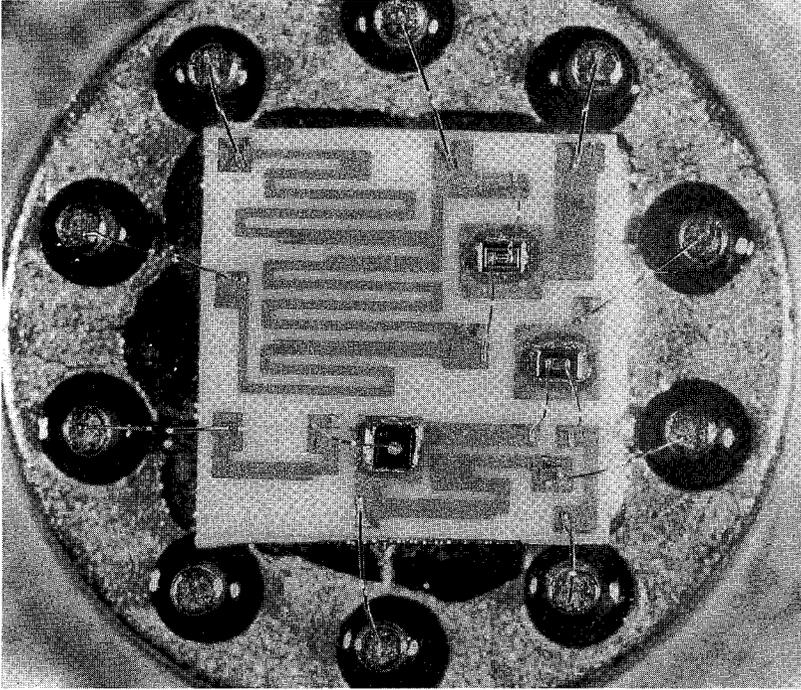


Figure 6. Small hybrid microcircuit in TO package. (Courtesy Rockwell Intl.)

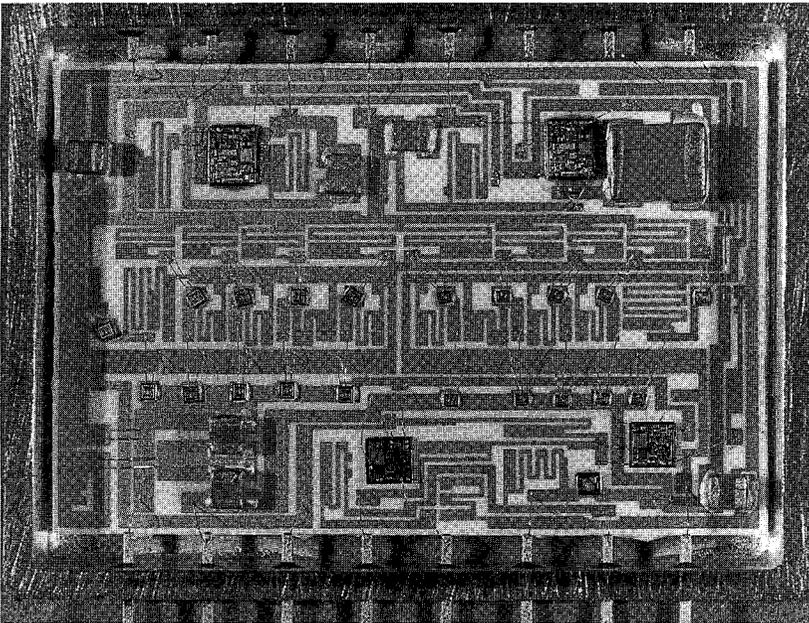


Figure 7. Moderate-density thin film hybrid microcircuit. (Courtesy Rockwell Intl.)

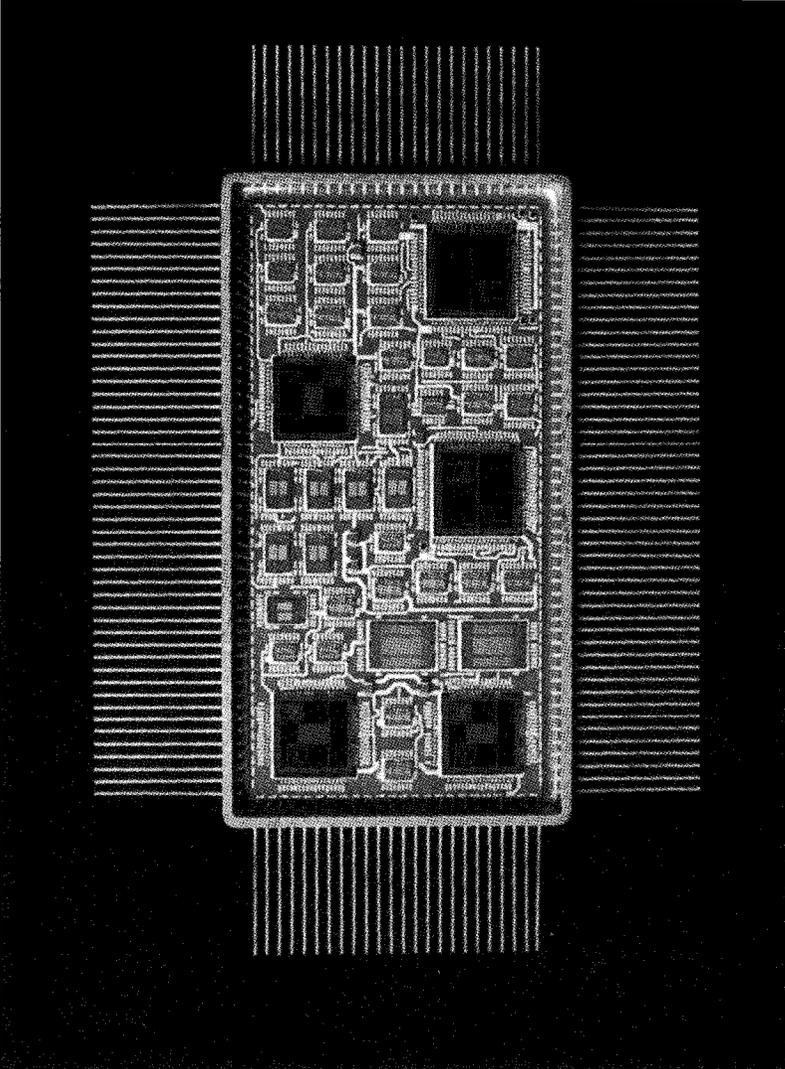


Figure 8. High density thick-film hybrid using tiered bonding to accommodate over 1,700 wire bonds. This filter hybrid used nine conductor layers with 8-mil spaces and 5,600 vias. (Courtesy Vitarel Microelectronics.)

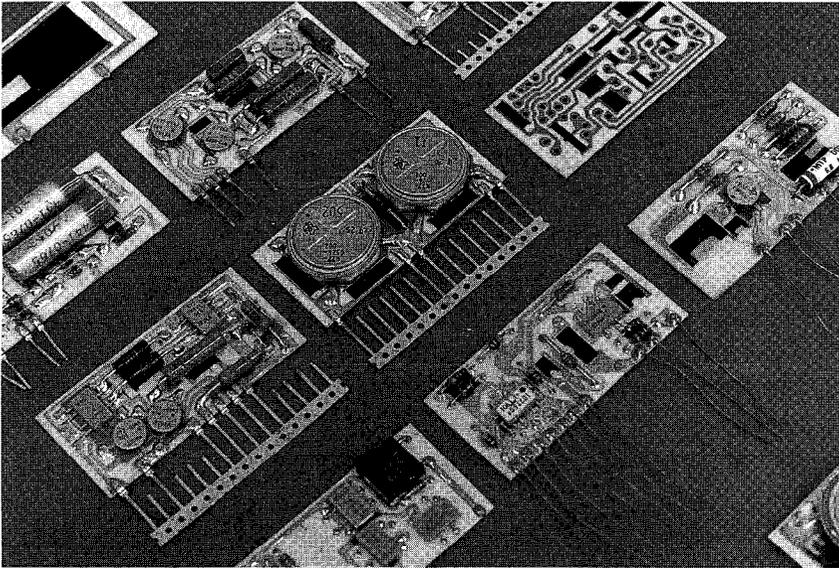


Figure 9. Ceramic printed circuit cards. (*Courtesy Rockwell Intl.*)

Because of the fewer interconnections that need to be made, since the resistors are batch-processed, the reliability of hybrids can also be greatly improved over printed circuit boards. Resistors can be trimmed statically or dynamically to precise values with close tracking; hence, precision circuits can be fabricated that are not possible with printed circuits. From a thermal management standpoint, hybrid circuits have many benefits. The direct mounting of high-power devices on a thermally conductive ceramic is greatly superior to mounting pre-packaged components onto a thermally insulative epoxy or polyimide circuit board. To remove heat from a plastic printed circuit board, heavy metal heat rails must be attached with adhesive, or metal-core boards must be used.

Finally, hybrid circuits are more suited to high-frequency, high-speed applications where, because of shortened distances between devices, finer, more precise conductor lines and spaces, and close tolerance resistors, parasitic capacitances and inductances, can be minimized.

3.3 Comparison With Monolithic Integrated Circuits

Although many hybrid circuits can be designed and produced as monolithic integrated circuits, this is not economically feasible unless large quantities of a single type are to be produced so that the high nonrecurring development costs can be amortized. The development of masks and requirements for expensive equipment and special cleanroom facilities and controls make custom IC manufacture feasible only for high-volume production. The hybrid-circuit approach is more versatile and suitable for small-to-moderate production quantities of many different circuit types. Hybrids are also more suited to custom circuits than are ICs. Iterations that are required in the design are easier, faster, and less costly with hybrids than with ICs because changes in the hybrid masks can be made quickly (less than one hour per mask for thick-film circuits). Thus, hybrids offer greater flexibility in making design and layout changes.

Although hybrid circuits are higher in density than printed wiring boards, the highest-density circuits can only be achieved with integrated circuits. Circuit-function densities for chip ICs are orders of magnitude greater than for hybrid circuits. VLSI (Very Large-Scale Integration) chips can have thousands of transistor functions in the space of a 100-mil square chip, while recent advances in processors and memories have produced chips with greater than 150 million transistors.^[7] Present gate-array ICs may have 10,000 gates and 400 pincounts. VHSIC chips with micron geometries may incorporate 20,000 to 40,000 gates in an area of 300 to 400 mils square. ICs having geometries in the submicron range have been developed with over 100,000 gates. Though these ICs may replace entire hybrid circuit functions, they, in turn, may require a hybrid packaging approach to interconnect and integrate them with other devices. This is important not only to attain even greater density, but also to enhance device performance through better heat dissipation, controlled impedances and capacitances, and shortened interconnect paths. Despite predictions of the demise of hybrid circuits because of advancements in ICs, at every stage in the development of ICs, hybrids have been found useful to effect higher levels of integration. Table 4 compares hybrid circuits with printed circuit boards and ICs while Table 5 shows a comparison of several microcircuit technologies.

Table 4. Hybrid Circuit Advantages (*Courtesy of Tektronix*)

Hybrid circuit advantages over etched-circuit boards:

- Consume less space, less weight
- Higher performance due to shorter circuit paths, closer component spacing (tighter thermal coupling), better control of parasitics, excellent component tracking
- Simpler system design and reduced system cost due to simplified assembly, and functional trimming capability
- Higher reliability due to fewer connections, fewer intermetallic interfaces, higher immunity to shock and vibration
- Easier system test and troubleshooting due to hybrid circuit pretested functional blocks.

Hybrid circuit advantages over custom monolithic ICs:

- Lower nonrecurring design and tooling costs for low to medium volume production
 - Readily adaptable to design modifications
 - Fast turnaround for prototypes and early production
 - Higher performance sub-components available (both substrate and add-on components), for example, $\pm 0.1\%$ resistors, $\pm 1\%$ capacitors, and low TCR zener diodes
 - Ability to intermix device types of many different technologies, leading to increased design flexibility
 - Ability to rework allows complex circuits to be produced at reasonable yields, and allows a certain amount of repair
-

Table 5. Comparison of Microcircuit Technologies

Parameters	Thick-Film Hybrid Circuits	Thin-Film Hybrid Circuits	Monolithic Circuits
Performance	High	High	Limited
Design flexibility, digital	Medium	Medium	High
analog	High	High	Low
Parasitics	Low	Low	High
Resistors, maximum sheet resistivity	High	Low	High
temperature coefficient of resistance	Low	Lowest	High
tolerance	Low	Lowest	High
Power dissipation	High	High	Low
Frequency Limit	Medium	High	Medium
Voltage Swing	High	High	Low
Size	Small	Small	Smallest
Package density	Medium	Medium	High
Reliability	High	High	Highest
Circuit development time (prior to prototype)	1 month	1 month	1–2 months

3.4 Comparison with Multichip Modules

Multichip modules (MCM) are actually hybrid microcircuits in which the packaging technology has been driven further by the need to elicit the optimum electrical performance from VLSI and other high-speed devices. Although no strict definition of MCMs exists and although almost every electronic module is being touted as a MCM because of its glamor, MCMs are in reality the new generation of hybrid microcircuits in which the materials and processes have been refined and extended to achieve at least an order of magnitude increase in electrical performance (such as speed) and/or an order of magnitude increase in density. To achieve this, low dielectric-constant materials, including polymers and some ceramics, are being used as interlayer dielectrics for multilayer interconnect substrates in order to control impedances, reduce signal attenuation, and increase speeds. Also, very fine conductor lines, small vias and short electrical paths are used to improve electrical

performance and to increase the packaging density, resulting in lighter, smaller, and higher speed electronics. A general comparison of some features of hybrid circuits with those of multichip modules is given in Table 6. A more complete discussion of multichip modules may be found in Chapter 13.

Table 6. General Comparison of Hybrid Microcircuits with MCMS

	Hybrid Circuits	Multichip Modules
Number of chips	2–50	2– >100
I/O count/chip	<50	>250
I/O count/module	<100	>200
Line width & spacings	>5 mils	<5 mils
Interlayer dielectric constant	5–10	<5
Interlayer dielectric material	alumina ceramic	ceramics, polymer coatings, or plastic laminates
Applications	analog, mixed signals	mostly digital
System clock frequency	D.C. to GHz	50– >200 MHz
Power dissipation/module	<10 watts	2– >1000 watts
Si dice area to substrate surface area	<15%	>30% >100% (3-D modules)

4.0 APPLICATIONS

Hybrid microcircuits have been in use for over thirty years. In the early sixties, hybrids were extensively used in weapons systems such as the Minuteman Intercontinental Ballistic Missile. The main reasons for the use of hybrids were the increased reliability and reduced size which they offered over the standard printed circuit boards. In the late sixties and early seventies, when the fast-growing monolithic integrated circuits began to replace hybrid functions, many predicted the demise of the hybrid technology. These dire predictions were further fueled by the advent of large-scale integrated circuits (LSIs) as they replaced even more hybrid products. However, it soon became evident that the usefulness of ICs could only be optimized by integrating them with other ICs, resistors and capacitors in a multichip hybrid circuit. Hybrid circuits have thus outlived these predictions.

Hybrids are found in almost every military system and in most commercial products. Commercial products include home computers,

calculators, radios, televisions, aircraft equipment, heart pacemakers, communication equipment, car ignitions, watches, cameras, electronic games, VCRs, and microwave ovens. These are but a few of the commercial applications of hybrids. Hybrids are used mainly to save weight and space; however, in the commercial field, cost is usually the deciding factor, because the commercial market is very competitive and will settle only for the lowest-cost packaging approach. Only when a commercial application requires a more reliable circuit, such as a heart pacemaker, is cost overridden.

Thin-film hybrids are better suited to high-frequency applications. While thin and thick-films can be used up to 500 MHz, above that limit thin film technology dominates.

4.1 Commercial Applications

Medical electronics is one segment of the commercial market that requires long-term reliability, along with dense circuitry. Also, irregularly shaped substrates may be needed to fit the package. Medical hybrids must pass even more stringent tests than military hybrids and must be free of contaminants in order to be implanted in humans. The broad field of medical electronics includes instrumentation for life support, patient monitoring, hearing aids, and pacemakers. The pacemaker market especially has developed rapidly, and hybrid circuits along with it as the logical approach for packaging. As stated above, the restrictions in size and materials have made medical electronics a very challenging area for the hybrid engineer.

The computer industry also makes extensive use of hybrids/MCMs. **MicroModule Systems, Inc.** of Cupertino, CA, is a leading supplier of CPU subsystems and multichip modules. Their Spectrum CPU modules are designed for notebook PCs and other small form factor systems. The Gemini and Apollo modules operate at core clock frequencies ranging from 90 to 133 MHz, and integrate an Intel Pentium processor, Cirrus Logic Vesuvius or Intel **PCiset**, 256 Kbytes of burst cache **SRAM**, and a National Semiconductor temperature sensor, all on a 49 x 54 x 4 mm MCM. The **SRAMs** are 60/66 MHz 32K x 32 level two cache. Hitachi is supplying these as tested die. Intel also provides tested bare die for this MCM.

Analog-to-digital (A/D) converters are the closest thing to a “standard” product that the hybrid industry has. These hybrids are found everywhere that the analog world meets the digital world. Hybrid technology allows the very best monolithic IC to be combined with high-accuracy laser-trimmed thin-film resistors to provide the most accurate circuit. This optimizes the performance for the application. Hybrid Systems Corp.

manufactures an A/D converter (HS-9516-6) with an accuracy of 0.0008 percent linearity error (Fig. 10). It is a 16-bit resolution hybrid, which means one part in 65,536. From the specifications of this device, it is clear that hybrid technology is used when high accuracy is a requirement. Other companies, such as Analog Devices, also produce high accuracy A/D converters like the AD1147, a 16 bit hybrid, which requires only half the space of its module counterpart.

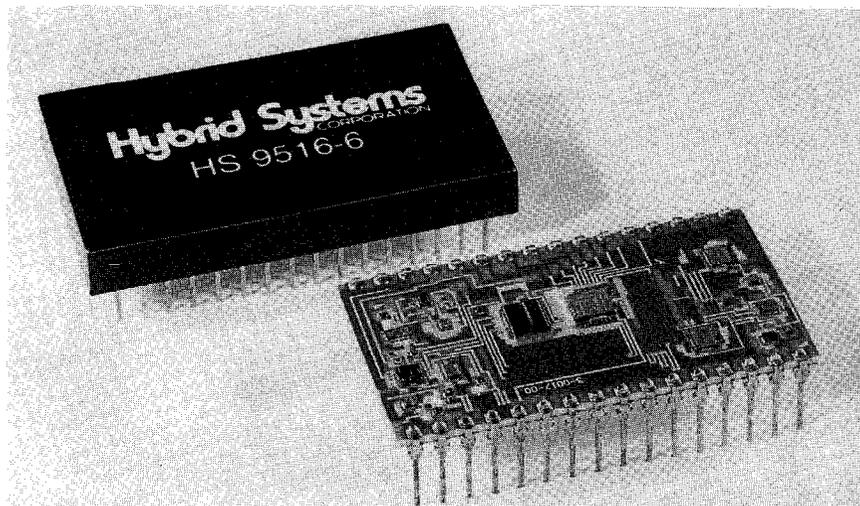


Figure 10. Sixteen-bit analog-to-digital converter. (Courtesy Hybrid Systems Corp.)

Still another area in which hybrids are extensively used is in electrical test equipment. The Tektronix 2400 series of oscilloscopes employ eleven custom hybrids. These hybrids, two of which are shown in Figs. 11 and 12, replace thousands of discrete parts, rendering the instrument lighter, more reliable, and more producible. The data-acquisition hybrid is used for 100 ms/sec data acquisition. It incorporates a charge-coupled device (CCD) and a clock driver. Also featured are a beryllium-oxide (BeO) substrate with plated through-holes for connection to a back-side ground plane, thin film peaking coils, and controlled-impedance conductor runs. The hybrid dissipates 10.4 watts in normal operation when attached to a multi-finned heat sink. The A-to-D converter is a thick-film hybrid multiplexed 300 MHz, 8-bit A/D converter with ECL input levels and a 1-volt output level into a 75 ohm load. It features through-hole connections to a 2-level ground and power distribution pattern on the back side to enhance high-speed performance. Many other types of hybrids are used in ground-support and telecommunications equipment, among which are, line filters, receivers, transmitters, A/D and D/A converters.

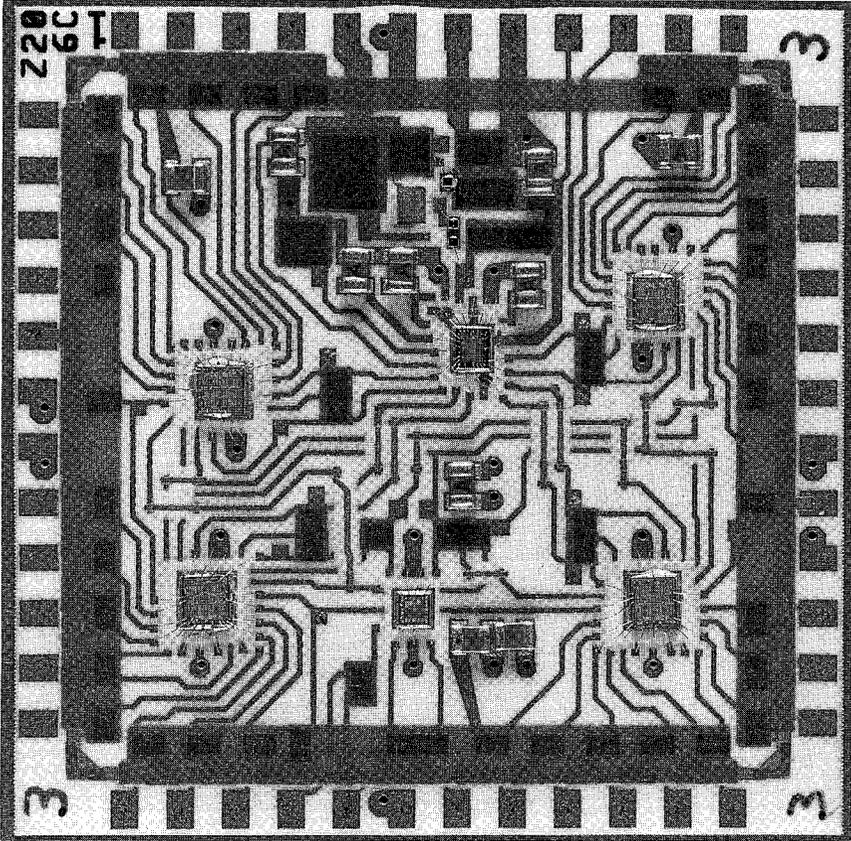


Figure 11. Oscilloscope hybrid—A-to-D converter. (Courtesy Tektronix, Inc.)

4.2 Military/Space Applications

Every military weapons system uses one form of hybrid or another. Hybrids are used in missiles, satellites, aircraft, helicopters, hand-carried weapons, shipboard equipment, and submarine navigation instruments. A military system that benefited from the reduced size of hybrids was an airborne data processor built by Hughes Aircraft for the F-14 and F/A-18 aircraft. The original version of the computer consisted of eighteen 5 x 5 inch printed circuit boards. Redesigning these boards and partitioning them into hybrids condensed them to one 6 x 9 inch, ten-layer board containing eight hybrids, 13 discrete ICs, and some capacitors and resistors. Besides the reduction in space and weight, the hybrid version also increased the computer's speed by a factor of 1.5 by shortening the signal paths. One of the hybrids was

packaged in a 2 x 2 inch case and had 160 I/Os. It consisted of a fifteen layer ceramic substrate with ICs in open chip-carriers that dissipated 20 watts. A later version, designed with gate arrays to replace some of the ICs' mission dissipated only 10 watts

Not surprisingly, one of the biggest users of hybrids has been NASA for the space shuttle. Each shuttle uses over 10,000 hybrids for their reduced size, weight, and reliability. Over one thousand power hybrids are used on each orbiter. These hybrids are used to open the equipment bay doors, to release the landing gear-up-lock, and to eject the external fuel tank after the fuel has been expended.

Dramatic weight savings were achieved by converting load-controller circuits from printed wiring boards to hybrid circuits. The weight was reduced from 55 pounds to 15 pounds per load-controller. With six controllers per shuttle orbiter, a significant weight-savings of 240 pounds per orbiter was achieved.

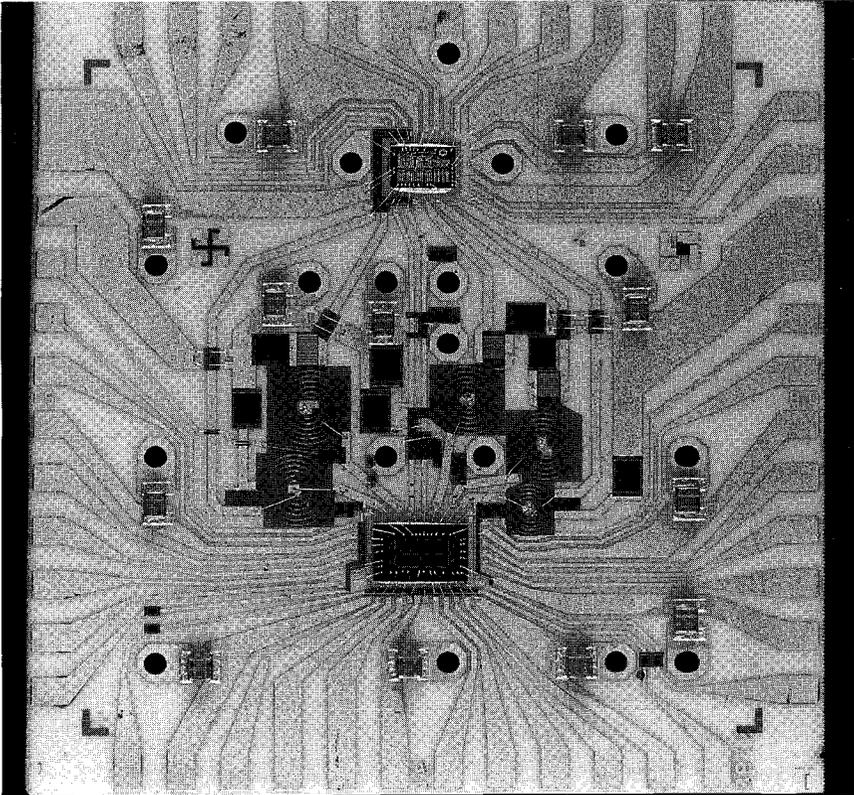


Figure 12. Thin film data-acquisition hybrid. (Courtesy Tektronix, Inc.)

A unique item that was incorporated in the load-controller hybrids was an internal fuse. The hybrid used three parallel 1-mil-diameter gold wires in series with the incoming power. The internal “fuse” protected spacecraft wiring and further decreased its overall weight. Figure 13 is a photograph of one of the power hybrids. Note that it was partitioned in two sections, a low power and a high power section. The power components (transistors and diodes) were soldered to a beryllia substrate and the beryllia was then solder-attached to the Kovar case, whereas all the low-powered circuitry was attached to a standard alumina substrate with epoxy adhesive.

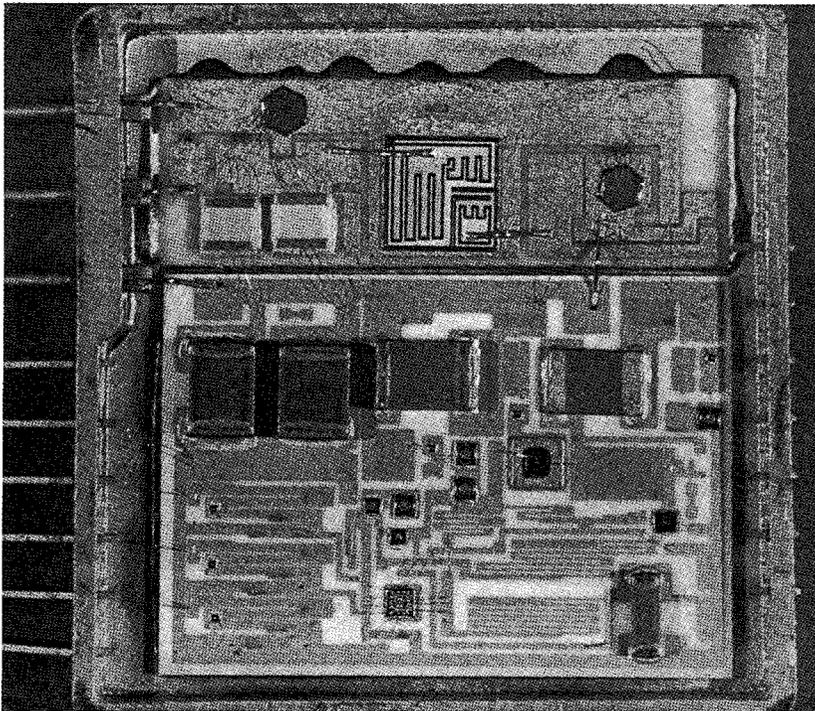


Figure 13. Space shuttle power hybrid. *(Courtesy Rockwell Intl.)*

4.3 Power Applications

Some hybrids, such as the HS9151 power converter produced by National Semiconductor (Fig. 14), are designed to dissipate large amounts of

power.^[8] This particular hybrid works directly off the ac line and supplies 5 vdc at 3 amps. The second generation of this hybrid, the HS9503, supplies 5 vdc and ± 12 vdc for a total power output capability of 50 watts. This hybrid uses various assembly techniques as well as several semiconductor technologies. In the hybrid, use is made of CMOS, power MOSFETs Schottky diodes, bipolar transistors, high-voltage rectifier diodes, several passive devices, and an optocoupler. The devices are attached by both surface-mount and chip-and-wire techniques on four separate substrates one of which is mounted “piggyback” on another substrate. A very high heat-dissipating hybrid is an operational amplifier, the PA03 power device manufactured by Apex Microtechnology Corp. This hybrid (Fig. 15) dissipates 500 watts. To maximize the removal of heat, the hybrid is enclosed in a special copper package.

Besides power conditioning and switching, power hybrids are used for motor controls. International Rectifier is a prime supplier of motor control hybrids.^[8]

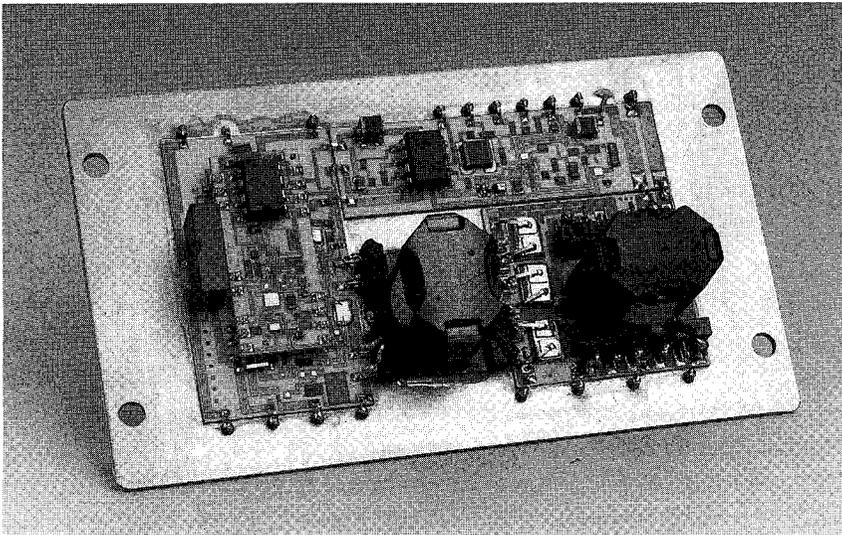


Figure 14. Power conditioner hybrid. (Courtesy National Semiconductor.)

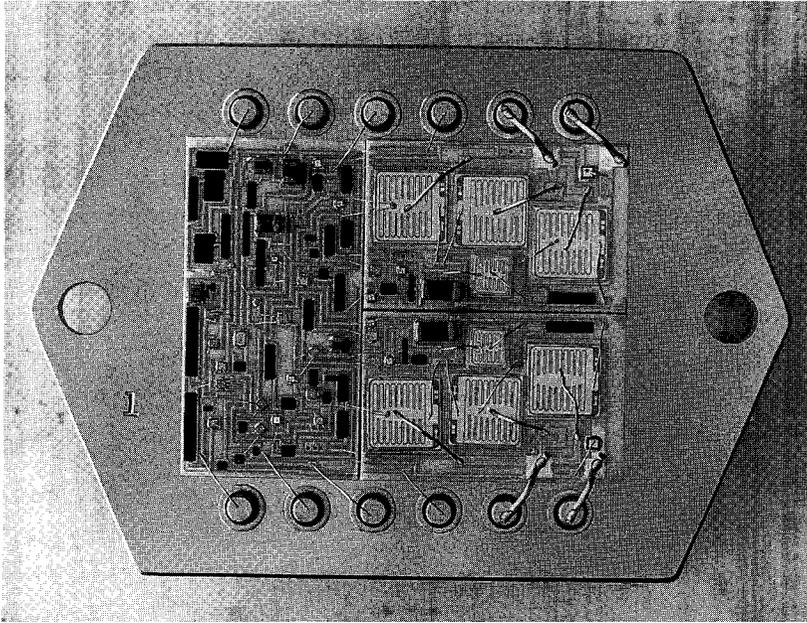


Figure 15. A 500-watt operational amplifier, PA03. (Courtesy Apex Microtechnology Corp.)

ILC Data Device Corporation (DDC) also manufactures power hybrids, including motor controls. Figure 16 is a high performance current-regulating torque controller. It is designed to accurately regulate the current in the windings of fractional horsepower 3-phase brushless dc motors. It has a 10 amp continuous output current with 5 percent regulating accuracy. The design used a thick-film substrate housed in a 2.6" x 1.4" x 0.25" package. ILC has also developed a smart power 3-phase motor drive, Fig. 17(a), that can deliver 5 amps continuous and 10 amps peak to the load. The output stage consists of six MOSFET transistors with a rating of 100 vdc. Figure 17(b) is a H-Bridge motor driver that has 500v capability with 30 amps continuous current and 54 amps pulsed current. This hybrid is a mosaic of five single layer thick film substrates.

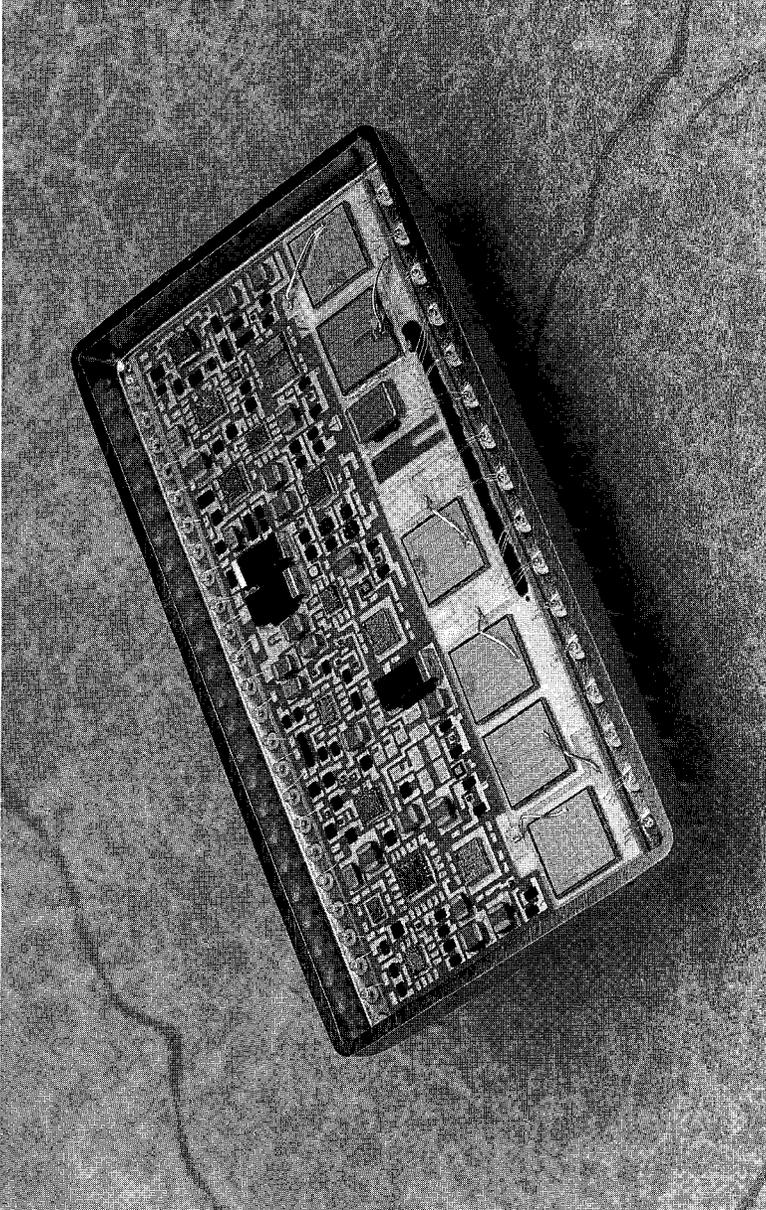
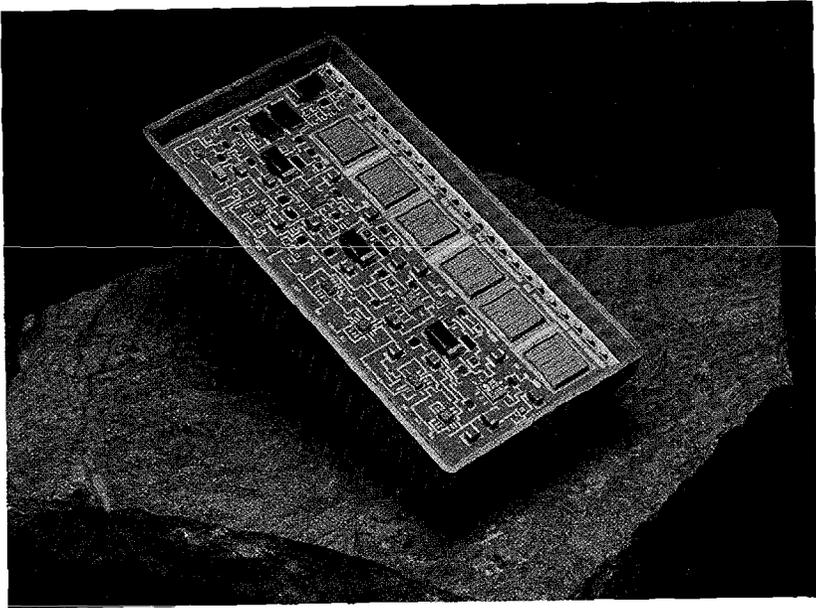
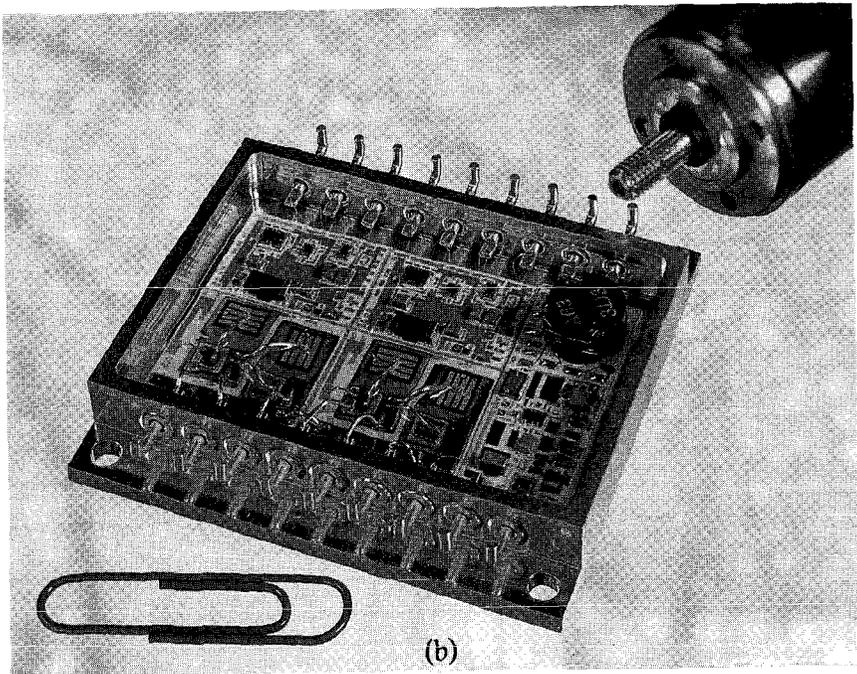


Figure 16. Current-regulating torque controller. (Courtesy ILC Data Device Corp.)



(a)



(b)

Figure 17. (a) Custom power hybrid circuits 3-phase motor drive. (b) H-Bridge motor driver. (Courtesy ILC Data Device Corp.)

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2

Substrates

1.0 FUNCTIONS

Substrates for hybrid circuits serve three key functions:

1. Mechanical support for the assembly of the devices
2. Base for the electrical interconnect pattern and batch fabricated film resistors
3. Medium for the dissipation of heat from devices

Besides these basic mechanical, electrical, and thermal functions, substrates must meet many other electrical, thermal, physical, and chemical requirements, among which are:

- High electrical insulation resistance-to avoid electrical leakage currents between closely spaced conductor lines. Initial volume resistivities greater than 10^{14} ohm-cm and surface insulation resistance greater than 10^9 ohms are highly desirable. Other electrical properties of importance, especially in high-speed, high-frequency circuits, are dielectric constant, dissipation factor, and loss tangent.
- Low porosity and high purity-to avoid moisture and contaminant entrapment, arcing, tracking, and metal migration.

- High thermal conductivity-to dissipate heat produced by devices
- Low thermal expansion coefficient-to match as closely as possible the expansion coefficients of attached devices, thus minimizing stresses and avoiding cracking during thermal cycling.
- High thermal stability-to withstand, without decomposition or outgassing, the high temperatures involved in subsequent processing. Among the highest processing temperatures are those encountered during belt-furnace sealing (295-375°C), eutectic die and substrate attachment (350°C) and, in the case of thick films, firing (850-1000°C).
- High degree of surface smoothness-to achieve stable, precision, thin-film resistors and very fine conductor lines and spacings.
- High chemical resistance-to withstand processing chemicals such as acids used in etching and plating.

The combination of these requirements quickly narrows the number of available materials. Among materials that were initially used as substrates for hybrid circuits were glass and glazed-alumina ceramics. To a lesser extent, and for some special applications, beryllia, sapphire, silicon, quartz, aluminum nitride, and porcelainized steel have been used. However, high purity alumina with an as-fired, smooth surface is the material most widely used today because it most closely meets all the requirements and also takes into consideration cost.

2.0 SURFACE CHARACTERISTICS

2.1 Surface Roughness/Smoothness

Roughness or, conversely, smoothness, is defined as the average deviation, in microinches, from a center line that traverses the peaks and valleys of the surface. If a profile of the surface is taken using a moving diamond stylus (profilometer) and an arbitrary line is drawn such that the areas of the peaks above the line equal the areas of the valleys below the line,

the average deviation from the line is referred to as the center-line average (CLA). One can see that if nichrome or tantalum nitride resistor films of only 200-400 Å thickness are used on a rough surface of 20 micrometers CLA (5000 Å) the films will contour the hills and valleys giving nonreproducible dimensions to the resistors and thus resulting in varying resistance values. **pen** using thin films on rough surfaces, there is also a greater chance for forming imperfect, discontinuous films having microcracks, stresses, and opens. This problem does not exist with thick films which range from 0.5 mils (127,000 Å) to several mils in thickness and thus completely encapsulate even the roughest surfaces. Figures 1 and 2 compare surface profiles for a relatively smooth 99.6% alumina substrate typically used for thin-film circuits with a relatively rough 96% alumina substrate used for thick films.

The smoothness of a ceramic is a function of its microstructure and density; the smaller the grain size and higher the density, the smoother the surface. Microstructure and density, in turn, are dependent on the ceramic composition and process by which the substrates are produced. Early alumina substrates were quite rough and unsuitable for thin film circuits unless the surface had been polished or a glaze applied to it.

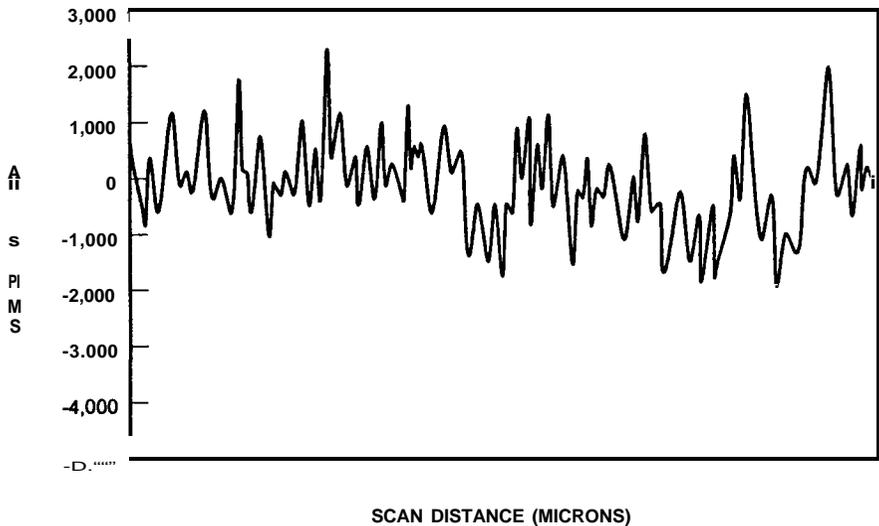


Figure 1. Surface roughness profile for 99% alumina used for thin-film circuits. (Courtesy Rockwell International Corp.)

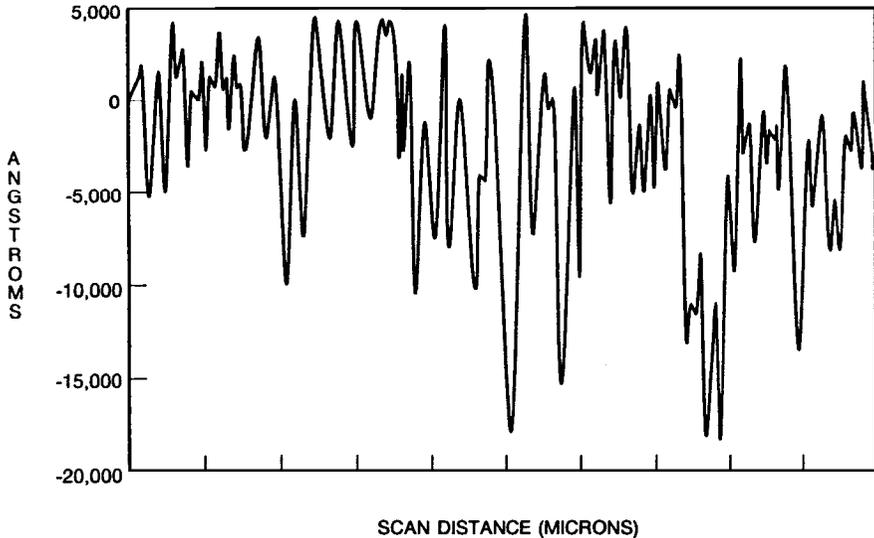


Figure 2. Surface roughness profile for 96% alumina used for thick-film circuits. (Courtesy Rockwell International Corp.)

2.2 Camber

Camber is the warpage of a substrate or the total deviation from perfect flatness. It is normalized by dividing the total camber (in inches or mils) by the largest dimension over which the camber can occur. In the case of square or rectangular substrates, this is the diagonal distance (Fig. 3). Thus camber is expressed as inches per inch or mils per inch. Camber is an important parameter for thick film fabrication. A high camber will affect the screen printer snap-off distance and in turn affects the resolution of the pattern being printed. Alumina substrates employed in thick-film circuits (96% alumina) have a camber between 2 and 4 mils per inch and are adequate for most applications.

The most expedient method for measuring camber is a "go-no-go" method in which the substrates are passed through parallel plates separated by a fixed distance that is equal to the sum of the substrate thickness being tested and an allowance for the maximum camber. Though this is not a precise or accurate method, it is extensively used as a low-cost quality control and receiving inspection test that can discriminate between 1 and 2 mils of camber. Absolute camber measurements can be made by first measuring the thickness of the substrate with a ball point micrometer, then measuring the combined thickness and camber with a dial-indicating micrometer attached to a plate that is larger than the substrate. The zero setting of the micrometer is made at the flat plate upon which each substrate is placed.

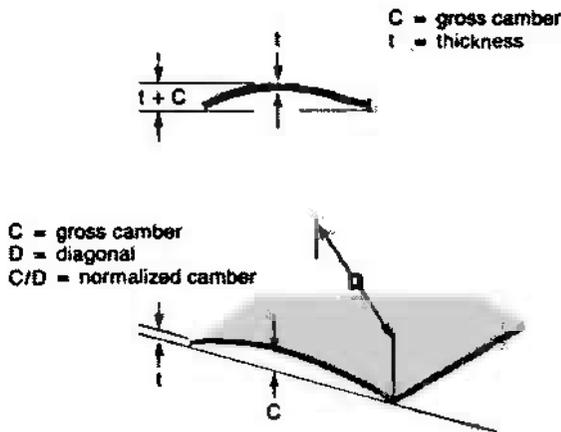


Figure 3. Camber.

2.3 Granularity

The grain size and number of grain boundaries per unit area influence both the smoothness of the ceramic surface and the adhesion of vapor deposited thin film metallization. The grain size and density are, in turn, determined by the nature and amount of impurity oxides in the ceramic composition and the firing conditions. Over-firing produces a large grain structure and a coarse, rough ceramic. Impurities concentrate at the grain boundaries during firing. Some impurities, notably sodium and potassium oxides, should be avoided since they result in large grain sizes and a coarse, porous ceramic. Other oxides, such as magnesium oxide and silicon oxide, are essential in promoting a fine grain structure and assisting in the chemical mechanism for adhesion of thin-film metals. Such oxides also concentrate at the grain boundaries and serve as sites for nucleation and growth of the thin metal films.

3.0 ALUMINA SUBSTRATES

Alumina ceramic is almost exclusively used for both thin and thick-film circuits. To a lesser extent, beryllia and aluminum nitride ceramic

substrates are used, but only for high power circuits where the approximately tenfold higher thermal conductivity of these ceramics is required.

The reliability of a hybrid circuit starts with the proper selection of the substrate. Though practically all ceramic substrates (alumina, beryllia) look alike, small differences in their composition and differences in their surface roughness and flatness can determine success or failure of the hybrid circuit.

Alumina is used for both thin and thick-film circuits, but for thin-film circuits there is a significant difference in the quality and grade of alumina that must be used. Because thin films are primarily used for high-density circuits that require precision, closely-spaced conductors, and close-tolerance, high-stability resistors, the purity and surface smoothness of the alumina must be of a high level. Thin-film circuits require substrates with an alumina content greater than 99% by weight and a surface smoothness of 1 to 6 microinches CLA (Center Line Average), whereas substrates for thick-film circuits may be of a lesser grade in both purity (94–96% alumina) and surface smoothness (15–25 microinches CLA). A comparison of the scanning electron micrographs, magnified 3100 times, shows dramatically the differences in surface finish between 99.6% alumina having a CLA of 4 microinches and a 96% alumina having a CLA of 25–35 microinches (Figs. 4 and 5).

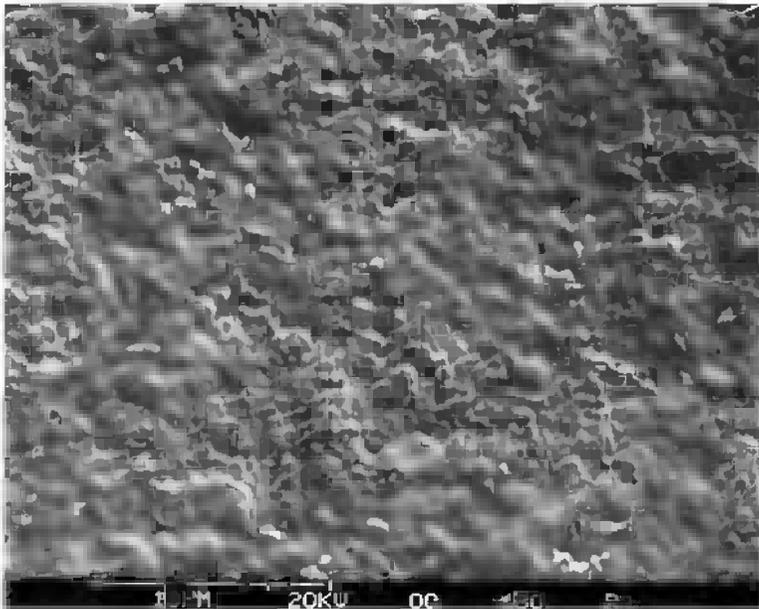


Figure 4. SEM photo of 99.6% alumina used for thin-film circuits.

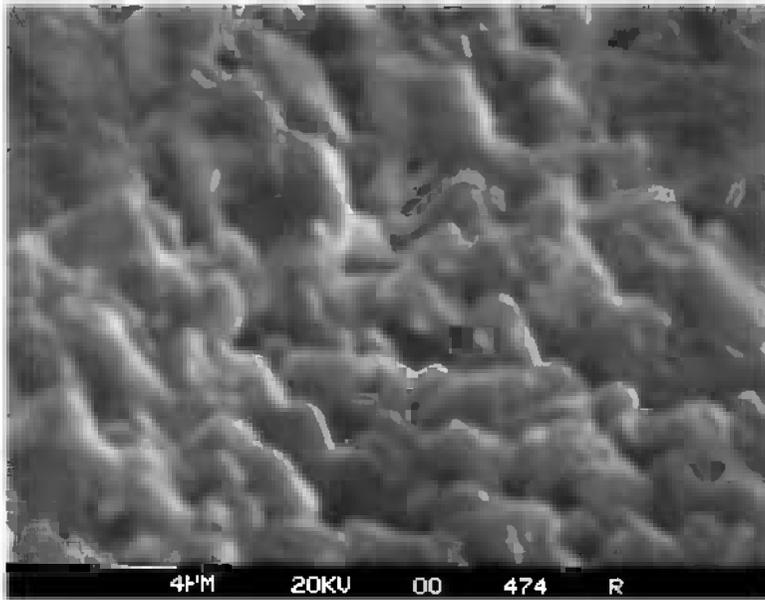


Figure 5. SEM photo of 96% alumina used for thick-film circuits.

3.1 Grades of Alumina

Manufacturers of alumina substrates offer several grades ranging from those having relatively rough surfaces, used for thick-film circuits, to those with extremely smooth, lapped and polished surfaces (1 microinch or less CLA finish), used for the ultimate in high resolution, precision, thin-film circuits. Substrates with intermediate smoothness (4–6 microinch CLA) are available for more typical thin film hybrid applications.

3.2 Alumina Substrates For Thick Films

Normally, thick-film circuits are fabricated on pre-fired substrates made from 96% alumina. The 96% alumina content represents only the nominal percentage; actual contents vary from slightly under 95% to slightly over 97%, depending on the supplier. All paste manufacturers use 96% alumina substrates to evaluate their pastes, unless specifically requested to do otherwise. The 96% alumina is compatible with most thick-film pastes, has high flexural and compressive strength, and has good thermal conductivity,

approximately one-seventh that of aluminum. Where greater thermal conductivity is required, 99.5% beryllia or aluminum nitride should be used.

Substrates are available in either the *as-fired* condition or with ground and polished surfaces. Substrates with ground surfaces may cost five to seven times more than the as-fired types, but for dense, fine-line circuitry, high resistor density, or tight system-packaging considerations, ground and polished substrates may be necessary, at least on the surface side that is to be screen-printed.

The approximate dimensional tolerances for as-fired substrates are given in Table 1. Dimensional tolerances for ground substrates are largely a function of cost. For a premium price, tolerances of ± 0.001 inch or even ± 0.0005 inch are available. Cambers of 0.001 inch per inch or even 0.0005 inches per inch, and nearly any surface finish are also available.

Table 1. Dimensional Tolerances for As-Fired 96% Alumina

Outside dimensions (length or width)	$\pm 1\%$; not less than ± 0.003 in
Thickness	$\pm 10\%$; not less than ± 0.0015 in
Perpendicularity	≤ 0.004 in/in
Edge straightness	≤ 0.003 in/in
Camber	0.003–0.004 in/in 0.002 in/in (special)
Hole centers	$\pm 1\%$
Surface finish	≤ 25 microinches CLA

Substrates are generally between 0.025 inches to 0.060 inches thick. The use of substrates thinner than 0.020 inches is not advisable because of the fragility of handling and processing thin ceramics. A practical guideline for determining the optimum substrate thickness for multilayer thick-film circuits is to allow a minimum of 0.025 thickness for each inch of length or width, whichever is longest. As an example, a 0.025 inch thick substrate should be used when the longest dimension is one inch; a 0.050 thickness should be used when the longest dimension is 2.5 inches. Other characteristics of alumina substrates for thick-film circuits are given in Table 2.

3.3 Alumina Substrates For Thin Films

The properties of 99.6% alumina, for example the Superstrate 996™ series, the highest grade of alumina produced by Materials Research Corp.

(MRC) are given in Table 3. The polished and low-CLA substrates provide the designer of thin film microwave and precision resistor networks with reproducible results even for circuits with lines and spacings of 1 mil or less. Other less costly grades of alumina are suitable for the majority of hybrid applications. Lists of these grades and their properties are given in Tables 4 and 5.

Table 2. Properties of Alumina Substrates for Thick-Film Circuits

Property	Unit	Test method	ADOS-90R	ADS-96R
Alumina content	Weight, %	ASTM D2442	91	96
Color	—	—	Dark Brown	White
Bulk density	g/cm ³	ASTM C373	3.72 min	3.75 min
Hardness—Rockwell	R45N	ASTM E18	78	82
Surface finish— CLA (as fired)	µin	Profilometer; Cutoff: 0.030 in; Stylus diameter: 0.0004 in	≤45	≤35
Grain size (avg)	µm	Intercept method	5–7	5–7
Water absorption	%	ASTM C373	None	None
Flexural strength	kpsi	ASTM F394	53	58
Modulus of elasticity	Mpsi	ASTM C623	45	44
Poisson's ratio	—	ASTM C623	0.24	0.21
Coefficient of linear thermal expansion	25–200°C 25–500°C 25–800°C 25–1000°C	ppm/°C ASTM C372	6.4 7.3 8.0 8.4	6.3 7.1 7.6 8.0
Thermal conductivity	20°C 100°C 400°C	W/m-K Various	13 12 8	26 20 12
Dielectric strength (60 cycle ac avg RMS)	0.025 in thick	V/mil ASTM D116	540	600
Dielectric constant (relative permittivity) @ 25°C	1 kHz 1 MHz	ASTM D150	11.8 10.3	9.5 9.5
Dissipation factor (Loss tangent) @ 25°C	1 KHz 1 MHz	ASTM D150	0.1 0.005	0.0010 0.0004
Loss index (loss factor) @ 25°C	1 KHz 1 MHz	ASTM D150 ASTM D2520	1.2 0.05	0.009 0.004
Volume resistivity	25°C 300°C 500°C 700°C	Ω-cm ASTM D1829	>10 ¹⁴ 4 × 10 ⁸ — 4 × 10 ⁶	>10 ¹⁴ 5.0 × 10 ¹⁰ — 4.0 × 10 ⁷

Source: Courtesy Coors Ceramics

Table 3. Properties of Alumina Substrates for Thin-Film Circuits

Characteristic	Unit	Test method	ADS-995	ADS-996	Superstrate 996™
Alumina content	Weight, %	ASTM D2442	99.5	99.6	99.6
Color	—	—	White	White	White
Nominal density	g/cm ³	ASTM C373	3.88	3.88	3.87
Density Range	g/cm ³	ASTM C373	3.86–3.90	3.86–3.90	3.85–3.89
Hardness—Rockwell	R45N	ASTM E18	87	87	87
Surface Finish (Working Surface— "A" Side)	Microroches, Centerline avg.	Profilometer— 0.030 in cutoff, 0.0004 in dia. stylus	6	3	2
Average grain size	Micrometers	Intercept method	<2.2	<1.2	<1.0
Water absorption	%	ASTM C373	NIL	NIL	NIL
Gas permeability	—	*	NIL	NIL	NIL
Flexural strength	KPSI	ASTM F394	83	86	90
Elastic modulus	MPSI	ASTM C623	54	54	54
Poisson's ratio	—	ASTM C623	0.20	0.20	0.20
Coefficient of linear thermal expansion	ppm/°C	ASTM C372			
25–300°C			7.0	7.0	7.0
25–600°C			7.5	7.5	7.5
25–800°C			8.0	8.0	8.0
25–1000°C			8.3	8.3	8.3
Thermal conductivity	W/m·°K	Various			
20°C			33.5	34.7	35.0
100°C			25.5	26.6	26.9
400°C			—	—	—
Dielectric strength (60 cycles AC avg. RMS)	V/mil	ASTM D149			
0.025 in thick			600	600	600
0.050 in thick			450	450	450
Dielectric constant (relative permittivity)	—	ASTM D150			
1 KHz			9.9 (±1%)	9.9 (±1%)	9.9 (±1%)
1 MHz			9.9 (±1%)	9.9 (±1%)	9.9 (±1%)
Dissipation factor (loss tangent)	—	ASTM D150			
1 KHz			0.0003	0.0003	0.0001
1 MHz			0.0001	0.0001	0.0001
Loss index (loss factor)	—	ASTM D150			
1 kHz			0.003	0.003	0.001
1 MHz			0.001	0.001	0.001
Volume resistivity	Ω-cm	ASTM D257			
25°C			>10 ⁺¹⁴	>10 ⁺¹⁴	>10 ⁺¹⁴
100°C			>10 ⁺¹⁴	>10 ⁺¹⁴	>10 ⁺¹⁴
300°C			>10 ⁺¹²	>10 ⁺¹²	>10 ⁺¹³
500°C			>10 ⁺⁹	>10 ⁺⁹	>10 ⁺¹⁰
700°C			>10 ⁺⁸	>10 ⁺⁸	>10 ⁺⁹

*Helium leak through a plate 25.4 mm diameter by 0.25 mm thick measured at 3×10^{-7} torr vacuum versus approximately 1 atm of helium pressure for 15 s at room temperature.

(Source: Courtesy Coors Ceramic.)

Table 4. Alumina Substrate, Superstrate* Grades

Grade*	Description	Camber (mils/in)		Dielectric Constant at 4 GHz	Specific Gravity (g/cc)	Surface Finish (CLA)	Grain Size (μ)
		0.005" Through 0.027"	0.040" and 0.050"				
Micro-Rel (M)	A very fine grained smooth ($<3 \mu$ in CLA) substrate with tightly controlled dielectric constant used for most demanding microwave integrated circuits (MIC's), resistivity control, and fine-line resolution	2	4	$9.9 \pm 2\%$	3.86– 3.90	$<3 \mu$ in	<1.2
Hi-Rel (A)	100% inspected and selected for superior surface perfection, flatness, and dielectric constant control. Used for high-density MIC's	2	4	$9.9 \pm 2\%$	3.86– 3.90	$<4 \mu$ in	<1.5
Standard (S)	Meets all requirements of the Hi-Rel grade including flatness and dielectric constant. Recommended for standard MIC's	2	4	$9.9 \pm 2\%$	3.86– 3.90	$<4 \mu$ in	<1.5
Resistor (R)	Exceptionally fine grain smooth surface ($<3 \mu$ in CLA). Used for nichrome resistor networks where consistency and tight tolerances are essential. 100% inspected, cost efficient equivalent of standard grade	3	4	9.9**	3.81	$<3 \mu$ in	<1.2
Hybrid (B)	A cost/quality compromise for patterns of 5-mil lines and spaces. 100% inspected for surface	3	4	9.9**	3.83– 3.91	$<5 \mu$ in	<2.0
Circuit (C)	Low-cost substrate with high degree of flatness and good surface smoothness. Not 100% inspected	3	4	9.9**	3.81	$<6 \mu$ in	<2.5

*All grades are trademarks of Materials Research Corporation, Orangeburg, N. Y.

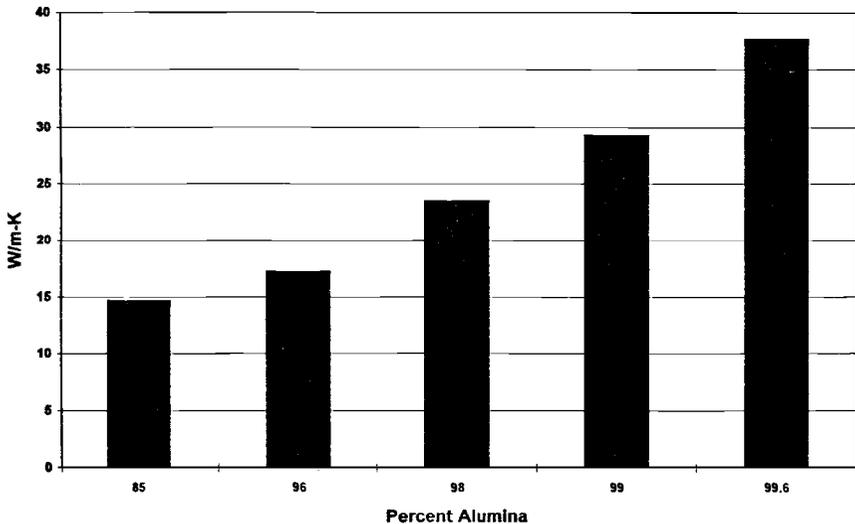
**Typical

Table 5. Substrates for Thin-Film Circuits

Type	Surface Roughness (CLA, Å)	Camber (mils/inch)
Glazed alumina	<250*	2-4
As-fired alumina (99.5%)	1000-1500	2-4
Polished alumina (99.5%)	250	<0.01
Polished sapphire	<250	<0.1
Soda lime glass	<250	2

* <1 microinch

As with many other materials used in electronic applications, the material selected and used is often a compromise. Glass as a substrate is ideal in providing a very smooth surface, but is extremely poor in thermal conductivity. Pure alumina, though good in thermal conductivity, requires glass as a binder and does not provide a highly smooth surface. Increasing the amount of glass binder from an optimum of about 0.5% to 15% results in almost a threefold decrease in thermal conductivity (Fig. 6). An early solution to this problem involved the use of a composite substrate, glazed alumina. A thin glassy layer applied to the surface of the alumina provided a smooth (<1 microinch CLA) surface, yet preserved the high thermal conductivity of the bulk alumina. Over the years, ceramic materials and processes have improved so that today as-fired alumina substrates with CLAs of 2-6 microinches are available and widely used.

**Figure 6.** Thermal conductivity vs. percent alumina.

Off-the-shelf substrate sizes range from 0.25×0.25 inches to 4.25×4.25 inches. Though larger sizes are possible, the substrate size for thin-film circuits is limited by what can be processed in commercial vacuum-deposition and photoresist-processing equipment. Very small circuits are generally not processed individually, but as multiple circuits on larger (about 2×2 inch) substrates which have been prescored on the back side so that they can be broken apart after batch processing. Prescoring involves cutting into the substrate to a depth of approximately one-third of the substrate thickness using either laser or diamond saw cutting. Substrate thicknesses range from 0.005 inches to 0.050 inches, but standard off-the-shelf thicknesses of 0.010 and 0.025 inches are more cost effective and suitable for most thin film hybrid applications. A comparison of the properties of typical alumina substrates used for thin film and thick film is given in Table 6.

Table 6. Comparison of Alumina Ceramics*

	96% Alumina (for thick film)	99.6% Alumina (for thin film)
Grain size (μ)	11	<1.2
Surface smoothness (microinch CLA)	10–25	1–6
Camber (mils/inch)	~4	~2
Flexural strength (psi)	40,000–50,000	70,000–90,000
Specific gravity (g/cm^3)	3.75	3.8
Thermal conductivity ($\text{cal}/\text{cm}\cdot\text{sec}\cdot^\circ\text{C}$)	0.04–0.08	0.09
Thermal Expansion ($\text{ppm}/^\circ\text{C}$, 20–300°C)	6.7–6.8	6.3
Volume resistivity ($\text{ohm}\cdot\text{cm}$, 300°C)	10^{14}	$>10^{14}$
Dielectric constant (at 1 MHz)	9.4	9.8–9.9
Dissipation factor (at 1 MHz)	0.0002	0.0001

*Values represent ranges derived from a composite of values from several manufacturers.

3.4 Co-Fired Ceramic Tape Substrates

Alumina, beryllia, or aluminum nitride, in the "green" tape form (unfired state) may be processed so that the individual layers of a multilayer substrate can be produced separately, then stack-laminated and fired together, a process analogous to fabricating multilayer printed-wiring boards using epoxy laminates. The content of the alumina in the "green" tape is lower (85–90%) and the glass content is higher than that for pre-fired alumina,

resulting in lower thermal conductivity. Co-fired ceramic is extensively used to fabricate high-density, thick film, multilayer substrates and packages. A more detailed treatment of the materials and processes used for the co-fired process is given in Chapter 4.

4.0 BERYLLIA SUBSTRATES

Beryllia (beryllium oxide, BeO) belongs to a unique class of electronic materials that combine high electrical insulation resistance with high thermal conductivity. Only a few other materials combine these two divergent properties. Among these are diamond, aluminum nitride, single crystal boron nitride, and silicon carbide. Generally, good electrical insulators (plastics and ceramics) have very low to moderate thermal conductivities, while electrical conductors (metals) have high thermal conductivity. The thermal conductivity of beryllia approaches that of aluminum metal yet has the electrical insulation resistivity of the best of the plastics. High purity beryllia ceramic has a thermal conductivity approximately 1,200 times greater than that of a typical epoxy plastic, 200 times greater than most glasses, and 6 to 10 times better than alumina ceramic. Figures 7 and 8 compare the thermal conductivities of beryllia with some of the most commonly used electronic materials.^[1]

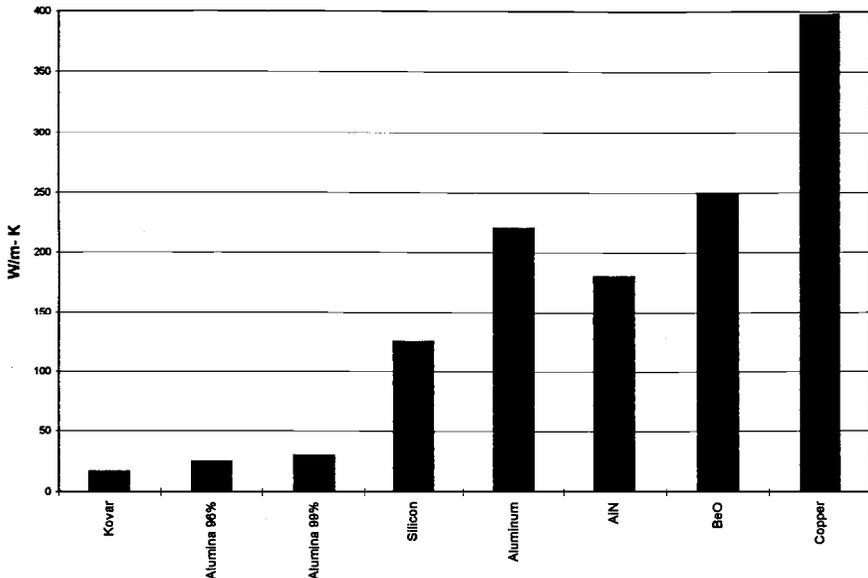


Figure 7. Thermal conductivities of electronic materials.

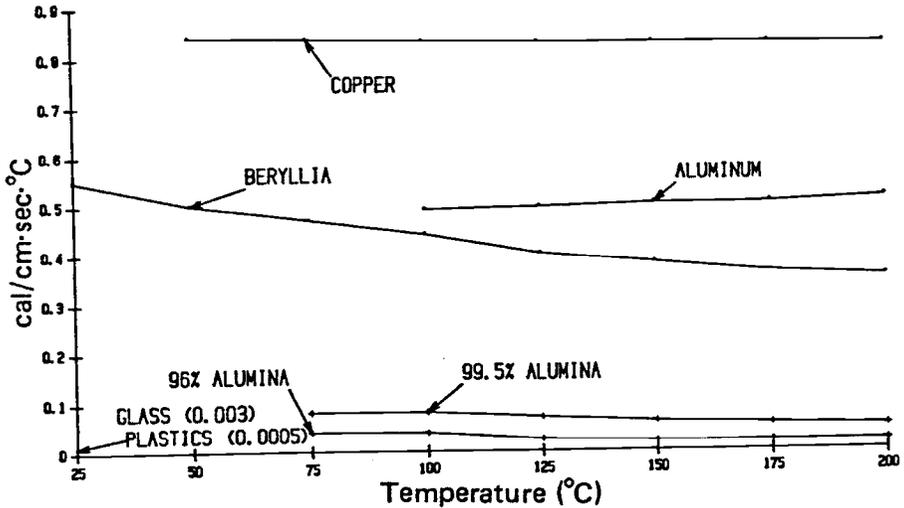


Figure 8. Thermal conductivities of ceramics and metals as a function of temperature.

Beryllia would therefore make an ideal substrate material were it not for its high cost and the precautions needed in processing it because of its toxicity; but even the toxicity is not a major problem for the hybrid manufacturer who purchases already fired and machined substrates. The toxicity hazard is primarily faced by the manufacturer of the beryllia who must take precautions in controlling dust from machining and vapors from high temperature processing.

Besides its use as an interconnect substrate for hybrid and microwave circuits, BeO is used as heat sink spacers (heat spreaders) beneath power devices and as a package-construction material for discrete power transistors, integrated circuits, and multichip hybrids.

The electrical insulation resistance of 99.5% BeO is excellent both at room temperature and at the maximum temperatures that hybrid circuits may encounter, for example, about 230°C during solder reflow assembly operations. Values of 10^{17} to 10^{18} ohm cm are reported. As with many other ceramics and inorganic and plastic materials, beryllia has a negative temperature coefficient of resistivity; that is, as temperature increases, resistivity decreases (Fig. 9).

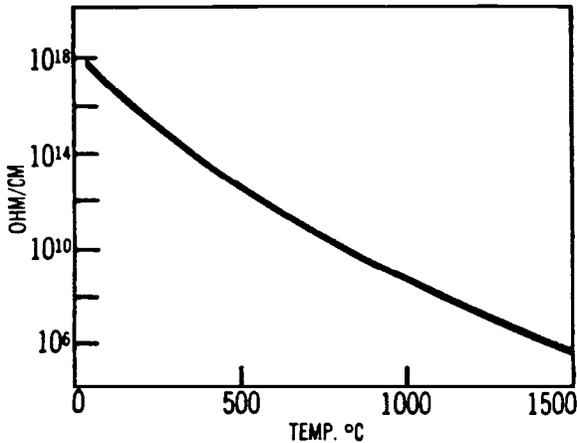


Figure 9. Electrical resistivity vs. temperature for 99.5% beryllia. (Courtesy National Beryllia, Division of General Ceramics.)

The dielectric strength of beryllia ceramic (voltage required for electrical breakdown or puncturing) is more than adequate for hybrid applications; it ranges from 600 volts/mil to 800 volts/mil, depending on thickness (Fig. 10). Again, as with plastics, the dielectric strength decreases with the thickness of the sample being tested. The dielectric constant (k) and dissipation factor for beryllia are better (lower) than for alumina ceramic. The k for BeO is 6.7 compared to 9.9 for alumina ceramic of equivalent purity. Both k and loss tangents are quite stable over a wide frequency range of 1 kHz to 10 GHz (Tables 7 and 8). Other physical and electrical properties of beryllia substrates are given in Tables 9 and 10.

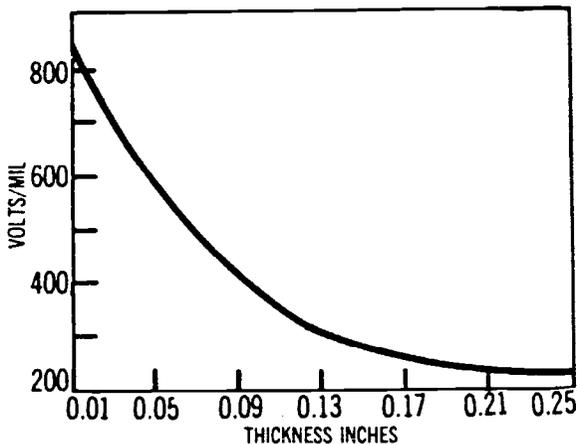


Figure 10. Dielectric strength vs. thickness for 99.5% beryllia. (Courtesy of National Beryllia, Division of General Ceramics.)

Table 7. Loss Tangent vs. Temperature and Frequency for Beryllia (Berlox K-150*). (*Courtesy National Beryllia, Division of General Ceramics.*)

Temperature (°C)	Loss Tangent			
	1 kHz	1 MHz	1 GHz	10 GHz
25	0.0002	0.0002	0.0003	0.0003
300	0.0003	0.0003	0.0005	0.0005
500	0.0005	0.0005	0.0008	0.0008

*Trade name for 99.5% beryllia.

Table 8. Dielectric Constant vs. Temperature and Frequency for Beryllia (Berlox K-150*). (*Courtesy National Beryllia, Division of General Ceramics.*)

Temperature (°C)	Dielectric Constant			
	1 kHz	1 MHz	1 GHz	10 GHz
25	6.7	6.7	6.7	6.6
300	6.8	6.8	6.8	6.7
500	7.0	7.0	6.9	6.9

*Trade name for 99.5% beryllia.

Table 9. Properties of 99.5% Beryllia^[1]

Specific heat (cal/°C-g)	0.25
Dielectric constant (1 GHz)	6.7
Dielectric loss (1 GHz)	0.0003
Compressive strength (psi)	225,000
Flexural strength (psi)	30,000
Tensile strength (psi)	20,000
Young's modulus (psi)	50,000,000
Density (g/cc minimum)	2.85
Impenetrability	Impervious to gases and liquids
Vapor pressure at 1500°C (atm)	10 ⁻¹²
Maximum use temperature	1800°C
Radiation hardness	Excellent

Table 10. Average Physical Property Values as a Function of Purity for Beryllia Ceramics^[1]

	95% BeO	98% BeO	99.5% BeO	99.9% BeO
Flexural strength, psi	20,000	25,000	35,000	36,000
Electric resistivity, ohm-cm	10 ¹⁴	10 ¹⁵	10 ¹⁷	10 ¹⁸
Young's modulus, psi	44 x 10 ⁶	45 x 10 ⁶	50 x 10 ⁶	55 x 10 ⁶
Thermal conductivity, cal/cm-sec-°C	0.35	0.52	0.62	0.66
Dissipation factor at 1 GHz at 25°C	0.0005	0.0005	0.0003	0.0002

5.0 ALUMINUM NITRIDE

Aluminum nitride (AlN) is receiving considerable attention, both as a substrate and package material, particularly for high-power hybrid microcircuits and high-density multichip modules. The key benefit in using aluminum nitride is its high thermal conductivity (170–200 watts/m K) combined with its excellent electrical and mechanical properties. In these attributes AlN is similar to beryllia, but without the toxicity concern. Reported values for the thermal conductivity of AlN vary widely because they are quite sensitive to the amounts of impurities, especially oxygen, that are left in the fired ceramic. Only fractions of a percent of oxygen are reported to result in a considerable reduction in thermal conductivity.^[2] However, in many applications lower grades of AlN provide sufficient thermal conductance at a much lower cost.

A further benefit of using AlN in high-density circuits is its low coefficient of thermal expansion (CTE) (approximately 4.4 ppm/°C) closely matching that of silicon (3 ppm/°C). As silicon integrated circuits become more complex, larger, and contain higher numbers of I/Os (some over 500), CTE compatibility with the substrate on which they are mounted becomes critical in minimizing or avoiding stresses that can fracture the die or its wire bonds. Thus AlN is being investigated intensively for multichip modules and for three-dimensional packaging.^[3] Both *pressureless* and *hot-press* processes are used to sinter the aluminum nitride "green tape". Sintering is

performed at 1800–1900°C in a reducing atmosphere (hydrogen or forming gas) to avoid any oxide formation—a small amount of which significantly degrades the thermal conductivity. The pressureless process is reported to be lower cost, but, as with co-fired alumina tape, x-y shrinkage must be taken into account in order to control the final dimensional tolerances. In the hot-press process, the tape is constrained in the x-y plane during pressurization and sintering, hence virtually no shrinkage occurs in the x-y direction. Hot pressing has successfully been used to produce large, 2 × 4 inch and 4 × 4 inch, AlN co-fired substrates and package bases with as many as 612 I/Os on 25-mil centers.^{[4]–[6]}

Considerable progress has been made in the last five years in producing and processing AlN powder into ceramic that has reproducible thermal, mechanical, and electrical properties. Ceramic manufacturers have developed AlN in both cast tape and pressed form and have improved its surface smoothness to the point that it can be used for thin-film circuits as well as for thick-film. Used as a substrate for thick-film multilayer circuits, AlN requires specially formulated thick-film pastes that have expansion coefficients close to that of the substrate. Several paste manufacturers now provide a complete system of conductor, resistor, and dielectric pastes specifically formulated for use with AlN substrates.^[7] AlN substrates are readily available from many American and foreign suppliers. Among the major suppliers of AlN powder are Dow Chemical Company and Tokuyama Soda. Suppliers of processed AlN ceramic include Carborundum, Coors Ceramics, General Ceramics, Kyocera, NTK, Sherritt, Sumitomo, Tokuyama Soda, and Toshiba.

Plots of thermal conductivity, thermal expansion, and dielectric constant of a pressureless AlN ceramic as a function of temperature are given in Figs. 11 to 13, respectively. Properties of AlN ceramic formed by hot pressing are given in Table 11.^[8]

6.0 METAL MATRIX COMPOSITES

Metal matrix composites are a unique class of man-made materials that integrate metals with ceramics and provide many desirable properties for electronic packaging that each of the constituents alone cannot provide. Among the current composites of interest in electronic packaging are silicon carbide reinforced aluminum (SiC/Al), copper/diamond, nickel-iron/silver, and copper impregnated carbon-carbon. Silicon carbide/aluminum is available

from Lanxide Electronic Components under the trade name of Lanxide™. It is also produced by several other companies, including Alcoa and Ceramics Process Systems. Copper/diamond under the trade name of Dymalloy™ is being promoted by Lawrence Livermore Laboratories and Sun Microsystems^[9] while a nickel-iron alloy composite with silver has been introduced by Texas Instruments under the trade name Silvar™.^{[10][11]} The copper-impregnated carbon-carbon composite is a development of B. F. Goodrich Company.^[12]

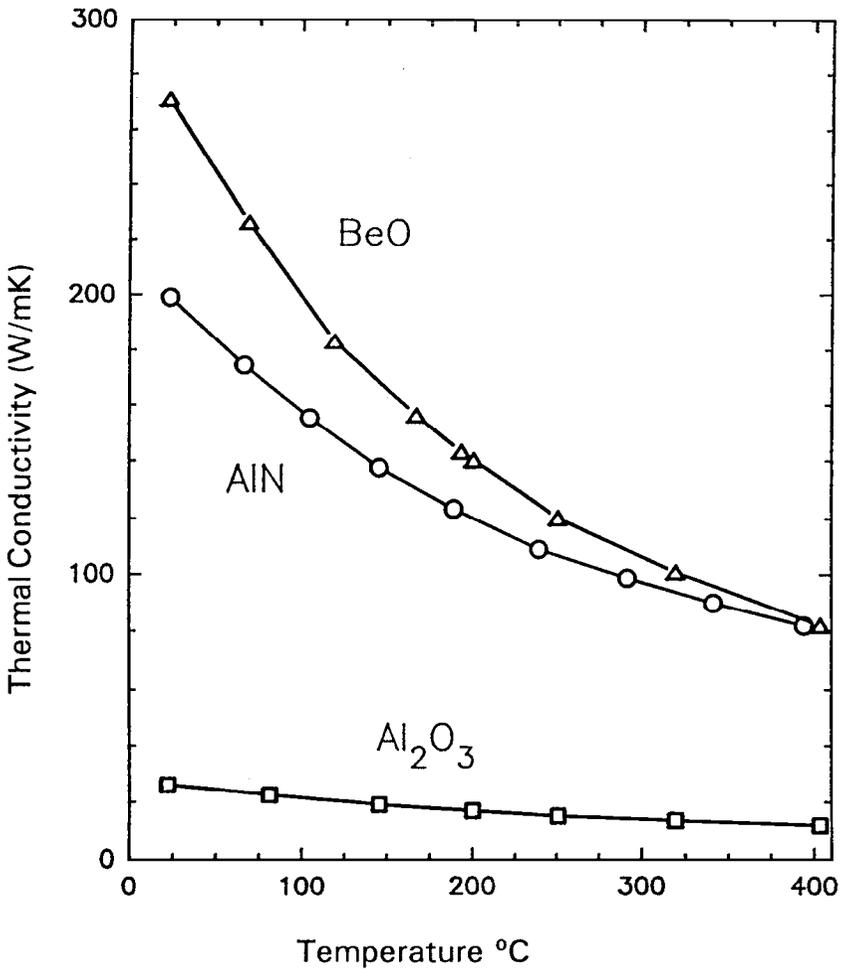


Figure 11. Thermal conductivity of aluminum nitride, beryllia, and alumina as a function of temperature. (Courtesy Carborundum Co.)

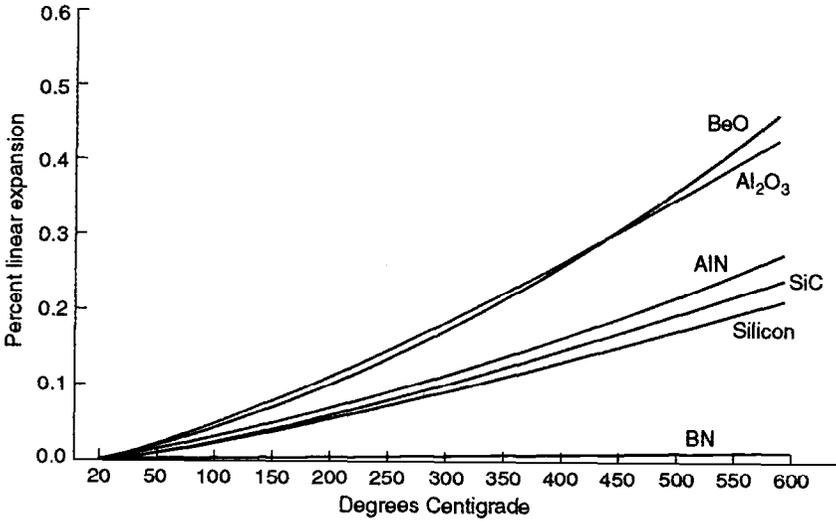


Figure 12. Linear expansion vs. temperature for aluminum nitride compared with other ceramics. (Courtesy Carborundum Co.)

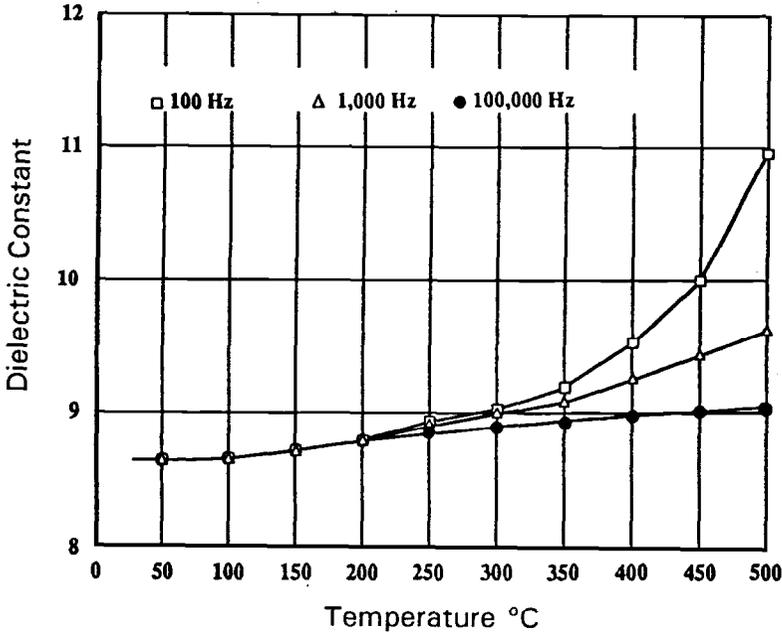


Figure 13. Dielectric constant of aluminum nitride as a function of temperature and frequency. (Courtesy Carborundum Co.)

Table 11. Properties of Hot-Pressed Aluminum Nitride.^[8] (*Aluminum nitride powder from Dow Chemical Company, processed by W. R. Grace.*)

<u>Mechanical Properties (room temperature)</u>	
Young's modulus, GPa (Mpsi)	339 (48.4)
Shear modulus, GPa (Mpsi)	137 (19.6)
Poisson's ratio	0.24
Modulus of rupture, MPa (psi)	280 (40,000)
Vicker's hardness, kg/mm ²	200 (100 g loaded)
<u>Thermal properties</u>	
Thermal expansion, ppm/°C	3.2 (RT to 100°C) 3.7 (RT to 200°C) 4.3 (RT to 400°C) 4.7 (RT to 600°C)
Specific heat, J/g/K	0.74
Thermal conductivity, W/m K	170–190
<u>Physical properties</u>	
Density, g/cm ³	3.25–3.26
Microstructure (grain size), μm	5–10
Surface roughness*, μin	30
Surface flatness*, in/in	<0.001
<u>Electrical properties</u>	
Dielectric constant @ 25°C	8.7 @ 1kHz
	8.5 @ 1MHz
	8.5 @ 10MHz
	8.3 @ 9.3GHz
Dielectric loss @ 25°C	0.0002 @ 1kHz
	0.0001 @ 1MHz
	0.0001 @ 10MHz
	0.0012 @ 9.3GHz
AC Dielectric Breakdown, v/mil	330 (94-mil thick)

*After grinding with resin-bonded diamond wheel.

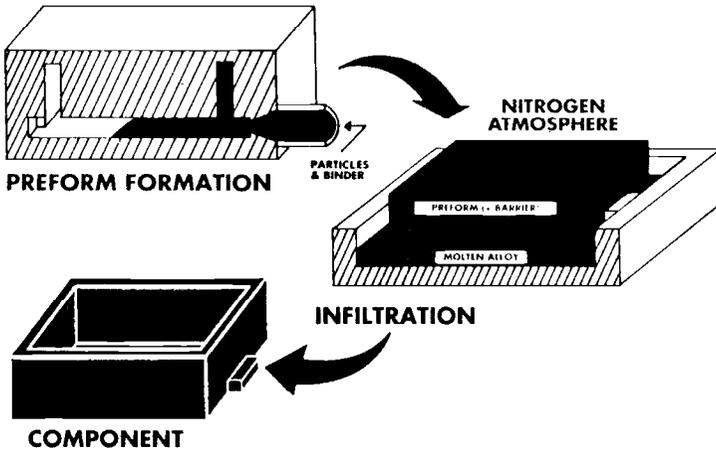
The most advanced and widely studied example of a metal matrix composite is that of silicon carbide reinforced aluminum (SiC/Al). This commercially available material is produced by infiltrating SiC particles or a porous preform of silicon carbide with molten aluminum or molten alloys of aluminum. Preforms may be produced by either tape casting or dry

pressing in various shapes.¹¹³¹ The process may be either pressureless, in which the liquid aluminum is allowed to percolate through the SiC under normal ambient pressure, or it may be pressure assisted. Because of the long time required for the pressureless process, sometimes up to 12 hours to completely penetrate the SiC depending on the thickness of the part, pressure-assisted automated processes have been developed.¹⁴¹¹¹⁵¹ A slurry can also be prepared by dispersing the silicon carbide with the molten Al and then casting it into a mold. In either case, net or near-net shape parts are produced (Fig. 14).

Metal matrix composites are of interest largely because they combine the high thermal conductivity of metals with the low and tailorable coefficient of thermal expansion of ceramics. In addition, they provide greater stiffness than the metal and low weight, depending on the metal used. Metal matrix composites are therefore used mostly in the thermal management of high-power circuits and devices such as gallium arsenide (GaAs) power chips for microwave applications. Heat spreaders, substrates, lids, and entire packages have been produced. The focus has been largely on GaAs microwave devices because of their fragile nature and inherent poor thermal conductivity. The CTE of the composites can be tailored to closely match that of GaAs (6.5 ppm/°C) by varying the volume percentage of SiC; the higher the percentage the lower the CTE. Volume percentages of SiC ranging from 50% to 70% result in CTEs ranging from approximately 8 to 6.2 ppm/°C, respectively. Table 12 gives typical properties of some metal matrix composites compared with other packaging materials. Note how closely SiC/Al compares to Al in density and thermal conductivity yet has a much lower CTE and better strength. A further advantage of metal matrix composites is the ability to fabricate net shape products, obviating the need to perform expensive machining and loss of material.

Among the few limitations of metal matrix composites are their electrical conductivity and relatively high cost. Because of their electrical conductivity substrates may require insulation to prevent shorting of interconnections. Unlike ceramics such as alumina or AlN, metal matrix composites cannot be co-fired to form multilayer circuit substrates. Their high cost has also been a factor limiting their more extensive use, however, new automated, pressure assisted processes have been developed that should bring down cost.¹⁵¹

**Fabrication of MMCs Using the PRIMEX™
Pressureless Metal Infiltration Process**



**Fabrication of MMCs Using the
PRIMEX CAST™ Casting Process**

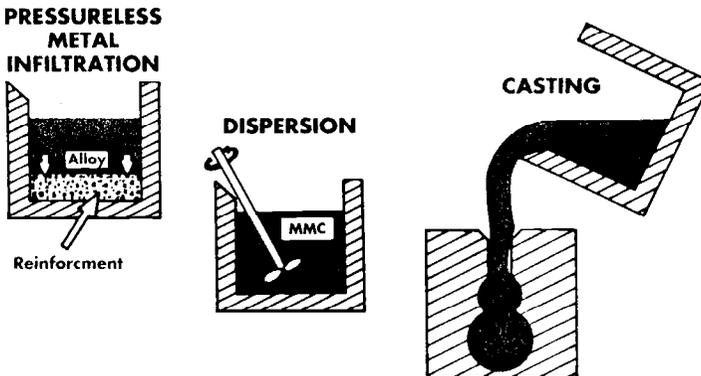


Figure 14. Pressureless infiltration and casting processes for SiC/Al composites. (Courtesy Lanxide Electronic Components—LEC; PRIMEX and PRIMEX CAST are trademarks of LEC).

Table 12. Comparison of Metal Matrix Composites With Other Materials

	SiC/Al (MCX-622*, 70% SiC)	Copper-Diamond (Dymalloy**)	Silver-NiFe (Silver***)	Cu-W (25%Cu)	GaAs	Aluminum
CTE, ppm/°C	6.2	5.5	6.5	8.3	6.4	23.6
Thermal Conductivity, W/m K	170	420	153	230	50	180
Density, g/cm ³	3.0	6.4	8.78	16.37 (15% Cu)	5.3	2.72
Young's modulus, GPa	265	179	110	324 (15% Cu)	59-117	69

*Product and trademark of Lanxide Electronic Components.

**Product and trademark of Sun Microsystems and Lawrence Livermore Laboratory.

***Product and trademark of Texas Instruments.

7.0 CERAMIC SUBSTRATE MANUFACTURE

There are two methods for producing alumina and other ceramic substrates: pressing and tape casting. In either case, fine aluminum oxide powder (in the micron or submicron range) is mixed with binders and other oxides such as magnesium oxide, silicon oxide (glass frit), and calcium oxide. The additive oxides (4-6% in the case of thick film substrates) act as fluxes to reduce the temperature required for sintering and as agents to control grain size. In the final sintered product, these oxides concentrate at the grain boundaries and play a key role in adhesion of both thin films and thick films. The ingredients are first mixed in a ball mill to produce a homogeneous mixture. Chemical purity, particle size, particle size distribution, and thoroughness of mixing must be rigidly controlled to assure the optimum microstructure of the ceramic after sintering. Sintering is effected at about 1700°C and produces a high density polycrystalline structure.

In the press process, the mixed ceramic composition is compacted in metal dies at pressures of 10,000 to 20,000 psi then sintered. All portions of the unfired pressed part must be of uniform density to avoid differences in shrinkage that result in warped parts.

The tape process differs from the press process in that the ceramic composition is cast into sheets, dried to a flexible "green" state, cut to size, and then sintered. In this process solvents and polymeric thixotropic binders are added to the ceramic mix to facilitate the casting. Beryllia substrates are produced in much the same way as alumina. Beryllia is extracted from several naturally occurring minerals, beryl and bertrandite, both consisting of beryllia aluminosilicate ($3\text{BeO} \cdot \text{Al}_2\text{O}_3 \cdot 6\text{SiO}_2$). These ores are chemically processed to remove the bulk of the aluminosilicate leaving behind high purity BeO powder. The powder is next pressed and sintered at high temperatures to yield high density substrates of 99.5% purity. As with many other electronic materials, beryllia ceramics were not fully developed or utilized until after World War II. Though beryllia was known for over one hundred years, it was not until the Manhattan Project that very high purity, high density beryllia substrates were fabricated. It took still another decade before beryllia found applications in electronic circuits and aerospace instruments.

8.0 ENAMELED METAL SUBSTRATES

Enameled, also referred to as porcelainized or glazed-metal, substrates may be used as low-cost substrates for thick-film circuits where weight is not

a significant consideration. Thick-film circuits formed on enameled steel substrates are being used in many commercial/industrial applications, such as automotive and camera electronics. They have found greater interest and applications in Japan than in the U.S. and very limited use in military and space electronics.

Enameled steel has been extensively studied and characterized. RCA conducted detailed studies on methods for depositing the enamel onto metal and on the reliability of the enamels. [8] Electra-Science Laboratories (ESL) has developed thick-film conductor, resistor, and dielectric pastes and evaluated them on enameled steel substrates from three sources. [19] The enamels consist of materials such as quartz, feldspar, or clay reacted with a flux such as borax, soda ash, cryolite or fluorspar, to form a glass. The glass is melted, fritted, and ball-milled in water. Various materials may be added to keep the particles suspended in the aqueous medium, to improve adhesion to steel, and to add color or opacity. The enamel should have a melting temperature high enough to permit firing of thick-film pastes yet low enough to minimize oxidation and warping of the steel. Because the enamel consists of several glasses of relatively low melting temperatures (675-680°C) the commercially available thick-film pastes that are fired at 850- 1000°C cannot be used. Thus, specially formulated conductor, resistor, and dielectric pastes that can be fired at 600-625°C have been introduced. The enamel should also be formulated so that it has a thermal expansion lower than that of the metal in order to keep it in compression and prevent its cracking. The chemical composition of the enamels is critical to the reliability of the final circuit. Early enamel compositions contained large amounts (>5%) of alkali metal ions (sodium, potassium, lithium). These compositions were low in bulk resistance and often displayed a discoloration, associated with screened-on thick-film silver conductors, that was termed "brown plague." Most of these problems were resolved in later formulations by reducing the alkali metal contents. Some typical properties of the fired enamel are given in Table 13.

Enamel, in thicknesses of 5-6 mils, is applied to low carbon steel, <0.003% C, by dipping, spraying, electrophoretic deposition, or electrostatic spraying. In electrophoretic deposition, the colloidal particles of the enamel are negatively charged and attracted to and deposited onto the metal part which has been positively charged. This process is analogous to electroplating. In electrostatic spraying, the enamel is sprayed as a solid powder with high voltage charges of opposing polarity applied to the steel and the powder. [10] Both the electrophoretic and electrostatic deposition processes produce more uniform coatings than spray or dip processes. The coatings are then fired in a conveyor furnace for one to three minutes at peak

temperatures of 800–890°C. The enameled substrates may be purchased from several suppliers. Thick film conductor, resistor, and dielectric pastes, are screen-printed onto the glazed surface and dried and fired to form single or multilayer circuits.

Table 13. Properties of Porcelain Enamel Coatings*

Dielectric constant	8–22
Dissipation factor, %	0.10–0.64
Voltage breakdown, v/mil	55–675
Surface insulation resistance, ohms	1×10^7 – 2×10^{12}
Bulk insulation resistance, ohms	1×10^7 – 7×10^{11}
Thickness, mils	5–6

*Values represent ranges for several enamels.

Enameled-steel substrates offer several advantages over ceramic substrates, among which are:

- Substrates will not break, crack, or chip; they are shock resistant
- Substrates can be die punched or cut into complex shapes and sizes; the metal can be cut to irregular shapes and holes drilled prior to glazing
- The core metal serves as an inherent heat sink
- The metal, especially steel, is less expensive than ceramic
- The metal serves as a built-in ground plane

9.0 QUALITY ASSURANCE AND TEST METHODS

Substrates may be purchased to the manufacturers' or users' specifications. Most users generate their own procurement specifications. The key elements of such a document include: dimensions (length, width, thickness) with tolerances, allowable camber and waviness, composition (for example, alumina or beryllia content), and surface finish. For some applications, tests for microcracks and porosity should be specified. One of

these, the dye-penetrant test, is a low-cost test that can be applied on a one-hundred percent basis. According to this test, the parts are immersed in a water or organic-solvent solution of a colored or ultraviolet-light-visible dye (Zyglo), pressurized, then lightly rinsed with the same solvent. Any residual dye that remains in cracks or fissures will be visible on inspection under normal or black-lamp light.

Many other more expensive and sophisticated tests may be used to qualify ceramic substrates or to assure their quality. Among these are:

Surface characteristics (grain size, inclusions)	Scanning Electron Microscopy (SEM)
Surface Impurities	Electron Microscopy Auger Microscopy
Bulk Qualitative and Quantitative Analysis	Emission Spectroscopy
Electrical Properties	Dielectric Constant ASTM D-150 Dissipation Factor ASTM- D-150 Volume Resistivity ASTM-1829
Mechanical Properties	Flexural Strength ASTM-F-394 Compressive Strength ASTM-C-773 Hardness ASTM-E-18
Thermal Properties	Expansion Coefficient ASTM-C-372 Thermal Conductivity ASTM-C-408

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62 Hybrid Microcircuit Technology Handbook

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3

Thin Film Processes

1.0 DEPOSITION PROCESSES

Having selected the substrate material, the next step in the fabrication of thin film microcircuits is the deposition of metals or metal compounds onto the substrate. These metals ultimately provide the conductor and resistor patterns and functions. Typically, a substrate is coated sequentially with a layer of resistive material, a barrier metal layer, and a top conductor layer. These layers are relatively thin ranging from 200 Å, to 20,000 Å, and are deposited by vacuum evaporation, sputtering, chemical vapor deposition or variations of these processes. The following sections cover deposition processes specifically as they apply to the fabrication of thin-film resistor/conductor networks for hybrid circuits. Comprehensive treatments of thin-film deposition processes may be found in several other books.[1]-[7]

1.1 Vapor Deposition

Vapor deposition consists of heating a material in a relatively high vacuum so that its vapor pressure exceeds that of its environment, allowing it to be vaporized quickly. Substrates to be coated are placed in a vacuum chamber in the vicinity of the source material (Fig. 1). Upon contacting the cooler surfaces of the substrate, the vapor condenses by a mechanism of nucleation and growth of the film emanating from various grain boundary

sites on the substrate. Most metals have very high melting and boiling points (2500–3000°C) at room ambient pressure making them impractical to deposit under these conditions. Furthermore, since the evaporation of most metals in air results in oxidation of the deposited metal, it is desirable to deposit metals in as high a vacuum as possible. Pressures of 10^{-5} to 10^{-6} torr can reduce the vaporization temperatures of most metals to 1,000°C or lower. Comprehensive tables of vapor pressures versus temperature for metals are available in the literature.^[2]

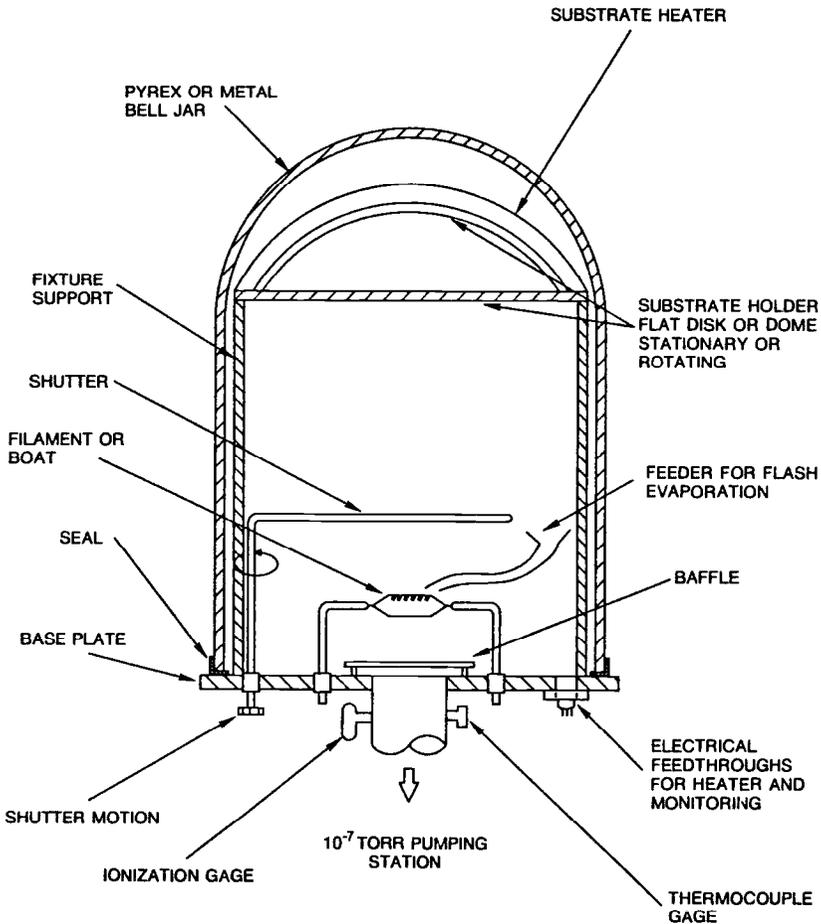


Figure 1. Schematic diagram for vacuum evaporator.

A widely used method for heating the metal is to contain it in a boat wrapped with high resistance wire and to apply a current through the wire. This method, referred to as *resistance heating*, though widely used, suffers from four problems:

1. The molten metal can alloy with the boat material thus contaminating the charge
2. Refractory metals have such high vaporization temperatures even at low pressures that they cannot be deposited by resistance heating
3. Alloys of metals having different vapor pressures will fractionate during deposition giving rise to variations in the composition and properties of the deposited film
4. The rate of evaporation can fluctuate making it difficult to consistently deposit coatings of uniform thickness

These problems can be obviated to a large extent by variations in the vacuum deposition process. For example, to deposit alloys and minimize fractionation, flash evaporation should be used. This process consists in vibrating small portions of the alloy powder down an incline into a boat that is kept at a sufficiently high temperature to vaporize both constituents as soon as they contact the boat (Fig. 2). Nickel-chromium alloy and some cermet resistors may be deposited in this manner.

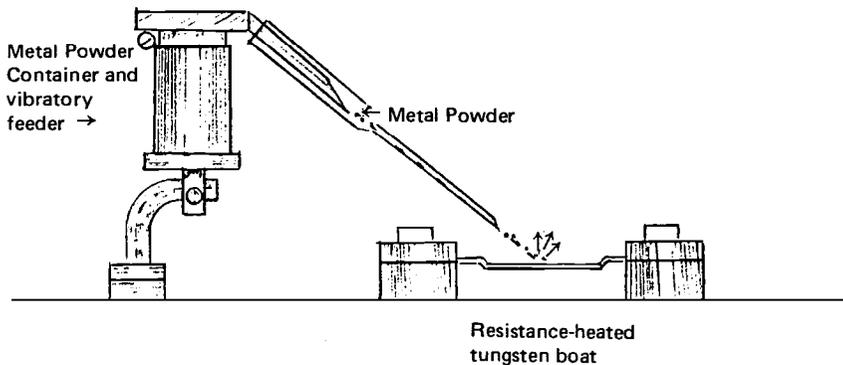


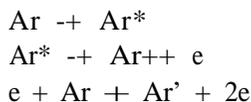
Figure 2. Mechanism for flash evaporation.

Electron beam evaporation is used to deposit refractory metals such as titanium, tungsten, tantalum, and molybdenum. The vacuum chamber is equipped with a mechanism that permits an electron beam to be focused and impinged on the metal causing it to heat and vaporize. By controlling the energy of the electron beam, both the depth and area of the melt can be controlled and localized so that the molten metal does not contact and interact with the boat material.

1.2 Direct Current (dc) Sputtering

Sputtering is an electro-physical process in which a target (rendered cathodic) is bombarded with highly energetic positive ions which, by transferring their energy, cause ejection of particles of the target. The sputtered particles deposit as thin films on substrates that have been placed on an anodic or grounded holder. Early investigators referred to the ejection of particles as “spluttering”, an onomatopoeic word describing the sound of the process. Later, in 1923, the “l” was dropped and the process became known as *sputtering*. Sputtering was largely a laboratory curiosity until 1960. Since then an entire industry has emerged in which there are many suppliers of equipment, targets, and accessories, and many firms who are using the process for the production of electronic circuits and devices.

The simplest variant of sputtering is dc or direct current sputtering. The equipment consists of a diode or parallel plate system (Fig. 3). The material to be sputtered is attached to the cathode plate while the substrates to be coated are placed on the opposite plate which is rendered anodic or grounded. A plasma (glow discharge) is generated between the plates by first evacuating to about 10^{-6} torr to remove all air, moisture, and extraneous gases, then backfilling with argon gas and applying a negative bias of 1,000 to 2,000 volts dc to the cathode. On backfilling, the pressure increases to 10^{-3} to 10^{-1} torr. A plasma discharge is created in which the argon is electronically activated, loses an electron, and becomes an argon cation (positively charged ion). These Ar ions are attracted and accelerated toward the cathode whereupon they bombard the target with sufficient energy, effect a transfer of momentum, and cause particles of the target material to be sloughed off (sputtered). In the course of this process, highly energetic secondary electrons are emitted. These interact with neutral Ar atoms and create more positively charged Ar ions with emission of more electrons. Thus a self sustaining plasma is formed.



A simple sputtering apparatus consists of a vacuum chamber in which are assembled two parallel electrodes—a cathode target and an anode plate or holder on which the substrates to be coated are placed. The cathode has provision for cooling to remove the heat generated during sputtering. The anode has provision for both heating and cooling since temperature control is important in obtaining adequate adhesion. The cathode is typically 5 to 30 cm in diameter and spaced 1–12 cm from the anode.

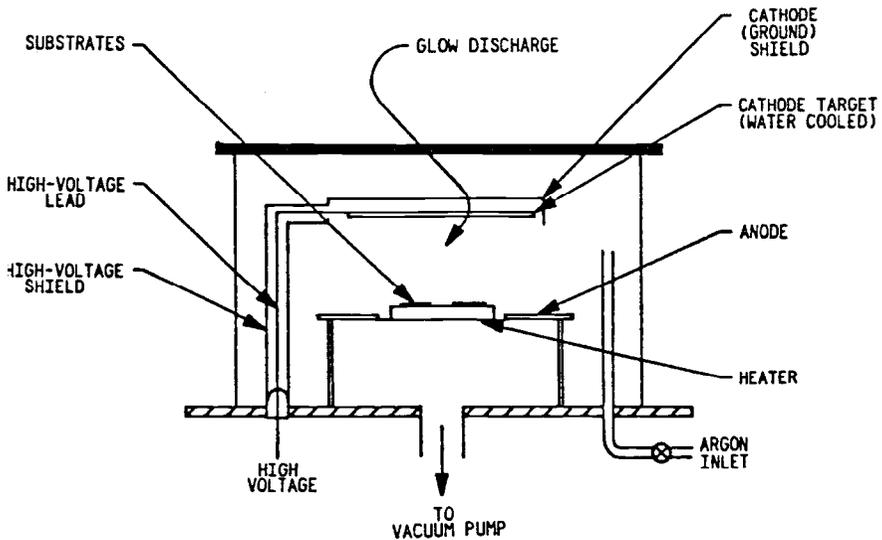


Figure 3. Schematic of a dc sputtering apparatus.

Deposition rates range from less than 50 Å/min to several thousand Å/min and depend on many variables, including the nature of the target material (binding forces of the atoms), the atomic mass of the bombarding gas ions, the ion current, pressure of the gas, and the interelectrode distance. The deposition rate (G) is proportional to the ion current (I) and the sputtering yield (S) and is given by the equation

$$G = CIS$$

where C is a proportionality constant based on the characteristics of the particular sputtering chamber used. The ion current may be increased by

increasing the power or the pressure of the gas, however, there is a compromise between pressure and power—pressure which is too high will result in diffusion of sputtered atoms back to the cathode while the maximum power that can be used is limited. The *sputtering yield* (S) is a function of the target material and the gas used. Argon, the bombarding gas most commonly used, is actually a compromise between cost and efficiency. The heavier inert gases, xenon and krypton, would provide higher sputtering yields than argon, but would also be too costly to use in commercial applications. Helium, the least costly gas, would result in a very low sputtering yield (Fig. 4). A detailed discussion of these factors has been given by Vossen and Kern.^[3]

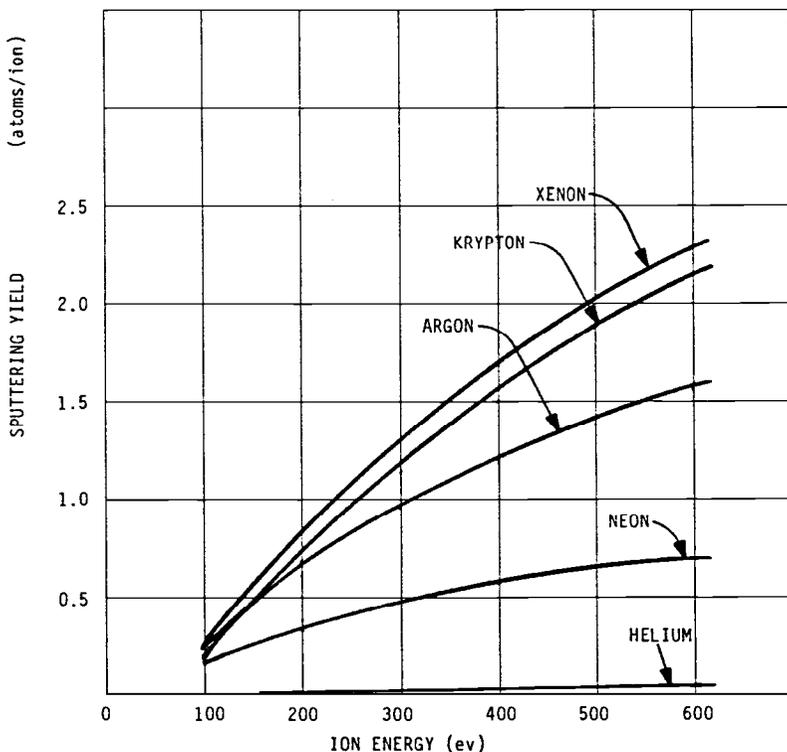


Figure 4. Sputtering yield for platinum as a function of noble gas and its ion energy.

1.3 Radio-Frequency (RF) Sputtering

The dc sputtering process just described is limited to electrically conductive targets (metals and metal alloys); it cannot be used to deposit inorganic materials such as oxides or dielectric insulators. When an insulator is used as the cathodic target in dc sputtering, positive charges quickly accumulate on its surface during ion bombardment. These charges impede the initiation and maintenance of the glow discharge. To overcome this situation, radio frequency or RF sputtering is used where the target is subjected alternately to positive ion and electron bombardment. Thus the accumulation of positive charges during the negative portion of the cycle is neutralized by the electrons formed during the positive cycle. During the first half (negative cycle), sputtering of the target material occurs; while during the second half, electrons neutralize the positive charges, effectively rendering the target ready for sputtering again.

RF sputtering is much more versatile than dc sputtering. In addition to metals and alloys, it can be used to deposit almost any dielectric material at relatively low temperature and pressure. Examples include silicon oxides, silicon nitride, glasses, alumina, refractory oxides, and some plastics such as Teflon, polyethylene and polypropylene. Among the plastics, the thermoplastic polymers are better suited to sputtering than the thermosetting types. Although some polymer chain scission occurs with thermoplastics because of the high kinetic energy imparted to the target, the molecular structure of the deposited film is essentially the same as the starting material. Thermosetting highly cross-linked polymers, however, decompose and recombine in somewhat different molecular structures and display different electrical and physical properties than the starting material.

The equipment used for RF sputtering is essentially the same as for dc sputtering except that an RF generator of 13.56 MHz and 1-2 kW power has been added and provision has been made for cooling the target (Figs. 5 and 6). The dielectric material to be sputtered is attached to a metal backing plate with conductive adhesive.

1.4 Reactive Sputtering

Reactive sputtering is still another practical variation of sputtering. Here a reactive gas is introduced along with the inert argon to form the plasma. The reactive gas becomes activated and chemically combines with the atoms that are sputtered from the target to form a new compound. Reactive sputtering is therefore a combined physical, electrical, and chemical

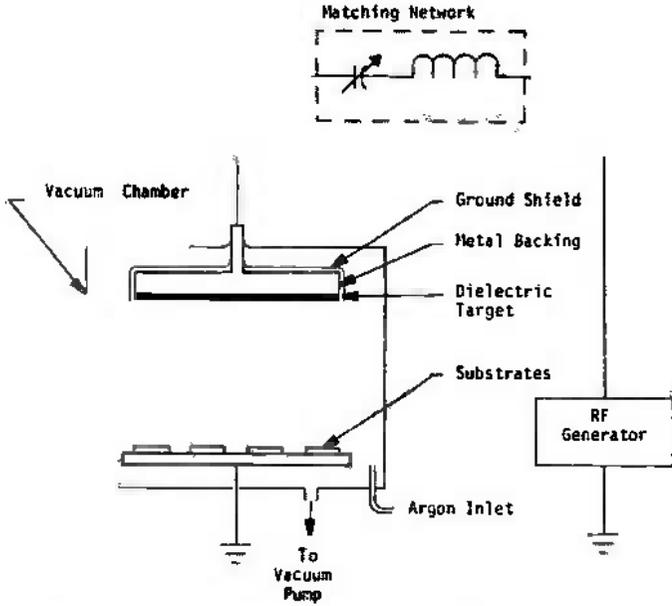


Figure 5. Schematic of an RF sputtering apparatus.



Figure 6. Commercial RF sputter equipment. (*Sputter-Sphere, Courtesy of Materials Research Corp.*)

process. Generally, the amount of reactive gas used is small compared to that of the inert gas, but by varying the ratio, films ranging in properties from almost a metal to a semiconductor, insulator, or resistor can be produced. Two widely used reactive gases are oxygen (producing oxides of metals) and nitrogen (producing nitrides of various elements). Reactive sputtering has become a valuable commercial process for depositing dielectrics, resistors, and semiconductors. For example, the reactive sputtering of tantalum nitride is one of the two most widely used processes for depositing thin-film resistors. A list of some films that may be deposited by reactive sputtering and their applications is given in Table 1.

Table 1. Reactive Sputtering Examples. (Sputtering process in which a reactive gas is introduced alone or along with the Ar such that the sputtered particles react to form a new compound.)

Target	Reactive Gas	Film
Ta	N ₂	Ta ₃ N ₂
Ta	O ₂	TaO
Al	N ₂	AlN
Al	O ₂	Al ₂ O ₃
Si	N ₂	Si ₃ N ₄
Si	O ₂	SiO ₂
Ti	O ₂	TiO ₂

Although the exact mechanism for the formation of the reactively sputtered films is not known, it is believed that the films are formed on the substrate surface where the energy of formation can be easily dissipated without decomposing the newly formed compound. When the reactive gas is used in high concentration, the reaction is believed to occur at the cathode and the resultant compound is then carried to the grounded substrate. Reaction in the gaseous phase is deemed unlikely because the energy of formation and kinetic energy of the atoms cannot be dissipated, resulting in spontaneous decomposition of any compound as it is formed.

1.5 Comparison of Evaporation and Sputtering Processes

The sputtering of thin films has significant advantages over vapor deposition and is rapidly supplanting vapor deposition as a commercial production process. Among these advantages are:

1. Sputtered films adhere more strongly to substrates than vapor deposited films. This is attributed to the high kinetic energies with which the sputtered atoms impinge upon the substrate.
2. Sputtered films are denser and more uniform.
3. *The* sputtering process is more versatile. The target may be composed of alloys or composite materials in addition to pure metals. For example, nickel-chromium targets of various ratios may be used to deposit resistor films of various sheet resistivities. Unlike vapor deposition, little or no fractionation occurs.
4. Both conductive (metals, alloys) and nonconductive (dielectrics, insulators) films may be deposited.
5. The process may be used in a reverse mode just prior to sputtering to clean the surface of the substrates or to etch fine lines. In these cases the substrates are rendered cathodic for a brief period.
6. The rate of deposition, film thickness, and uniformity of films are better controlled.

A more detailed comparison of the two processes is given in Table 2.

2.0 THIN FILM RESISTOR PROCESSES

2.1 Thin Film Resistors

Important considerations in selecting thin film resistors are:

- Controlled and reproducible sheet resistance (ohms/sq) for a practical thickness range
- Low TCR
- Close resistor tracking
- Long term stability (low resistance drift on powering or on thermal aging)

Table 2. Comparison of Evaporation and Sputtering Processes

	Vacuum Evaporation	Sputtering
Mechanism	Thermal energy Deposition rate can be high (to 750,000 Å/min)	Momentum transfer Low (20–100 Å/min) except for some metals (Cu = 10,000 Å/min)
Control of deposition	Sometimes difficult	Reproducible and easy to control
Coverage for complex shapes	Poor, line of sight	Good, but nonuniform thickness
Coverage into small blind holes	Poor, line of sight	Poor
Metal deposition	Yes	Yes
Alloy deposition	Yes (flash evaporation)	Yes
Refractory metal deposition	Yes (by e-beam)	Yes
Plastics	No	Some
Inorganic compounds (oxides, nitrides)	Generally no	Yes
Energy of deposited species	Low (0.1–0.5 eV)	High (1 to >100 eV)
Adhesion to substrate	Good	Excellent

Thin film resistors may be classified as pure metals, metal alloys, metal compounds, or cermets (combinations of ceramics and metals). Examples are given in Table 3

Though there are many resistor materials available, only three are in wide use today for thin-film hybrid circuits: nichrome, tantalum nitride, and chromium-silicon oxide cermets. All employ gold terminations with a barrier metallization. Barrier metals are often required to separate the resistors from the gold conductors and prevent interdiffusion. In the case of the nichrome/nickel/gold system, the nickel, deposited in thicknesses of 400–1,500 Å, prevents diffusion of chromium (from the nichrome) into the gold. This diffusion causes two potential reliability problems—unstable resistors because of the change in the nickel to chromium ratio of the nichrome and wire bond degradation due to contamination of the gold bonding pads with chromium. Besides functioning as the resistor element, nichrome functions

as an adhesion layer, a so-called “tie layer,” to improve the adhesion of the gold to the ceramic substrate. In the tantalum nitride process, titanium is the tie layer and palladium serves as a diffusion barrier. Gold is seldom deposited directly onto ceramic because of its poor adhesion.

Table 3. Thin-Film Resistor Types

Type	Examples
Metal	Tantalum Chromium Nickel
Alloy	Nickel-Chromium (nichrome) Cobalt-chromium Tantalum-tungsten
Cermet	Silicon monoxide-chromium
Metal compounds	Tantalum nitride

Both nichrome and tantalum nitride offer similar resistor capabilities—sheet resistances of 25–300 ohms/square and low TCRs (0 ± 50 ppm/°C). Cermet resistors, however, provide an extended capability for sheet resistances from 1,000 to several thousand ohms/square, thus offering the design engineer the freedom to lay-out very high valued resistors (over 100K ohms to megohms) without taking up too much surface area.

2.2 The Nichrome Process

Regardless whether nichrome, tantalum nitride, or cermet resistors are used, the process steps are quite similar. First, a resistor/conductor “sandwich” structure is formed on the ceramic substrate by sequentially depositing thin layers of the resistor material, the barrier metal, and the top conductor metal. Then, through precision photolithography involving a series of photo exposures of a photoresist coating and selective etching steps, an intricate pattern of conductor lines and spacings (from 1 to 10 mils) and thin-film resistors (from 5 to 20 mils wide) is formed. The sequential process steps are shown in Fig. 7. The nichrome/nickel/gold sandwich structure may be deposited either by vacuum evaporation or by sputtering (discussed above). It is desirable to deposit the metals sequentially in the same vacuum pump-down. If the vacuum is interrupted or if the parts are exposed to air between

depositions, oxidation or contamination of the films can occur, degrading the adhesion of subsequent layers and the stability of the resistors. It should be noted that the two key processes for depositing thin-film gold, vacuum evaporation and sputtering, result in very thin layers 3,000 Å to 10,000 Å, insufficient to provide enough electrical conductivity or enough metal thickness for subsequent wire bonding. To increase the gold thickness, the thin films are electroplated with an additional 50–100 micrometers of gold.

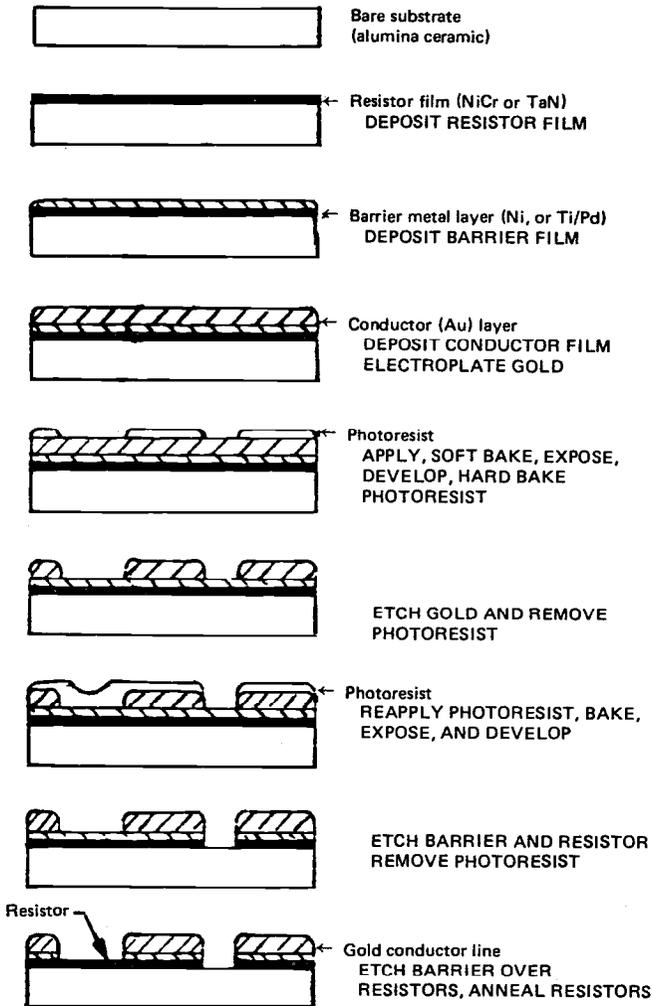


Figure 7. Process sequence for deposition and photodelineation of thin-film conductor/resistor network (not to scale).

An alternative nichrome process used by some manufacturers involves depositing the nichrome layer and photo etching it to form the resistors prior to depositing the gold layer. After the resistors are formed, titanium, palladium, and gold layers are sequentially sputtered over the entire surface, the gold is electroplated to increase its thickness and conductivity, then photo etched to form the termination pads and circuit lines. The resistors are then annealed and laser trimmed, if necessary. This process is reported to offer very close-tolerance, precision resistors necessary for high frequency circuits. Although nichrome resistors are more susceptible to electrolytic and chemical corrosion and oxidation than tantalum nitride resistors, they are generally not passivated if the circuit is hermetically sealed in nitrogen. For commercial non-hermetic applications, passivation has been accomplished by selectively coating the nichrome resistors with organic coatings or with inorganic sputtered coatings such as silicon monoxide-dioxide. The organic coatings must be of high purity, thermally and chemically stable, and possess stable electrical insulating properties. Examples include the polyimides, silicones, and polyxylylenes (Parylenes).

Nichromes used for thin film resistors are alloys of nickel and chromium. Various ratios of these metals may be used to achieve different sheet resistance values. Two commonly used compositions are 50% nickel, 50% chromium with 1-5% silicon and 80% nickel, 20% chromium. Nichrome resistors are difficult to deposit consistently in the same thickness and sheet resistance when resistance heating is used. The composition of the deposited film differs from that of the starting material because of differences in the vaporization temperatures and vapor pressures of the nickel and chromium (Fig. 8). Further, the composition of the starting material in the crucible or boat changes continuously during evaporation, becoming richer in nickel because of its lower vapor pressure. Studies performed by Alderson and Ashworth¹¹ showed that the composition of the deposited nichrome film approximated that of the source material (80% Ni, 20% Cr) only at temperatures above 1600°C (Fig. 9) but deposition at these high temperatures resulted in such a rapid evaporation rate that the quality of the film was degraded. Now, films that approximate the composition of the starting material can be deposited at lower temperatures either by flash evaporation or sputtering. These processes result in little fractionation of the two metals, and reproducible, stable resistors are produced. In flash evaporation, nickel-chromium powder is slowly dropped into a preheated boat constructed of tungsten or other refractory metal. The powder is vibrated down an incline into the boat. The nichrome vaporizes immediately on contact with the boat. The vacuum in the chamber is maintained at 10^6 to 10^7 torr and the

temperature of the boat is kept between 1000°C and 1100°C. For best adhesion of the film to the substrates, the latter are preheated at 150–300°C.

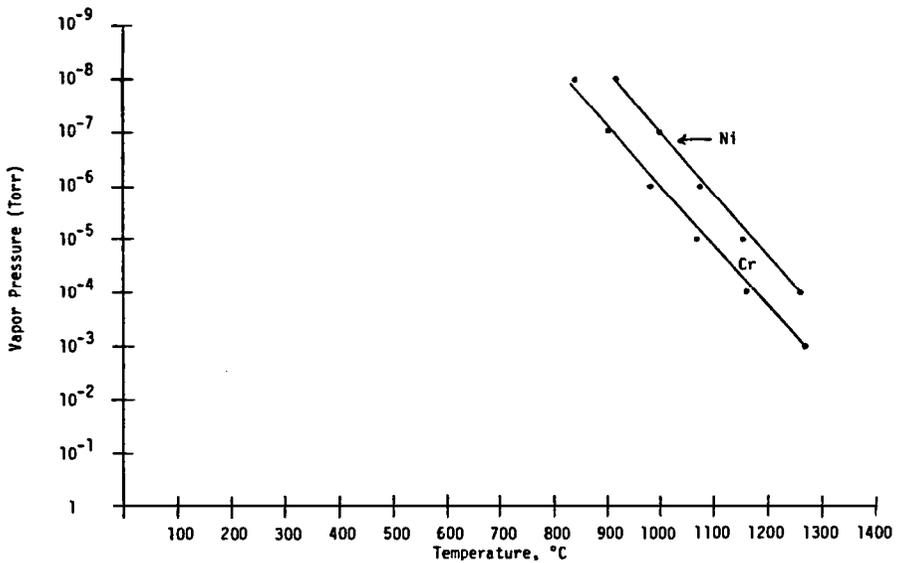


Figure 8. Vapor pressure–temperature curves for nickel and chromium.^[8]

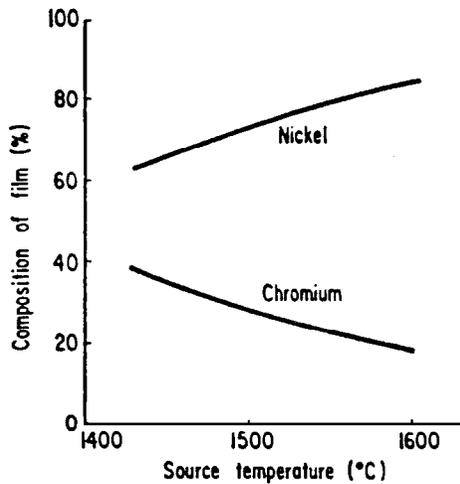


Figure 9. Composition of vacuum deposited nichrome film vs. source temperature starting with 80% Ni, 20% Cr mixture.^[9]

2.3 Characteristics of Nichrome Resistors

Typical properties of nichrome resistors are given in Table 4. As discussed in the chapter on Substrates, thin film resistor characteristics are highly dependent on the surface characteristics of the substrate onto which they are deposited, the smoother the surface finish the more stable the resistor values. However, many other factors contribute to stable resistors, chief among which are the annealing, stabilization bake, and trimming conditions.

Table 4. Characteristics of Nichrome Resistors

Sheet resistance	25–300 ohms/sq, 100–200 ohms/sq (typical)
Sheet resistance tolerance	±10% of nominal value
TCR	0 ±50 ppm/°C, 0 ±25 ppm/°C (with special anneal)
TCR tracking*	2 ppm
Resistance Drift	<2,000 ppm after 1,000 hr @ 150°C <1,000 ppm with special anneal <200 ppm, sputtered films with 350°C anneal
Ratio tracking	5 ppm
Resistor tolerance after anneal and laser trim	±0.1%
Noise (100 Hz to 1 MHz)	-35 db (max)

*-55°C to 125°C.

Nichrome resistors are annealed in air at temperatures from 225°C to 350°C for several hours. Annealing in air involves a chemical reaction in which the more reactive chromium is oxidized forming a passivating layer that slows further oxidation and stabilizes the resistor values. Generally, during annealing, sheet resistances change (increase) about 10–20%. The long term stability, resistance drift after aging 1,000 hrs at 125–150°C, depends largely on the annealing schedule used; the higher temperature, longer anneal schedules provide more stable resistors, lower TCRs, and closer resistance tracking (Table 5). Some sputtered nichrome resistors annealed at 350°C for 4–5 hrs have drifted less than 100 ppm after 1,000 hrs aging at 150°C and exhibited TCRs of 0 ± 3 ppm/°C.

Though nichrome resistors are among the most precise, thermally stable resistors, they are also among the most susceptible to chemical and electrolytic corrosion. Chloride ions transferred from a fingerprint, combined with moisture, will corrode and etch the nichrome film. Nichrome resistors

as part of integrated circuits that have been overcoated with silicon dioxide have been reported to disappear. This has been attributed to acid etching in which phosphorus contained in the silicon dioxide passivation layer reacts with moisture to form phosphorous acid. Some organic coatings will also interact with nichrome resulting in resistance changes. Any coating used, organic or inorganic, must therefore be evaluated on nichrome and resistance values measured as a function of time and temperature. Generally, for military and space applications, nichrome resistors are left uncoated because the hybrid circuits containing them are hermetically sealed with nitrogen in metal or ceramic packages.

Table 5. Nickel-Chromium Resistor Stability and TCR Data. (Courtesy Rockwell Intl.)

Deposition Method	Sheet Resistance of NiCr (ohms/sq) as Deposited	Annealing Conditions		Resistance Change (ppm) After Aging			Final TCR
		(Air)	(min)	50 hr, N ₂ 150°C	168 hr, N ₂ 125°C	500 hr, N ₂ 125°C	
Flash evaporated without Ni barrier	165	225	120	2,000	500	700	-20
Flash evaporated with 400 Å Ni barrier	165	225	120	1,700	350	630	-16
Flash evaporated with 800 Å Ni barrier	165	225	120	1,400	280	400	-12
Flash evaporated with 800 Å Ni barrier	165	300	120	1,310	260	300	-10
Flash evaporated with 800 Å Ni barrier	165	350	120	460	70	110	+34
Sputtered with 800 Å Ni barrier	125	350	235*	71	41	—	+3
Sputtered with 800 Å Ni barrier	105	350	315**	96	-96	+80	-3

*In 4 steps.

**in 6 steps.

A further limitation of nichrome resistors is that the practical upper limit for sheet resistance is low, about 200–300 ohms per square. Higher sheet resistances are possible by depositing ultrathin layers (much less than 100 Å) since sheet resistance is inversely proportional to thickness. However, it is not practical to deposit such extremely thin layers because discontinuous, imperfect films are formed, giving rise to opens and unstable resistors. Figure 10 shows the variation of sheet resistance with thickness for two sputtered

nichrome compositions. These plots show that a sheet resistance of 100 ohms/sq is a good compromise. Although using high sheet resistances would reduce the size of most circuits, the slope of the curve is so steep in this range that reproducibility and resistor stability would be jeopardized.^[10] High-value resistors using low sheet resistance materials such as nichrome or tantalum nitride can only be designed by using a large number of squares having small widths and serpentering the lines to conserve on space. Even so, the design of a 100 k ohm resistor with a 200 ohm/sq nichrome takes up considerable space (Fig. 11). Fortunately, for many thin-film circuits, the number of high valued resistors is small compared to the low and intermediate values and these can be accommodated by using chip resistors.

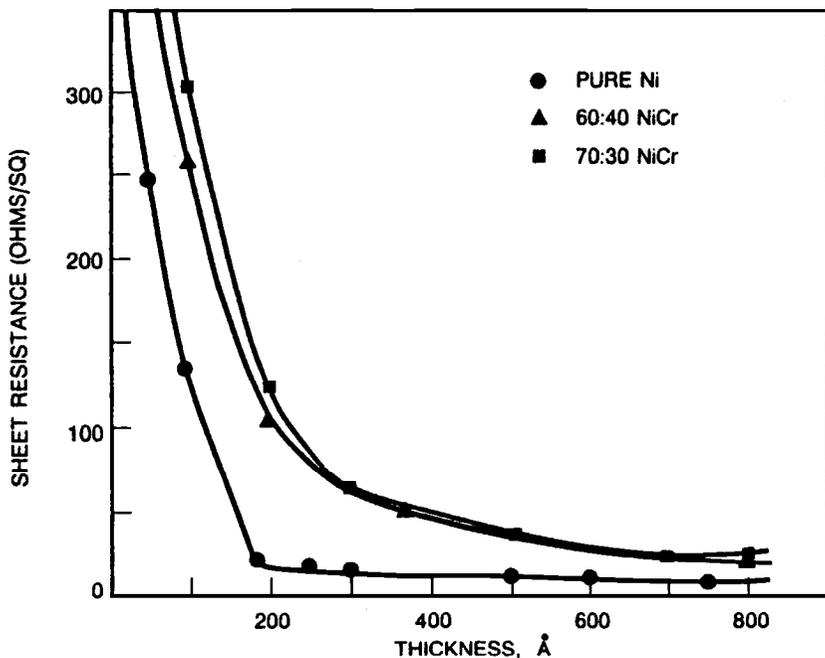


Figure 10. Nichrome sheet resistance as a function of film thickness.^[10]

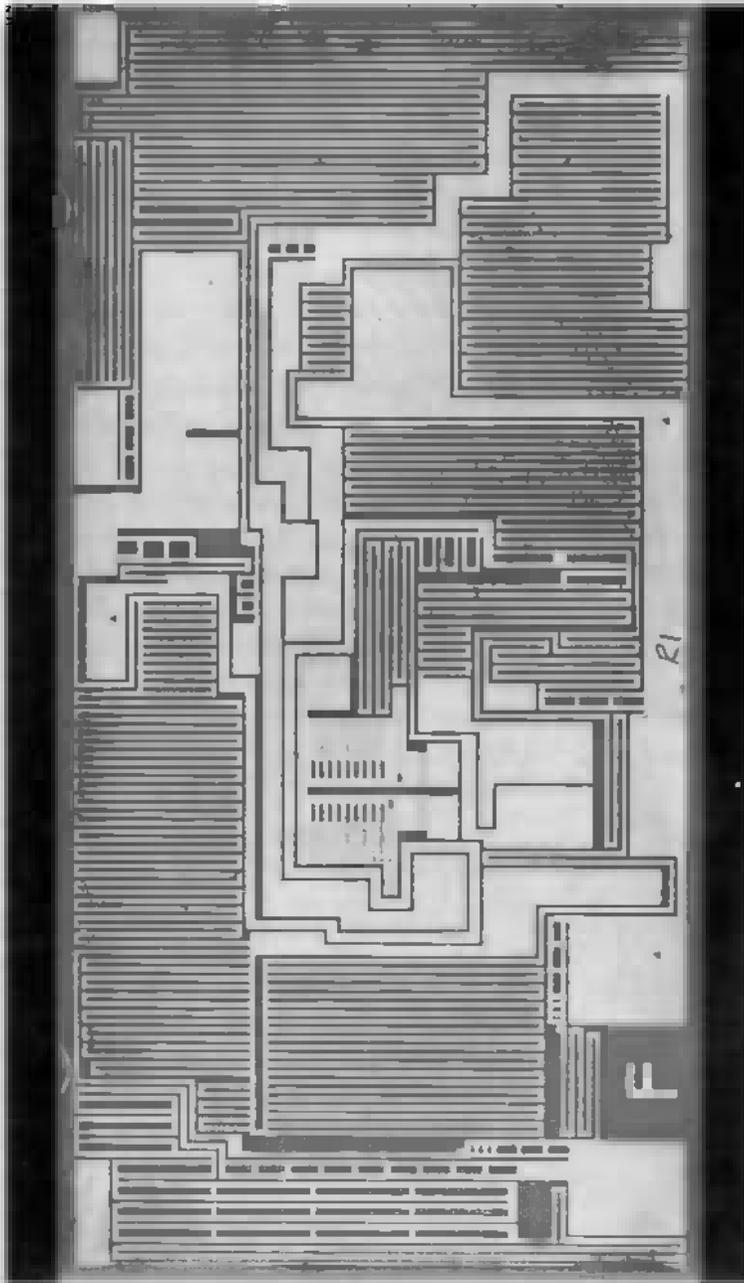


Figure 11. Large value nichrome resistors (about 100 k ohms). (Note large surface areas required).

2.4 The Tantalum Nitride Process

The processes for fabricating tantalum nitride resistor/gold conductor circuit patterns on ceramic are generally similar to those for fabricating nichrome resistors. In both cases photolithography involving selective etching of a multilayered metal structure is used. Process differences include:

1. Tantalum nitride (TaN) is deposited by reactive sputtering of tantalum in a partial nitrogen atmosphere instead of by direct sputtering or flash evaporation
2. Titanium and palladium are used as a tie layer and barrier layer, respectively, between the TaN and the gold; nickel is used as the barrier layer with nichrome resistors
3. The titanium and TaN are etched with hydrofluoric acid-nitric acid solution or may be sputter-etched for very fine line definition

The partial pressure of nitrogen gas introduced during reactive sputtering affects both the sheet resistivity and the TCR of the deposited resistors (Fig. 12) since tantalum nitride goes through several crystallographic forms as the concentration of nitrogen is increased. Besides this batch process for depositing TaN on alumina substrates, TaN resistors may be obtained in chip form. Films are sputtered onto highly polished silicon, quartz, or sapphire wafers then batch processed with aluminum/nickel barrier terminations and sputter-etched to yield fine line resistors of high resistor values (to 12 Mohms).^[11] Cross-sections of tantalum nitride chip resistors showing two constructions are given in Fig. 13.

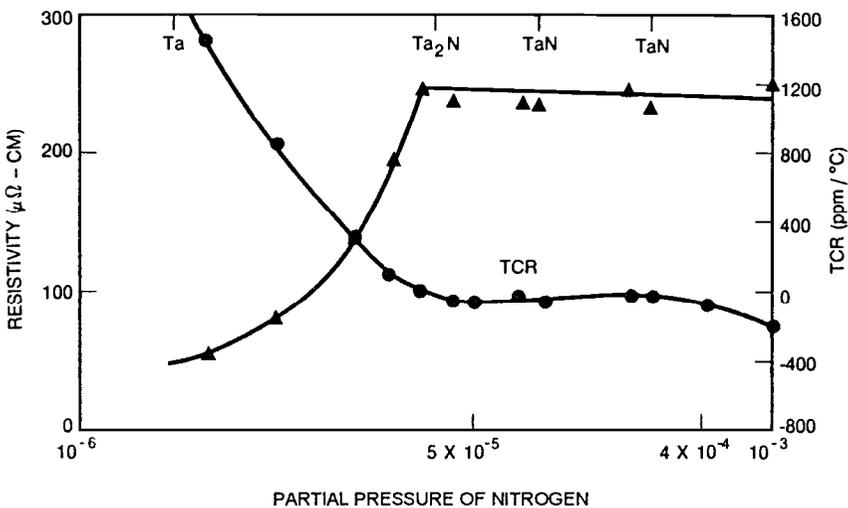


Figure 12. Effect of N₂ partial pressure in the sputtering chamber on the resistivity and TCR of Ta films.^[2]

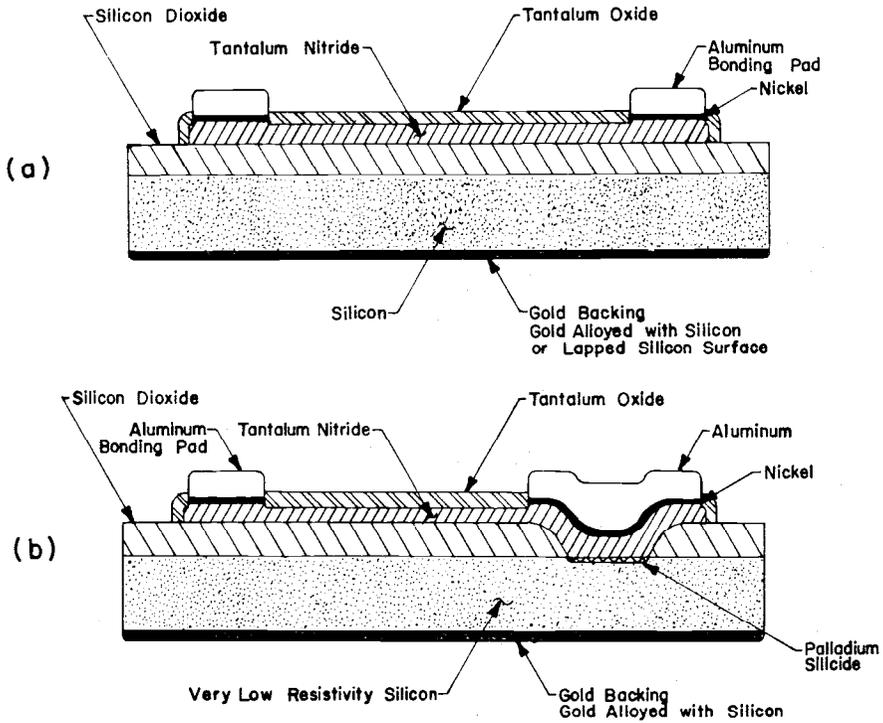


Figure 13. Cross-section of a top contact (a) and back contact (b) tantalum nitride resistor chip. (Courtesy National Micrometals, Inc.)

2.5 Characteristics of Tantalum Nitride Resistors

Tantalum nitride resistors are similar to nichrome resistors in their electrical properties, including sheet resistance range, TCRs, resistor tracking, and long term resistance drift after elevated temperature aging. Some electrical characteristics are given in Table 6.

Tantalum nitride resistors are more rugged and more chemically and thermally resistant than nichrome resistors. A passivating tantalum pentoxide layer (Ta_2O_5) is formed by annealing the resistors in air or in oxygen or by introducing controlled amounts of oxygen during the reactive sputtering process. Annealing is performed at $450^\circ C$ or higher. This inherent oxide is quite resistant to moisture and other hostile environments.

Table 6. Properties of TaN Resistors

Sheet resistance	20–150 ohms/sq, 100 ohms/sq (typical)
Sheet resistance tolerance	± 10% of nominal value
TCR	-75 ± 50 ppm/°C (typical), 0 ± 25 ppm/°C with vacuum anneal
TCR tracking*	<2 ppm
Resistance drift (1,000 hr at 150°C in air)	<1,000 ppm
Ratio tracking	5 ppm
Resistor tolerance after anneal and laser trim	± 0.10% standard, ± 0.03% bridge-trim
Noise (100 Hz to 1 MHz)	< -40 dB

*-55° to 125°C.

2.6 Cermet Thin-Film Resistors

Cermet, a word coined from the words ceramic and metal, is a composition of metal oxides and metals. Cermets may be thick-film pastes (see Chapter 4) or evaporated thin films and are useful in forming resistors having high resistance values. The most widely used thin-film cermet resistors are compositions of silicon monoxide and chromium (SiO-Cr) and are used primarily because of their high sheet-resistances and their ability to form high-valued resistors in a minimum of substrate area. By varying the ratio of silicon monoxide to chromium, a wide range of sheet-resistances is possible. Silicon oxide is inherently an insulator, so as the amount of silicon monoxide in the composition is increased, the resistivity increases. Sheet resistances of several hundred ohms/sq to tens-of-thousands of ohms/sq may be produced, however, it is difficult to control the stability and reproducibility of the very high resistor values. The most practical range is 1,000 to 3,000 ohms/sq. The relationship between composition and resistivity for cermet films of 900 to 1750 Å thickness is shown in Fig. 14.^[12] Thus, for the thicknesses indicated, compositions containing about 60% SiO are required to produce resistor films of 1,000 ohms/sq.

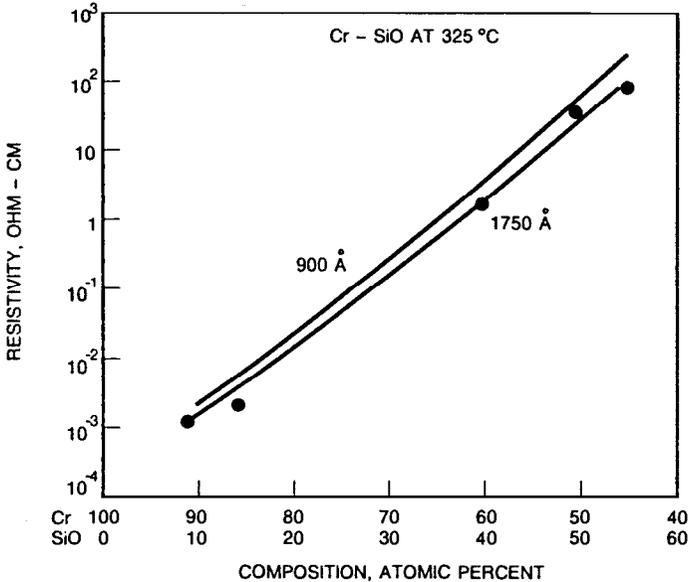


Figure 14. Chromium-silicon monoxide resistivity versus composition.^[12]

Cermet films are best deposited by flash evaporation or by sputtering. In flash evaporation, the cermet powder is dropped onto a tantalum boat maintained at a temperature higher than the evaporation temperature of either of the cermet constituents. The process for fabricating the cermet resistor-conductor pattern for a hybrid circuit substrate is similar to that for nichrome or tantalum nitride resistors. In one sequence, a "sandwich" or layered structure of SiO-Cr, palladium, and gold, is first formed. The palladium serves as a barrier layer. Photoresist is then applied, exposed, and developed to define the conductor pattern; the unwanted gold is chemically etched using the standard potassium iodide-iodine solution. A composite photoresist pattern is then applied. The palladium is etched with an aqua regia solution, then the cermet with a nitric acid solution. In the last step, a photoresist is applied to mask and protect all areas except those that are to become resistors. Again, aqua regia is used to selectively remove the palladium, leaving the exposed cermet resistors. Etching the SiO-Cr cermet has always been somewhat difficult, especially for compositions high in SiO. Two etchants that have been used are potassium ferricyanide/sodium hydroxide and ceric ammonium nitrate/nitric acid solutions. Sputter-etching as a dry-etching process has also been found to be effective.

Stabilizing cermet resistors by annealing requires much higher temperatures than for nichrome. Typical annealing conditions are 450–500°C

for 2-4 hours. At these temperatures a nickel barrier was found to be ineffective in preventing interdiffusion of the chromium and gold, but palladium at about 3,000 Å thick was effective.

The TCRs for cermet resistors are generally negative, becoming more negative as the oxide content increases. For a cermet composition of 70% Cr/30% SiO₂, TCRs of -60 to -40 ppm/OC have been reported.

3.0 PHOTORESIST MATERIALS AND PROCESSES

Photoresists are organic compositions consisting of light-sensitive polymers or polymer precursors dissolved in one or more organic solvents. They are of two types—those that on exposure to light are further polymerized or cross linked forming a hardened coating which is resistant to etching solutions (negative types) and those that on exposure to light are decomposed, break down and can be dissolved (positive types). In the latter case, the unexposed portions become hardened coatings resistant to etching solutions. Ultraviolet light in the 2000-4000 Å wavelength, is generally used. The photoresist coating is applied over the entire surface of the substrate, baked at a low temperature to remove solvent (soft-bake), then exposed to ultraviolet light through a separate mask which may consist of either a Mylar film or a glass plate having opaque and transparent areas corresponding to the image to be produced. This mask is often referred to as the artwork or the photo-tool; it is based on either a Mylar transparent film or glass. After exposure to light, the photoresist is developed. It is immersed in a chemical solution, called the developer, which dissolves the unexposed portions of the photoresist (in the case of a negative resist) or the exposed portions in the case of a positive resist. The remaining photoresist pattern may then be *hard-baked* to render it more resistant to the subsequently used etching chemicals. In using a negative photoresist, the mask must have a negative image of the pattern to be produced. Figure 15 illustrates the steps in etching a thin film of gold on an alumina ceramic substrate using a negative photoresist and a negative image mask. The pattern produced is the opposite image of the mask used. In a positive resist, the areas exposed to ultraviolet light degrade or decompose and are then readily dissolved and removed. The remaining areas become resistant to the etching solutions; hence a positive image on a mask results in the same pattern on the substrate. The steps in etching metallization using a positive photoresist are shown in Fig. 16. In all, four combinations are possible, depending on whether a positive or negative resist is used with a positive or negative mask. These combinations are given in Fig. 17.

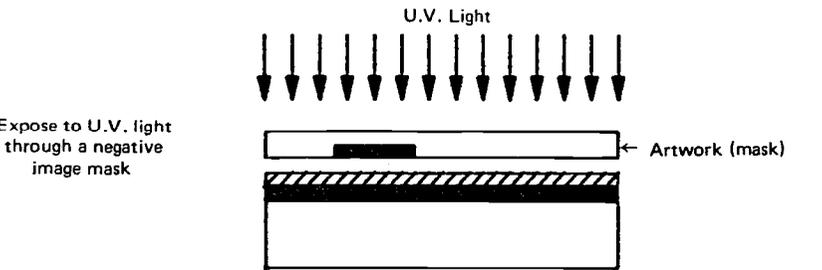
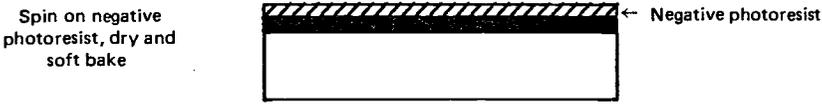


Figure 15. Steps for photolithography using negative photoresist (side view).

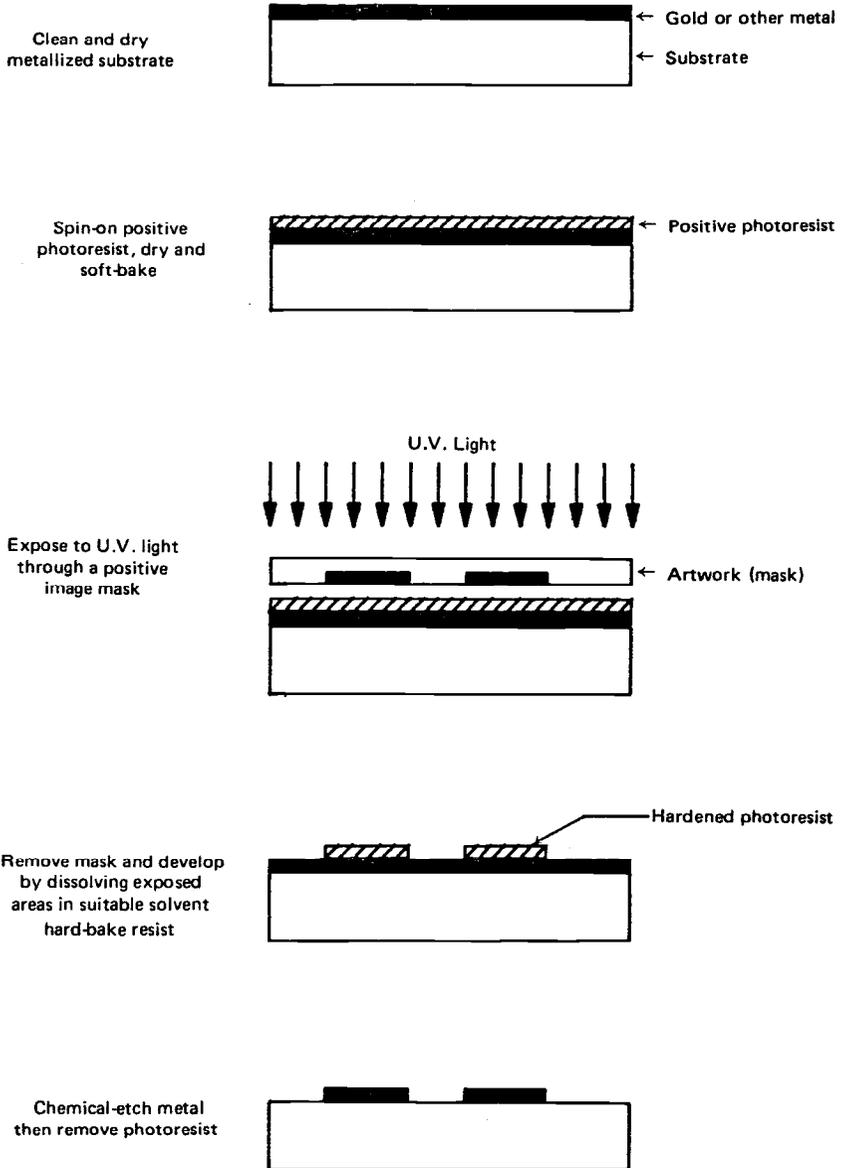


Figure 16. Steps for photolithography using positive photoresist.

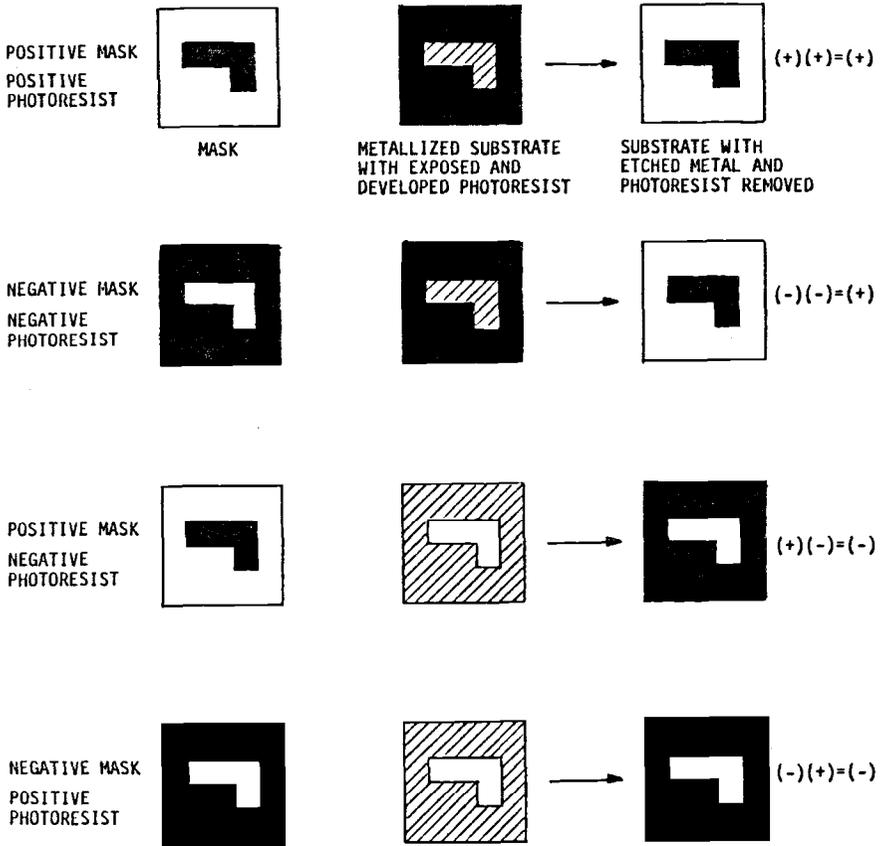


Figure 17. Mask/photoresist combinations.

Photoresists are essential not only in the fabrication of microelectronic devices and circuits, but also in fabricating thin film hybrid microcircuits. The process by which photoresists are used to etch intricate and precise patterns in metal or dielectrics, known as photolithography or photo-etching, was a key factor in the rapid development of microelectronics in the 1950s and 1960s. Photoresists allow selected areas of a surface to be removed leaving other areas (protected by the photoresist) as defined patterns of metal conductors, resistors, dielectrics, or inorganic passivation layers—all essential in the fabrication of monolithic integrated circuits and hybrid microcircuits.

3.1 Chemistry of Negative Photoresists

The chemical reactions that occur during the processing of negative photoresists are simpler and easier to understand than those for positive resists. The photoresist compositions now used in electronics evolved from technology that already existed in the printing industry in the 1940s and early 1950s. During this period, hundreds of patents were issued for materials for printing and copying applications. A key patent was that of Minsk issued to Eastman Kodak in 1954[13] that described a photosensitive composition for fabricating printing plates. This patent provided the basic technology for the subsequent development of negative photoresists to be used in fabricating electronic devices and circuits. Minsk described a cinnamic ester of polyvinyl alcohol (Fig. 18). The double bonds of the cinnamic acid portion are quite sensitive to ultraviolet light, opening up into diradicals. These diradicals, being unstable species, quickly join with other free radicals forming new carbon to carbon bonds and tying together the linear molecules into highly cross-linked macromolecules. In general, negative photoresists are based on compounds having ethylenic or double bonds which decouple to diradicals on being energized with ultraviolet light. These free radicals, being unstable, quickly join head-to-tail forming long chains or cross-linked polymers which are insoluble and chemically resistant compared to the original unexposed coating (Fig. 19). The exact structure of these cross-linked molecules is not known, but it is theorized that coupling occurs through the formation of truxillic acid structures, as in Fig. 20. The solubility of the exposed portions is low and chemical resistance high compared to the unexposed portions. This allows the unexposed portions to be dissolved and removed in an organic solvent (developer). This is the basic chemistry for KPR (Kodak Photoresist) and for many other negative photoresists. Numerous variations of the cinnamic acid ester compound based on chemical variations of the parent molecule have been made. Formulations can be optimized by adding other ingredients such as photosensitizers, solvents, flow-control agents, and stabilizers.[14]

3.2 Chemistry of Positive Photoresists

Positive photoresists are based on chemistry that was initially developed in **Germany** for the azodye, printing, and copying industries. Prior to and during World War II, the Germans had a very advanced industry that was based on the azo dyes for the coloring of textiles and other materials. The chemistry of positive photoresists is based on two reactions of diazonium salts or diazides.

First, diazonium salts or diazides react quickly and almost quantitatively with a coupling agent (a phenolic compound) under alkaline conditions to form various colored insoluble azo dyes, the color and solubility depending on the structure of both the diazide and the coupling compound (Fig. 21). The reaction can be inhibited or prevented by formulating the two ingredients in a buffered slightly acid solution. The developer provides the alkalinity to cause the coupling reaction to occur. Secondly, diazonium compounds are unstable when exposed to ultraviolet light; the diazo-group decomposes and releases nitrogen. Once exposed to ultraviolet light, the resulting compound is no longer capable of coupling and forming the insoluble dye.

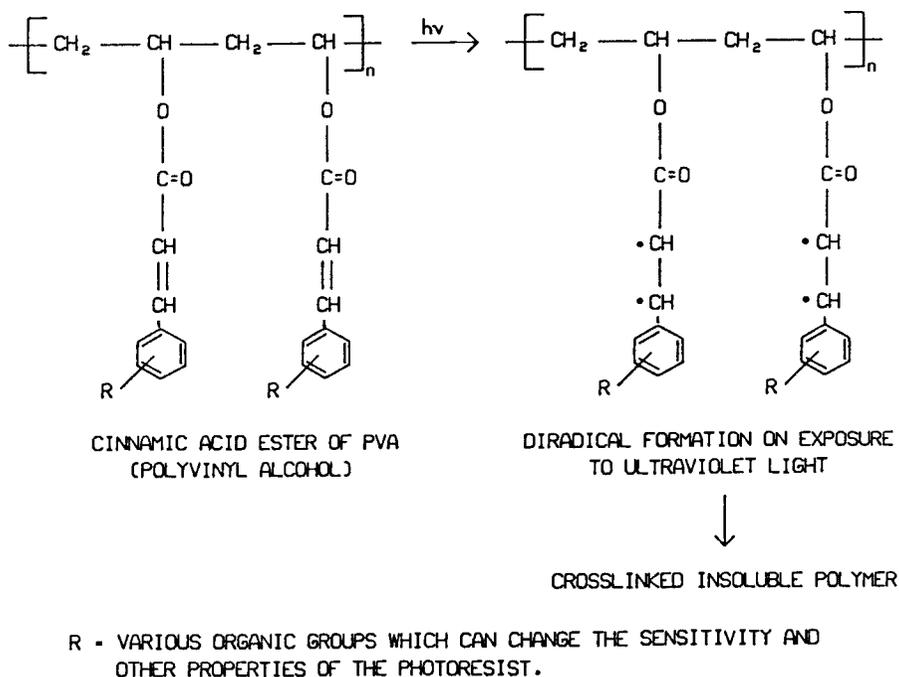


Figure 18. Negative photoresist chemistry based on cinnamic ester of polyvinyl alcohol.

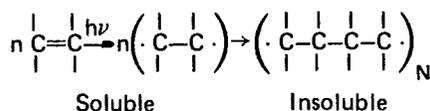


Figure 19. General mechanism for negative photoresists. (Chemistry based on decoupling of a double bond with ultraviolet energy to form unstable free radicals which then join head-to-tail to form long chains or cross-linked polymers.)

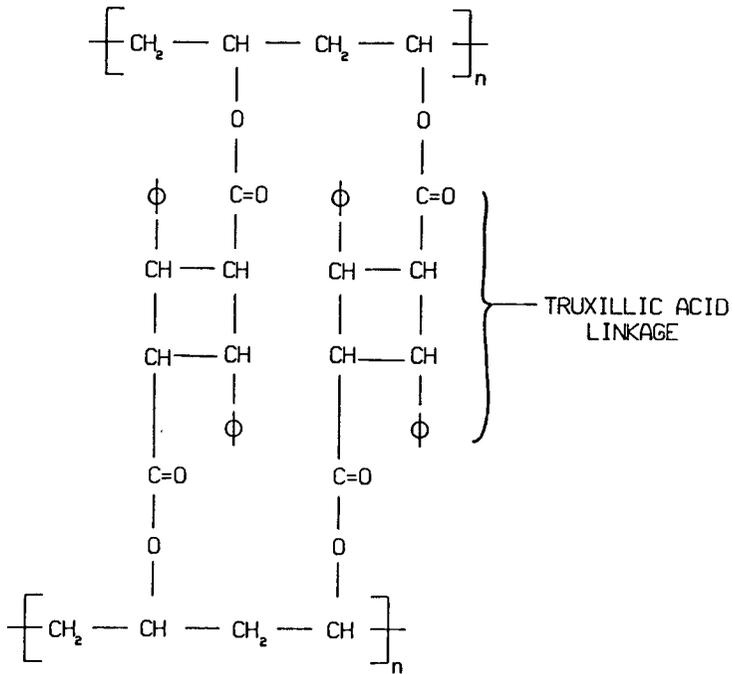


Figure 20. Mechanism for cross-linking (negative photoresists).

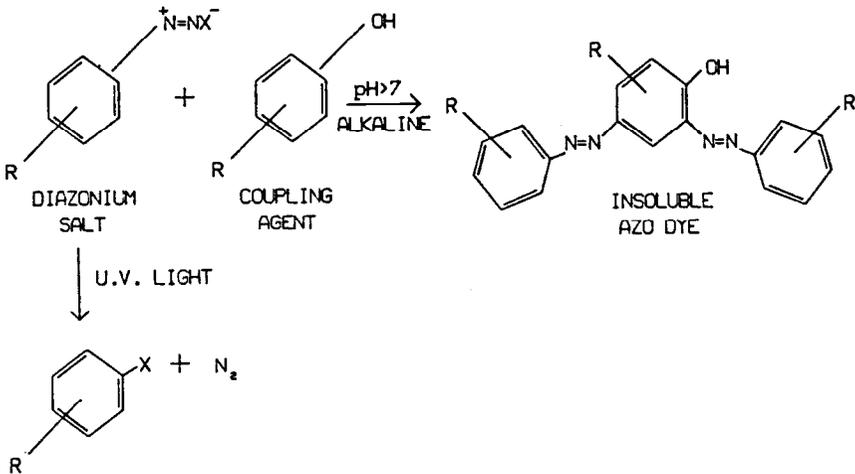


Figure 21. Diazo reactions involved in positive photoresists.

Photolithography that employs positive photoresists is based on both these reactions (Fig. 22). Most commercially available positive resists contain naphthoquinone diazide. The group designated R may be a polymer group optimized for adhesion, solubility, or other characteristics. Exposure of the diazide to ultraviolet light results in a rearrangement and evolution of nitrogen. The resulting ketene reacts with some moisture which is invariably present and converts to an indene carboxylic acid. On developing the image with an alkaline solution, the carboxylic acid dissolves. The alkaline conditions which dissolve the exposed portions of the resist also provide the alkalinity to cause coupling between the unexposed azide with itself or with a phenolic polymer, such as a Novolac, that had previously been formulated with the diazide. This coupling reaction results in cross-linking of the chains and decreased solubility.

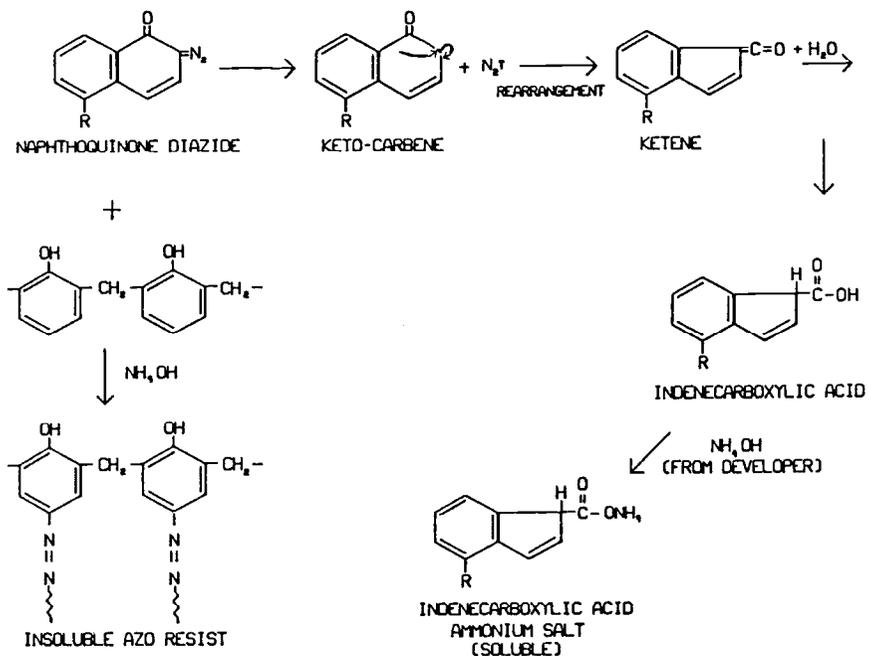


Figure 22. Chemical reactions for positive photoresist.

3.3 Processing

The key steps in processing photoresists are:

- Application to the substrate
- Pre-baking (soft-bake)
- Alignment and exposure
- Development
- Post-baking (hard-bake)
- Removal or stripping

Liquid photoresists are generally applied to substrates by spinning, a process wherein the photoresist coating is deposited on the center of a substrate and the substrate (held down by a vacuum chuck) is rotated on a circular table. The liquid photoresist is first applied to a stationary substrate and allowed to spread. The spreading may be enhanced by spinning the substrate at about 500 r-pm for 3 to 4 seconds. The spin speed is then increased to 3,500 to 5,000 rpm for about 30 seconds forcing the resist outward by centrifugal force and completely and evenly coating the substrate. The thickness of the deposited resist is largely a function of its viscosity and the speed at which it is spun. Figure 23 shows the relationship of viscosity of several positive resists to thickness while maintaining a constant spin-speed, while Fig. 24 shows the relationship of spin-speed to thickness for several positive photoresists. Some thickness variation occurs at the outer edges of the substrate since there is always a build up of material in that area, however, several new "striation-free" resists are now available that reduce edge buildup. Besides viscosity and spin speed, other factors that affect thickness and uniformity are acceleration, prebake temperature and time, amount of resist dispensed, and temperature of the ambient.[15]

To obtain optimum results, especially in fabricating very fine geometries, as for microwave or high-density hybrid circuits, many process details must be followed meticulously. The spin, bake, and exposure parameters must be experimentally determined and followed precisely to obtain reproducible fine geometries. Any blemishes, pinholes or other imperfections in the hardened resist become sites for the penetration of etchants, resulting in opens or shorts in the circuitry. Two main causes of imperfect resists are airborne particulates that deposit on the coating during spinning, alignment, or exposure, and particulate contaminants contained in the liquid resist. These particle sources can be minimized or eliminated completely by processing **the** photoresists in a laminar flow station of Class 100 or better and

to use liquid resist that has been filtered through a Millipore superfine filter. Most photoresists can be purchased pre-filtered to remove 0.45 micron or 0.2 micron particles or larger, depending on the filter. If the resist is transferred from its original container, for example, to an in-line coater, further filtering at the point of dispensing may be necessary because particles may be picked up during the transfer.

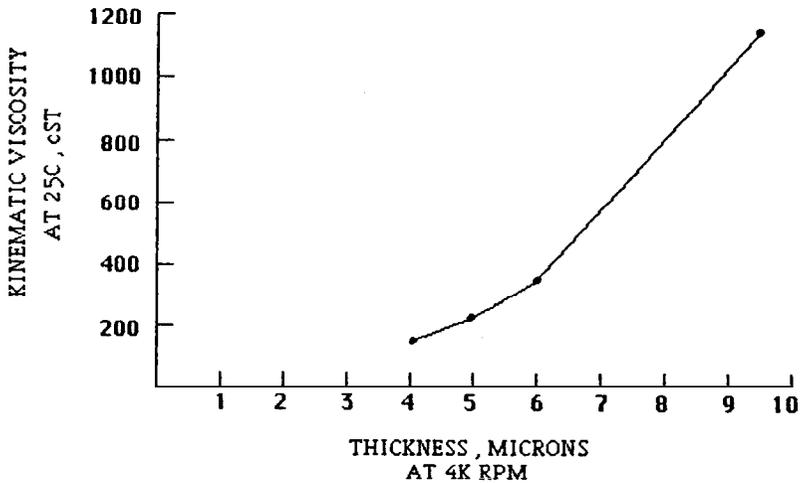


Figure 23. Photoresist viscosity vs. thickness.

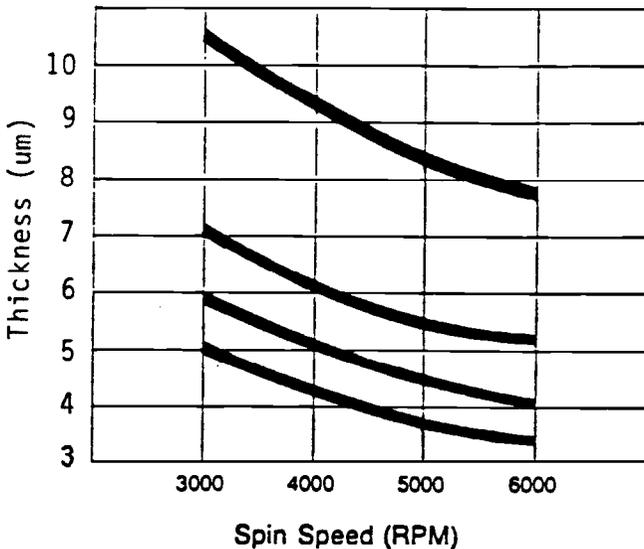


Figure 24. Relationship of spin speed to thickness for Microposit S1600 series positive resists. (Courtesy Shipley.)

Adequate adhesion of the photoresist to the substrate is another important factor in obtaining high yields. Substrates should be free of ionic salts, organic residues, moisture, and particles, all of which degrade adhesion. Substrates should be cleaned and dried. Special cleaning methods such as plasma cleaning and the use of primers are sometimes beneficial in improving adhesion.

Soft-baking, also called pre-baking, allows solvents from the photoresist to escape prior to complete polymerization or hardening. Soft-baking involves heating the coated substrates to 50- 100°C in a forced air convection oven for about 30 minutes. This is important in achieving line width control. Hard-baking, also called post-baking, at 100- 115 °C for 30 minutes after the resist has been developed further improves adhesion and increases its hardness and resistance to the etchant solutions.

4.0 ETCHING MATERIALS AND PROCESSES

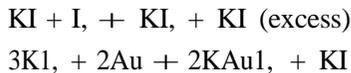
Etching methods for thin films are classified as either “wet” or “dry” types. Wet methods use chemical solutions, usually aqueous solutions of acids or alkalis. These react with thin films forming salts that can be dissolved and washed away. Dry etching involves either molecular removal of the film by reverse sputtering, ion etching, or by a gas phase chemical reaction in which a gas is activated in a plasma in contact with the film. The activated gas reacts with metal film forming a compound that is easily volatilized. This process, referred to as plasma etching, is rapidly supplanting wet chemical etching for many of the more complex high density circuits. Some reasons for the increased popularity of plasma or dry etching are:

- The use of highly corrosive and dangerous chemicals such as acids and alkalis are avoided.
- Disposal and safety problems associated with chemical solutions are avoided
- Chemical and ionic contamination of surfaces are avoided
- Etching rate is better controlled providing finer definition of conductor lines, resistor patterns, and interconnect vias
- Etching is anisotropic, avoiding undercutting

4.1 Chemical Etching of Gold Films

Chemical etchants that selectively remove a conductor film or a barrier layer in the presence of a resistor film are essential in the manufacture of hybrid microcircuits. Aqua regia (a 3 to 1 mixture of concentrated nitric and hydrochloric acids) is the age-old solution for dissolving gold, but not widely used today for thin film processing. Besides the danger in handling this highly corrosive acid mixture, the photoresists that are used in defining the conductor pattern are not resistant to it. Further, the acid is not selective for gold; it will attack and dissolve nickel, nichrome, and many other metals.

Solutions that will selectively etch gold in the presence of nichrome or tantalum nitride consist of inhibited aqueous solutions of potassium iodide (KI) and iodine (I₂). Uninhibited KID, solutions are not useful because they etch nichrome as well as gold. By adding inhibitors such as dibasic ammonium phosphate [(NH₄)₂HPO₄] or dibasic potassium phosphate/phosphoric acid (K₂HPO₄/H₃PO₄), the etching of nichrome and nickel can be suppressed or even avoided. Etchant solutions may be used at room temperature or at elevated temperatures, about 90°C. The optimum temperature may be determined empirically based on the rate of etching and the line definition desired. Reaction equations for the etching of gold are as follows:



To completely dissolve the iodine, a two to six molar excess of KI should be employed.

4.2 Chemical Etching of Nickel and Nickel-Chromium Films

Etchants that react with and dissolve nickel-chromium (nichrome) without attacking the gold consist of aqueous solutions of ceric sulfate, ammonium nitrate, and nitric acid. The mechanism generally involves oxidation of the nickel and chromium to salts that are soluble. These etchants have no effect on the gold. To remove the nickel barrier without etching the gold and with minimal etching of the underlying nichrome, a ferric chloride solution can be used. Immersion times must be established empirically to avoid excess removal of nichrome.

Etching is a semi-empirical process at best. In each case optimum conditions (exposure times, temperature, concentration of solution, and degree of agitation) must be experimentally established. Visual monitoring

for end points and measurements of resistor values, conductor line widths and spacings, and thicknesses must be made before a process can be implemented in a production line.

4.3 Dry Etching

The removal of metal, dielectric, or semiconductor thin films by methods other than dissolution in chemical etchant solutions (wet chemical method) is referred to as dry etching. Dry etching, because of the extremely fine geometries that can be produced (in the micron and even submicron range), is widely used in the manufacture of devices and integrated circuits and is also finding increasing interest and more applications in hybrid circuit and multichip module fabrication. Dry etching has many significant benefits over chemical etching, chief among which are:

- Fine line geometries, less than 0.1 mil and down into the micron range, can be produced because the process is anisotropic, thus avoiding undercutting (Fig. 25)
- Ionic contaminants can be avoided thus preventing chemical corrosion
- The process is safer—no possibility for chemical spills and better control of toxic materials

Although many dry etching variations exist, there are basically two processes that are widely used in microelectronic fabrication: sputter-etching (a physical process) and reactive plasma etching (a physical-chemical process). In both cases, a gaseous plasma is employed.

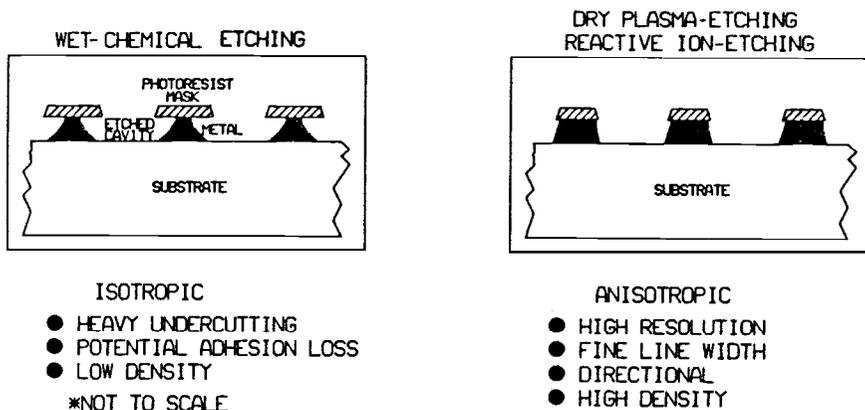


Figure 25. Comparison of wet versus dry etching.

The purely physical process, sputter-etching, is the reverse of sputter deposition. The parts to be etched, appropriately masked with photoresist or a mechanical mask in the areas to be preserved, are attached to the cathode and bombarded with argon ions produced from the plasma as in dc or RF sputtering.¹¹⁶¹ The key advantage of sputter-etching over chemical etching is that it is anisotropic, that is, the rate of vertical etching is faster than lateral etching. Thus, dry etching is unidirectional and avoids undercutting. For this reason it is used extensively in device and integrated circuit fabrication where very fine line circuits (less than 0.1 mil) are required. Sputter-etching, however, is not selective. It does not discriminate between the material to be removed and the resist. Thus the resist must be applied in sufficient thickness so that a protective layer will remain and protect the underlying surface after the desired etching process has been completed. Sputter-etching is a slower process than reactive plasma etching and much slower than wet chemical etching, the etch rate often being in the low angstrom per minute range.

A second variant of dry etching is reactive plasma etching, a physical-chemical process. Here, a chemically reactive gas (free radicals, ions) reacts with the surface to be removed to form a volatile gaseous compound, one that is easily pumped away from the surface. Reactive plasma etching is selective, preferentially reacting with the film to be removed, but not with the mask or resist material. Depending on the plasma conditions employed, plasma etching may be omnidirectional (isotropic) to directional (anisotropic).¹¹⁷¹ Compared to sputter-etching, chemical plasma etching is a more rapid process, Typically, etch rates are several thousand angstroms per minute.

The greatest amount of work in reactive plasma etching has been done with aluminum, silicon, and silicon oxide in connection with wafer processing of integrated circuits. Detailed studies have been conducted on hundreds of reactive gases, gas mixtures, and plasma conditions. Generally, chlorine and chlorinated compounds will etch aluminum by forming aluminum trichloride, AlCl_3 , which is volatile. Carbon tetrachloride (CCl_4), CCl_2 , BCl_3 , BCl_2/Cl , and SiCl_4 , in a helium or argon plasma have been successfully used. Etch rates of 1,000-2,000 $\text{Å}/\text{min}$ are typical. Carbon tetrachloride used alone produced especially low etch rates and inhibited reactions because of the buildup of carbonaceous deposits formed through polymerization of carbon free radicals. The addition of oxygen to the mixture increased the etch rate, presumably by oxidizing the carbon free radicals to CO , before they could combine with themselves to form polymers. Also, adding chlorine to the CCl_4 , or BCl_3 , mixtures increased the etch rate to as high as 50,000 $\text{Å}/\text{min}$.¹¹⁸¹

Fluorine and fluorinated compounds (Freons, CF_n, fluorocarbons) have not been found useful in etching aluminum because the resultant aluminum trifluoride is not volatile. The fluorinated compounds are, however, very effective in etching silicon, silicon oxides, tantalum nitride, nickel, and nickel-chromium films.

5.0 THIN-FILM MICROBRIDGE CROSSOVER CIRCUITS

Thin film hybrid microcircuits consist essentially of a single layer of conductor and, if applicable, single resistor layers photolithographically defined on a ceramic substrate. Numerous attempts have been made to develop a multilayer thin-film interconnect substrate, the counterpart to multilayer thick film, but these have not met with success, largely because of the high probability of pinholes that exist when vacuum depositing or sputtering a thin dielectric film over a large area. However, a unique process that approaches a two conductor layer circuit is the air gap microbridge process, first introduced by Lepselter of the Bell Labs in 1968.¹¹⁹¹ In this process, after the first conductor layer has been patterned (photo-etched), a layer of copper is vacuum deposited, then electroplated to about 1 mil thick. Vias are then photo-etched in the copper in those sites that will become the posts (pillars) for the microbridges. An additional exposure and development of photoresist defines spaces in the photoresist (spans) that will connect the posts. Gold is then electroplated, simultaneously filling in the vias to form the posts and forming and connecting the spans. As a final step, the copper is selectively etched and removed in all areas, including the areas beneath the spans, thus creating numerous microbridges with air as an insulator.¹²⁰¹

Over the years, several variations of this process have been developed to improve reliability, increase yields, extend the process to metals other than gold, and to incorporate resistors. A reliability risk existed with the original process because some of the spans, especially the longer ones (greater than 50 mils), sagged or collapsed onto the underlying conductors, thus shorting them. Studies correlating the length of the bridge span with bridge failures showed that a high incidence of sagging bridges occurred for spans longer than 48 mils.¹²¹¹ Cemigliol^{**} improved the process by adding an insulating layer over the bottom conductor layers, while others¹²³¹ resorted to encapsulation of the air gap with soft silicones. Licari, et al.¹²⁴¹ extended the process to the production of aluminum crossovers, incorporated thin-film nichrome resistors, and introduced polyimide as a permanent insulator and support for the crossovers. Microramps instead of microbridges were thus formed,

which obviated the problems of collapsing spans and edge cracking at the posts (Fig. 26).

Finally, Burns and DiLeo developed a batch process for forming the crossovers separately on a metallized polyimide film as a temporary carrier, then aligning them to the thin film substrate, bonding the ends of the spans to corresponding pads on the substrate by a batch process, and peeling away the polyimide film. This separate, parallel process is reported to result in higher crossover test yields, less handling damage, and lower costs.^[25]

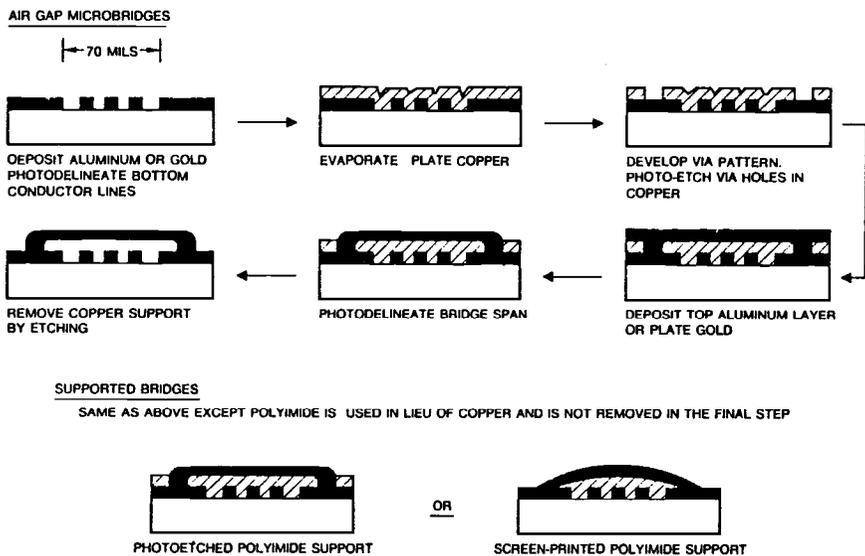


Figure 26. Fabrication steps for air gap microbridge and supported bridge interconnect substrates.

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4

Thick Film Processes

1.0 FABRICATION PROCESSES

Thick-film circuits are produced primarily by the screen-printing process. Screen printing using silk as the mesh material was an art used by the ancient Greeks and Egyptians to produce signs, designs, and works of art. In the last twenty years screen-printing has been rediscovered by the electronics industry and is now competing strongly with vapor deposited thin films as the main production method for microelectronic circuits. Silk mesh, however, is not used in electronics manufacture because of its dimensional instability and poor abrasion resistance. The mesh of choice is stainless steel, though sometimes synthetic fibers such as Dacron (polyester) or Nylon (polyamide) are used. The three key processes used to fabricate thick-film circuits are:

- Screen-printing
- Drying
- Firing

1.1 Screen-Printing

The basic concept in screen-printing is to force a viscous paste through apertures of a stencil screen in order to deposit a pattern onto a substrate. A rubber blade called a **squeegee** is used to force the paste through the screen.

To produce the stencil screen, a stainless steel wire mesh is stretched then either mechanically or adhesively attached to a metal frame, normally consisting of cast aluminum. A negative mask must then be generated on the mesh so that the conductive, resistive, or dielectric pastes can be *squeegeed* (forced through selective openings of the mesh by applying pressure), thus producing a positive pattern on the substrate. The negative image on the mesh is formed by applying a photosensitive emulsion on the entire screen surface by either spraying a photoresist coating or applying a photosensitive solid film. The emulsion is next exposed to ultraviolet light through artwork which has the desired pattern and the image is developed using vendor-specified solvents. Two options exist for producing a screen having the desired negative pattern. These depend on whether positive or negative artwork and positive or negative photoresists are initially used to make the screen (Fig. 1).

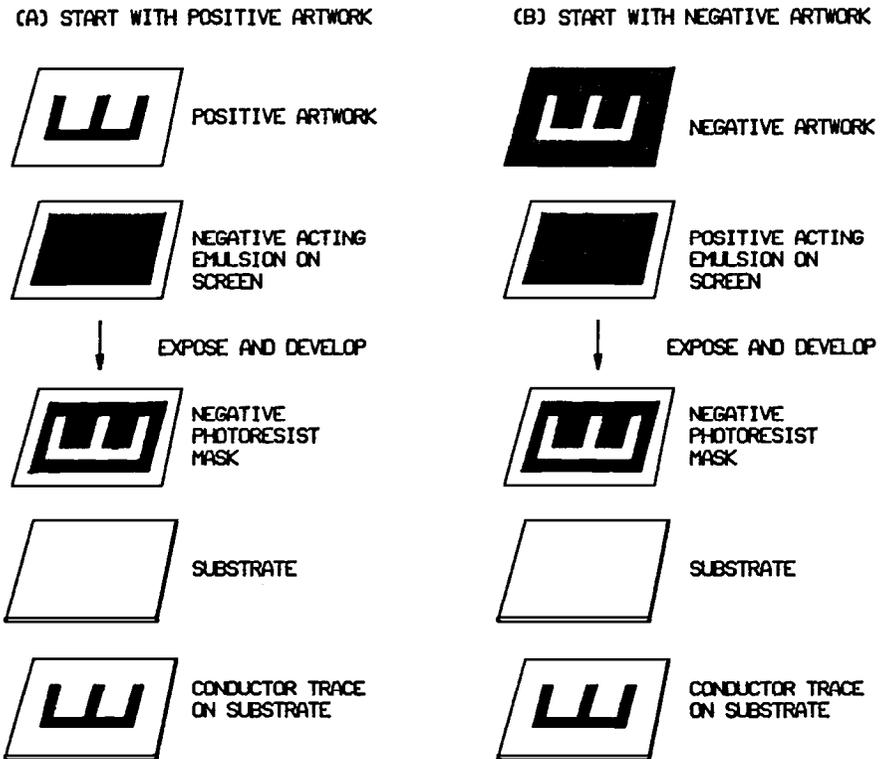


Figure 1. Options for generation of thick-film screen patterns.

Many factors contribute to the success of producing quality thick-film circuits. Prime among these is the screen itself.¹¹ The screen consists of woven mesh stretched and attached to a frame on which is applied a photo-emulsion. The woven mesh material may consist of Nylon, polyester, or stainless steel, but stainless steel is the material of choice when mechanical durability and high resistance to chemical solvents are required. Because of its excellent dimensional stability, stainless steel is used for the most precise fine-line printing. The screen mesh count, the number of openings in the screen per linear inch, largely determines the dimensions of conductors and resistors and their tolerances, spacings between conductor lines, and via sizes. A screen with a high mesh number such as 325 or 400 results in finer lines and spacings (in the 3-5 mil range) than a coarser screen with lower mesh count. Low mesh count screens (80-200) are more suitable for screening the coarser pastes where exacting definition is not required. Screens having a low mesh count are appropriate for applying sealing glasses, dielectrics, glazes, solder pastes, and some resistors. The width of the opening of the screen is related to the mesh count and to the diameter of the wire by the following equation:

$$W_o = \frac{1 - DM}{M}$$

where W_o = width of the opening in inches
D = diameter of the wire in inches
M = mesh count

The diameter of the mesh wire may range from 0.0008 to 0.0037 inches. Popular sizes for the 325 and 200 mesh screens are 0.0011 and 0.0016 inches, respectively.

Closely associated with the screen and also playing a key role in thick film dimensions and reproducibility of geometries is the photoemulsion that is used to prepare the screen pattern. There are three methods for the application of emulsion to the mesh—direct, indirect, and indirect-direct, also referred to as a hybrid emulsion. In the direct emulsion process, the photoresist, in liquid form, is applied to the mesh, dried, and then exposed to ultraviolet light through the patterned artwork and developed. In the indirect emulsion process, the pattern is exposed and developed on a separate photosensitive film, then adhered to the screen mesh. The direct-indirect process involves a combination of both processes. A separate photosensitive film is applied to the mesh then exposed and developed on the mesh. The key

advantages of the indirect processes are that they are cleaner and easier to handle (no spraying or handling of liquids) and permit the application of various thicknesses. Emulsion thicknesses of 0.0015 to 0.020 inches are available.

A second key factor in producing quality thick-film circuits is the rheology or the flow behavior of the thick-film paste. Rheology, especially thixotropy, is extremely important in achieving small, well-defined vias and conductor/resistor geometries and thicknesses that are predictable and reproducible. Thixotropy is a variable flow property in which the viscosity of a paste at rest is high and has little flow, behaving more like a solid; but on applying a shear force, viscosity decreases sharply, allowing rapid flow. Then, as the shear force is withdrawn, the viscosity increases again. This flow behavior, which is rather complex, is important in screen printing.^[2] The flow changes that occur in the paste during the screen-printing process are shown in Fig. 2.

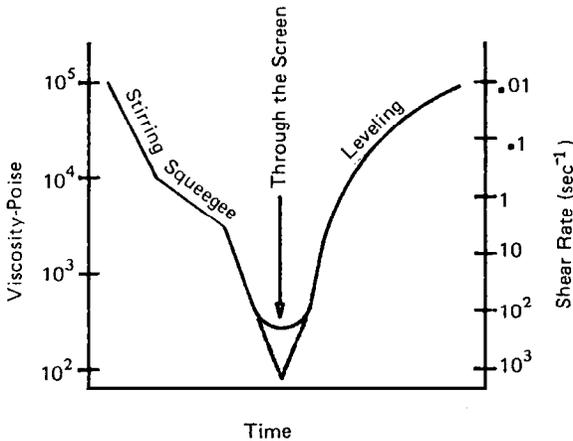


Figure 2. Viscosity changes in thick-film pastes during screen printing.

Though considerable knowledge of the flow properties of thick-film pastes has been gained, screen-printing is still largely an art. A skilled operator sets the screen-printing parameters by experimenting with a number of trial runs before screening the actual parts. Screen tension, screen angle, squeegee speed, squeegee pressure, angle of squeegee, and snap-off distance, are variables that must be established empirically. The operator develops a "feel" for the equipment parameters and paste properties and makes changes

until the optimum results are obtained. Manual, semi-automated, and fully automated screen printers are available (Fig. 3). Computerized equipment is also available where optimum screening parameters, once established, can be programmed and reproduced exactly from run to run.

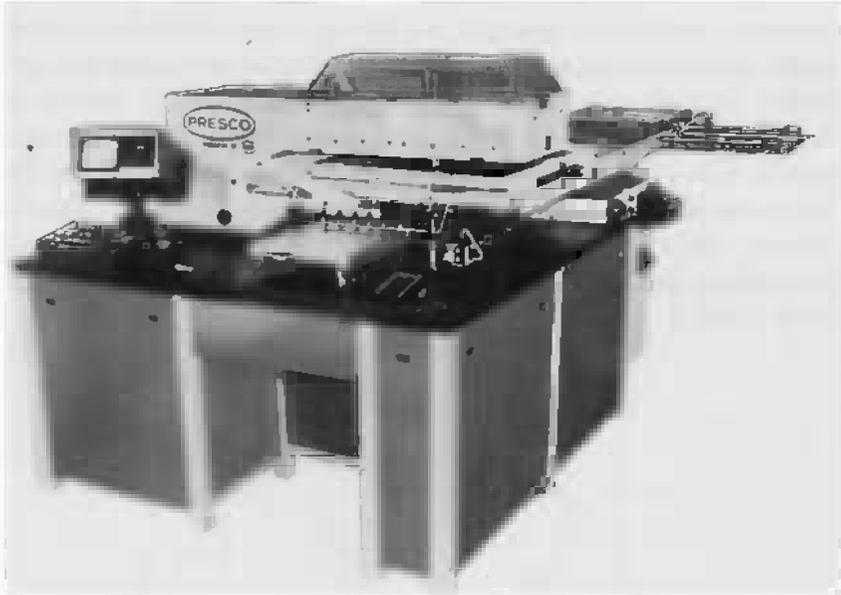


Figure 3. Microprocessor-controlled screen printer, Model 1505. (Courtesy AMI, Affiliated Manufacturers, Inc.)

1.2 Drying

The drying operation consists of removing the organic solvents from the screen printed paste by moderate heating. The substrate with the freshly screened paste is first air-dried for 5 to 10 minutes to allow the paste to settle, then conveyed through a belt furnace maintained at 120–150°C to fully dry it. In 10–20 minutes all of the organic solvents are removed by evaporation. In some cases, better results are obtained if the freshly screened patterns are allowed to air dry at room temperature from one hour to several hours before drying at elevated temperature. This allows slow removal of solvent and leveling, which minimizes the flow of paste and dimensional variations and avoids blistering.

1.3 Firing

After drying, the substrates are conveyed through a high-temperature furnace comprised of several zones of increasing temperature (Figs. 4 and 5). The temperature profile that should be used is specified by the paste manufacturer, but must often be verified or optimized experimentally by the user. In the first portion of the furnace (Zone A, 200-500°C), the temporary organic binder is decomposed by air oxidation and removed. At these temperatures the binder disintegrates into small, gaseous fragments that are quickly exhausted through the furnace vents. Incomplete removal of the organic binders leaves carbonaceous deposits that become entrapped in the paste and may alter both the electrical and physical properties of the final product. Complete burnout requires efficient airflow so that the organic materials can be quantitatively oxidized and decomposed into small easily volatilized species. In the intermediate temperature zone (Zone B, 500-700°C), the permanent binder (glass frit) melts and wets both the surface of the substrate and the particles of the functional material. Some softening and melting of the glassy constituents of the substrate also occurs, causing it to fuse with the glass in the paste. In the third temperature zone (Zone C, 700-850°C), the functional particles are sintered and become interlocked with the glass frit and the substrate. The parts are kept at the peak temperature of 850°C for approximately 10 minutes. The last zone provides for rapid cooling from peak temperature to slightly above room temperature. Overall, the total cycle takes approximately one hour using a conventional convection/conduction furnace. This time can be shortened by using an infrared furnace. A typical temperature profile for an air-firing paste is shown in Fig. 6. In general, the peak-firing temperature should be approximately 100°C below the melting temperature of the metal constituent of the paste. Thus, the maximum firing temperature for a silver paste should be approximately 850°C since the melting point of silver is 960°C. Firing schedules should be established experimentally to optimize adhesion to the substrate, electrical values, wire bondability, and, if applicable, solderability.

Fritless pastes require a slightly different firing profile than fritted or mixed bonded pastes. A higher peak temperature (900-1000°C) is necessary to effect a chemical reaction between the copper oxide contained in the fritless paste and the alumina of the substrate. This adhesion mechanism is more fully discussed in Sec. 3.2 of this chapter.

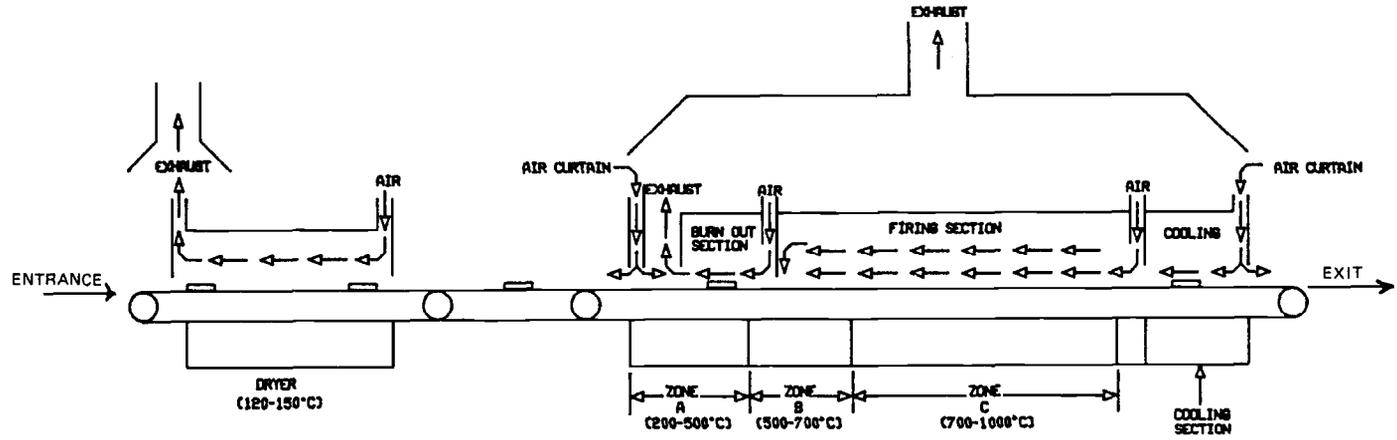


Figure 4. Cross-sectional view of dryer and furnace.



Figure 5. Thick-film engineering facility showing screening machine (center), dryer (front right), and two furnaces. (Courtesy Rockwell International Corp.)

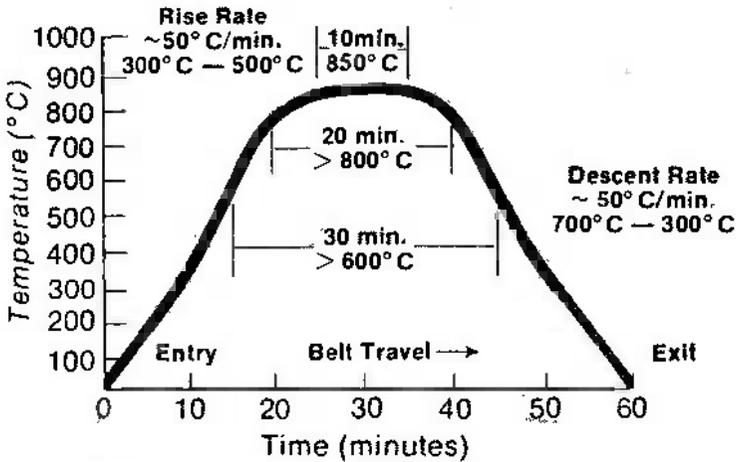


Figure 6. Typical firing temperature profile for thick films. (Courtesy DuPont.)

1.4 Multilayer Thick-Film Process

A key advantage of the thick-film process over the thin-film process is the ability to form multilayer interconnect circuits. The thick-film process permits multiple layers of conductors, dielectrics, and resistors to be screen-

printed and fired sequentially onto a ceramic substrate, resulting in high-density interconnections. Interconnect substrates having conductor lines and spacings of 5 to 10 mils, vias of 10 to 20 mils diameter, and up to 7 conductor layers, are now fairly common and important in producing digital and analog circuits used in compact electronic systems. The conductor lines on the first layer are purposely laid out as the most detailed and densest. Any imperfections can thus be touched up by applying fresh conductor paste to the affected area and refiring. Next, a dielectric layer is screen-printed and fired over the entire surface except for interconnect vias, apertures where resistors will be subsequently applied and apertures where power devices will be inserted. Vias are holes left in the dielectric which are subsequently filled with conductive paste and serve as electrical interconnections between conductor layers. Because there is always the probability of pinholes in the dielectric, it is customary to screen-print a second identical dielectric layer over the first. The double layer reduces the probability of pinholes becoming continuous and shorting out conductors between layers. The two dielectric layers may be dried and fired separately or dried separately and then co-fired.

The next step in the multilayer process involves screen-printing and firing the second level conductors on top of the dielectric. In this step, the vias are simultaneously filled with conductive paste, thus forming the z-direction interconnects. However, vias may also be filled in a separate step using another screen mask. Separate via-filling is beneficial when fabricating multilayer substrates having a large number of layers so that flat, level, top surfaces are assured. The screen-printing and firing steps may be repeated to increase the number of conductor and dielectric layers and hence the “wiring” density of the substrate.

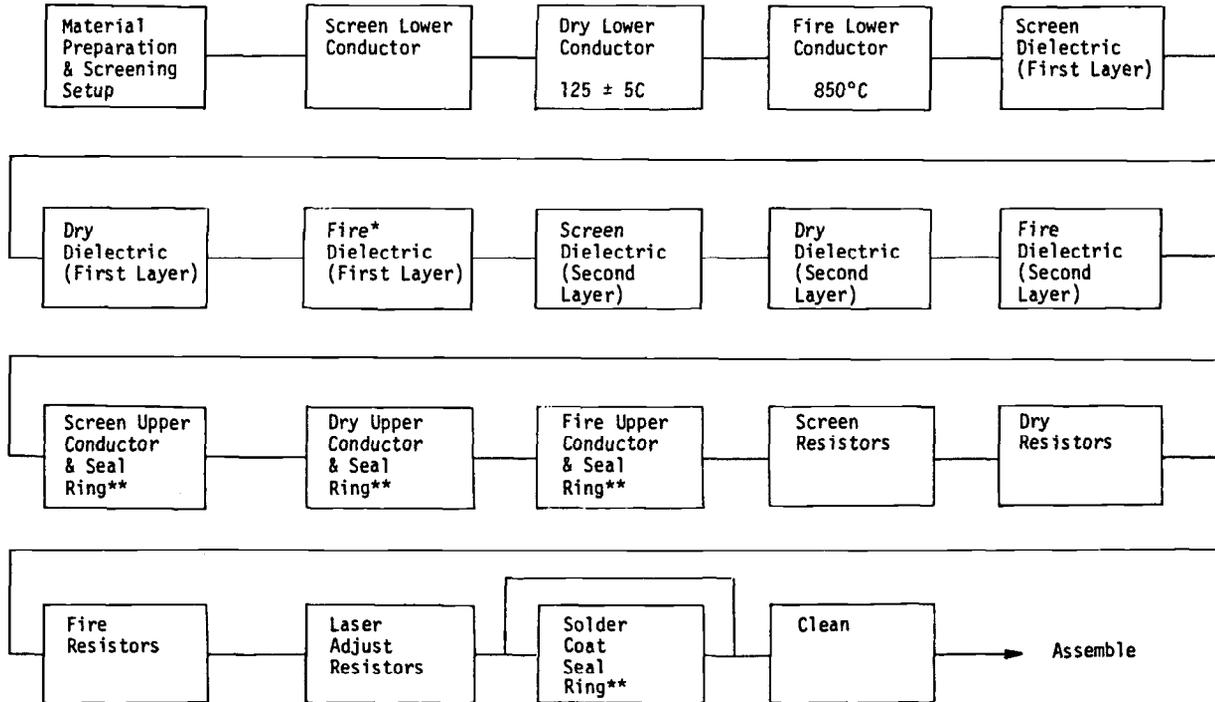
During the screen-printing process, apertures are left in the dielectric extending down to the ceramic substrate so that resistor pastes may be screened and fired directly to the substrate. Applying the resistors directly to the substrate as the last step provides improved resistor stability because the resistors are not subjected to repeated high-temperature firings. However, as the multilayer structure becomes thicker with the increased number of dielectric layers, screen-printing through the deep apertures results in distorted resistor geometries and resistor values that are hard to control. There is thus a trade-off in using this approach. An alternate approach involves screen-printing the resistors on the top dielectric. This process allows the design engineer greater flexibility in laying out the circuit. It increases the circuit density by about 20%, but some problems in the compatibility of the resistor with the dielectric may be encountered. Some dielectrics soften during the firing of the resistor and, in so doing, interact with it chemically

or physically to change resistor values. Furthermore, laser-trimming the resistors to tolerance requires special care to avoid cutting into the underlying dielectric.

A wide range of resistor values extending from very low values (1- 10 ohms) to the megohm range are possible with thick films because two, three, or even four resistor pastes, having different sheet resistances, may be used. This is a major advantage over thin films where generally only one sheet resistance material of low value (100-300 ohm \square) can be used. The sequential steps and process flow for producing multilayer thick-film interconnect substrates are depicted in Fig. 7, 8, and 9.

1.5 Multilayer **Co-fired Ceramic** Tape Processes

The multilayered co-fired tape process differs from the sequential thick-film process already described in that the base substrate and all the dielectric layers are initially in the unfired condition. This unfired tape is called “green” ceramic or “green” tape. It is formed by blending and milling mixtures of oxides, glasses, organic binders, solvents, and plasticizers, casting this mixture (slurry) into large sheets, and drying. The slurry is poured onto a Mylar film, then passed under a doctor blade to produce a uniform sheet of specified thickness. Colored oxides may be added to the slurry if opacity is required to protect light-sensitive devices. Black ceramic, for example, is available for these applications. The tape so formed is soft and somewhat rubbery. It is punched to form the z-direction vias, then cut to size or may be cut first, then punched. Thick-film conductor pastes are then screen-printed to form the conductor lines and to fill in the vias. The layers of a multilayer co-fired module are processed separately, then aligned, and stack laminated in a press to join them together. After removal of the stack from the press, it is co-fired as a single unit. In the standard thick-film process, one starts with a pre-fired ceramic substrate then builds on this by sequentially screening and firing the conductor and dielectric pastes. There are two variations of the co-fired ceramic **process-High Temperature Co-fired Ceramic (HTCC)**, in which the multilayer tape is fired at approximately 1,600°C in an inert or reducing atmosphere, and Low **Temperature Co-fired Ceramic (LTCC)**, where temperatures of 850 to 900°C are used in an air ambient. Because of the high temperatures involved in HTCC, only conductor pastes based on refractory metals having very high melting temperatures, such as tungsten or molybdenum, can be used, and then, only in a reducing atmosphere such as hydrogen.



*The two dielectric layers are sometimes fired together (cofired), eliminating this step.
 **Seal ring used only for integral-lead packages.

Figure 7. Multilayer thick-film process flow diagram (two conductor layers).

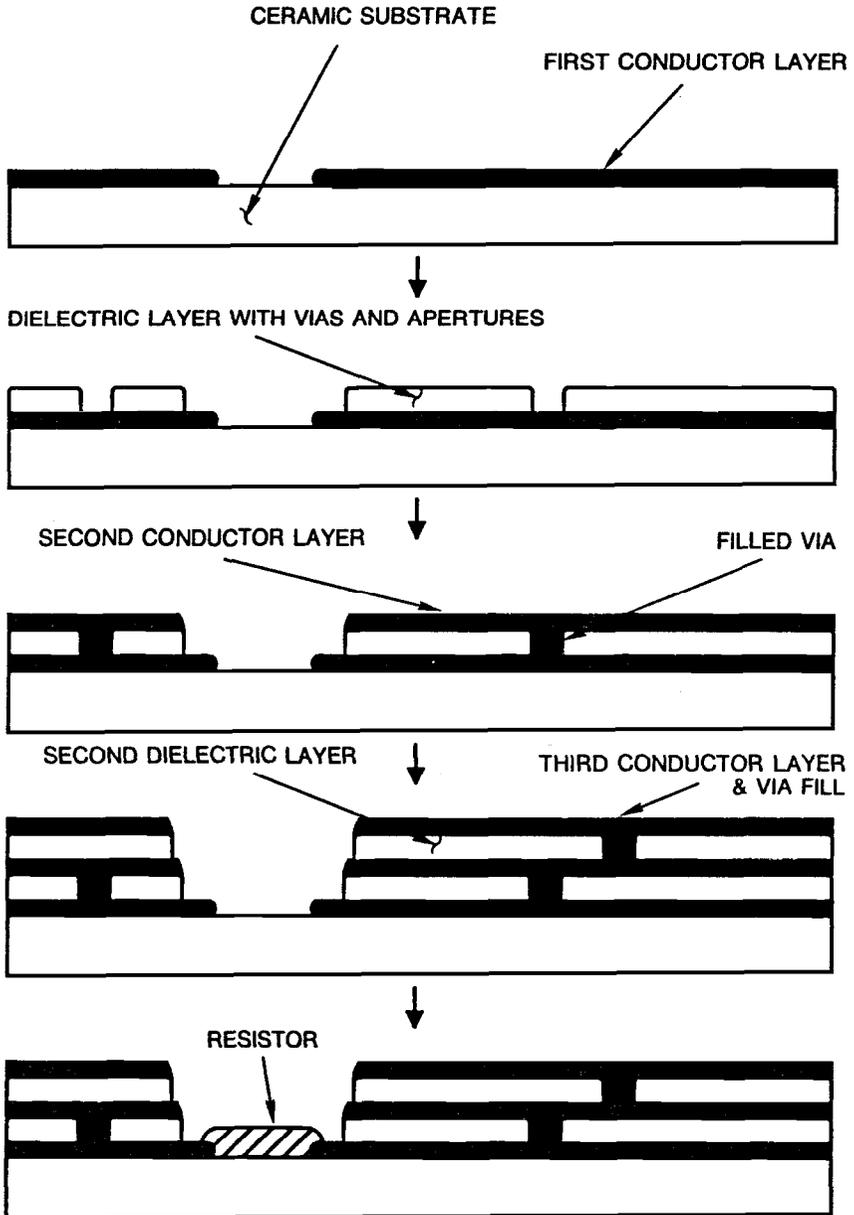


Figure 8. Representation of thick-film multilayer fabrication steps (not to scale).

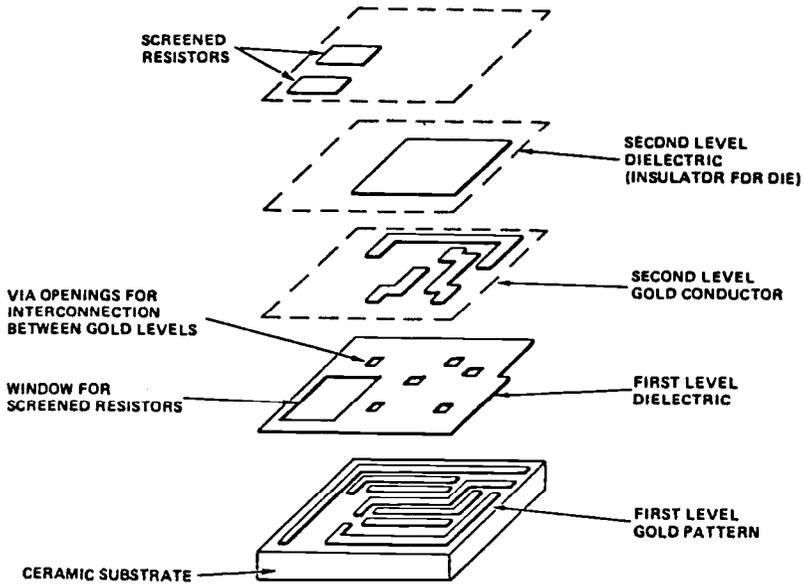


Figure 9. Simplified thick-film multilayer interconnection model.

The much lower firing temperatures employed for LTCC allow high electrical conductivity metal pastes such as gold, silver, and copper to be used which can be fired in air. The main difference in the two processes derives essentially from differences in the composition of the green tape; the LTCC tape contains a much higher glass content.

1.6 High Temperature Co-fired Ceramic (HTCC)

The properties of the HTCC alumina tape are given in Table 1 along with those for fired beryllia tape which is also available for selected applications. The properties of white and black alumina ceramic tape from another leading manufacturer are given in Table 2.

Tungsten, molybdenum, molybdenum-manganese, or other refractory metal conductor pastes must be used in the HTCC tape process because of the high firing temperatures needed to sinter the tape. Because these refractory metals oxidize in air at high temperatures, a hydrogen atmosphere must be used during firing. The use of refractory metals as conductors, though compatible with the process, inherently exhibit higher sheet

resistances compared with noble metal pastes. Tungsten and molybdenum pastes have sheet resistances of 15 milliohms/square while moly-manganese is 35 milliohms/square. These high sheet resistances can be compensated to some extent by designing wider conductor lines to increase their cross-sectional areas and hence their electrical conductance. The top conductor layers require plating with nickel followed by gold to increase their electrical conductance and to provide a surface that is amenable to hermetic sealing, brazing of leads or lead frames, wire bonding, soldering, and device attachment.

The general steps for the co-fired tape process are shown in Fig. 10. The co-fired tape process requires the user to have a large investment in facilities, equipment, and skilled personnel for blending and casting the tape, punching, drilling and cutting, and for the high temperature, hydrogen ambient firing. Thus, few users of co-fired tape products (substrates and packages) have an in-house capability. They will purchase these parts from established ceramics manufacturers by providing them with designs and layouts.

Table 1. Physical and Electrical Properties of Co-fired Ceramic Tape. (Courtesy of Ceramic Systems Division of General Ceramics, Inc.)

Property	Alumina	Beryllia
Composition	92 - 96% Alumina	99.5% Beryllia
Color Available	White or Black	White
Flexural Strength	55,000 psi	35,000 psi
Coefficient of Thermal Expansion 25 °C to 200 °C	$6.3 \times 10^{-6}/^{\circ}\text{C}$	$6.4 \times 10^{-6}/^{\circ}\text{C}$
Thermal Conductivity at 100 °C	0.035 cal/sec-cm °C	0.48 cal/sec/cm °C
Volume Resistivity at 25 °C	10^{14} ohm-cm	10^{14} ohm-cm
Dielectric Constant at 25 °C	8.9	6.6
Camber	0.002 to 0.004 in/in	0.002 to 0.004 in/in
Tape Thickness (before firing) (after firing)	0.005 to 0.025 inch 20% Shrinkage	0.005 to 0.025 inch 20% Shrinkage

Table 2. Properties of Alumina Tape Ceramic. (Courtesy of Coors Ceramics.)

Property	White	Black
Alumina Content	92%	90%
Specific Gravity (gm/cc)	3.6 minimum	3.6 minimum
Flexural Strength (psi)	50,000 psi	50,000 psi
Coefficient of Thermal Expansion 25 °C to 400 °C (in/in/°C)	$6.6 \times 10^{-6}/^{\circ}\text{C}$	$6.5 \times 10^{-6}/^{\circ}\text{C}$
Thermal Conductivity (cal/sec-cm °C)	0.04	0.04
Volume Resistivity at 25 °C (ohm-cm)	1.4×10^{15}	2.0×10^{15}
Dielectric Constant at 25 °C	9.5	10.5
Specific Heat (cal/gm x °C)	0.02	0.02
Loss Angle (at 1MHz)	.0046	.0051
Thermal Shock Resistance (°C)	200	200

The key advantage of the multilayer co-fired tape process is the ability to design and fabricate very high density multilayer interconnects of twenty or more conductor layers (up to 60 layers are used by IBM for their thermal conduction modules used on main frame computers). In contrast, the sequential thick-film process has an upper practical limit of about seven conductor layers, above which yields begin to drop and is generally not used for producing complex substrates and packages. The conductor layers may serve as signal lines, striplines, ground planes and power planes. Because of the large number of circuit layers and interconnect vias that can be produced, the co-fired tape process is extensively used for producing ceramic packages having large numbers of input-outputs (100 to over 300) as required for VHSIC (Fig. 11) and large area substrates required for surface mounting components. A cross-section of a multilayer co-fired circuit is shown in Fig. 12. A further advantage of the co-fired tape process is that once the initial investment is capitalized and the nonrecurring paid for, packages and substrates are lower in cost on a production scale. There are, however, a few design limitations which must be considered:

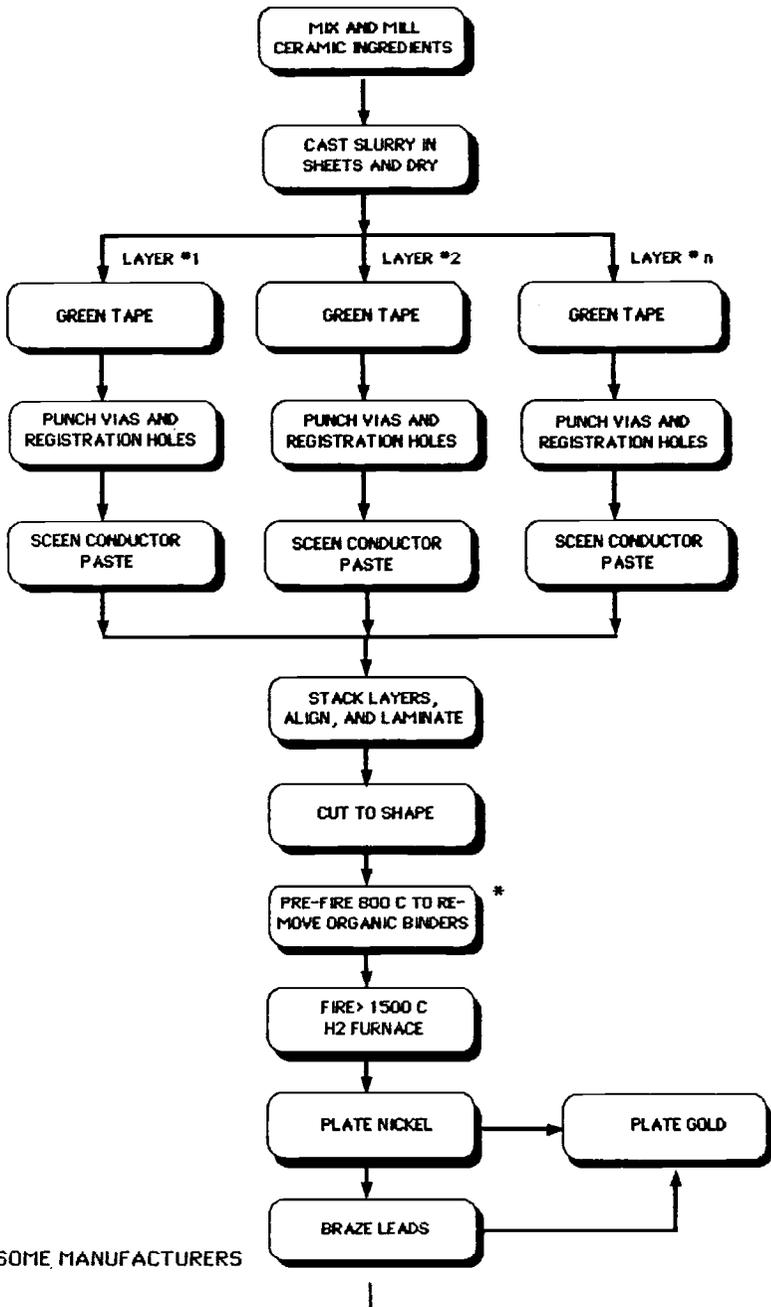


Figure 10. Multilayer co-fired tape process.

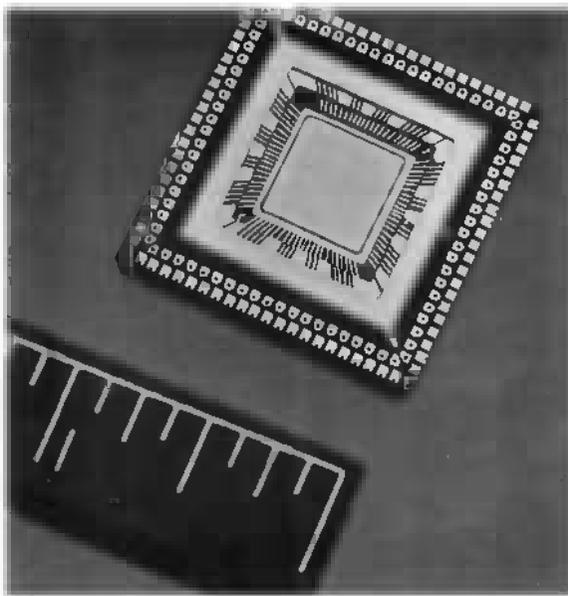


Figure 11. Co-fired alumina package with high I/O count for VHSIC.

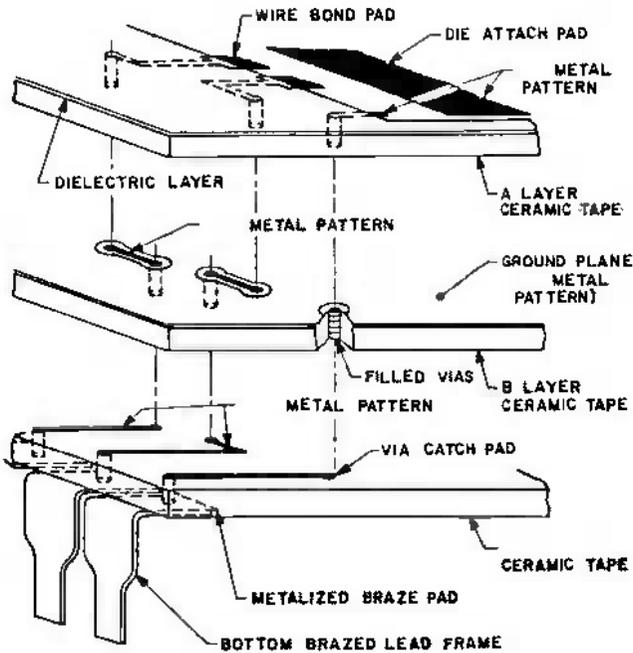


Figure 12. Co-fired tape multilayer structure. (Courtesy Ceramic Systems Div., General Ceramics Corp.)

- Screen-printed resistors and other passive components cannot be incorporated as part of the high temperature co-fired process. These passive component pastes contain metal oxides which react and degrade in the reducing atmosphere of the process.
- The higher amount of glass contained in the HTCC alumina tape reduces its thermal conductivity.
- The use of refractory metal conductors reduces the electrical conductivity of the inner conductor lines.
- The outer conductors must be plated with nickel and gold to increase the surface conductivity and provide a metallization that will permit reliable wire bonding or solder attachment of components.

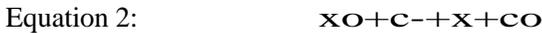
A detailed comparison of the thick-film process with the multilayer co-fired tape process is given in Table 3.

Table 3. Comparison of Thick-Film Process with High-Temperature Co-fired Ceramic (HTCC) Process

Thick-Film	HTCC
Sequential screening, drying, firing	Stack lamination and batch firing
Air-fired at 850° - 1000° C	Fired in hydrogen at > 1500° C
Permits wide variety of conductor and dielectric pastes	Limited to refractory metal conductors and high-glass-content dielectrics
Permits wide range of batch fabricated resistors	No screen/fired resistors available
Practical dielectric thickness: 2 to 5 mils	Dielectric thickness may be as high as 25 mils
Vias: 7 to 15 mils diameter	Vias may be as small as 4 mils
No shrinkage problem	High shrinkages during firing (20 - 30%) must be taken into account
Separate via fill steps to provide flat top surface and hold via tolerances for multilayer circuits	Controlled dimensional tolerances once shrinkage factors are taken into account
Limited adhesion of conductors to the alumina ceramic	Excellent conductor adhesion; good for brazing on lead frames
No auxiliary plating required to augment conductivity	Requires nickel and gold plating of top tungsten layer
Yields drop with conductor layers > 7	Excellent for high number of layers (> 20)
Quick turnaround for design iterations	Costly and long lead times for design iterations
Low non-recurring cost, excellent for low to moderate production runs	High non-recurring cost; more suitable for high production

1.7 **Low-Temperature** Co-fired Ceramic (LTCC)

The low-temperature co-fired ceramic process (LTCC) offers significant advantages over the high temperature process (HTCC) and provides a new dimension for engineers to design both high-density multilayer interconnect substrates and advanced packages for high-speed and microwave circuits.¹³¹ The key feature of the LTCC process is a “green tape” that can be fired at 850°C in a conventional air-ambient furnace instead of at 1600°C in a hydrogen ambient, as required by the conventional HTCC process. The lower firing temperature and air ambient of LTCC permit the use of the more conventional thick-film conductor pastes such as gold and silver whose high electrical conductivities are vital to high-speed circuits. Furthermore, in the LTCC process, passive components such as resistors, capacitors, and inductors, can be co-fired with the ceramic tape and embedded in a monolithic structure. On the other hand, the HTCC process is limited to refractory metal conductors because the high temperatures involved would melt gold and other non-refractory metals. Resistors, capacitors, and inductors comprised largely of mixed metal oxides (XO) would also be reduced and degraded by the hydrogen reducing atmosphere required of the HTCC process (Eq. 1). Reduction may also be augmented by carbon containing compounds which are present in the organic binder of the unfired tape (Eq. 2). Even firing in an inert nitrogen atmosphere is not acceptable since carbon monoxide (CO), also a reducing agent, is formed from degradation of the binder (Eqs. 3 and 4).¹⁴¹



where, XO = BaTiO₃, FqO₃, RuO₃, etc., depending on the passive component.

Very low profile packages can be produced with LTCC by designing the interconnect substrate integral with the package and leads. Cavities can be formed in the ceramic simultaneous with its fabrication so that ICs or other chip devices can be inserted into recessed areas, producing a very low profile package (Fig. 13). The package-substrate combination can be hermetically sealed or encapsulated with high performance plastics such as epoxies or silicones. A further advantage of LTCC over HTCC is that it lends itself to producing contoured or three-dimensional electronic circuits and packages since the tape can be processed over a shaped mandrel before firing.¹⁵¹ This is of special interest in producing “smart skins” where electronics and sensors are integrated with the structure of aircraft or other vehicles.

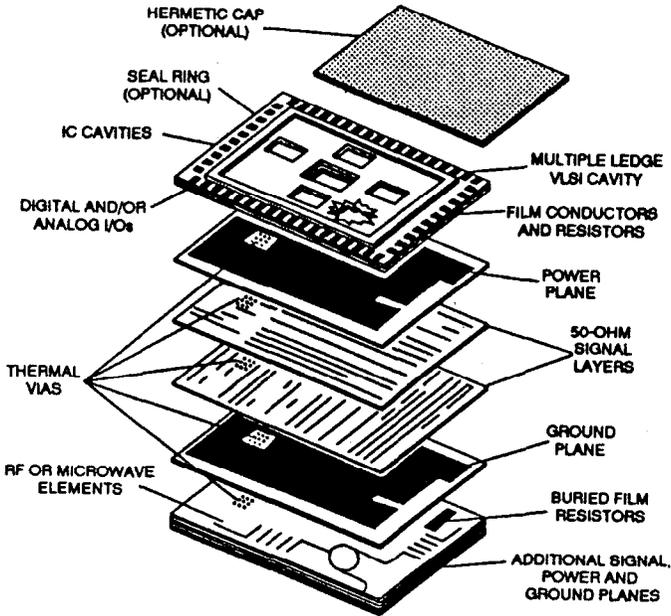


Figure 13. LTCC integral substrate/package structure. (Courtesy Hughes Aircraft.)

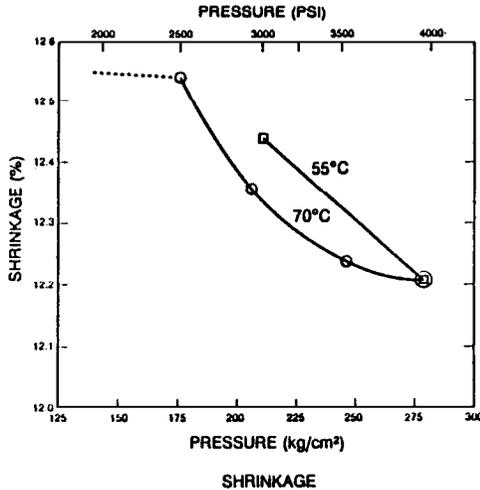
Among several limitations of parts produced by the LTCC process are:

- Very low thermal conductivity (2 to 3 W/mK) due to the high glass content (50% or greater). This inherently low thermal conductivity can be counteracted by designing thermal metal vias or plugs through the tape. The thermal efficiency of using thermal vias in an LTCC substrate has been reported by Schroeder.^[6]
- Lower structural strength, which is again due to the high glass content, however, there are several reports where the reliability of LTCC under high vibration and mechanical shock conditions has been demonstrated.^{[6][7]}
- Shrinkage of the tape during firing. The amount of shrinkage can be accurately measured, controlled, and compensated for in the design of the artwork and masks.

From a production standpoint, the low-temperature process opens up many new opportunities to a user who can now, with little investment, produce his own interconnect substrates and even ceramic packages. If a user has an established thick-film processing line, he can now purchase the green tape and process it himself using existing screen printers and furnaces. Only two additional pieces of equipment are needed: a lamination press and a precision hole drilling or punching machine. Low-temperature co-fired tapes may be purchased in blanked or roll form. They are being produced and used in Japan and in the U.S. In the U.S., DuPont has been the main developer and promoter of this technology.

The steps for the production of the low-temperature tape are similar to those already described for the high-temperature tape. A ceramic slurry is cast onto a support film of Mylar (about 0.005 inches thick) and dried. After removing the Mylar film, blanks are cut and registration holes and orientation marks are simultaneously made using a die tool. Vias are then mechanically punched, drilled, or laser ablated in each layer using precision computer-controlled machines. Via diameters as small as 0.004 to 0.006 inches have been achieved, though a minimum of 0.008 inches is typical. In the next step, the vias are filled with conductive thick-film paste by screen-printing, then conductor patterns are screen-printed onto the tape and dried at 120°C for 5 minutes. The conductor compositions are specially formulated to shrink at the same rate as the tape when they are co-fired. The dielectric tape layers are then aligned, stacked, and laminated in a press at 70°C and 3,000 psi. The laminated circuits are trimmed to remove the outer borders containing the registration holes and pre-fired at 350°C for one hour to burn out approximately 85% of the organic binders. This burnout phase also prevents blistering of the layers during firing. The final step involves firing in a conventional belt furnace at a peak temperature of 850°C for 15 minutes with an overall temperature profile of about two hours.

As with the high-temperature co-fired tape process, shrinkage occurs during firing and must be accurately measured and taken into account in the initial design and layout of the circuit. Shrinkage for the low-temperature tape is approximately 12%, but varies somewhat with lamination conditions (Fig. 14). Advantages of LTCC over HTCC and sequentially fired thick films are listed in Table 4.



- VARIES WITH TEMPERATURE AND PRESSURE
- LOT TO LOT

X, Y	12.2 ± 0.2%
Z	17.5 ± 0.5%
- VARIATION WITHIN SAME LOT

X, Y	± 0.2%
Z	± 0.4%
- CHANGE AFTER REFIRE (3X)

X, Y, Z	< 0.1%
---------	--------

Figure 14. Shrinkage reproducibility control of co-fired ceramic system.^[8]

Table 4. Advantages of LTCC Over Other Thick-Film Processes

Advantages Over HTCC

- Lower firing temperature (850–950°C vs 1,200–1,500°C)
- Standard benign ambient during firing (air vs hydrogen/nitrogen)
- Ability to use low resistivity conductors (gold, silver, and copper vs tungsten or molybdenum)
- Plating not required
- Ability to co-fire and integrate passive components (resistors, capacitors, inductors)
- Lower dielectric constant
- CTEs more closely matching silicon devices
- Better dimensional and camber control

Advantages Over Sequentially Fired Thick-Films

- Batch laminated and co-fired vs sequentially processed
- Larger number of layers for multilayering
- Fewer processing steps/lower cost
- High density interconnect substrates can be integrated with hermetic package
- Ability to form cavity and shaped substrates
- Ability to co-fire and embed passive components
- Greater adhesion of conductors
- Brazeable heat sinks, lead frames, and seal rings
- Ability to control and increase dielectric thickness and control planarity

2.0 DIRECT WRITING

Besides screen printing, thick films may be deposited on a substrate by direct writing, a process in which the paste is forced through a fine nozzle and programmed to flow and deposit in selected patterns controlled from a computer database. A direct link between computer-aided-design and hybrid circuit fabrication is possible. Separate cartridges must be used for each paste composition (conductors, resistors, and dielectrics). Direct writing has many benefits, among which are:

- Hard tooling, such as screens and masks, are not required.
- Provides fast-turnaround for fabricating prototypes and small to intermediate quantities of circuits.
- Flat surfaces are not required as with screen-printing since direct writing conforms and adapts to the surface topography.
- Design iterations can be made quickly to produce a new prototype.
- Resistors of different sheet resistances can be dispensed and then dried and fired together in one step. In contrast, screen-printing requires each sheet resistance paste to be separately dried and fired.

Precision line widths of 4 mils for gold conductors and 6 mils for silver conductors are typical. Reproducible tolerances for resistor values have been achieved, thus minimizing the extent to which resistors need to be trimmed. Direct writing is also versatile in its ability to program and control the thickness as well as the width of different films on the same substrate. For high frequency microwave and analog circuits, direct pattern writing provides precise control of line widths, spacings, and thicknesses, to produce precise curvilinear lines.

Among limitations of direct writing are its high initial equipment cost and the fact that it is slow for high-volume production. It is especially adapted to producing, testing, and reiterating prototypes during the early stages of a program and may be considered complementary to screen-printing as a program is transitioned to high production.

2.1 Fine-Line Thick-Film Processes

The need to interconnect very high density, high performance integrated circuits in the smallest space has driven refinements and modifications of the screen-printing process to produce finer conductor lines and spacings and smaller diameter vias. In some cases, dimensions approaching those of thin films have been achieved. By using very fine mesh stainless steel screens (400 mesh or finer), controlling the screen emulsion thickness, and using paste formulations based on better controlled smaller particle size, line widths and spacings as small as 2 mils have been reported.¹⁷¹ Fritless gold pastes especially can provide fine line dimensions which, combined with their high electrical conductivities, make them suitable for microstrip transmission lines and low-loss microwave circuits.

A second approach, in which even finer dimensions can be produced, involves a combination of thick film and thin film processes. Specially formulated fine-particle thick-film pastes are first screen printed over the whole surface of the substrate, dried, and fired. A thin-film photolithography process is then used to etch selected areas of the thick film. A photoresist is applied over the thick film surface by spin-coating, spraying, or laminating (see Chapter 3) exposed to ultraviolet light through a mask having the desired pattern, and then developed. The thick film is then etched and the photoresist stripped away. This process, a subtractive type, is somewhat more expensive than the direct screen-print additive process, but is reported to produce conductor line widths and spacings as narrow as 15 μm .¹²¹

A similar process, referred to as MOD (Metallo-Organic Decomposition), utilizes metallo-organic compounds instead of pastes. Unlike thick-film pastes which are heterogeneous mixtures of particulate compounds, metallo-organics are true solutions. The MOD solutions may be screen-printed, spin coated, or sprayed onto a substrate, dried to remove solvents, and fired at temperatures of 580 to 850°C depending on the formulation.¹³¹ The firing cycle decomposes and volatilizes the organic portion of the metallo-organic compounds leaving the free metal layer adhered to the substrate. The normal photoresist application, exposure, developing, and etching steps, are then performed (Fig. 15). Very thin films typically 0.1 to 0.2 μm for a single printing are produced. Two or three screen printings are needed to obtain a 0.5 μm thick film having a sheet resistance of 50-60 mohms/square.¹⁴¹ Conductor line resolutions of 10 μm are possible. Generally, the films must be thickened by electroplating or pattern plating with copper or gold to obtain adequate electrical conductivity and wire bondability. By forming a 50 μm wide conductor and copper plating the

conductivity was improved to 1.5 mohm/square.^[15] A variation of the MOD process involves directly forming the conductor lines from the dried, but unfired film, by programming a laser beam to decompose selected areas and washing away the unexposed areas.

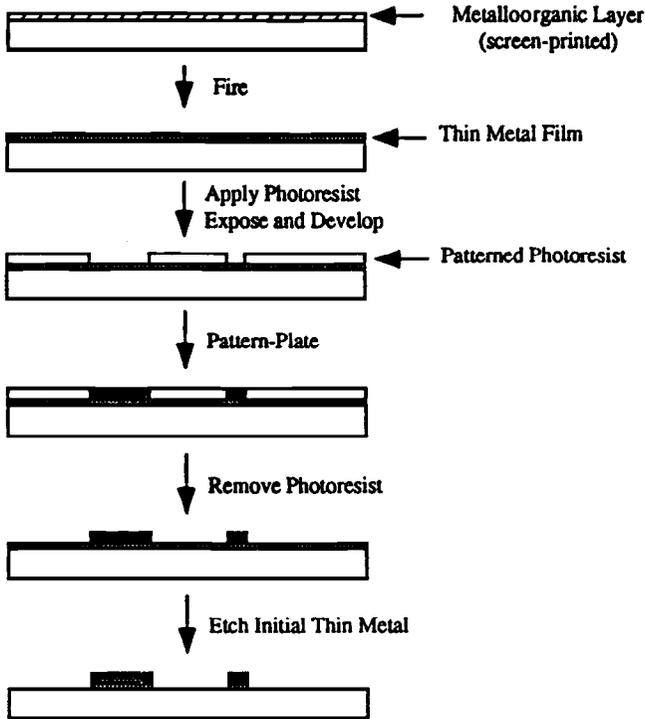


Figure 15. Fine-line circuitry using metallo-organic decomposition and pattern plating.

Yet another photo-etch process avoids the need for separate photoresist application and removal steps. The thick-film paste itself is formulated to be photosensitive (Fig. 16)). Photo-patternable pastes trade-named Fodel were first introduced by Dupont in 1972.^[16] The early compositions consisted of negative-acting photosensitive materials which allowed the dried conductor layer to be exposed to a patterned mask, developed by dissolving away the unexposed portions, and subsequently fired. Several drawbacks limited the implementation of this process. First, the polymerization of the exposed paste was sensitive to air oxygen and had to be performed in a vacuum or in an inert ambient. Second, 80–90% of the gold paste was lost or had to be recovered

after developing because the pastes were of the negative acting types. Third, organic solvents were required to develop the patterns. Recently, improved formulations that obviate these problems have been introduced by DuPont. Both conductor and dielectric pastes based on positive acting photosensitive materials which can be developed using aqueous alkaline solutions are available. Vias which are $75\ \mu\text{m}$ in diameter for the dielectric and conductor line widths of $25\ \mu\text{m}$ with spacings of $50\ \mu\text{m}$ are reported when the gold paste is fired to a thickness of 7 to $9\ \mu\text{m}$.^[17]

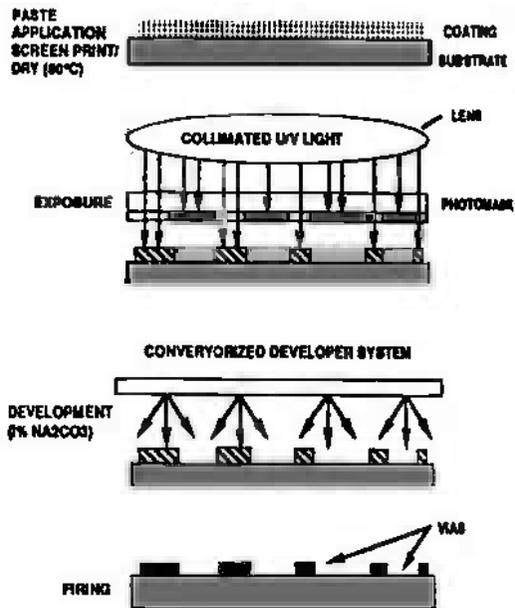


Figure 16. Fodel® patterning process steps. (Courtesy DuPont Electronic Materials.)

Lastly, a novel process called Diffusion Patterning™ has been developed by DuPont.^[18] According to this process, a solid dielectric paste is screen printed to pattern the vias. On heating, diffusion of the top paste through the bottom dielectric paste occurs rendering those areas soluble in an aqueous solution and opening up the desired vias. As the last step, the dielectric layer is fired (Fig. 17). Diffusion Patterning is reported to produce $125\ \mu\text{m}$ vias on a $250\ \mu\text{m}$ pitch with yields higher than expected from conventional screen printing.^[18]

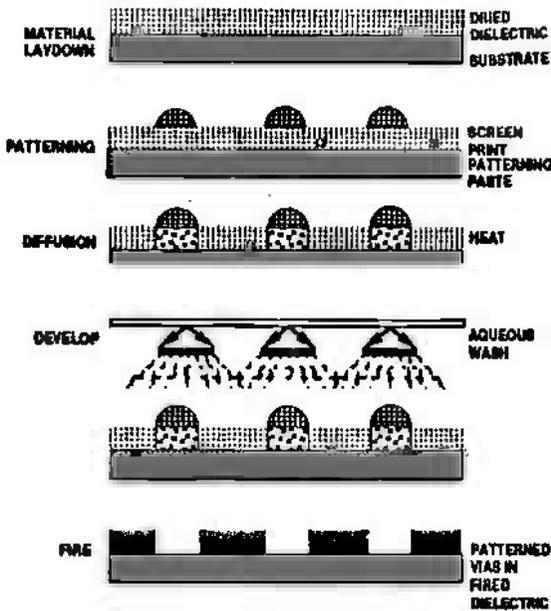


Figure 17. Diffusion Patterning™ process steps. (Courtesy DuPont Electronic Materials.)

3.0 PASTE MATERIALS

Thick-film pastes, also referred to as inks, are thixotropic screenable compositions used in forming the conductor, resistor, and dielectric patterns of a circuit. As with many other technologies, the use of thick films in the manufacture of electronic circuits emerged after World War II and intensified and proliferated in the sixties and seventies. Initially, very few pastes were commercially available. Users had to formulate and produce their own compositions. Among the pioneers were Beckman Instruments (resistors for potentiometers) and Sprague Electric. Today there is little need for a user to produce his own pastes. At least a dozen firms specialize in the manufacture and sale of high-quality thick-film pastes.

3.1 Types and Compositions

The three general types of thick-film pastes are based on their electrical functions—conductors, resistors, and dielectrics. Dielectric pastes may be of two types—insulators or capacitors, depending on whether a filler with a

low dielectric constant or high dielectric constant, respectively, is used. Regardless of their electrical function, all pastes consist of four generic ingredients—the functional material, a solvent or thinner, a temporary binder, and a permanent binder.

1. *Functional Material.* The functional material is the ingredient that imparts to the paste its conductive, resistive, or dielectric properties. It is usually the constituent used in the greatest amount in the paste formulation. Functional materials may be metal or metal-oxide powders. To formulate conductors, metals such as silver, gold, platinum, palladium, copper, nickel, tungsten, or molybdenum are widely used. Alloys of silver or gold with platinum or palladium are often used to modify soldering or wire bonding characteristics. Resistor pastes consist largely of combinations of metal oxides, metals, and glasses. Dielectric pastes are composed of one or more metal oxides and glass. Aluminum oxide and silicon oxide are widely used.
2. *Solvent or Thinner.* Organic liquids of various boiling points are used as solvents to disperse the solid ingredients and to adjust the viscosity, which is helpful during milling and screen-printing. Some widely used solvents are pine oil, terpineol, isomers of terpineol, butyl Carbitol acetate (Union Carbide), and esters such as dibutyl phthalate and trimethylpentanediol isobutyrate. Solvents are removed during the drying and initial firing stages.
3. *Temporary Binder.* Binders are organic polymers or organic compounds of moderate molecular weight that provide rheological (flow control) properties, holding the other ingredients together during the screen printing and firing cycles. Polyvinyl acetate, polyvinyl alcohol, and ethyl cellulose are examples of some binders used. Temporary binders are removed by oxidation and decomposition during the early stages of firing.
4. *Permanent Binder.* The permanent binder is the material that fuses the particles of the functional material together and to the substrate. It remains with the functional material after the solvents and temporary binders have been removed, thus becoming an integral part of the final

fired film. Permanent binders are glasses, also referred to as frit, which melt and resolidify thus wetting the particles and fusing them together. The most widely used binders are lead borosilicate, bismuth silicate, and aluminosilicate glasses. A typical lead borosilicate glass composition consists of 63% lead oxide, 25% boron oxide, and 12% silicon dioxide by weight. Glass comprises approximately 2-3% by weight or 10-15% by volume of the paste formulation. Some conductor pastes (fritless types) do not contain glass; they adhere and fuse by a chemical mechanism called *molecular bonding* described below.

3.2 Conductor Pastes

Functions of Thick-Film Conductors. Like thin-film conductors, thick-film conductors serve a number of functions in a hybrid microcircuit. Primarily, they are used to conduct electrical current in signal lines and to form an electrical path between conductor layers and between devices and circuit lines. A list of uses is as follows:

- Signal layers
- Ground planes
- Voltage planes
- Wire bonding pads
- Ohmic contact pads (for metallurgical or adhesive attachment of die)
- Via interconnections
- Seal rings

The functional materials in conductor pastes are metals of high electrical conductivity. Unfortunately, most of these metals are expensive noble or precious metals such as gold, platinum, palladium, silver, or combinations of these. Low-cost conductor pastes such as those based on copper or nickel are available and may eventually replace the precious metals for some applications. Nickel, however, does not have the high electrical conductivity of copper, silver, or gold and its applications to electronic circuits are limited. Table 5 provides a comparison of the electrical conductivities of various metals. Sheet resistances and adhesion values for paste conductors after firing are to be found in Table 6. It is difficult to give absolute values because

of differences in commercial formulations. For the silver or gold alloy types, there can be large differences depending on the concentrations of platinum or palladium in the formulation; the higher the platinum or palladium content, the higher the sheet resistance.

Table 5. Electrical Conductivities of Metals Used in Thick-Film Pastes

Metal	Conductivity (micro ohm-cm) ⁻¹
Silver	0.616
Copper	0.593
Gold	0.420
Aluminum	0.382
Rhodium	0.220
Iridium	0.189
Tungsten	0.181
Nickel	0.145
Ruthenium	0.10
Platinum	0.095
Palladium	0.093
Chromium	0.078

Note: The electrical conductivities of alloys of the above metals vary depending upon the ratio of the two metals used.

Table 6. Typical Thick-Film Conductor Characteristics*

	Au	Au-Pt	Au-Pd	Ag	Ag-Pt	Ag-Pd
Adhesion to 96% alumina						
In tension (psi)	1,000-3000	600-1600	1500	800-1200	500-1200	800-1700
In peel (lb/in)	5-13	10-20	10-30	12-15	11-15	8-27
Thickness						
Dry (μm)	20-25	25-30	25-30	25-30	25-30	25-30
Fired (μm)	7-13	13-19	13-15	15-17	15-18	10-17
Sheet resistance (milliohms/sq/mil)	2-5	50-100	50-100	2-10	2-7	3-18

*Data represent a composite of values reported by several leading thick film suppliers.

Adhesion values represent specification values; actual values are generally higher.

Values for alloy compositions vary widely depending on the ratios of the metals used.

Besides high electrical conductivity and low cost, other desirable characteristics of conductor pastes are high adhesion to substrates, fine line resolution, good wire bondability (to both aluminum and gold wire) and good solderability.

Adhesion Mechanisms. Conductor pastes may be classified into three types depending on the adhesion mechanism that is involved. These are fritted pastes, fritless pastes, and mixed bonded pastes.

The early compositions were all fritted types, that is, they contained frit, a low melting glass, as the permanent binder. Because there is always some glass in the ceramic substrate, a fusion or interlocking of the glasses occurs at the melt temperature. Thus the mechanism of adhesion is essentially mechanical. A key drawback in using fritted pastes is the difficulty in obtaining a homogeneous film. Chunks of frit are exposed on the top surface and can interfere with wire bonding and soldering. To resolve these problems, a second generation of conductor pastes was introduced about twenty years ago.^[19] These are fritless types. They contain no glass and depend on a purely chemical mechanism for adhesion. The major ingredient in the fritless pastes is gold (98–99%) and the remainder (1–2 weight percent) is copper oxide, cadmium oxide, chromium oxide, or mixtures of these. At temperatures of 950–1,000°C, these oxides react chemically with the aluminum oxide of the substrate to form copper aluminate, or cadmium or chromium aluminate spinel compounds (Fig. 18). These spinel structures are believed to form molecular layers at the interface, chemically bonding the two materials. Because of the method of adhesion and the high amount of gold that they contain, fritless pastes are also called *molecularly bonded*, *reactively bonded*, or “99+” types.

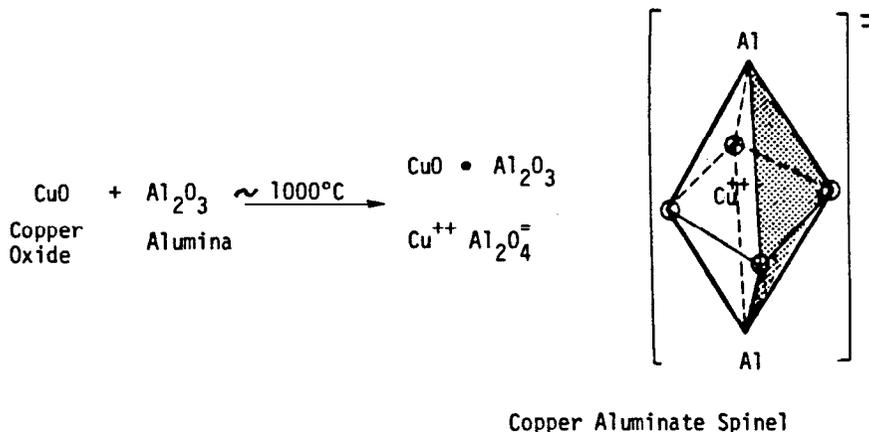


Figure 18. Fritless gold adhesion mechanism.

Though fritless gold pastes are better suited to producing fine-line, high density circuits, improved adhesion to alumina substrates, and improved wire bondability, a key disadvantage is the criticality of the high temperature range required for firing. Maintaining a narrow-temperature firing range of 950-1000°C is necessary to obtain best adhesion results. If temperatures below 950°C are used, the necessary spinel compounds cannot form and marginal adhesion results. Firing temperatures above 1,000°C are undesirable because the gold will melt. This limitation led to the development of still a third generation of pastes—the “mixed-bonded” types which, in essence, are a compromise, combining some of both mechanisms. As the name implies, mixed-bonded pastes are composed of ingredients that permit both adhesion mechanisms to occur. Copper oxide or mixtures of spinel-forming oxides are added to the paste formulation to achieve molecular bonding along with some glass frit to produce mechanical bonding. A key advantage of the mixed-bonded pastes is that the more conventional firing temperature of 850°C can be used.

Though significant improvements have been made by using either the mixed-bonded or fritless conductor pastes, the problem of wire bondability has not been entirely resolved. Riemer,^{1*} for example, reports that the top surface of a fired fritless gold conductor is still not homogeneous; it contains an oxide layer of about 2,000 Å, which interferes with wire bonding. The top surface of mixed-bonded conductors also contain oxides and some glass frit. Research and development programs are therefore still in progress to formulate the ideal paste that will combine excellent wire bondability with high adhesive strength.

Adhesion Tests. There are two widely used tests for measuring the adhesion strength of thick-film conductors to ceramic substrates or to dielectric layers—tensile adhesion and peel adhesion. Conducting these tests under controlled conditions and obtaining reproducible values that lie in a narrow range is difficult because subtle variations in producing the test specimens result in large differences in values. Nevertheless, averages of many values are indicative of differences in adhesion strength and, therefore, significant in ranking thick-film conductors.

The test specimen for the tensile test consists of small square or circular pads of the thick-film conductor that are screen-printed and fired onto a ceramic substrate. The size of the pads may be as small as 50 mils square but some firms use 100 mil square pads or circular pads of 0.01 in² so that multiplying the pull force by 100 conveniently gives pounds per square inch. A wire is then soldered to a copper plug which in turn is soldered to the conductor pad. The wire is pulled in tensile (normal to the substrate) using

an Instron or other tensile testing machine. The maximum pull force, in grams or pounds, at detachment or prior to detachment of the conductor is reported as the pull strength. A sufficiently thick ceramic substrate should be used for the test specimen (generally 40 to 60 mils thick is adequate) so that the breaking strength of the ceramic is greater than that of the conductor film. Tensile strength values for a fritless and a mixed-bonded gold conductor showing the range of values obtained are given in Table 7. These values, generally averaging about 5,000 psi, exceed the 3,000 psi requirement specified in most procurement specifications for thick-film conductors.

Table 7. Tensile Adhesion Test Data (psi)

	Fritless Gold	Mixed Bonded Gold
	5,687*	5,072
	6,168	5,669
	5,854	4,518
	6,311	4,930
	4,867	6,746
	3,882	5,705
Average (24 data points)	5,461	5,440

Each value represents an average of 4 pull test values.

Peel and shear forces are more representative of the stresses that a hybrid circuit might encounter during assembly or operation than tensile forces. Conductors that have marginal or poor adhesion may detach as a result of peel or shear forces from pulling, bending, or twisting the attached wire leads. Large diameter wire, stiff wire, or lead frames attached to the outward pads of a thick-film circuit can transfer shear stresses to the pads and lift them. This is a costly situation because, at this stage in the assembly, the substrate metallization cannot be repaired. In spite of the greater significance of the peel test, the tensile test is still widely used as a comparative test to evaluate and select conductor pastes, to establish firing parameters, and to monitor quality. The peel test utilizes a test specimen similar to that for the tensile test. Copper wire (about 20-gauge) is solder attached to square conductor pads (80 mils square). Both the wire and conductor pads are solder dipped prior to attachment. The wire is laid over a row of pads so that a

straight length of wire contacts the pads prior to solder dipping. The wire is fastened to one end of the substrate by hooking it around the edge of the substrate. At the other end it is bent at a 90-degree angle at a distance of approximately 50 mils from the edge of the conductor pad (Fig. 19). This bend distance is critical in obtaining reproducible results. The specimen is then clamped to a tensile tester and the force necessary to detach the wire is measured. Samples should be aged 16 to 24 hours at room conditions prior to pull testing to allow the solder to anneal and obtain reproducible values.^[21] A second variation of the peel test consists of fabricating the test specimens with 100-mil-square conductor pads, then solder-attaching and pulling copper ribbon that is 100 mils wide and 3 mils thick. Multiplying the pull force by 10 then gives the peel strength in pounds-per-inch width.

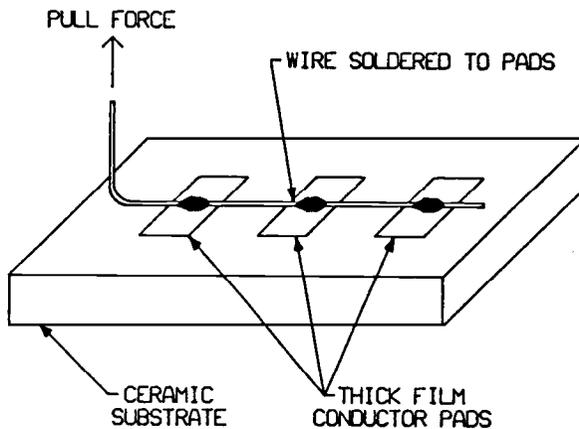


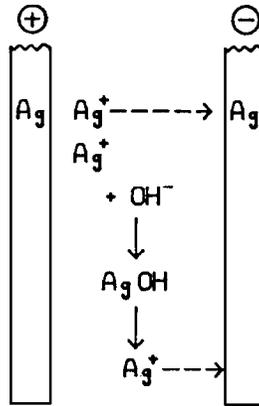
Figure 19. Peel test specimen.

Metal Migration. Metal migration, especially silver migration, can occur between closely spaced conductor lines and can result in reduction of insulation resistance, increase in leakage current, and eventual electrical shorting, arcing, or dielectric breakdown. Metal migration has been known and studied for over thirty years and has been the cause of many catastrophic microcircuit failures.^{[22]-[26]} Though metal migration can occur in either thick or thin-film conductors, it is treated here under thick films because silver conductors are more widely used in thick-film circuits. Metal migration has been dominant with silver and silver-bearing alloys, but may occur within almost any metal. Even gold, under the appropriate conditions of bias, ionic contaminants, and moisture, has been reported to migrate.^[27] It is generally

reported that three conditions are necessary for metal migration to take place. These are—a dc or ac potential, which may be as small as one or two volts; some ionic contaminants on the surface of a dielectric or within the dielectric, and moisture as a liquid layer, albeit even a monolayer. There are reports that even moisture may not be necessary as long as the dielectric medium allows ionic migration, thus acting as a solid electrolyte.[**] Besides the three conditions mentioned, the nature of the metal, the solubility of the metal hydroxide or oxide that is formed, the porosity of the dielectric, and the spacing between conductors, also have a pronounced effect on metal migration.

Basically, metal migration is an electrochemical phenomenon. Silver, the metal having the highest propensity for migration, is oxidized at the anode (positively biased conductor line) to positively-charged silver ions. The silver ions then are attracted to the opposite, negatively biased-line (cathode). On their journey across or through the dielectric, the silver ions are accelerated by the presence of moisture and ionic contaminants. A chemical reaction usually occurs between the ions and the hydroxyl ions of water; for example, silver will form a silver hydroxide, which appears as a milky-white smudge between the conductors. This reaction can either accelerate or suppress the migration depending on the solubility of the hydroxide that is formed. If the hydroxide is soluble in the medium, it will quickly dissociate and the metal ions will continue their migration to the cathode, as in the case of silver. If, however, the hydroxide is insoluble, the migration of the metal ions will be arrested or slowed, as in the case of copper. The insoluble hydroxide forms a passivating barrier that can essentially stop the migration. If the positively charged ions are unobstructed and arrive at the cathode they pick up electrons and are reduced back to the metal. The ions move along field lines and are deposited at points of high field strength. The metal builds on itself and grows as filaments backward toward the anode. Thus, in essence, one has a two dimensional electrolytic cell, a solid plating bath. **Because these filaments have the appearance of free branches, they are called dendritic growth.** A model for silver migration on a dielectric surface is given in Fig. 20.

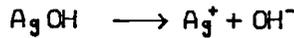
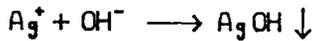
It is good practice not to use metals that are known to migrate readily, such as silver. However, since there is a propensity for almost any metal, even gold, to migrate, some proven ground rules should be followed to suppress or prevent migration. Among these are:



ANODE REACTIONS



INTERELECTRODE REACTIONS



CATHODE REACTION

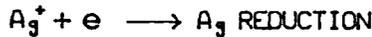


Figure 20. Model for silver migration.

- Design the circuit to avoid biases between critical components.
- Avoid the use of pure silver. Use alloys of silver and noble metals such as platinum or palladium; noble metals slow down silver migration by reducing the rate at which silver ions are formed at the anode. The larger the concentration of Pd or Pt in the alloy, the slower the silver migration, but it must be understood that this is achieved at the expense of electrical conductivity. Thus the sheet resistance of silver may be increased from less than 5 milliohms/sq. to over 100 milliohms/sq. by adding large amounts of Pd or Pt. This lower conductivity may render them unsuitable for some electrical circuits.

- Use low-porosity, hydrophobic dielectrics and substrates. Test the substrates for insulation resistance at elevated temperature (250°C) or under moisture conditions under which ions are most likely to be mobilized. A high insulation resistance of $> 1 \times 10^{11}$ under these conditions assures low ionic mobility within the dielectric.^[**]
- Handle, store, and seal the circuits in a moisture-free environment.
- Overcoat the circuits with an organic coating or a glassivation layer to prevent moisture from condensing on the surface.
- Assure that the surfaces are ultra-clean and free of ionic contaminants.

It is difficult to predict to what extent a metal conductor will migrate. This is especially true for thick-film conductor pastes because they are heterogeneous mixtures of many materials. Therefore, it is good practice to test thick-film conductors for metal migration prior to qualifying them for use. An effective and widely used test is the water-drop test. This simple accelerated test involves forming a pattern of lines, pointed at the ends, that are separated at several distances representative of the spacings that will be used in a circuit (Fig. 21) applying a small bias (1-2 volts) across the gap, and bridging the gap with a drop of deionized water. For those metals that have a strong tendency to migrate, dendritic growth can be observed in a matter of seconds at the negative terminal.^[*] The rapid dendritic growth from a silver-palladium thick-film conductor after only 10 seconds at 4 volt bias is shown dramatically in Fig. 22. For slower migrating conductors, the time required to develop a 10 ma-current with a 10 vdc applied bias across a 10 mil gap has been used to rate quantitatively the migration tendencies of various thick-film conductors. Results for three thick-film conductors, silver-platinum, silver-palladium, and gold, are shown in Figs 23 and 24

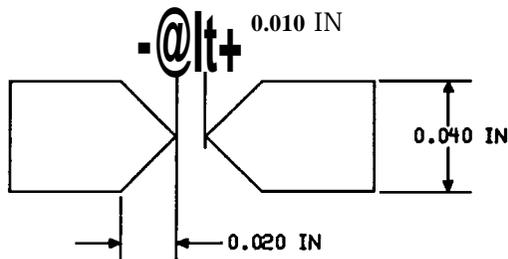
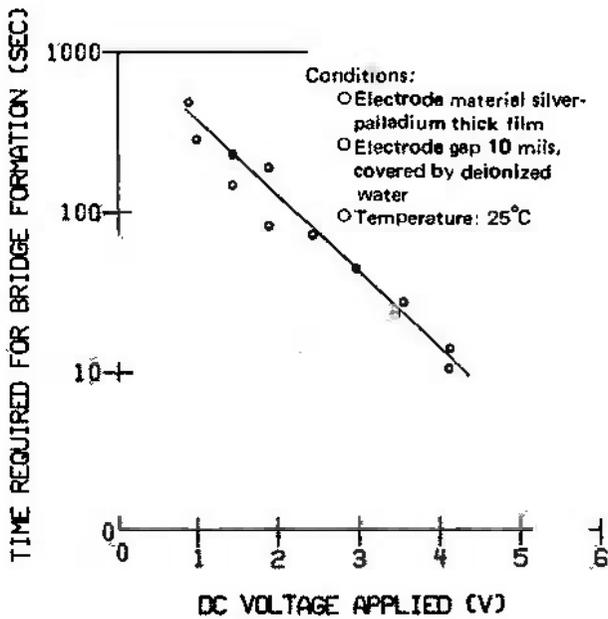


Figure 21. Migration test pattern.



Note: Bridge formation indicated by sudden increase of current.

Figure 22. Time for bridge formation by silver migration in water as a function of applied voltage.^[28]

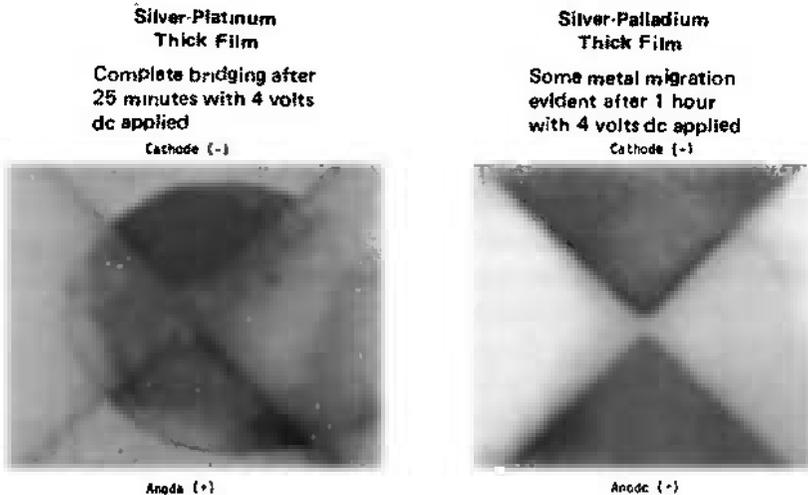


Figure 23. Metal migration water-drop-test results. (Courtesy Rockwell International Corp.)

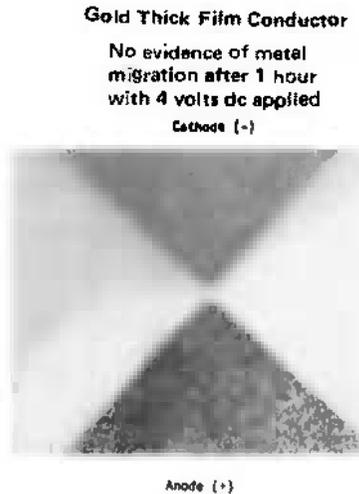


Figure 24. Metal migration water-drop test for gold thick film. (Courtesy Rockwell International Corp.)

Soldering and Solder Attachment. Tin/lead solder interconnections and attachments are generally avoided in hybrid circuits where chip (uncased) devices are used because of the high potential for tin/lead contamination of wire bonds, contamination of devices and circuits with flux, and solder splatter. Entrapment of these contaminants in a sealed package can cause degradation of wire bonds, corrosion, changes in device electrical parameters, and electrical shorting. However, solder is very much used in attaching and interconnecting packaged components to ceramic printed circuit boards. Thick film multilayer ceramic circuit boards are finding extensive applications for interconnecting surface mount components, both the leaded and leadless types. A popular sequence is to screen-print solder paste on to the thick-film conductor pads, attach the components, and reflow the solder by vapor phase, infrared, or wave reflow. In the use of solder on thick films there are two critical considerations, solderability and solder leach resistance.

Solderability. Solderability is the ability of a solder to quickly and uniformly wet a surface. Generally, all precious metal conductors and many non-noble metals (copper, nickel) are solderable. Poor solder wetting occurs when the metal surface has become contaminated externally or internally as with organic residues, inorganic salts, or through oxidation. Thick-film pastes that contain large amounts of glass frit are also difficult to solder to. Commonly used techniques for optimizing solderability are:

- Using a flux to remove surface oxides
- Burnishing the surface mechanically
- Plasma cleaning the surface, to remove organic residues
- Chemically cleaning or etching the surface
- Controlling the time-temperature schedule and the furnace atmosphere in the firing of thick films
- Employing thick-film pastes that have a low glass content or no glass (fritless)

Solder Leach-Resistance. Solder leach-resistance is the reluctance of the thick-film conductor to be absorbed, alloyed or otherwise removed by the hot solder. Controlled alloying of the solder with the top metal layer of the thick-film conductor is important in forming a sound electrical and mechanical joint. This process, however, must not be allowed to go too far since the thin layer of precious metal can be completely absorbed (leached) by the solder. The commonly used techniques for minimizing or obviating solder leaching include:

- Controlling and minimizing the time and temperature that the molten solder remains in contact with the thick-film conductor
- Increasing the thickness of the thick-film conductor
- Using thick-film conductor pastes that contain a second metal that is known to suppress solder leaching.

The addition of platinum or palladium to gold or silver pastes improves their solder leach-resistance, but at the expense of reduced electrical conductivity. Thus, in the fabrication of multilayer substrates, these alloy pastes are used only for the top conductor layer or for the solderable pads. The inner conductor layers are generally formed from the unalloyed gold or silver paste.

3.3 Resistor Pastes

The early thick-film resistors, introduced about 1960 to 1965, were based on combinations of silver, silver oxide, palladium, and palladium oxide with compatible binders and solvents. Because of the ease with which palladium oxide is reduced to free palladium, these early resistor formulations were very unstable. Small amounts of hydrogen generated from the chemical reaction of metals with moisture were sufficient to reduce the palladium oxide and reduce the resistor values. Today, high stability

resistors, based on the thermodynamically more stable ruthenium (Ru^{+4}) oxides are available and have essentially supplanted the palladium silver compositions. In these compositions, ruthenium dioxide (RuO_2), ternary oxides such as barium ruthenate (BaRuO_3) and bismuth ruthenate ($\text{Bi}_2\text{Ru}_2\text{O}_7$) are used. These oxides are not easily reduced or oxidized. Besides the ruthenium oxides other stable oxides of iridium, rhodium, and osmium can be used, but at a somewhat higher cost.

Thick-film resistor pastes are available in sheet resistances as low as 1 ohm per square to as high as 10^9 ohms per square per mil thickness. Tolerances and stability, however, are much better for the intermediate ranges than for the very low or high values. Pastes of different sheet resistances can be formulated by varying the amount of glass that is blended with the metal or metal oxide phase; the higher the glass content, the higher the sheet resistance. TCR values are also largely a function of the glass content. For low-value resistors, where the metal phase dominates, TCR values are positive and relatively low, whereas for the high sheet resistances, the glass phase dominates and TCRs are negative and higher. Glass content also has a significant effect on resistor noise; the high value resistors, because of their high glass content, will be noisier than low value resistors. The geometry of the resistor also affects noise. As the resistor increases in volume by either an increase in its thickness or area, the noise increases. Typical thick-film resistor characteristics are given in Table 8 and in Fig. 25 and 26.

Table 8. Typical Thick-Film Resistor Characteristics

Tolerances, as fired	± 10 to $\pm 20\%$
Tolerances, laser trimmed	± 0.5 to $\pm 1\%$
TCRs	
5 to 100k ohm/sq (-55 to +150°C)	± 100 to ± 150 ppm/°C
100k to 10M ohms/sq (-55°C to +125°C)	± 150 to ± 750 ppm/°C
Resistance drift after 1,000 hr at 150°C, no load*	+0.3 to -0.3%
Resistance drift after 1,000 hr at 85°C with 25 watts/in ² *	0.25 to 0.3%
Resistance drift, short term overload (2.5 times rated voltage)	<0.5%
Voltage coefficient	20 ppm/(V) (in)
Noise (Quan-Tech)	
100 ohm/sq	-30 to -20 db
100k ohm/sq	0 to +20 db
Power Rating	40 to 50 watts/in ²

*Resistance drift curves for various sheet resistances and power loads are given in Figures 25 and 26.

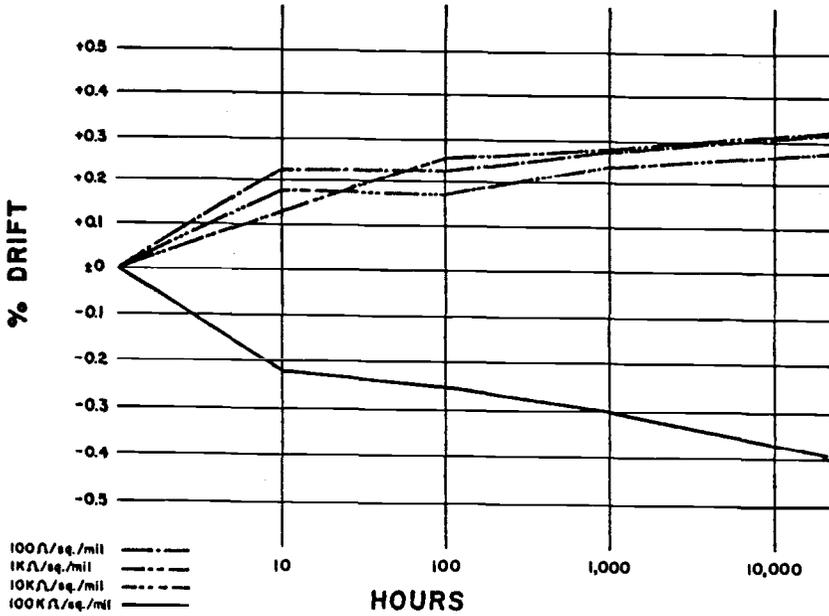


Figure 25. Resistor drift at 150°C, no load.

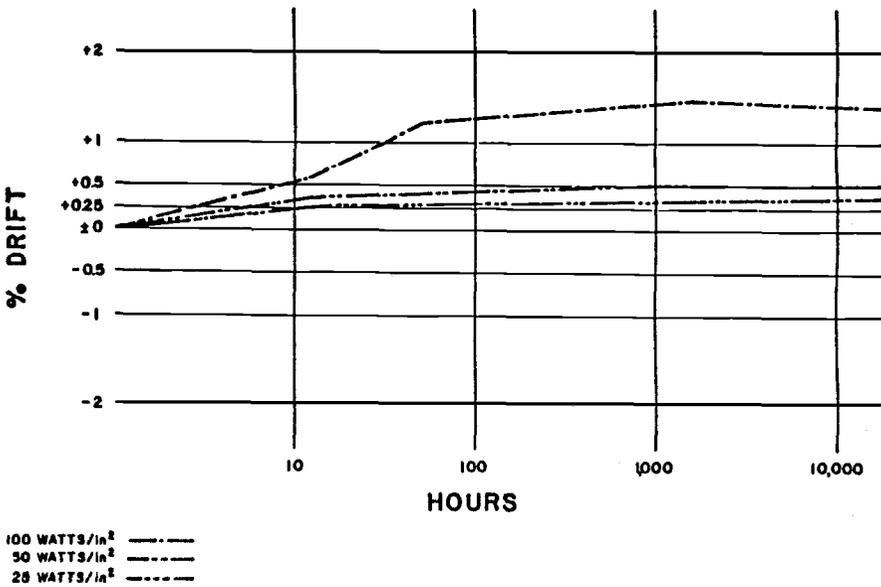


Figure 26. Resistor drift at 85°C under various loads for low value sheet resistance (100 ohms/sq./mil).

There are many excellent commercially available thick-film resistor systems. Quantitative performance data for each resistor series may be obtained from the manufacturer, but, for high reliability applications these data should be verified by the user. Each resistor system should be characterized experimentally by testing samples that simulate the values and geometries that will be used in a specific application. Besides these inherent material properties, several processing variations affect resistor values and performance. The nature of the conductor thick film that is used to terminate the resistors can have a profound effect on sheet resistance, noise, TCR, and drift. The characteristics of a resistor system terminated with gold thick film will differ from those terminated with silver, silver alloys, or other termination. Even within formulations of the same metal, such as fritted gold versus fritless gold, differences will exist. This termination effect is more pronounced in small resistors (less than 50 mils in length) where resistor-conductor interactions become significant.

Resistors may be pre-terminated or post-terminated. In *pre-terminated resistors*, the conductor layer is screen-printed and fired onto the substrate first, forming the termination pads; then resistors are screen-printed such that their ends overlap the conductor pads. In *post-terminated resistors*, the resistors are screen-printed first, then the conductor circuit is screen printed so that the terminals overlap the ends of the resistors (Fig. 27). Fairly reproducible resistor values are obtained when resistors are pre-terminated; that is, screen-printed last through several layers of dielectric. However, when the thickness of the dielectric becomes too high, as with multilayer circuits having greater than three conductor layers, it is difficult to obtain reproducible dimensions and uniform thicknesses of the resistors because the screen must be pressed through deeper cavities. This creates a situation in which the paste tends to slump and run, thus changing the desired aspect ratio and the resistor value. This effect is especially pronounced in small resistors. In these cases, either greater allowance should be made in designing resistors so that they can subsequently be laser trimmed to value or the resistors should be screen-printed on the top of dielectric. Post-terminated resistors hold their dimensions better and give more reproducible values than pre-terminated resistors. However, in fabricating multilayer circuits, post-terminated resistors are subjected to multiple high-temperature firings, which also can change their values. To maintain high stability, most manufacturers screen-print the resistors last, either on the top dielectric or onto the ceramic substrate through apertures left in the dielectric. Screen-printing the resistors on the top dielectric should be verified experimentally because of possible physical and chemical interactions that can occur between the resistor paste and the dielectric during firing. 1301-1321

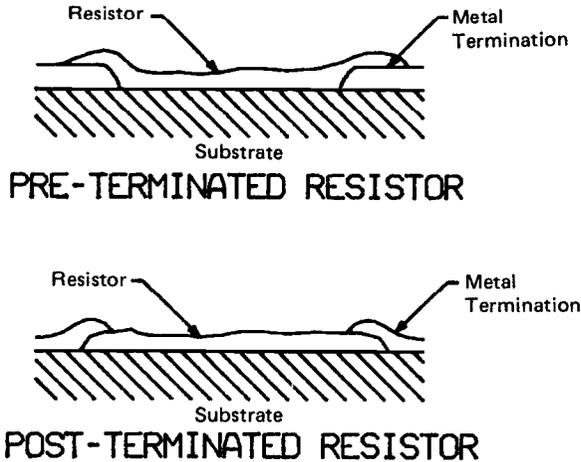


Figure 27. Thick-film resistor terminations.

3.4 Dielectric Pastes

Thick-film dielectrics are used as electrical insulating layers to separate conductor layers in a multilayer circuit, as insulation for crossovers, and as a protective overcoating for resistors and conductors. Generally, dielectric pastes consist of a mixture of alumina or other ceramic powder, a devitrifying glass (one that converts to a crystalline structure after firing, but does not reflow upon reheating), a thixotropic organic binder, and organic solvents. Dielectrics may also contain temporary (fugitive) or permanent colored dyes. These dyes (usually blue) aid in registration and inspection. The temporary dyes are "burned out" during firing and become colorless, whereas the permanent dyes remain after firing and add some aesthetics to the circuit.

Most commercially available dielectric pastes have been formulated so that they can be fired between 850 and 950°C and, as such, are compatible with the furnace profiles used for the majority of resistors and conductors. Thus, the same furnace settings can be used for all three functional pastes.

Some of the more important engineering parameters for thick-film dielectrics are electrical values (insulation resistance, dielectric constant, dissipation factor, breakdown voltage), integrity of the film (pinholes and porosity), and via resolution.

Insulation Resistance. The insulation resistance of commercially available dielectric pastes is generally higher (greater than 10^{11} ohms) and remains high even under conditions of high humidity and elevated temperature. These values are excellent for most hybrid circuit applications where hermetically sealed packages are used. For thick-film ceramic printed circuits (unsealed), moisture and ionic contaminants can degrade (lower) the insulation resistance, especially of the more porous dielectrics.

Dielectric Constant. Dielectric constants of dielectric pastes may range from 6 to 14 but typically are 9 to 10. These values are acceptable for most hybrid circuit applications. However, for very high frequency, high speed circuits (GHz range) a low dielectric constant of 2-4 is desirable and often mandatory. Dielectric pastes based on ceramic fillers that meet this requirement are not available. Consequently, quartz, polyimide, Teflon, or other low dielectric constant materials must be used for these applications.

Dielectric Breakdown Voltage. Breakdown voltages for thick-film dielectrics are high (greater than 500 volts/mil) and adequate for most applications in which 1.5-2.0 mils-thick dielectric is used. For very high voltage circuits thicker (3-4 mils) dielectric layers can be screen-printed, if necessary.

Pinholes/Porosity. The physical integrity of the dielectric layers that separate conductor layers in thick-film ceramic multilayer boards is critical to both yield and reliability, particularly for large, high density ceramic multilayer boards. Reports of inter-layer conductor shorting and failure of boards to survive bias and humidity demonstrate the importance of conducting tests on commercially available dielectric materials to determine their integrity before final selection and use in production.

Shorting can occur between successive conductor layers for two reasons: (i) the dielectric contains pinholes which become filled with conductor paste during the screen-printing process, thus causing shorts to the underlying conductor layer and (ii) under bias and moisture conditions some conductors, notably silver and copper, oxidize, form cations, then migrate through a porous dielectric, form metal dendrites, and again cause interlayer shorting. Dielectric porosity which allows moisture and ions to permeate results in decreased reliability because of decreased insulation resistance and may lead to catastrophic shorting.

Several tests may be used to evaluate and compare the integrity of dielectrics so that reliable materials and processing conditions may be selected. Among these tests are: (i) a pinhole identification test-detects pinholes or discontinuities in the dielectric; (ii) a *water-drop* test-measures the porosity or permeability of the dielectric to water and ions; and (iii) a

metal migration test—evaluates the susceptibility of dielectrics to metal migration. The test specimen that is used for all three tests consists of a capacitor structure as shown in Fig. 28. Specimens are fabricated by screen-printing the dielectric between metal electrodes.

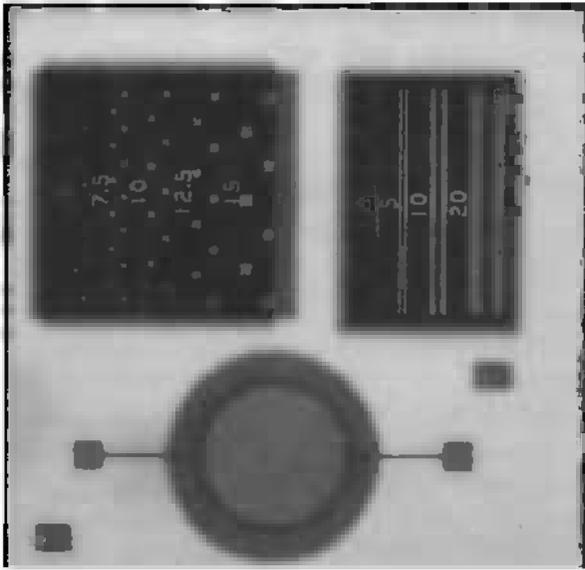


Figure 28. Test specimen for dielectric evaluation. Top: Capacitor pattern to evaluate pinholes, porosity, dielectric constant and dissipation factor. Bottom right: via pattern. Bottom left: line-definition pattern.

The *pinhole identification test* is an adaptation of a test previously developed to determine the integrity of silicon oxide passivation layers on semiconductor devices.^[33] For the pinhole test, the top electrode is omitted. The apparatus consists essentially of an electrolytic plating bath. As shown in Fig. 29, a dc potential (40 volts) is applied between the bottom electrode of the capacitor pattern (cathode) and a copper ring (anode) while the sample is immersed in a dilute aqueous solution of copper sulfate. If pinholes are present, metal dendrites will form at the pinhole sites. This results in electrophoretic decoration of these sites due to the electrolytic reduction of the copper ions. Thus the pinholes become visible, are easily identified, and can even be counted.

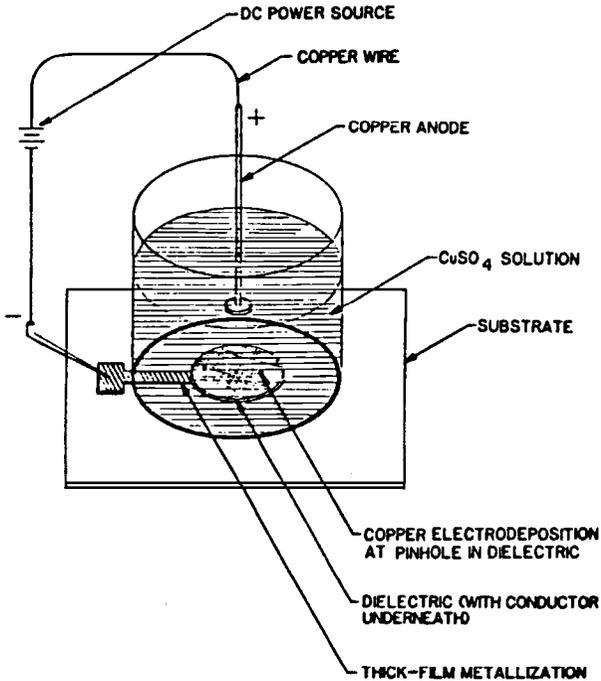


Figure 29. Pinhole identification test.

The *water-drop test*^[34] is used to determine the porosity or permeability of dielectrics to water and impurity ions by measuring the change in insulation resistance. According to this test, a drop of tap water is carefully placed on the capacitor pattern at the edge of the top electrode. Voltage is then applied between the capacitor electrodes and the inter-electrode resistance is measured. With an applied voltage of 200 volts dc, resistance is monitored for several minutes. Under these conditions, if the resistance drops below 10^9 ohms, the dielectric is considered to be porous and fails the test. In addition to selecting low-porosity dielectrics, most manufacturers screen-print two and sometimes three dielectric layers in order to reduce the probability of pinholes extending through the thickness of the dielectric.

The *metal migration test* is an extension of the water-drop test. The capacitor is fabricated using silver or copper thick-film conductors as the electrodes. The samples, containing a drop of deionized water, are exposed to a dc bias of about 100 volts for an extended time of about six hours. The

specimens are biased such that the top electrode is rendered anodic and the bottom electrode cathodic. With this polarity, oxidation occurs at the top electrode and any resulting metal cations are reduced to free metal at the bottom electrode. This test is used not only to evaluate the porosities of various dielectrics, but also to assess the protection afforded by various organic coatings.[35]

Via Resolution. Typical via resolution is 10-15 mils, though with special pastes and screens, 7-mil vias have been achieved. Because the paste flows to some extent after screen-printing, the diameter of the via will be smaller than that defined in the screen pattern. Hence, it is very easy for a small diameter via of 5 mils or less to close up completely. The resolution of vias should be experimentally determined for each dielectric paste by screen-printing a pattern having vias of different sizes (Fig. 28).

3.5 Thick-Film Capacitors

Capacitors may be batch fabricated by screen-printing and firing a dielectric paste, much as is done with resistors. Capacitor pastes are composed of ferroelectric materials having high dielectric constants (several hundred to ten thousand). Titanates are extensively used as fillers in capacitor pastes. A typical paste consists of barium titanate formulated with the normal organic binders (ethyl cellulose), solvents (terpineol), and glass frit. The dielectric constant of barium titanate is about 1,600 at room temperature, but abruptly increases to 6,000 at the Curie temperature of 120°C (Fig. 30). [36] Significant changes in dielectric properties occur at both the Curie temperature (120°C) and at 5°C because these are transition temperatures at which the crystal structure of barium titanate changes from tetragonal to cubic and from rhombic to tetragonal, respectively. [37] Ceramic capacitors based on barium titanate offer a wide range of dielectric properties. By varying the microstructure and chemical composition, different Curie temperatures and dielectric constants can be obtained. Additives such as lead titanate shift the Curie temperature above 120°C while strontium titanate will lower the Curie temperature. Compositions of barium titanate with small amounts of rare earth oxides (such as La₂O₃) or blends with other titanates (SrTiO₃) have been reported to shift the Curie temperature of barium titanate close to room temperature and even below 0°C. Other additives, called depressors, will also lower the dielectric constant. An excellent review of thick-film capacitors based on the titanates has been given by Ulrich. [38]

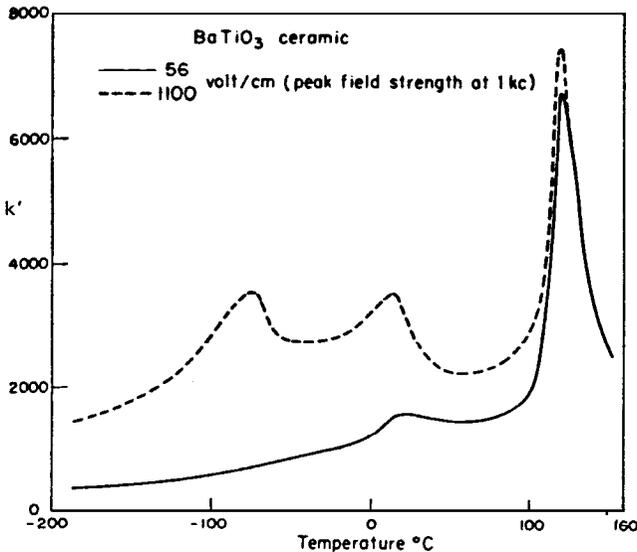


Figure 30. Dielectric constant of barium titanate ceramic as function of temperature.^[36]

Low value capacitors are formed by sandwiching the dielectric between a bottom and top conductor, in essence forming a parallel plate capacitor (Fig. 31). The process sequence for batch fabricating capacitors is given in Fig. 32. Where high value capacitors are required, the process sequence may be repeated so that a multilayer structure is formed. The numerous steps involved in screen-printing, drying, and firing such high capacitance capacitors must be weighed against simply buying the chip capacitors and assembling them. In fact, generally, discrete capacitors are used in hybrid circuits. Compared with screen-printed and fired capacitors, chip capacitors have closer tolerances, are more stable to temperature variations, take up less substrate area, and are less costly.

4.0 NON-NOBLE-METAL THICK FILMS

There has always been an interest in developing low cost non-noble-metal thick-film pastes as replacements for gold pastes, but it wasn't until the price of gold approached 1,000 dollars per ounce (1979–1980) that industry became serious about developing non-noble-metal pastes, in particular, copper pastes. Besides its lower cost, copper has several other financial and

technical benefits. Because of its abundance, the price of copper does not fluctuate as does that of gold, thus making it easier to price a product. Strict inventory controls and secure facilities are also not required. From a technical standpoint, adhesion of copper to ceramic substrates is better than for gold, often twice as high; the electrical conductivity is slightly higher; and solderability and solder leach resistance are much better. On the negative side, copper pastes require firing in a nitrogen atmosphere and some of their cost advantage is offset by the cost of nitrogen; special, higher priced dielectric pastes are required to prevent warping or bowing of the substrate; a full range of resistor pastes fireable in nitrogen and compatible with the copper is not available; and copper is susceptible to corrosion and must be protected in handling, storage, and in the final product.

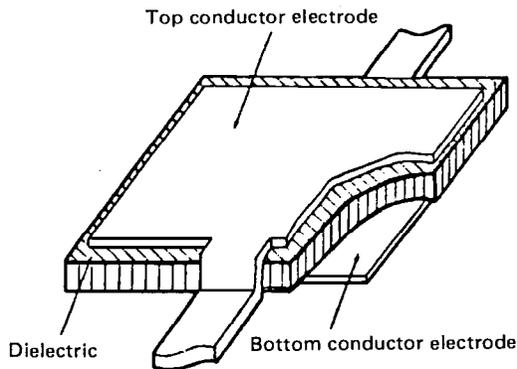


Figure 31. Structure of a thick-film capacitor.

Of the three main non-noble metal options—copper, nickel, and aluminum—the greatest amount of development work has been performed on copper. Thick-film aluminum pastes, though they would be ideal in keeping all interconnections monometallic, are not commercially available. The industry has not been successful in developing an aluminum paste stable enough to withstand the multiple firings that are necessary to produce a multilayer circuit, even when fired in an inert atmosphere. Nickel pastes have been developed and are commercially available, but are not used as direct replacements for gold because of their much lower electrical conductivity. Nickel pastes, however, are widely used as electrical contacts in display panels.

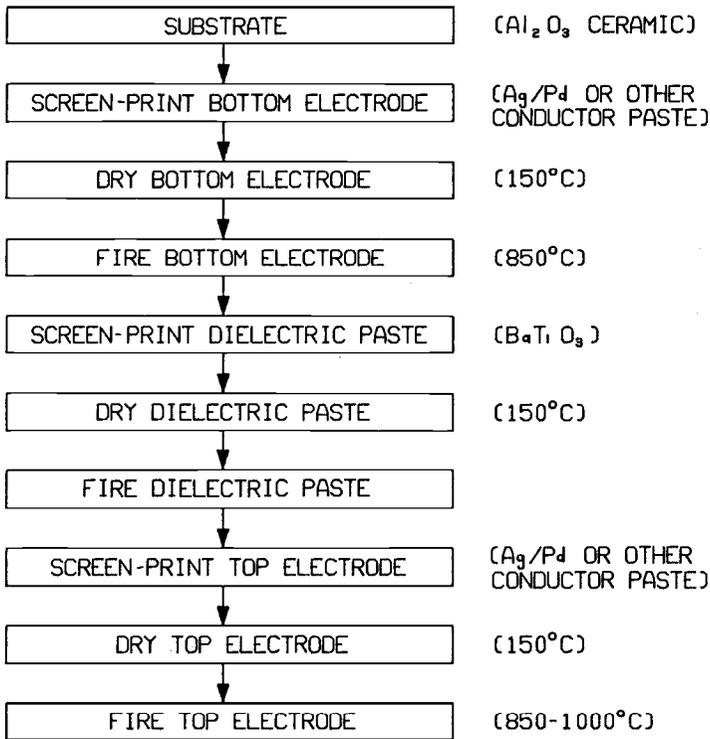


Figure 32. Process steps for thick-film capacitor.

Extensive research and development have been performed on copper thick-film pastes, notably by DuPont during the period 1975–1983. Copper paste formulations were optimized and firing conditions established so that copper conductors with high electrical conductivity and high adhesion to substrates were obtained. Concurrently, dielectric and resistor pastes, also fireable in nitrogen and compatible with the copper, were developed and furnace manufacturers designed and produced special inert gas ambient furnaces suitable for processing the new pastes. Though copper thick-film systems were thoroughly evaluated as replacements for gold in the fabrication of hybrid microcircuits there has been a reluctance to implement them because the cost savings relative to the total cost of the hybrid has not been considered significant. Moreover, some anomalies were discovered in aluminum wire bond reliability, and resistor pastes with a wide range of resistor values have not been available. However, copper thick-film conductors have found greater application in the manufacture of large area ceramic

printed circuit boards in which components or leadless chip carrier devices are solder-attached (Fig. 33).

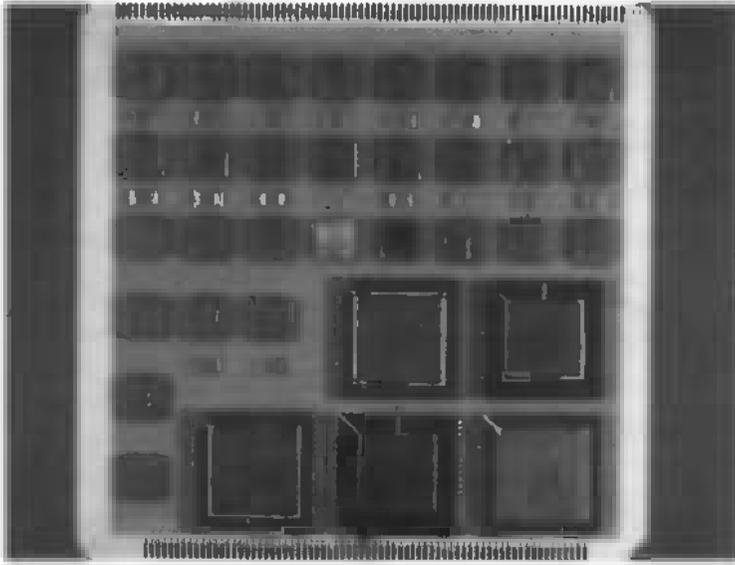


Figure 33. Large (4 x 4 inch) ceramic printed circuit with leadless chip carriers solder-attached to copper thick-film conductor pads. (Courtesy Rockwell International Corp.)

4.1 Processing of Copper Thick Films

Copper thick-film pastes and their associated dielectric and resistor pastes are processed similar to their precious metal counterparts except that the high temperature firing step must be performed in a controlled inert atmosphere, commonly nitrogen. The pastes are first screen-printed through 325 mesh screens, then dried for 10 minutes at 120°C. Drying may be conducted in air, but temperatures above 120°C should be avoided, again because of oxidation. After drying, the films are fired for 6–10 minutes at a peak temperature of 900°C, though temperatures between 850–950°C have also been successfully used. A typical temperature profile is shown in Fig. 34.

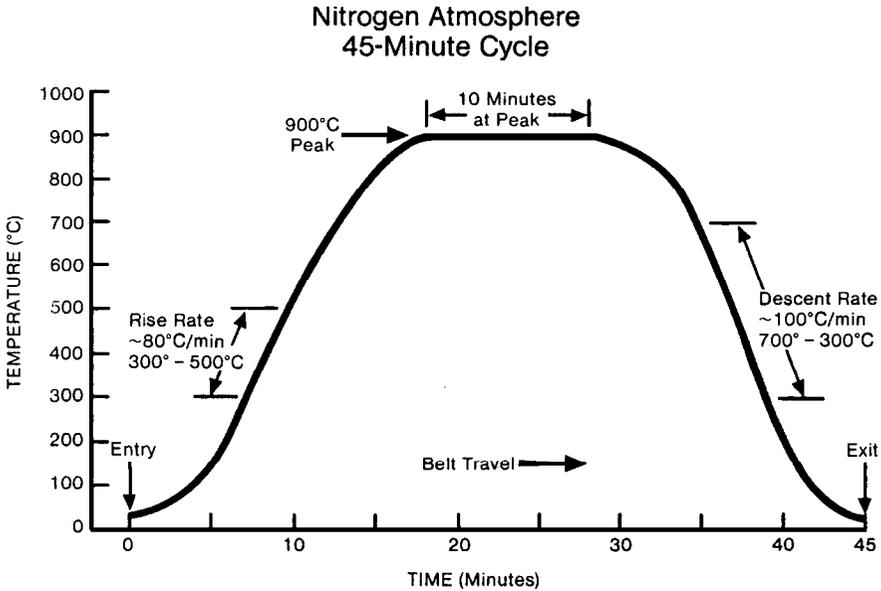


Figure 34. Furnace temperature profile for firing copper thick-film conductors. (Courtesy DuPont.)

An inert (nitrogen) atmosphere is essential in firing copper pastes, but a compromise has to be achieved in the purity of the nitrogen used. Pure nitrogen (no air/oxygen) is important in preventing oxidation of the copper; yet some oxygen must be present to oxidize and remove the organic binders in the paste. Oxidation of copper is manifested in discoloration of the films from a copper-pink color (no oxidation) to gray or purple (oxidation); poor wire bondability, poor solderability, and loss of film adhesion. The firing furnace should be equipped with an oxygen analyzer to monitor the amount of oxygen in various zones of the furnace. Oxygen concentrations of 6–8 ppm in the burnout zone and less than 15–20 ppm in the sintering zone are considered satisfactory for copper processing. Extremely pure nitrogen presents a problem in the burnout zone since some oxygen is necessary to oxidize and remove the organic binders. If incompletely removed, these organics remain an integral part of the conductor resulting in a sooty appearance. Thus, in some cases, controlled amounts of oxygen must be introduced. Data on the effects of varying quantities of oxygen on the electrical and physical characteristics of copper conductors have been reported.^[39]

4.2 Characteristics of Copper Thick-Film Conductors

The main advantage in using copper thick-film conductors is their much lower cost compared with the precious metal compositions. Copper ores are among the most abundant in the earth's crust. In fact, there has been such an oversupply that many mines in the Southwest United States have been closed. Besides its low cost, copper has several engineering advantages over gold thick films, among which are:

- Higher electrical conductivity
- Improved adhesion to alumina ceramic substrates
- Better solder wetting and solder leach resistance

Copper thick-film conductors have electrical sheet resistances in the range of 1.5 to 2 milliohms/square/mil compared to 2 to 5 milliohms/square/mil for gold conductors. This higher conductivity is of benefit in some microwave circuits. The resistivity of copper conductors remains fairly constant on aging at 150°C for 1,000 hours (Fig. 35) and on storage at 90% relative humidity at 40°C (Fig. 36). The adhesive strength of copper conductors to 96% alumina substrates in both peel and tensile is also better than for gold. Adhesive strength remains fairly stable after temperature cycling (Fig. 37). There is some loss of adhesion on aging at 150°C for 1,000 hours. The bulk of this loss occurs during the first 100 hours, but the remaining adhesive strength is still high and satisfactory for most applications (Fig. 38).

There has been some concern about the reliability of aluminum wire ultrasonically bonded to copper thick film. Extensive studies have been carried out and reported by DuPont showing that no problems exist even after accelerated temperature aging (2,000 hrs at 150°C) (Fig. 39), temperature cycling (-55 to 150°C) and storage at 90% relative humidity.^[40] Similar long-term reliability results were obtained by Rockwell when aluminum-to-copper wire bonds were aged for 1,000 hrs at 250°C in a nitrogen atmosphere.^[41] The resistance of a series of a 222 bond chain was measured and divided by the number of bonds to give the resistance of one link. Under these conditions the results were superior to most of the aluminum-to-gold bonds that were simultaneously tested for comparison (Fig. 40). However, a different situation arose when these bonds were evaluated in a hermetically sealed hybrid circuit in which components and substrate had been attached with epoxy adhesives. Aging of these circuits at 150°C showed a measurable increase in resistance after 50 hours, indicating a degrading effect probably due to adhesive outgassing products.

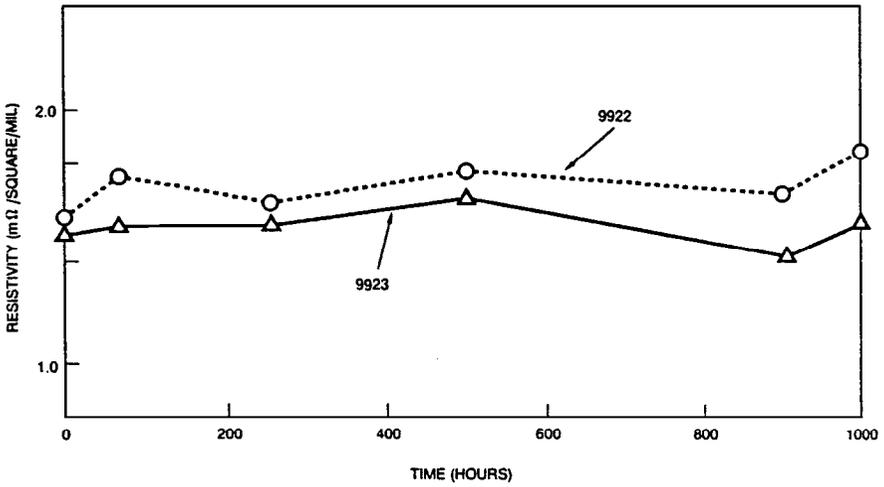


Figure 35. Resistivity of copper conductors as a function of storage at 150°C. (Courtesy DuPont.)

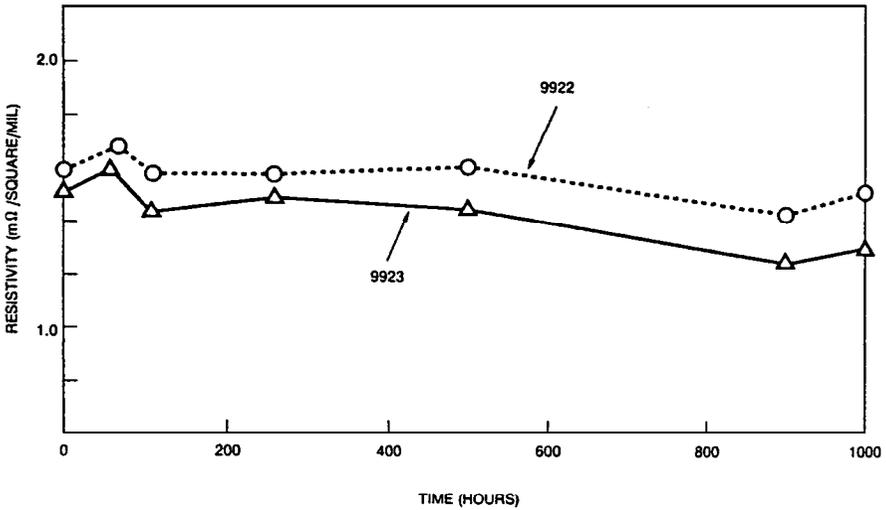


Figure 36. Resistivity of copper conductors as a function of storage at 40°C and 90% RH. (Courtesy DuPont.)

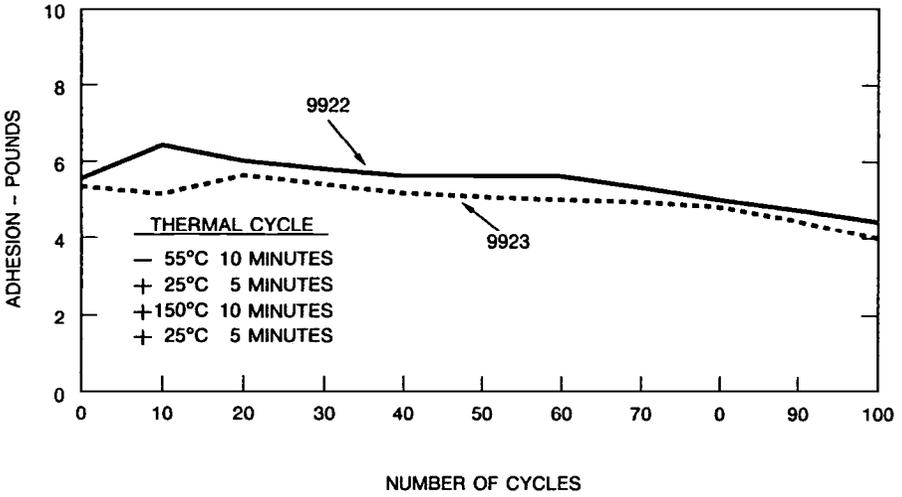


Figure 37. Effect of multiple temperature cycles on the adhesion of copper conductors. (Courtesy DuPont.)

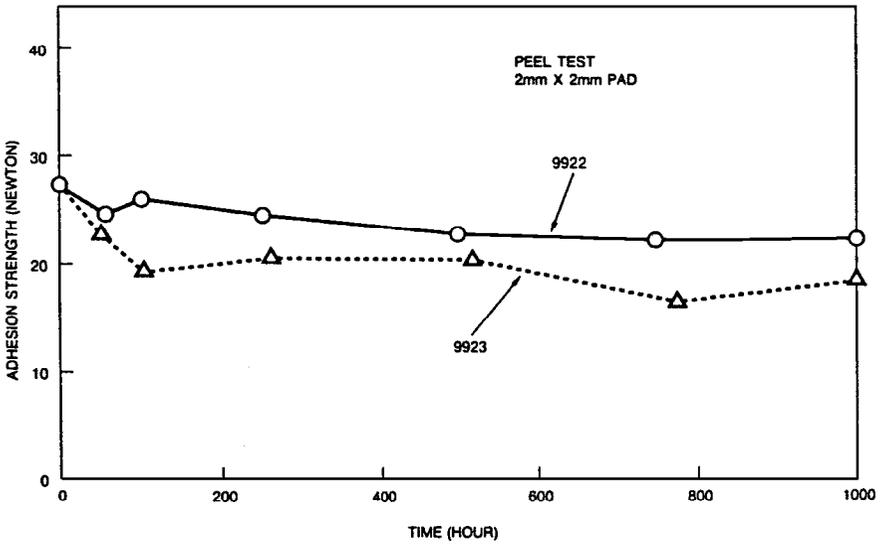


Figure 38. Adhesion of copper conductors aged at 150°C. (Courtesy DuPont.)

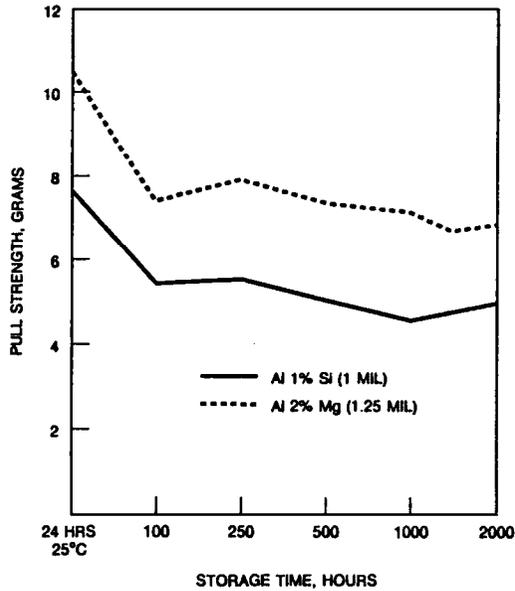


Figure 39. Wire bond pull strengths for DuPont 9922 Cu stored at 150°C.

Though tin-lead solder wetting of copper thick films should theoretically be better than for gold, problems have been encountered because of surface oxide formation and migration of the glassy phase of the copper paste to the surface during firing. Various methods have been used to prepare the surface to obtain better solder wetting, among which are mechanical abrasion, plasma etching, and chemical etching using hydrofluoric acid.^{[42][43]}

Copper, unlike gold, is chemically reactive. It easily forms corrosion products if contaminated with chlorides or other inorganic salts. Copper conductors thus require special cleaning, handling, and storage conditions; storage in dry nitrogen is recommended. If not hermetically sealed, circuits formed from copper conductors should be protected with a conformal organic coating.^[44] Besides its susceptibility to corrosion, copper has been known to migrate between closely spaced conductor lines and through thick-film dielectric under conditions of an applied bias, humidity and contaminant ions. Insulation resistance between conductor lines spaced 10 mils apart were 10^{13} to 10^{15} ohms in an initial dry condition, but dropped to 10^6 to 10^7 ohms after a 10 day humidity cycling test.^[45] Metal migration that caused interline shorting was also demonstrated in some copper formulations when the water-drop test for metal migration was used.

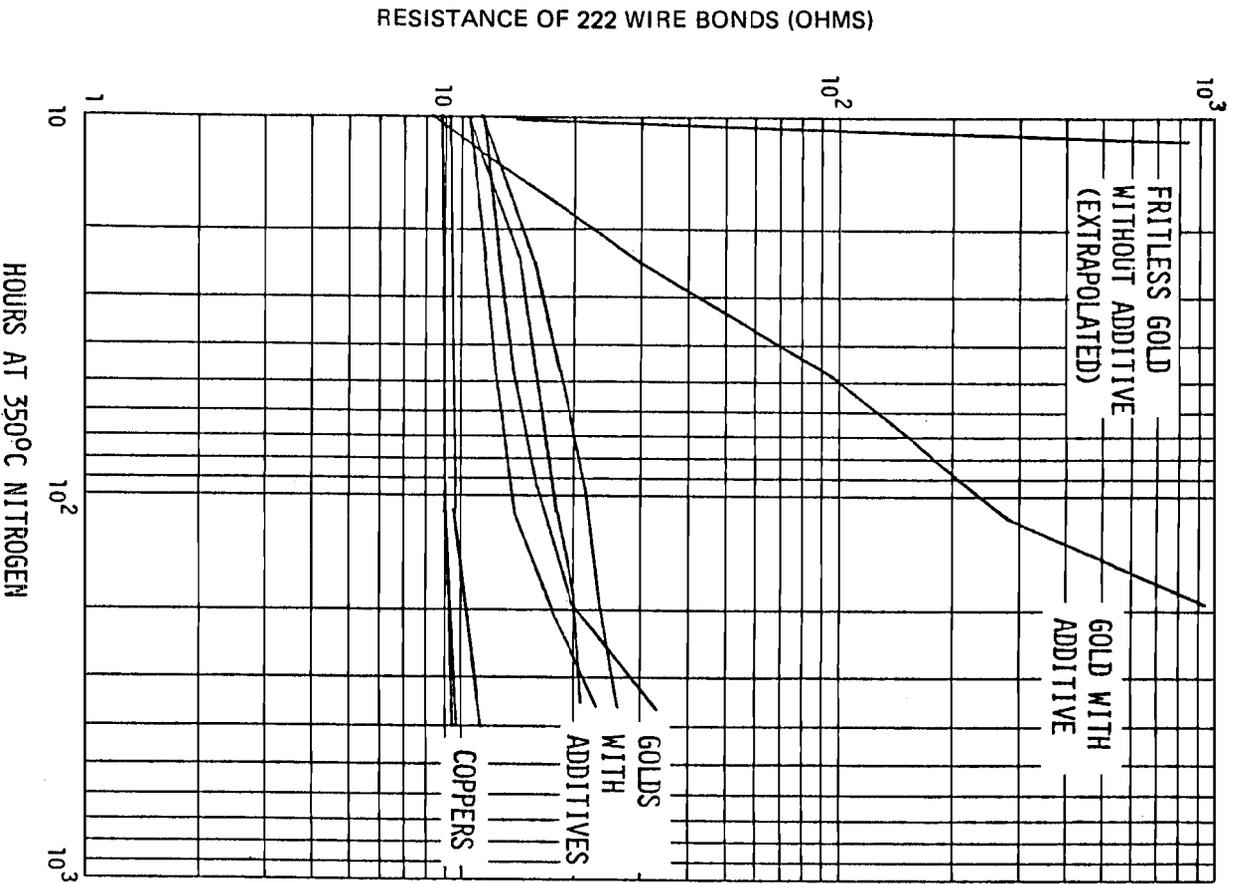


Figure 40. Resistance change of aluminum wire bonded to various thick films as a function of time at elevated temperatures.

Conformal coatings, however, provide effective barriers, generally preventing moisture from condensing on active electronic devices and circuit elements. To be effective the coating must have excellent adhesion to the substrate and must be free of ionic contaminants and pinholes. High-purity silicones have been found to be excellent in protecting copper film circuits.^[44]

4.3 Processing of Nitrogen-Fired Dielectrics

As with the air-fired dielectric pastes, nitrogen-fired pastes are also screen-printed through mesh that is coarser than that used for the conductors. Typically, a 200-mesh stainless steel screen is used for dielectrics, while 325 400 mesh screens are used for conductors. Subsequent to screen-printing, the dielectric pastes are processed similar to the copper pastes; the same equipment and drying and firing schedules can be used. The furnace temperature profile can be the same as or similar to that employed for the copper conductors, see Fig. 34. To avoid pinholes that might protrude through the entire thickness of the dielectric layer, it is a common practice to screen several layers of dielectric with drying and firing after each screening. This reduces the probability of pinholes becoming continuous. For air-fired dielectrics, screen-printing two dielectric layers between conductors has been found to be reliable. However, the nitrogen-fired dielectrics have been found to be more porous and metal migration has been reported to occur between conductors in a multilayer system. Thus the application of three and even four dielectric layers between conductors is not uncommon.

The early users of copper thick-film systems encountered numerous problems in firing the dielectric. Because of the large amounts of dielectric used in fabricating a multilayer board, complete volatilization and removal of the organic binders in the burnout zone of the furnace were difficult and resulted in blistering and lifting of the dielectric and splotching of the copper. With air-fired pastes, the organic vehicles are oxidized almost completely to carbon dioxide and water. At the furnace temperatures employed, both these by-products are non-condensable gases easily removed by the air flow and exhaust of the furnace. Nitrogen-fired pastes, however, are formulated using a combination of several organic compounds ranging from low to medium molecular weight polymers. Using very high purity nitrogen these binders are destroyed in the burnout zone, not by the traditional oxidation mechanism, but by pyrolysis (thermal cracking) in which low molecular weight fragments are formed, generally hydrocarbons. These by-products consist of several gases some of which are easily removed while others may condense to liquid droplets in the cooler parts of the furnace, then deposit onto the substrate. As

larger multilayer interconnect boards were fabricated on a production scale, the flow rate of nitrogen became critical in removing the high concentrations of organic volatiles. Special modifications had to be made to the furnace to increase the nitrogen flow rate, especially in the burnout zone. Flow patterns were optimized to sweep out the volatiles before they could condense or carbonize in the hotter furnace zone. Further provisions were made to preheat the nitrogen to avoid "cool" spots in the furnace where gases could condense. The introduction of controlled amounts of oxygen into the burnout zone was found by others to improve the removal of the organics by allowing both the pyrolysis and oxidation mechanisms to occur simultaneously. With later improvements in the dielectric material and by controlling the amount of oxygen to about 600 ppm in the burnout zone this problem was obviated. A delicate balance of oxygen was therefore required, high enough to fully remove the organics from the dielectric yet not so high as to oxidize the copper.

As larger multilayer interconnect boards were fabricated still another problem, that of warpage, arose. Applying thick layers of dielectric to one side of a large ceramic board (>4 x 4 inches) induced stresses sufficient to warp the ceramic substrate. Investigations by DuPont into dielectric compositions with expansion coefficients more closely matching those of the ceramic substrates produced new compositions that minimized the warpage. Further, by applying dielectric to both sides of a ceramic board, stress effects could be compensated.

4.4 Processing of Nitrogen-Fired Resistors

Nitrogen-fired resistors are screen-printed through 325 mesh stainless steel screens over pre-fired copper terminations, dried at 120°C in air, then fired in the same inert ambient furnace and with the same thermal profile as used for the copper pastes. Only a few companies have developed resistor compositions that are compatible with the nitrogen-fired copper and even these companies have found it difficult to produce a complete range of resistor values from 10 ohm/sq to 1 megohm/sq that are stable and compatible with the nitrogen-firing process. Generally, stability problems occur at the low and high ends of resistor values, e.g., at 10 ohms/sq and at 100 k to 1 megohm/sq, where very large TCRs and large resistance drifts occur on temperature aging.⁴⁶ To obviate the problem and develop stable nitrogen-fired resistor pastes, DuPont introduced the MYDAS system, which allows the use of the existing, well-characterized air-fired resistors with copper conductors. According to this approach, the air-fired resistor pastes are screen-printed and

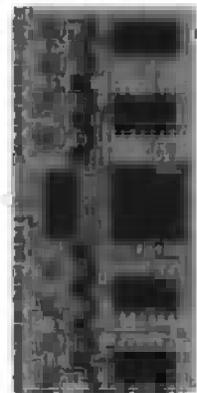
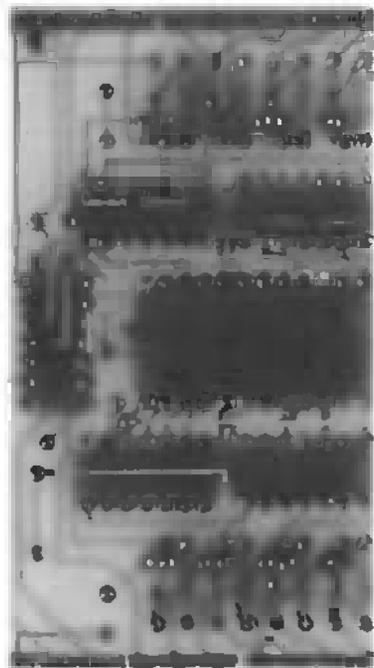
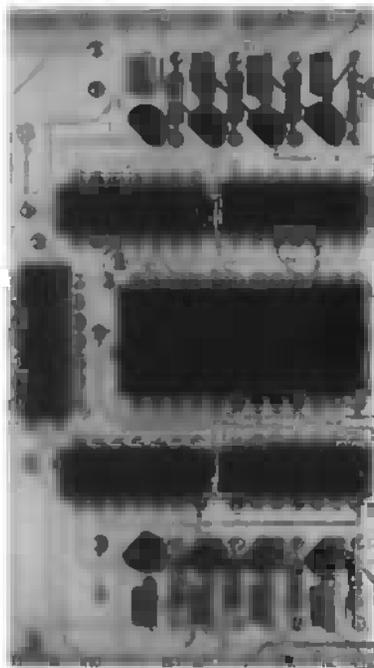
screen-printed and fired first at 850°C, then the copper conductors are screened and fired in nitrogen. These copper pastes are fired at 600°C instead of the customary 850-900°C to minimize changes in the resistors.

5.0 POLYMER THICK FILMS

Polymer thick films (PTFs), like cermet thick films, are screenable pastes which can form conductor, resistor, and dielectric circuit functions. However, unlike cermet films, PTFs contain polymeric resins which remain an integral part of the final thick-film composition after processing. The key advantage of PTFs is that they can be processed at relatively low temperatures (120-16X), namely at temperatures required to cure the resin. Cermet thick films, on the other hand, require temperatures of not only to completely burn off all the organic binders, but also to effect melting of the glass frit and sintering of the ceramic particles. A further advantage of PTFs is the low cost of both the material and processing. They have received wide acceptance in commercial products, notably for flexible membrane switches, touch keyboards, automotive parts, and telecommunications. Recently, there has been a resurgence of interest and activity in PTFs because of their application to surface mount devices, resulting in replacement of the conventional double-sided plated through hole boards with single-sided boards having no through-holes. Components may be attached with solder paste, then vapor phase reflow soldered or wave soldered. Thus, an engineer designing printed circuit boards can avoid the use of leaded devices, plated through-holes, and hazardous plating and etching chemicals (Fig. 41). Because of their low cure temperatures, PTFs may be processed on both ceramic and plastic substrates. The key advantage of using plastic substrates is that thick-film resistors can be batch-screened, avoiding the previous costs in attaching and solder connecting discrete chip resistors. To complement the PTF conductors and resistors, dielectric pastes are also available and are useful in fabricating multilayer substrates.

5.1 PTF Conductors

Polymer conductors are formulated with three major ingredients—a polymeric material, a functional material, and a solvent.



Shown actual size on the left is a typical 2-sided printed circuit board used in a communication application. Above is the same PC board duplicated as a single-sided polymer circuit. The resulting miniaturization and use of polymer conductors, resistors and dielectrics have reduced its size and weight by 65% with a cost savings of 35%.

Figure 41. Size reduction of printed circuit board using polymer thick films. These PC boards were produced by Modev Developments, Inc., using ECA polymer materials.

The polymeric material is one that can be cured (hardened) at low temperatures. The cured polymer provides integrity to the film and adhesion to the substrate. A variety of polymeric binders may be used. For flexible printed circuits and membrane switches, thermoplastic polymers such as acrylics, polyesters, or vinyl copolymers are used. For rigid substrates, the thermosetting polymers, including epoxy, polyimide, and phenolic, may be used.

The functional material is generally a metal that combines high electrical conductivity, good solderability, and low cost. The best compromise is silver. The silver PTFs are solderable using the classical tin-lead or tin-lead-silver solders, but the contact time with the molten solder is critical. Extended contact time causes leaching of the silver and loss of adhesion. Some characteristics of a silver-based PTF are given in Table 9. The solvent should have a high boiling temperature. Its function is to dissolve the resin and control the rheological properties of the paste so that it can be easily screen-printed. The solvent evaporates during the curing cycle.

Table 9. Characteristics of PTF Conductors (Silver Based)*

Electrical conductivity	0.038 ohms/sq/mil
Adhesion	1,500 psi tensile on FR-4 epoxy board
Solderability	>95% coverage with RMA flux and 62/36/2 tin/lead/silver solder
Silver migration	Less than for silver cermet conductors, but may require polymer protective coating
Line definition	7–10 mils when screened through a 200 to 250 mesh screen

*EMCA silver PTF cured 30 min at 165°C

Commercially available conductor pastes are limited to silver compositions, though a few silver-palladium pastes are also available. The amount of metal filler may range from 60 to 80% but 66–77% is typical. Cure temperatures range from 120°C for 1 to 2 hours to 350°C for 30 minutes and may be performed in either convection or infrared furnaces. Curing in air is generally satisfactory except for the high temperature curing compositions where some circuit materials (e.g., copper) may degrade through oxidation. Curing polymers at temperatures above 250°C for an extended time may also result in decomposition of the polymer and adverse changes in its electrical

and physical properties. In such cases, curing may be performed in a nitrogen ambient. PTF conductor pastes are generally screen-printed through 200-250-mesh screens and provide line widths and spacings of approximately 7-10 mils. Cured thicknesses are 25-30 microns.

5.2 PTF Resistors

Polymer thick-film resistors are formulated similar to conductors except that, instead of metals, resistive fillers are used as the functional component. Resistive fillers consist of carbon or graphite compositions. Resistor values may be varied and controlled by controlling the particle size and concentration of the filler, the type of polymer binder used, and the cure schedule.

Resistor pastes having sheet resistivities ranging from 10 ohms/sq to 1 gigaohm/sq are commercially available; however, resistor stabilities are much better for the low sheet resistance pastes. Reported TCRs are -100 to -200 ppm/°C for the 100 ohm to 100 K ohm resistor pastes, -500 ppm/°C for the 1 megohm pastes, and -800 ppm/°C for the 10 megohm pastes when measured at 125°C (Table 10). Because of their low temperature curing properties, PTF resistors may be used on a wide variety of substrate materials including low-cost plastic boards such as epoxy, polyimide, polysulfone, and phenolic. In contrast, cermet resistors can only be applied to ceramic or other high-temperature-stable substrates because of the high temperatures (XG°C) required to fire them. Resistance values for polymer thick films are much more sensitive to the nature of the substrate than resistance values for cermet resistors. Early applications of PTF resistors were plagued by large changes in resistance that occurred during temperature cycling, elevated temperature burn-in, or aging. It was soon discovered that the glass transition temperature, T_g , of the substrate had a major influence on resistor stability. At or above the T_g the molecular structure of the plastic substrate relaxes and experiences a sharp increase in expansion coefficient. A thermal mismatch then occurs between the resistor and the substrate which degrades the resistors both physically and electrically. It is therefore important to select a substrate that has an expansion coefficient closely matching that of the resistors over the temperature range in which the circuit will be operated and tested. A T_g that is higher than any temperature to which the circuit will be subjected is desirable. Other substrate properties that have been found important in achieving reproducible and stable resistance values include low porosity, high surface smoothness, completeness of cure, and lack of moisture, contaminants, or outgassing products,

Table 10. Characteristics of PTF Resistors*

TCR (25° to 125°C)	±200 ppm/°C for 10; 100; 1k; 10k ohms/sq ±300 ppm/°C for 100k ohms/sq ±500 ppm/°C for 1 Megohm/sq ±800 ppm/°C for 10 megohm/sq		
Δ R after cure	-5 to -15%		
Δ R after burn-in (85°C/10 hr)	-5 to -10%		
Δ R after burn-in and 85°C storage	1k	10k	100k
24 hr	0	0	0
100 hr	0	0	-0.5%
250 hr	0	0	-0.6%
Δ R after 1,000 hr @ 85°C	<0.5%		
Power rating	5 watts/sq in		

*EMCA Bulletin 515, 4000 Series Polymer Resistor Ink

5.3 PTF Dielectrics

Several polymer thick-film dielectrics are commercially available and may be used as insulation layers in fabricating multilayer circuits or as overcoatings for resistors and conductors. Vias of 15–20 mils in diameter may be formed during screen-printing. The processing steps and conditions for dielectric pastes are very similar to those for conductors and resistors, for example, screen-printing through a 200-mesh stainless steel screen and curing for 30 min. at 150–175°C or for 10 min if infrared heating is used. Volume resistivity is greater than 10¹² ohm-cm and dielectric constants range from 4–8 at 1 kHz, depending on the composition. Breakdown voltages greater than 1,000 volts/mil have been reported.^[48]

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170 *Hybrid Microcircuit Technology Handbook*

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5

Resistor Trimming

A major advantage of hybrid thick or thin-film resistors is their ability to be trimmed to precise values. Trimming is analogous to fine-tuning a component. It consists of the selective and controlled removal of resistive material until a predetermined electrical value is achieved.

Trimming is required because slight variations in hybrid circuit processing make it difficult to guarantee a precise resistor value. Screened and fired thick-film resistors have *20 percent tolerance as screened, while thin-film resistors, as deposited, have a f 10 percent tolerance. *Up-trimming*, or cutting into the resistor to increase its resistance value, is the method most often used to reach the selected value. *Down-trimming* can be done if the layout includes gold pads on the resistor where, by wire bonding, a section of the resistor can be jumpered. Resistors are purposely designed on the low side so that they can be trimmed up to the required value. During trimming, the resistor value is monitored as the material is removed, causing the resistance value to increase until the required value is reached (see Chapter 10 for a description of the mechanism of resistor value increase with removal of material). There are two basic types of trimming: static and dynamic. Static (passive) trimming consists of removal of material to obtain a resistance value within a specified tolerance without power being applied. Dynamic trimming, also referred to as functional trimming, consists of the removal of resistor material while the hybrid circuit is under power. Dynamic trimming is important to obtain specific circuit functions such as a filter characteristic, a comparator trip point, or a voltage regulator correct output voltage.

Thin-film resistors can be trimmed to ± 0.01 percent of value and thick-film resistors to ± 0.1 percent. Even closer tolerances can be obtained with special design considerations. In trimming resistors that have been screen printed on thick-film dielectric, extra care and controls are necessary to avoid excessive penetration into the dielectric layer. Trimmed resistors on dielectric are not as stable as trimmed resistors deposited directly onto alumina substrates. However, in either case, resistors (c100 k ohms) drift less than 0.1 percent after 500 hours at 150°C and are satisfactory for most applications. Thick-film resistors on aluminum nitride that are trimmed using a YAG laser are reported to be sensitive to the laser power. High power laser trimming has caused leakage currents and degradation of the electrical insulation properties of the aluminum nitride. However, excellent resistor stability was demonstrated when laser power was kept at one watt at the interface between the resistor layer and the AlN surface. Studies report less than 0.4% drift after 1,000 temperature cycles of -55 to 150°C and aging at 150°C for 1,000 hours.

1.0 LASER TRIMMING

Laser trimming of hybrid circuit resistors has been employed since the early sixties. Today it is still the most widely used method for trimming both thin and thick-film resistors. The laser system most widely used in the hybrid industry is based on a neodymium-doped yttrium aluminum garnet (YAG) crystal. The YAG laser is better than the CO₂ laser system because its shorter infrared wavelength (1.06 micrometers) permits smaller, narrower cuts and minimizes damage to the peripheral resistive material and to the underlying dielectric. Because the YAG laser beam is invisible, most trim systems also incorporate a helium-neon laser collinear with the YAG. The helium-neon laser emits a visible red beam that facilitates the positioning of the YAG beam. The laser beam is shaped and focused through a series of lenses and mirrors until it ultimately strikes the resistor material whereupon the energy is absorbed and the material heats up. Most of the material vaporizes, some melts and resolidifies, while the remainder is ejected as particles (Fig. 1).

Laser cuts are created by a series of overlapping spots, a typical spot size being 0.001 inch in diameter (Fig. 2). Controlling the power of the laser beam is important in producing stable resistors. A clean, well-defined kerf must be formed without damaging adjacent resistive material. Residues left in the kerf result in shunting paths for current flow and instability in the resistors. Trimming can also cause thermal shock and microcracking in

adjacent resistor material, further degrading resistor stability. Setting the laser parameters to provide short pulses of high peak power minimizes or avoids these problems. Short pulse durations of 50–200 nanoseconds obtained through a 0-switch technique result in pulses of high peak power even though the average power is kept low. These conditions provide rapid vaporization with a minimum of heat flow into the bordering regions. Some common terms associated with laser trimming are defined in Fig 3.

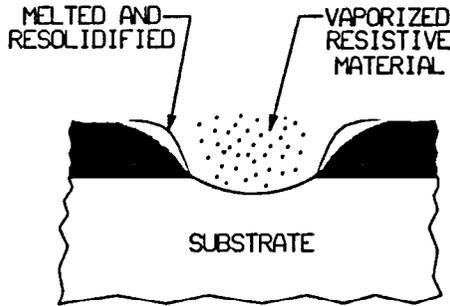
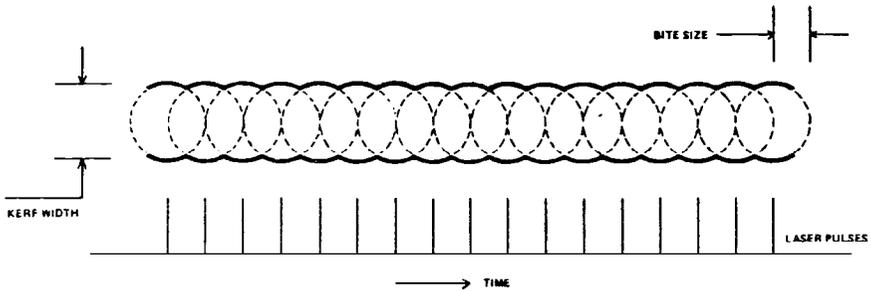


Figure 1. Cross section of laser cut (not to scale).



For a fixed hole size, a ragged kerf results if bite size is too large.

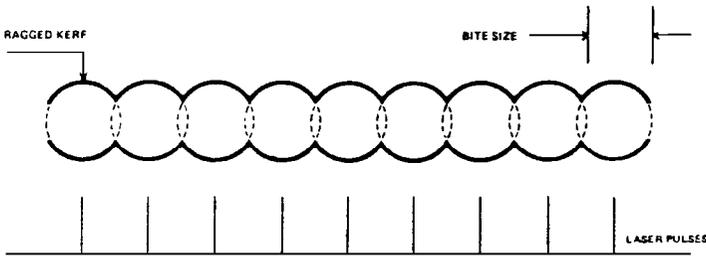
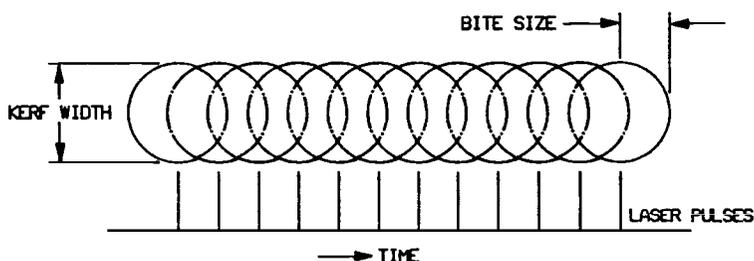


Figure 2. Top view of laser trim cut. (Courtesy Chicago Laser Systems, Inc.)



Bite Size—The amount of additional material attacked with each laser pulse.

Kerf Width—The outer width of the cut.

Q-Rate—The number of laser pulses issued per second.

Table Speed, Beam Speed, Cut Speed—The rate of material removal in inches per second.

Hole Size, Spot Size—Diameter of the material removed. The diameter of the hole is related to the optical spot size, but is not necessarily the same. It varies with the material being cut and the power level of the laser pulse.

Figure 3. Laser trimming glossary.^[2]

A graphic representation of a laser trim system for hybrid microcircuits is shown in Fig. 4, a block diagram in Fig. 5, and the mirror system in Fig. 6. The laser-trim process has been completely automated; the laser beam and the x-y table are controlled by a microcomputer. Most systems are equipped with a TV camera to monitor the trim process. Some commercially available laser trim systems are shown in Figs. 7–10. The ESI trimmer consists of a computer-controlled laser, positioning system, and measurement system. It is capable of producing spot sizes ranging from 0.25 to 2.0 mils diameter over a 3 × 3 inch working area. More advanced laser trimmers that interface with computer-aided design (CAD) equipment are now available. The CAD system assists the design engineer in laying out a resistor so that it can be trimmed faster and more reliably by matching the geometry of the resistor to the tolerance needed. The start and end of the trim area can be derived directly from the CAD plot. Data such as tolerances, trim speeds, and laser rate can be stored in the CAD database at the time of hybrid design. All these advantages, however, are at the expense of having to develop more complex software.

Basically, a laser-trim station operates as follows:

1. The resistor is probed.
2. A digital voltmeter measures the resistor value.
3. The laser is positioned at the start point of the trim. This point is programmed into the computer.
4. The laser pulses.
5. The voltmeter takes another reading.
6. The computer compares that reading with the required reading and either shuts the laser off, if the value is within tolerance, or it pulses the laser again.
7. This iterative process continues until the resistor value is within the tolerance specified in the program.

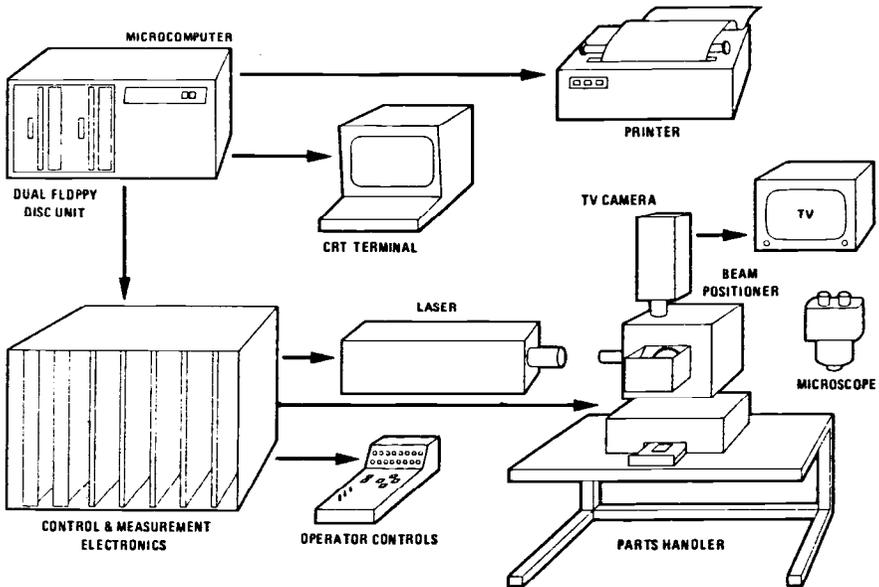


Figure 4. Graphic representation of laser trim station.

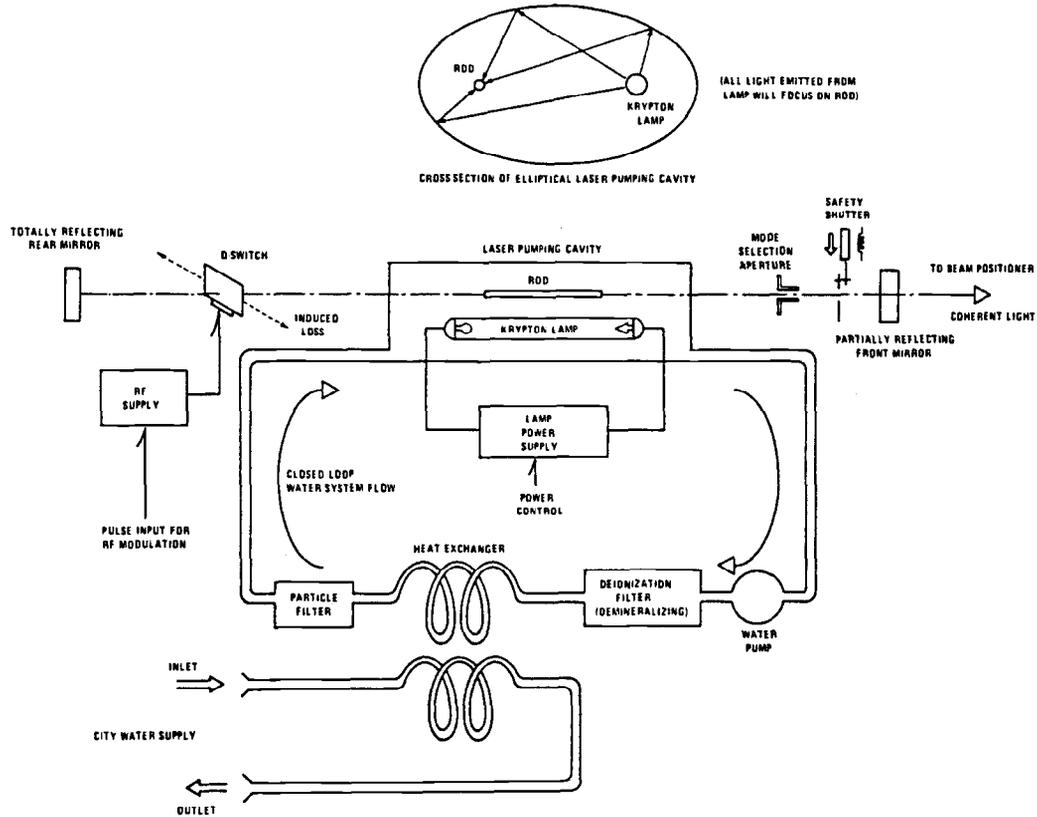
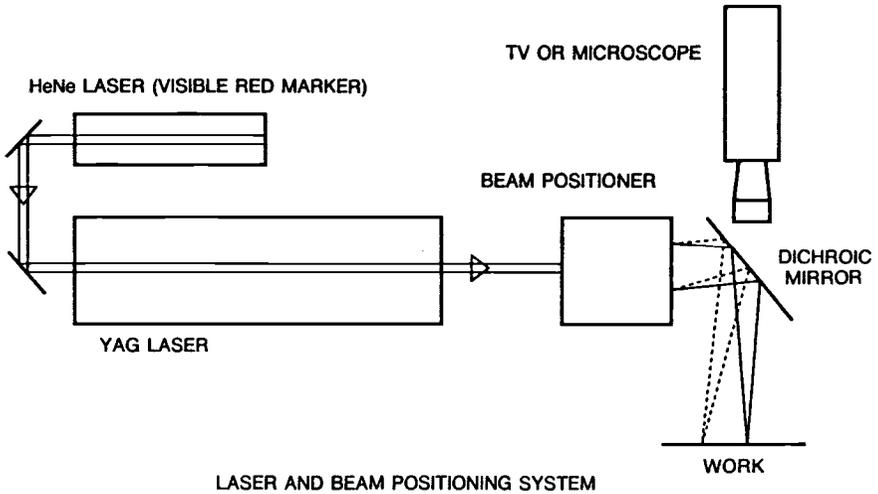
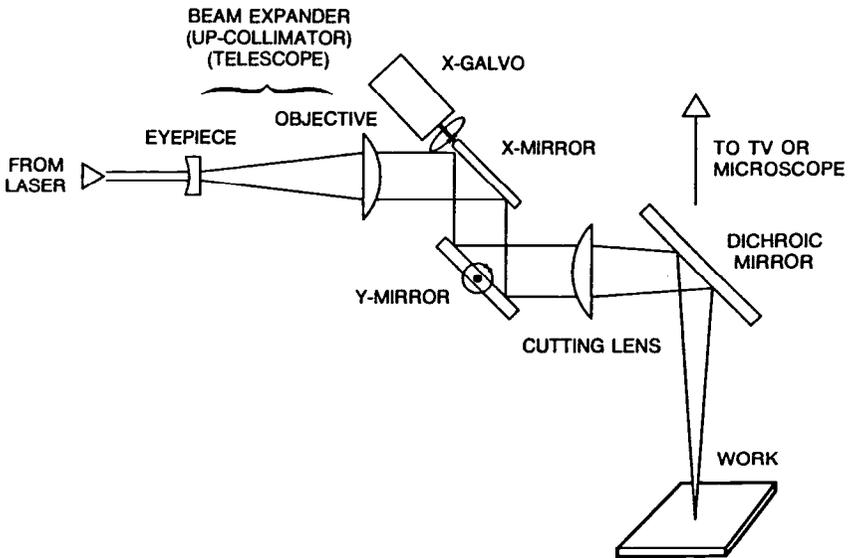


Figure 5. Laser system block diagram. (Courtesy Chicago Laser Systems.)



THE OPTICAL SYSTEM IS FOLDED TO PROVIDE A COMPACT PACKAGE AND THE WORK IS MONITORED BY A CCTV THROUGH A DICHROIC MIRROR



OPTICAL PATH THROUGH BEAM POSITIONER

Figure 6. Laser mirror system. (Courtesy of Chicago Laser Systems.)



Figure 7. Automatic laser trimming system, Model 4210. (Courtesy Electro Scientific Industries.)



Figure 8. Laser trim station, Model 960. (Courtesy Chicago Laser Systems.)



Figure 9. Laser trim station, Teradyne W429. (Courtesy Teradyne.)



Figure 10. Laser optics of Teradyne W429 trim system. (Courtesy Teradyne.)

Figure 11 is a flow chart of the above description. The trim time may be as short as 0.002 seconds for a wide-tolerance resistor or as long as two seconds for a close-tolerance resistor. If there are many resistors to trim, even these trim times can become a throughput-limited factor. Several options are available to shorten the trim process time even further. Figure 12 shows another flow chart for a faster trim technique. The steps for one option are:

1. The resistor is probed.
2. A digital voltmeter reads the resistor value.
3. The computer compares the required value with the measured value.
4. Information previously stored in the computer relates the length of trim with the percentage increase.
5. Based on step 4, the computer calculates the length of trim to bring the resistor within a few percent of the desired value.
6. The computer positions the laser and directs it to trim for the length calculated in step 5.
7. Another measurement is then taken and steps 3 through 7 are repeated.

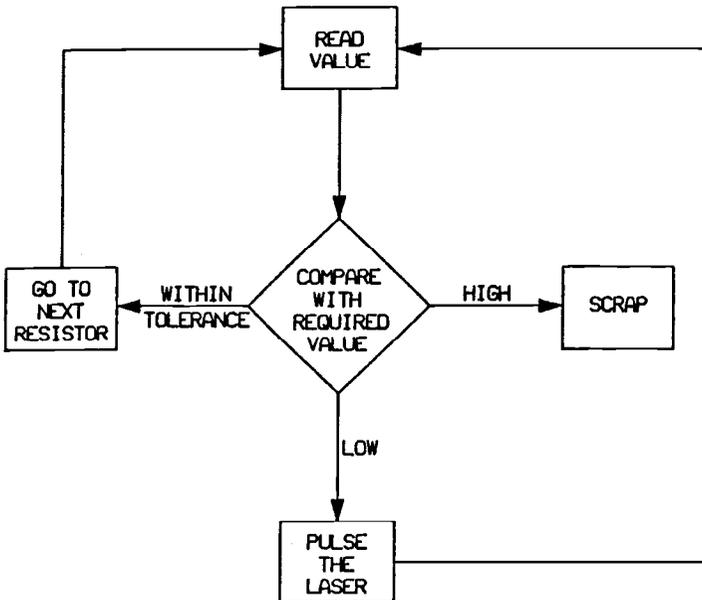


Figure 11. Standard laser trim system.

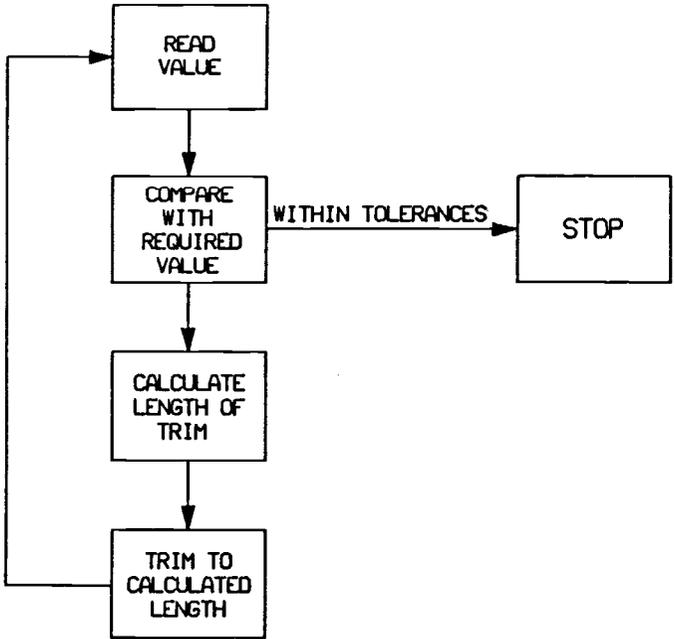


Figure 12. Fast method of trim.

This technique requires few measurement/laser on-off steps and results in a faster trim sequence. Increased speed is achieved at the expense of longer and more complicated software development, but, if a large number of hybrids of the same type are to be produced, the software time and cost becomes small compared with the savings effected by the faster throughput of substrates.

2.0 ABRASIVE TRIMMING

Abrasive trimming, the first method developed for resistor trimming, is not extensively used today. It is slower than laser trimming, but produces more stable resistors because heat is not involved in the process and therefore no stress or microcracks occur in the material.

In abrasive trimming, fine-grained sand is forced through a small nozzle under high pressure. The sand abrades and removes the unwanted resistor material until the desired resistor value is obtained. Resistor debris, a potential contaminant in a hybrid circuit, is the main drawback in using

abrasive trimming. Abrasive trimming can increase the resistor value in one of two ways: (i) Removing a material to form a kerf, as with laser trimming. This increases the number of resistor squares, thus increasing the value. (ii) Reducing the thickness of the resistor film. Reducing the thickness increases the sheet resistivity, thus changing the value. Table 1 shows the comparison of laser and abrasive trimming.

Table 1. Comparison of Trimming Procedures

Advantages	<u>Laser Trimming</u>	Limitations
High speed		Intense heat at trim area
Permits data logging		can cause microcracks
Automated		Cracks cause noise in high value resistors (over 5 megohms)
Clean		Large capital investment
Provides self-annealing resistors		Requires software development
	<u>Abrasive Trimming</u>	
Ease of setup		Slow process
Low capital cost		Dirty process
Stable resistors		Produces large kerf
Low noise for high value resistors		

3.0 RESISTOR PROBING/MEASUREMENT TECHNIQUES

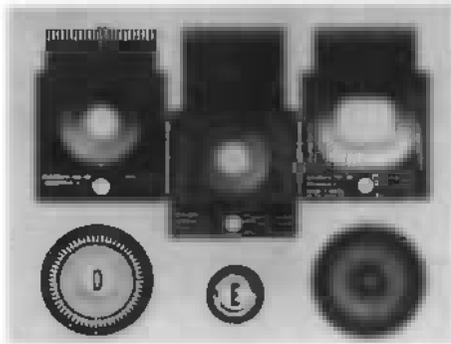
The following discussion deals with probing and measuring resistors that need to be trimmed. To make accurate measurements two criteria must be met. First, the resistor must be accurately probed and then an accurate method of measurement must be applied.

3.1 Probe Cards

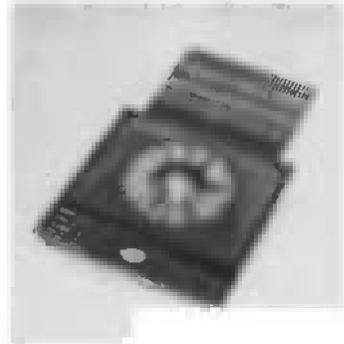
The first step in setting up an automated trim system is to design a probe card. The cards are typically 4 by 5 inches and contain 30 to 40 probes. The probes must be located so that they do not “shadow” any resistors from the trim medium. If the hybrid circuit contains many resistors, two or three probe

cards may be necessary to access all of them. Figure 13 shows photos of typical probe cards.

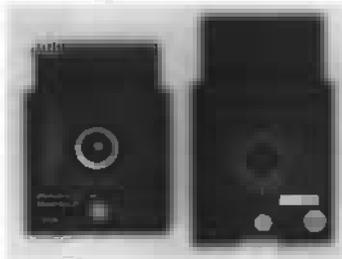
Two probing techniques are discussed below—two-point and four-point probe techniques



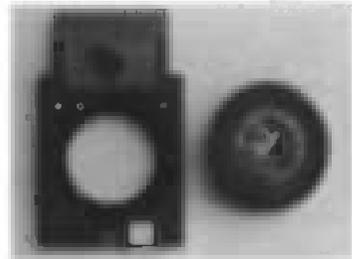
- Commonly Used Probe Cards



-Blade Type Card



- Epoxy Ring Cards



- C-2200 Round Card and Plug-in Adaptor Card.

Figure 13. Probe card types for resistor trimming. (Courtesy Inter-Logic, Inc.)

3.2 Two-Point Probing

Two-point probing is the conventional and simplest method for measuring a resistor value. It involves only two probes placed across the resistor being measured (Fig. 14).

This system is adequate for most resistor measurements, however, errors are introduced by the resistances of the probe, probe contact, and resistor termination pads. Resistance errors can be as much as 0.2 ohms. For a 1,000 ohm resistor, the probe introduces only a 0.02 percent error, however, for low-valued resistors, the error is significant; for example, if the resistor value is 10 ohms the error is 2.0 percent, therefore, for low-value or high-precision resistors the two-point probing system is not recommended. Another disadvantage of this system is that the error can vary because of variations in the contact pressure or cleanliness of the probes.

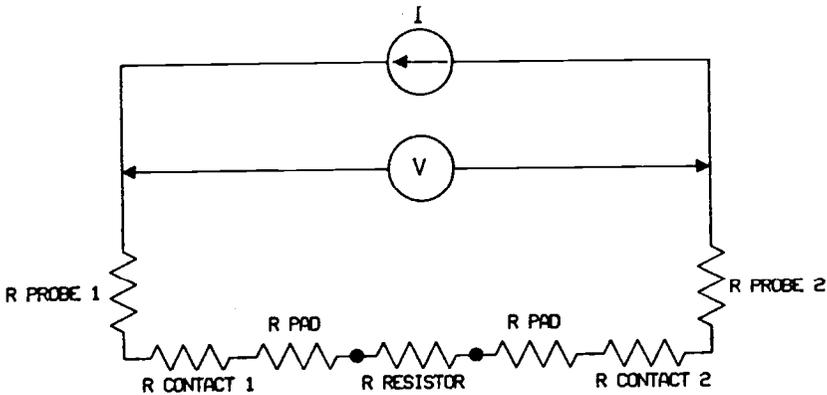


Figure 14. Two-point probe system.

3.3 Four-Point Probing

This system utilizes four probes (Fig. 15) and is the method that should be used for low resistor values or for high-precision resistors. Two probes inject the current into the measurement loop and the other two probes are placed close to the resistor termination and connected to the digital voltmeter. In this system the only error term that is introduced is the pad resistance which is less than 0.010 ohms maximum. The probe and probe resistances disappear as error terms since the digital voltmeter is a high-impedance instrument and will not disrupt the current flow in the loop. Even when measuring a 10 ohm resistor, the error term is only 0.1 percent maximum. Since most thick-film gold pads are typically 0.003 ohms, the error in the 10 ohm measurement would only be 0.03 percent. The disadvantage of this method is that it uses twice the number of probes, thus limiting the number of resistors that can be probed per card. Also, since there are four probes per resistor, the hybrid layout must allocate enough space for the extra probes.

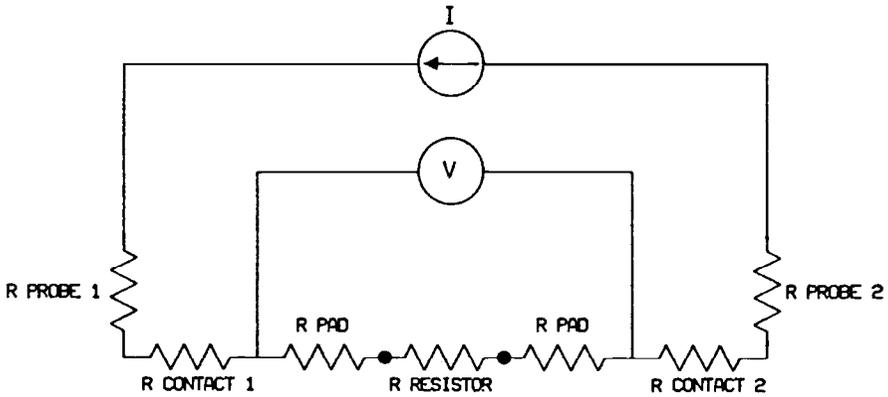


Figure 15. Four-point probe system.

3.4 Digital Voltmeters (DVM)

The voltmeters used in most trim systems are very accurate. The DVM used in the ESI Model 44 Laser Trim station uses a full Kelvin Wheatstone bridge method to obtain a measurement accuracy of $\pm 0.001\%$.

4.0 TYPES OF RESISTOR TRIMS

There are many types of cuts that can be used to trim a resistor but the most popular are: (i) plunge, (ii) L-cut, (iii) scan, and (iv) serpentine (Fig. 16).

4.1 Plunge-Cut

The plunge-cut is fast and typically used on resistors of one square or less and on top-hat resistor designs. This type of trim causes the most disturbance of the current through the resistor and also causes hot spots to form at the top of the trim.

4.2 Double-Plunge-Cut

The double-plunge, or shadow cut, allows a coarse trim followed by a fine trim in the “shadow” of the first cut. Laser damage is less than in the L-cut since the microcracks do not tend to cause the resistor to open.^[3] However, this cut can cause an even bigger “hot-spot” than the single plunge-cut.^[4]

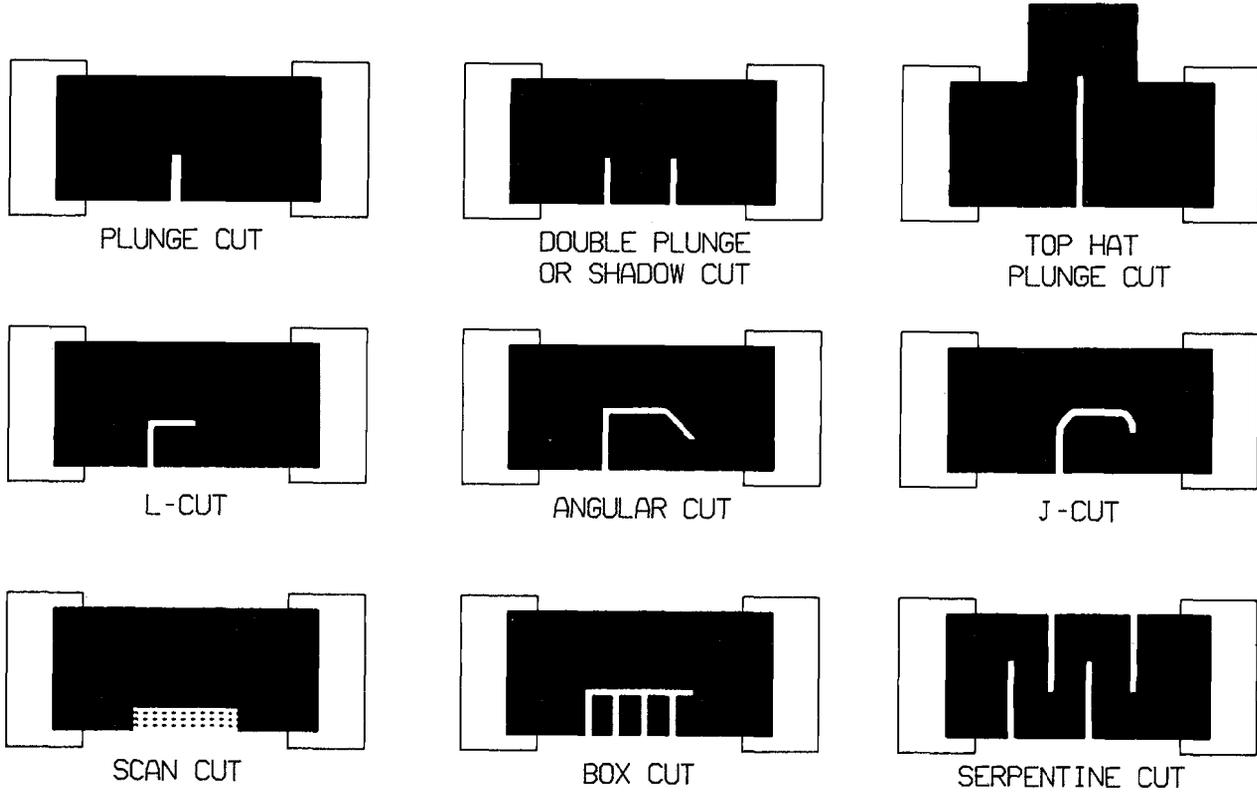


Figure 16. Types of laser cuts for resistor trimming.

4.3 L-Cut

The L-cut provides more accuracy than the plunge-cut. The perpendicular leg provides a coarse trim while the parallel leg provides a fine adjust. The angular and J-cut are more stable with fewer hot spots than the standard L-cut. This is due to the removal of any sharp turns in the trim. As stated previously, laser trimming causes microcracks. Since microcracks are perpendicular to the kerf, in the L-cut trim they can propagate to the edge of the resistor increasing the value or, worse, causing the resistor to open.

4.4 Scan-Cut

The scan-cut is the slowest of those described, but has the highest accuracy and provides the most stable resistor because it does not disturb the current flow through the resistor as much as the other trim configurations. Scan-cuts are better for high-frequency operation. They result in low thermal noise because no hot spots are formed in the resistor.

4.5 Serpentine-Cut

The serpentine-cut is used when a large resistance change is required. It does this by creating an increase in the current path length. It must be used on large area resistor designs. This is the type that would typically be used during dynamic trims.

4.6 Digital Cut

The most accurate and stable resistors are obtained by the digital trim method shown in Fig. 17. This technique requires a very large area; however, the trimmed resistors are very stable since the trim cuts are not in the current flow.

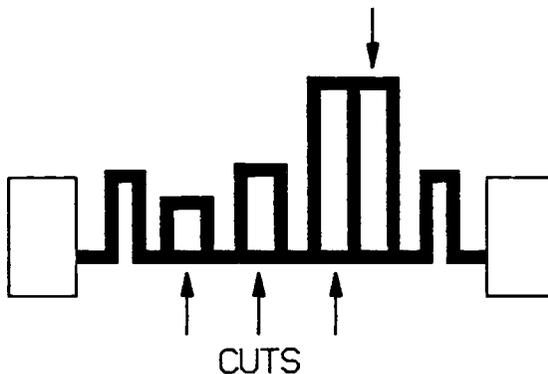


Figure 17. Digital trim.

5.0 SPECIAL REQUIREMENTS

All telephone equipment, from central switching units to home equipment, require built-in lightning protection. To guard against lightning damage, the voltage to the device is first dropped across a resistor. Due to the high voltage caused by lightning, the customarily used trim patterns such as L-cuts and plunge-cuts that have sharp corners and narrow kerfs cannot be used; the large voltage gradient causes arcing across the narrow kerf.

Two patterns shown in Fig. 16, the scan-cut and an extension of the J-cut (U-cut, which extends the end of the trim completely back out of the resistor), offer a solution to the lightning problem. Each of these trim patterns eliminates termination points within the resistor and any arcing across the narrow kerf. The drawback of the scan-cut is that it takes a long time, which induces stress in the resistor. The U-cut is best suited for this application; however, it still has sharp corners which induce hot spots, and it is slow since the cut must be made in a start/stop/measure mode.

The Teradyne W429 trim system (see Fig. 9) overcomes the problems associated with the conventional U-cut trims by a technique called **algorithmic trimming**. In algorithmic trimming, resistor characteristics such as dimensions, nominal value, tolerance, ohms/square, trim speed, and trim direction are programmed into the system. The program then selects an appropriate resistor model from its database along with a corresponding algorithm. It then drives the laser so that a U-cut is performed in real-time at a constant speed and trim energy, instead of the start/stop/measure mode.

As an example of the increased productivity that this technique offers, a 50-ohm resistor can be trimmed to better than 0.1 percent in 300 milliseconds, compared to 3 seconds when standard trim techniques are used.

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190 Hybrid Microcircuit Technology Handbook

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6

Parts Selection

1.0 GENERAL CONSIDERATIONS

The selection of component parts to be used in the assembly of hybrid circuits requires different considerations than those used for printed wiring boards. Hybrid parts should be chosen with the following in mind:

1. The type of attachment to be used to mount the device to the substrate. The attachment material (epoxy adhesive, solder, or eutectic) dictates the type of metallization on the backside of a transistor or IC chip. For example, a silicon-on-sapphire (SOS) device requires a backside metallization of gold so that it can be alloy attached while a silicon die can be attached directly to a gold pad since silicon forms a eutectic with gold.
2. The availability of a given device in the die (uncased chip) form. Almost any device that is capable of being attached to a substrate with epoxy, eutectic, or alloy, and then electrically interconnected, for example by wire bonding, can be used in a hybrid, however, it is to the hybrid manufacturer's advantage to specify parts that are readily available in die form. Producing a hybrid circuit often becomes too costly or suffers a schedule slippage because the hybrid circuit designer selected a part that was difficult to purchase in the uncased form.

3. *The* electrical characteristics, reliability, environment, and the contract requirements.
4. The utilization of standard parts. The more times a given part is used, the better it is for inventory purposes. Instead of selecting different parts for different hybrids, the system should be designed to use standard parts to the fullest extent possible. Large use of standard parts also drives the price down.

Besides the interconnect substrates (thin or thick film, as discussed in Chapters 3 and 4) the major parttypes used in the assembly of hybrid circuits are: packages (cases and lids), active devices, and passive devices.

2.0 PACKAGES

In selecting parts for hybrid circuits, the first choice to be made is the package. Because all hybrid circuits are fragile and susceptible to atmospheric contaminants, they must be packaged in some manner to protect them. The package provides both mechanical and environmental protection. The die are interconnected with very fine one or two-mil diameter wires which can be easily damaged in handling. Furthermore, many active devices (even though passivated), aluminum wire bonding pads, aluminum wire, and thin-film resistors, are susceptible to corrosion from ambient moisture, ionic salts, and other contaminants. Packaging also serves other functions, such as providing a standard shape and a defined external lead configuration to facilitate assembly and testing. The protection afforded by the package is not always for **the** benefit of the hybrid circuit; sometimes it is also for the handler, as in the case of high-voltage circuits.

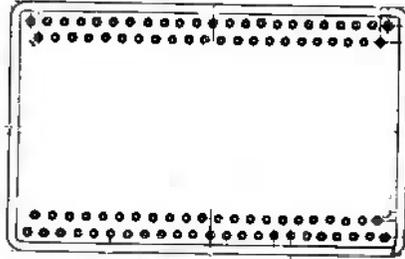
Choosing the right package that meets all the customer's needs is of primary importance to the hybrid manufacturer. Careful consideration must be given to the seal type, plating, package style, and package size before committing a package to production. ||| In selecting the package, an early decision must be made as to the degree of protection that the package is expected to provide; for example, should the package be hermetic or non-hermetic in the sense of meeting definite helium leak rate requirements. Most military, space, and some medical applications, require hermetic packages while most commercial applications can use lower cost expendable, non-hermetic packaging such as epoxy plastic-encapsulation or transfer molding.

After the hermetic&y requirements have been established, the next step is to decide on the pin configuration, which is determined by the manner in which the hybrid will be assembled at the next level. If the hybrid is to be mounted on a two-sided, printed circuit board, the package should be a flatpack type. If the board is single-sided with through-holes, the hybrid should be contained in a plug-in package.

2.1 Package Types

Packages may be classified according to the primary material used in their construction (metal, ceramic, or plastic) or according to their configuration. There are literally hundreds of configurations differing in shape, size, number of leads and manner in which the leads emanate from the package. Generically, however, these configurations fall into one or more of the following types:

- Plug-in-the output pins are perpendicular to the floor of the package (Fig. 1).
- Flatpack (also called “butterfly”)-the pins emanate axially from the sides of the package (Fig. 2).
- Integral-lead-the output leads are integral with the package (no glass-to-metal seals) (Fig. 3). Integral lead packages are generally co-fired ceramic packages.
- Cavity packages-the package provides a cavity, in which the hybrid circuit is contained, whose ambient can be controlled. Cavity packages may be plug-in, flatpack, or integral lead types.
- Epoxy-sealed-a cavity package, either metal or ceramic, in which the lid is attached with epoxy adhesive
- Plastic-encapsulated: a solid plastic body package in which the circuit has been transfer-molded or cast with epoxy, silicone, or other plastic
- Ball Grid Array (BGA)-Solder balls are attached to the bottom of a ceramic, metal, or plastic laminate interconnect substrate.



Top and Side View

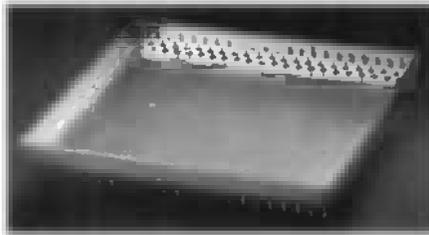
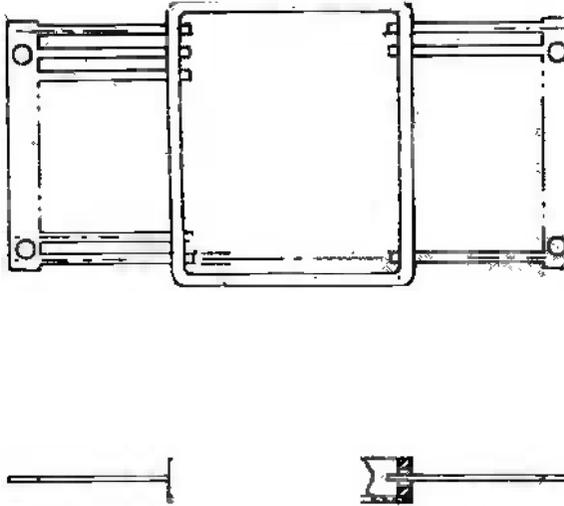


Figure 1. Plug-in package. (Courtesy Augat, *Isotronics PI-4947S*; 88 pins.)



Top and Side Views

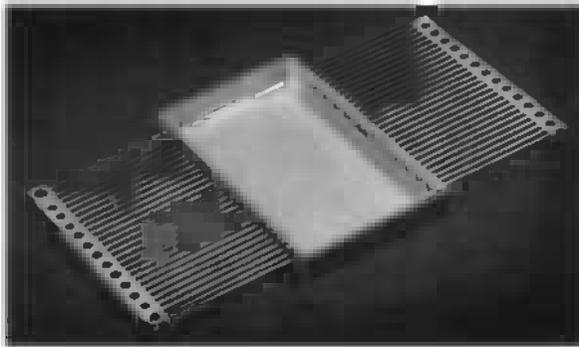


Figure 2. Flatpack package. (Courtesy Augat, *Isotronics*, IP 1113, 55 pins.)

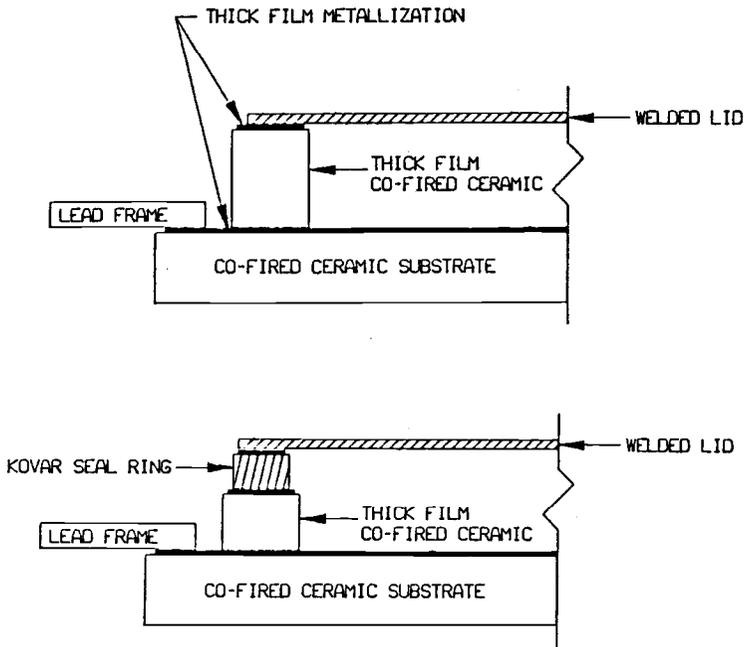


Figure 3. Integral-lead ceramic configurations.

The packages most widely used for hybrids are cavity types constructed of metal or ceramic. Epoxy-sealed ceramic packages are used for some commercial applications while plastic molded hybrid circuits are widely used for the simpler, low-cost consumer hybrids that contain but a few devices. Besides the standard packages mentioned above, package manufacturers can produce almost any custom package that can be designed. Examples are:

- Microwave modules with RF feedthroughs.
- Power packages constructed of copper or molybdenum. These packages can have thick leads of 20 mils in diameter to carry increased current.
- Fiber optics packages with both flat leads and round leads.
- Machined packages with individual compartments.
- Packages with mounting ears on the sides.
- Flatpacks with double rows of leads.

Metal Packages. The material most widely used for the construction of metal package cases and lids is Kovar, an alloy of 53% iron, 29% nickel, and 18% cobalt. Kovar is plated with either gold or nickel to prevent its corrosion. For military and high reliability applications the plating requirements are specified in MIL-G-45204, Type I, Class 1. The finish must be a high-temperature-resistant 24-karat gold, plated to a thickness of 100 microinches or greater. The underplating should be an electroless nickel plating containing 8 to 12%, phosphorus plated to a thickness of 100 to 200 microinches. Cases and lids may be completely nickel-plated or tin-plated as low cost alternatives to gold; however, there are some potential problems associated with nickel and tin (see Chapter 12).

The output leads are sealed into the Kovar package sidewalls or floor through glass-to-metal seals. A borosilicate glass such as Corning 7052 is used because its thermal expansion coefficient (about 5 ppm/C) closely matches that of Kovar.¹¹ The seal is formed by first oxidizing the metal surrounding the apertures in the package walls, then placing the Kovar leads through glass beads and inserting the combination in the package apertures. The assembly is textured and heated to the melting point of the glass (about 500°C) whereupon the metal expands slightly more than the glass and, upon cooling, holds the glass in compression. Metal packages consist of two general configurations: plug-in or flatpack types.

Plug-in Packages. The plug-in package uses either straight pins or so-called “nail-head” pins that are sealed to the floor of the package through glass beads and are perpendicular to the bottom plane. The pins are generally 18 mils in diameter on spacings of 100 mils. Packages with pin counts as high as 170 are available. To maximize the number of pins in a given area, the pins may be arranged in rows, as shown in Fig. 4. Plug-in packages are available in three styles—platform, modular sidewall, and uniwall.

The platform plug-in package (Fig. 5) consists of a flat metal base (up to 60 mils thick) with no sidewalls and perpendicular leads around the perimeter that are sealed through glass beads. After the substrate has been attached to the base and devices have been assembled, it is sealed by soldering or welding on a dome-shaped lid. Platform packages are more difficult to handle than packages with sidewalls and greater care must be taken to prevent damaging wire bonds and die, however, wire bonding and inspection are quite easy.^{1*}

Flatpack (“Butterfly”) Packages. The flatpack, also called the “butterfly” package, has the external leads emanating from one or more of the sidewalls. The wall thickness is about 40 mils and the leads consist of 10 mil-thick and 15-mil-wide Kovar ribbons spaced on 50 or 100-mil centers.

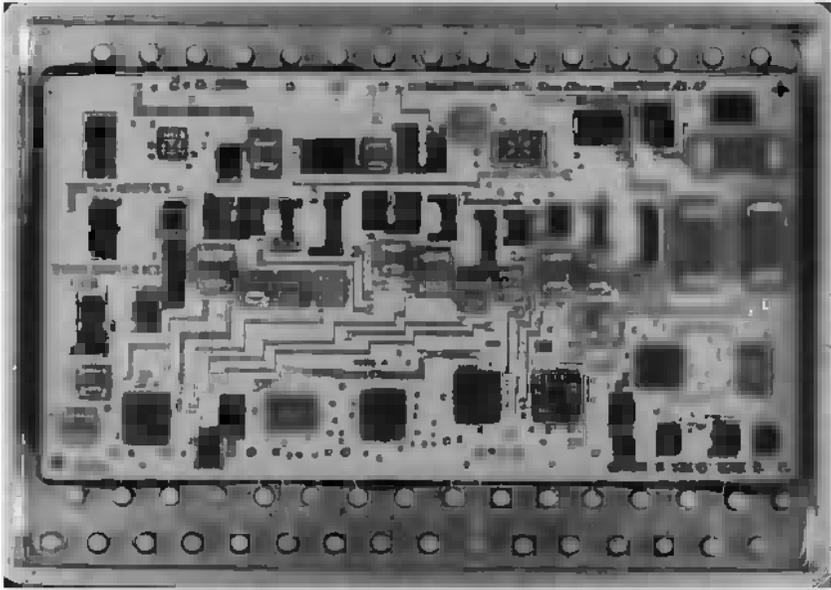
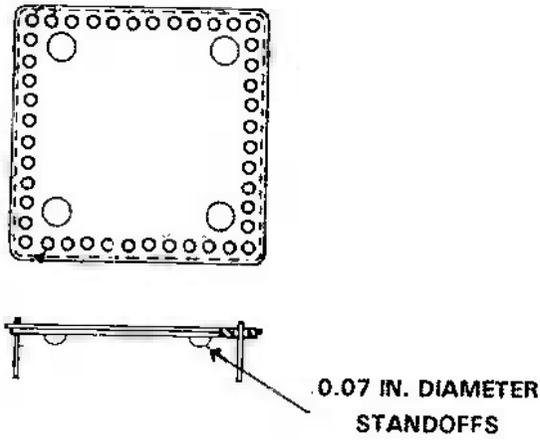


Figure 4. Hybrid mounted in a multi-row plug-in package. (Courtesy Rockwell International.)

Depending on the outside dimensions of the package, lead counts can reach 100 or more. Compared with the plug-in style, the flatpack can accommodate a larger substrate with a higher lead density in a given outside dimension. As with the plug-in packages, the sidewalls of flatpacks may be either modular or uniwall.

Sidewall Construction. Plug-in packages and flatpacks may be either of the modular or uniwall construction. Modular plug-in packages are fabricated from a 20-mil-thick base that has either a metal ring or individual sides brazed to it. These sidewalls are about 40 mils thick, holes are stamped into the base, then the glass seals and leads are added. The leads are typically on 50 mil centers. Modular packages are more expensive than uniwall types and sometimes present problems in obtaining a hermetic seal due to the surface not being flat at the edges.

In the uniwall package (the sidewalls are not separately brazed to a base plate); the entire package is formed from one piece of metal. The construction begins with a platform of Kovar about 40 mils thick which is then formed into the package by deforming it around a set of dies. Diagrams comparing the uniwall with the modular construction for both plug-in and flatpacks are shown in Fig. 6.



Top and side view configuration

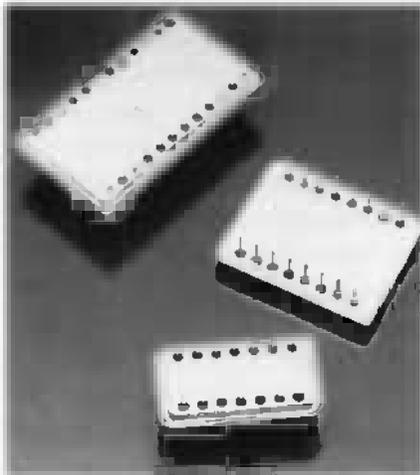


Figure 5. Platform plug-in package. (Courtesy Airpax.)

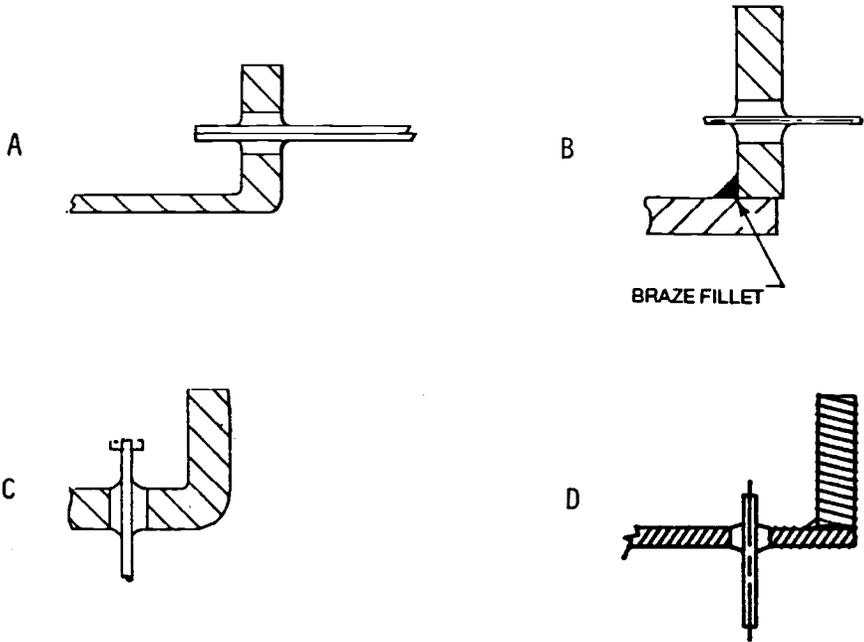


Figure 6. Package sidewall constructions. (a) Uniwall flatpack. (b) Modular flatpack. (c) Uniwall plug-in. (d) Modular plug-in.

Ceramic Packages. Widely used package materials, also providing hermetic qualities, are alumina, aluminum nitride, or beryllia ceramics. Ceramic packages are manufactured by the co-fired tape process and have an advantage over metal packages in avoiding the expensive and fragile glass-to-metal seals. Ceramic packages can be designed and built as integral-lead packages, leadless chip carriers, and leaded chip carriers. For the integral-lead package, the external metal leads are brazed or soldered onto thick-film conductor traces that emanate from the ceramic. These leads are formed as an integral part of the package by co-firing and sealing them between ceramic layers. Integral-lead packages are normally produced by the co-fired tape process though they may also be produced using the thick-film, screen-printing and firing process. Designs can be tailored for custom circuits. The interconnect substrate (usually alumina, though it may also be beryllia or aluminum nitride) forms the base of the package. The interconnect circuitry is printed on the base in multilayer fashion. A ceramic ring is next attached to the base making the cavity hermetic. The top surface of the ceramic ring is metallized so that a metal lid can be welded or soldered to it. The input/output metal traces that run under the ceramic ring can be attached to a metal lead frame or wire bonded. An advantage of the integral lead package is that the input/output leads can be spaced very closely (about 7 mils on center). Ceramic

packages may also be constructed with Kovar seal rings (also known as “window frames”) so that they may be sealed with metal lids by the conventional seam welding or belt-furnace sealing processes. Otherwise, ceramic lids may be attached with a low-temperature melting glass preform or epoxy adhesive. Still another variation involves metallizing the sealing surfaces of both the ceramic case and the lid and joining them with a solder preform.

2.2 Power Packages

In previous sections, package materials and packages for relatively low-power applications were discussed. For power hybrids and very high density multichip modules, the standard Kovar metal or alumina ceramic packages are not adequate to conduct and dissipate the heat generated by the devices or to manage high currents. For these applications, custom packages constructed from high thermal conductivity materials must be used. Copper or copper that is direct bonded to alumina, beryllia, or aluminum nitride are viable choices. Packages or package/substrate combinations have also been constructed entirely from beryllia or aluminum nitride. An aluminum nitride package in which the sidewalls and base are molded as an integral unit has been developed by Ceramics Process Systems¹ and a compatible active brazing process was developed by CTS.¹¹

Heat spreaders such as copper-tungsten, copper-molybdenum, and gold plated molybdenum in conjunction with alumina packages have been used for some time, but are being replaced by lighter weight metal-matrix composites (see Chapter 2). The most advanced of these composites is silicon carbide reinforced aluminum. These composites, some of which are sold under the trade name of Lanxide, have thermal conductivities approximately 12 times greater than Kovar yet weigh only one-third as much.

Finally, considerable attention is being focused on using CVD (chemical-vapor deposited) diamond as a heat spreader, substrate material, and for construction of entire packages.¹⁵⁻¹⁶¹ Diamond has the highest thermal conductivity of all known materials yet is an electrical insulator.

2.3 Epoxy-Sealed Packages

Both metal and ceramic packages can be sealed by attaching the lid with epoxy adhesive and curing the adhesive. The adhesive may be used either as a paste or preform. Epoxy-sealed hybrid circuits are widely used in commercial applications because of their low cost. Though quite reliable for most applications, epoxy-sealing cannot be considered hermetic in the sense that metallurgically sealed packages are. In a high humidity

environment, moisture eventually permeates the width of the adhesive bond line and enters the package cavity. Some would like to believe that plastic sealed packages are hermetic because they initially pass the helium leak test specified in MIL-STD-883; however, the penetration of moisture into such packages is only a matter of time (see Chapter 7).

2.4 Plastic Encapsulated Packages

Hybrid circuits may be encapsulated with plastic resins either by transfer molding or by casting. Though plastic packaging is a widely used low-cost process for single-chip devices (ICs, transistors, resistors) it is seldom used for hybrid circuits, especially for the high-density complex types where the probability of the plastic stressing the wire bonds or supplying ionic impurities that can chemically and electrically degrade the devices is very high. In those cases where plastics are used, the high purity epoxy or silicone resins having low thermal expansion coefficients are recommended. Generally, the high pressures that are used in transfer molding can distort wire and damage wire bonds. Casting is much more benign; the liquid resin is poured onto the circuit under normal pressure, however, even here, stresses due to shrinkage of the plastic on curing and differences in expansion between the plastic and hybrid components must be dealt with. Presently, plastic encapsulated modules (PEMs) are finding more and more uses in military equipment.

2.5 Ball Grid Array (BGA) Packages

Even the quad flat packs (QFP) have reached their limit in the number of I/OS that are practical and producible. Reducing the lead pitch to 20 mils has resulted in packages having up to 600 leads. However, since the leads are at the periphery, these packages can be quite large and handling and testing risks damaging the leads. Special handling fixtures are necessary to avoid distorting or breaking the leads during assembly, testing, and shipping.

Ball gridarray (BGA) and pad grid array packages that provide a much higher I/O density, even at pitches that are grosser than those for QFPs, have been developed during the past several years. Added benefits of BGA packages include their robustness, self-alignment to matching pads on an interconnect board during solder reflowing, and very short electrical paths—so important to high-speed digital circuits. Furthermore, extra solder balls (nonelectrical connections) can be designed into the package to provide thermal paths for high heat generating devices. Figure 7 and Table 1 show the improved I/O densities of BGAs compared with QFPs.

Table 1. Comparison of Dimensions for Quad Flat Package vs. Ball Grid Arrays

	<u>QFP</u>	<u>BGA</u>
Size (in./side)	0.5–1.6	0.5–1.8
Pitch (mils)	12, 16, 20	50, 60
Number of I/Os	80–370	72–1089

Note BGA's advantage in number of I/Os/square inch.
 For packages with >200 I/Os a 50-mil pitch BGA package is smaller than a 16-mil pitch QFP

Both QFP and BGA packages are available in ceramic, metal or plastic. The ceramic and metal versions are generally hermetic.^[7] The low cost plastic molded or encapsulated versions^[8] are considered non-hermetic, but mounting data point to as high a reliability as their hermetic counterparts for many applications.^[9] BGAs have been produced from ceramic, metal and plastic laminate bases. Figure 8 shows a schematic of BGA consisting of a BT laminate base (bismaleimide triazine) onto which the IC chips are flip-chip solder attached. Note the underfill sealing resin, generally a low viscosity epoxy, used to strengthen the solder connections. Using an underfill adhesive is reported to greatly improve the solder fatigue resistance of the interconnections.^[10]

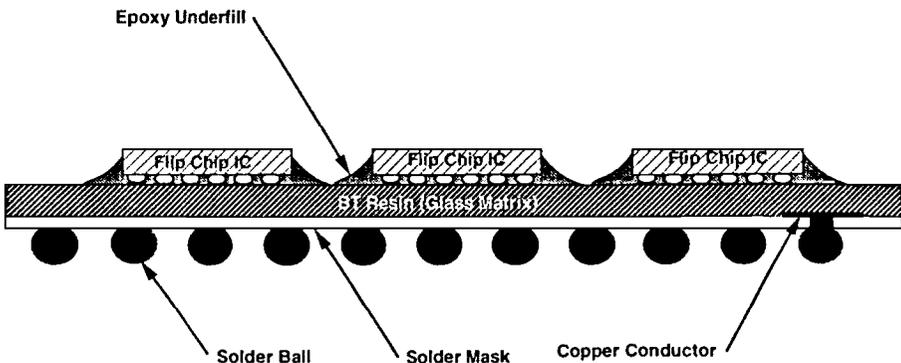


Figure 8. High-density multichip packaging: Flip-chip die on ball grid array.

2.6 Package Testing

Most package manufacturers perform the following quality conformance inspection and screen tests as defined in MIL-STD-883 in the following sequence:

Test	MIL-STD-883, Method Number
Visual Inspection	2009
Seal (Leak Test)	1014
Thermal Shock	1011
Seal (Leak Test)	1014
Heat Resistance for Gold	460°C/5 min (no discoloration)
Solderability	2003
High Temperature Storage	1008
Solderability	2003
Lead Integrity	2004 B2
Seal (Leak Test)	1014
Lead Integrity	2004 A
Seal (Leak Test)	1014

In addition, manufacturers may also perform qualification tests that a customer may require. The following is a sequence used for a typical package qualification.

Moisture Resistance	1004
Salt Atmosphere Exposure	1009
Temperature Cycling	1010
Seal (Leak Test)	1014
High Temperature Storage	1008
Seal (Leak Test)	1014
Constant Acceleration	2001
Seal (Leak Test)	1014
Mechanical Shock	2002
Seal (Leak Test)	1014
Vibration Fatigue	2005
Seal (Leak Test)	1014
Vibration (Variable)	2007
Seal (Helium Leak Test)	1014

3.0 ACTIVE DEVICES

Active devices that are used in hybrids are typically uncased, bare, silicon chips or die and consist of transistors, diodes, and integrated circuits. As previously stated, any type of device can be used in a hybrid. It is possible to install leaded components when they are not available in the uncased form. These leaded devices are usually diodes or transistors that, due to their special electrical requirements, have been packaged and fully tested. When a leaded part is used in a hybrid, the leads must be formed in a planar fashion so they can be surface mounted to the substrate. These leads can be soldered or microgap welded to the substrate. It is advantageous to avoid using solder in a hybrid package; therefore, whenever possible, welding should be used. In some cases, wire bonds can be made from the leads to the substrate.

Active die are electrically tested (dc) in the wafer form and the rejects are marked with colored ink so they may be discarded after separation from the wafer. Since these devices must be probed to be tested, ac and temperature testing are not possible. This may cause a yield problem at the hybrid level when the devices are tested at high and low temperature (see discussion of known *good die* in Chapter 13). One of the keys to high yields at the hybrid level is high-quality incoming inspection and testing of devices. This should include visual inspection to MIL-STD-883, Method 20 17 and 20 10, and testing of sample packaged parts at high and low temperature. An additional test that should be performed is material bondability. This entails bonding wires to the pads, then testing them for bond strength. If the sample passes, the lot may be assumed to be good and released to assembly.

3.1 Passivation

The semiconductor chip devices used in hybrid assembly are purchased with a passivation layer of either silicon nitride or silicon dioxide. These coatings are applied by the manufacturer at the wafer stage as one of the last steps in the fabrication of devices. They are applied by evaporation, sputtering or chemical vapor deposition, to the entire surface of the die except for the wire bond sites. Though the passivation layer protects the active surface of the die from particles, moisture, ionic residues, and general handling damage, it is thin (2000-6000 Å) and sometimes porous, therefore it should not be considered a hermetic seal; however, it does lower the leakage current on devices. Silicon nitride is better than silicon oxide passivation.

3.2 Metallization

Almost all semiconductor and integrated circuit devices that are used in hybrid microcircuits have aluminum as the top conductor metallization. The aluminum is typically 8,000 Å thick. Thickness is a critical parameter for a reliable wire bonding process. If the bond pad metallization is too thin, “punch through” can occur during the bonding operation causing damage to the underlying silicon. In a few cases, the device bonding pads consist of gold. This is excellent if gold wire is used for bonding because it provides a completely monometallic interface at both the die and substrate levels.

3.3 Transistors

Nearly all transistor types are available as chip components (See Figs. 9 and 10), including saturated switches, general-purpose amplifiers, RF amplifiers, and power switches. One of the drawbacks of using uncased transistor die is the inability to fully test and grade them. As an example, the 2N2222 transistor chip comes from a large family of 2N types, all employing the same basic fabrication process. These are screened and graded into 2N types ranging from a commercial-grade 2N2218 to a military-grade JANTXV2N2222A part. In the hybrid uncased version, the hybrid manufacturer must rely on the room temperature dc wafer probe tests to grade the part and this is typically not completely adequate to ensure electrical performance. This grading procedure applies to the entire spectrum of semiconductor devices and must be considered when selecting and specifying chip components for hybrid circuits.

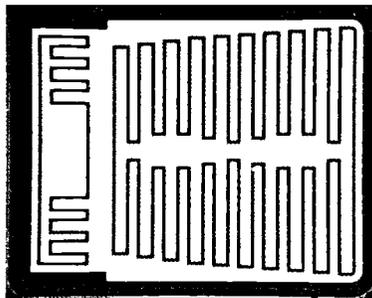


Figure 9. Power transistor die.

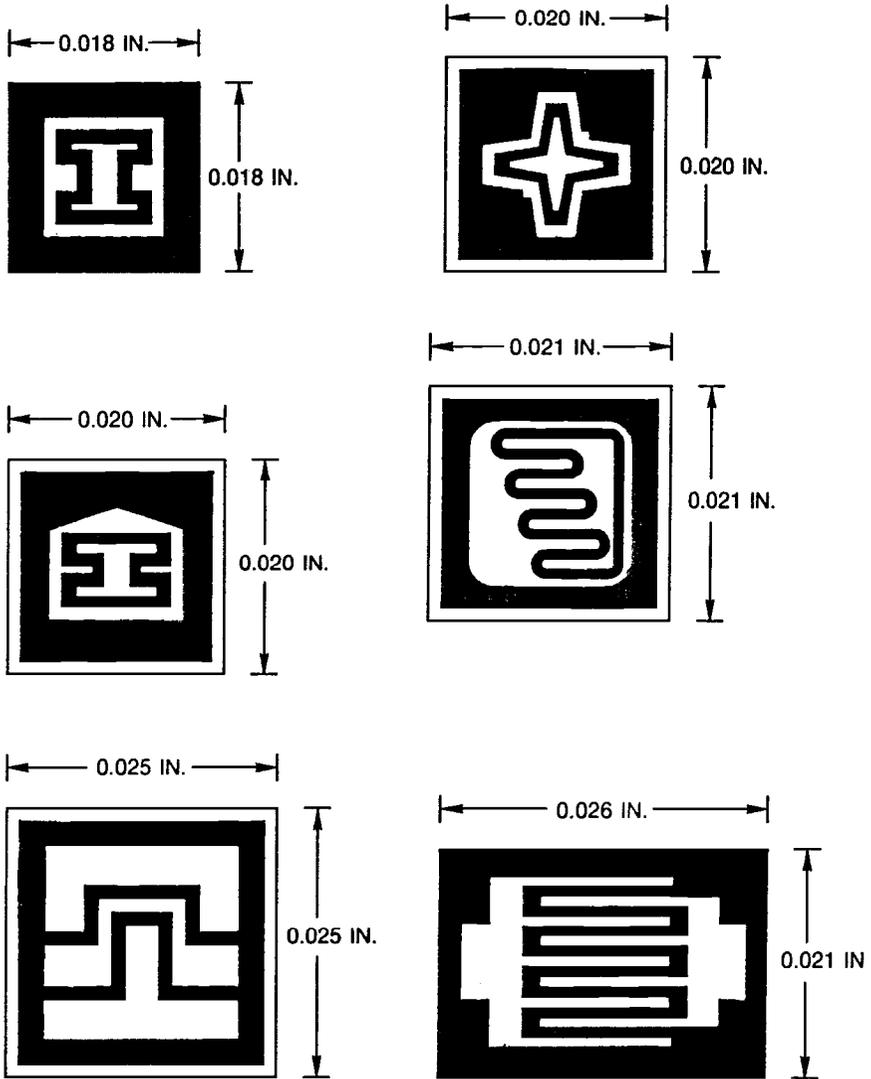


Figure 10. Topologies for small-signal transistor die.

3.4 Diodes

Signal diodes (Fig. 11), rectifier diodes, and regulator diodes present no special problems in chip form, however, temperature-compensated, very-stable reference-diodes do pose a problem. Even if the temperature and voltage characteristics of a reference diode are known prior to installation in a hybrid, the assembly and bonding processes may change these characteristics drastically. If this type of stability is needed, it is recommended that the device be used in its cased form and that it be mounted external to the hybrid.

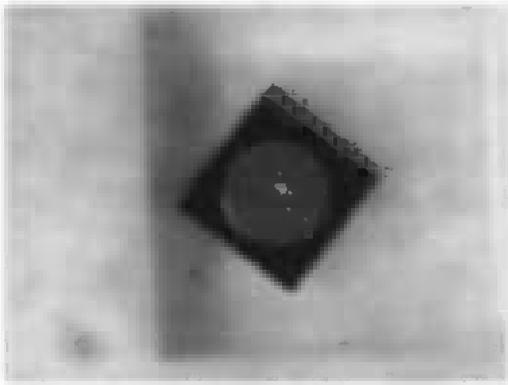


Figure 11. Diode die.

3.5 Linear Integrated Circuits

These chips perform analog functions such as amplifiers, comparators, regulators, line drivers, line receivers, mixers, timers, and a variety of other functions. As previously stated, any device that is available in the packaged form can be purchased in the die form. Some devices may have to be handled differently. For instance, there are some FET input operational amplifiers that actually contain three chips in the package: two input FETs and an amplifier. Even this "die" can be purchased in the three pieces and used in a hybrid. Figure 12 is an example of a linear integrated circuit die.

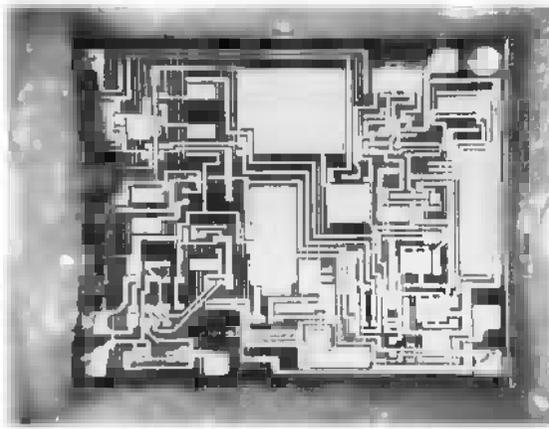


Figure 12. Linear operational amplifier die.

3.6 Digital Integrated Circuits

Digital integrated circuits (Figs. 13 and 14) are the most frequently used semiconductor die in hybrid microcircuits. They consist of gates, flip-flops, counters, memory devices (random access memories and read-only memories), shift registers, multiplexers, gate arrays, and combinations of other digital devices. The major types are bipolar and metal oxide semiconductors.

Bipolar devices are fabricated with npn and pnp transistors including: standard TTL (transistor-transistor logic), high-speed TTL, low-power Schottky TTL, emitter-coupled logic (ECL), small-scale integration (SSI), medium-scale integration (MSI), large-scale integration (LSI), and very high-speed integrated circuit (VHSIC).

Metal oxide semiconductors (MOS) are fabricated by p-channel and n-channel techniques. The two techniques are combined in Complementary MOS (CMOS).

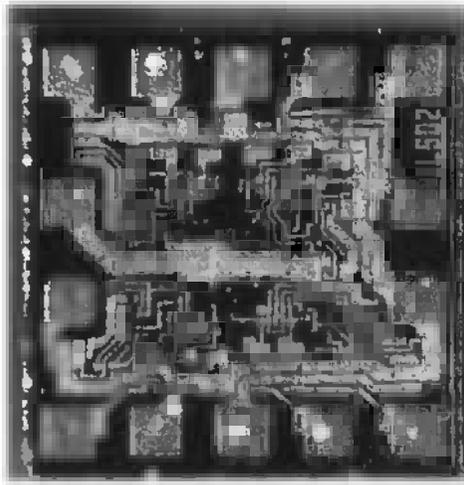


Figure 13. Digital gate die.

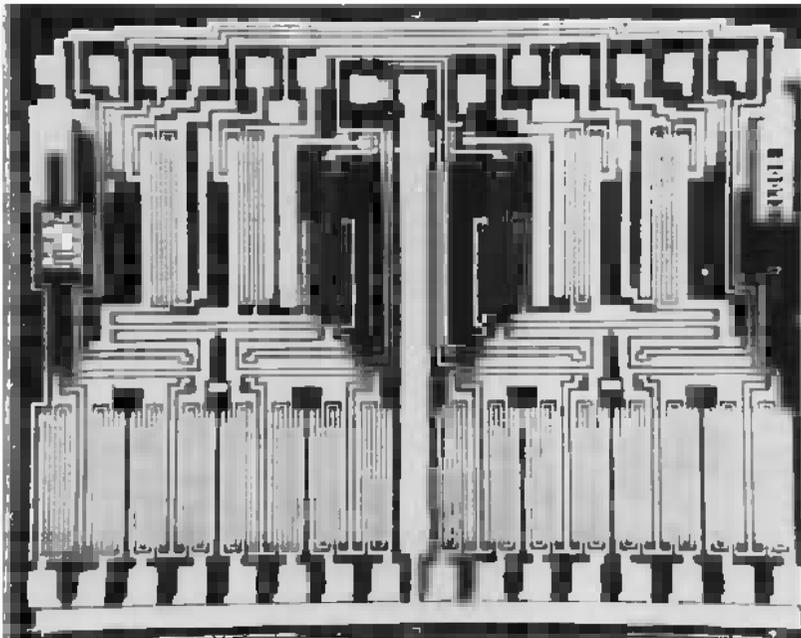


Figure 14. Multiplex integrated circuit die.

4.0 PASSIVE DEVICES

Passive devices consist of capacitors, resistors, RC arrays, and inductors (Table 2). These devices may be either batch-fabricated on the hybrid substrate or may be purchased separately in chip form and then assembled on a hybrid substrate. Deciding which to use depends on the availability of substrate area and the stability and tolerances expected. Capacitors and inductors that are screen-printed and fired onto hybrid substrates take up a considerable area and the capacitors are limited to low values (0.18 to 100 pf).^[11] Thus the use of chip capacitors and inductors is more prevalent.

Table 2. Passive Devices Used in Hybrid Microcircuits and MCMs

Device type	Description*
Discrete Resistors	Thin-Film on silicon, sapphire, or glass Thick-Film on ceramic
Resistor Arrays	Thin-Film on silicon, sapphire, or glass Thick-Film on ceramic
Discrete Capacitors	Thin-Film on silicon, sapphire, or glass Ceramic chips Solid tantalum chips
Capacitor Arrays	Thin-Film on silicon, sapphire, or glass
R-C Arrays	Thin-Film on silicon, sapphire, or glass
Discrete Inductors	Wire-wound toroid Thin-Film chip

* Substrate may be either thin or thick film.

4.1 Capacitors

The most popular types of capacitors used in hybrid circuits are the ceramic chips and thin-film MOS on silicon chips. Capacitors based on other dielectrics such as porcelain and glass are available, but not widely used. Tantalum chip capacitors find special applications where their inherently high capacitance values are required (Table 3).

Table 3. Characteristics of Chip Capacitors

Value Range	Dielectric Material	Tolerance	Temperature Coefficient	Size Range, Inches	Advantages Limitations
1.0 pf to 0.056 μ f (50 volts)	Ceramic NPO (COG)	$\pm 5\%$ or ± 0.1 pf whichever is larger	0 ± 30 ppm/ $^{\circ}$ C	0.040 x 0.030 x 0.040 to 0.225 x 0.210 x 0.065	Good Stability Zero capacitance change with applied voltage High Cost Large Case size vs capacitance value
4.70 pf to 5.6 μ f (50 volts)	Ceramic K1200 (X7R)	$\pm 5\%$ to $\pm 20\%$	$\pm 15\%$	0.040 x 0.030 x 0.040 to 0.225 x 0.210 x 0.070	Large capacitance vs case size Large capacitance change with temperature Large capacitance change with applied voltage
0.01 μ f to 15 μ f (50 volts)	Ceramic Z5U	$\pm 20\%$	+22%, -56%	0.040 x 0.030 x 0.040 to 0.360 x 0.400 x 0.070	Large capacitance vs case size Large capacitance change with temperature Large capacitance change with applied voltage
0.1 μ f to 10 μ f	Solid Tantalum	-20 +40%	$\pm 15\%$	0.050 x 0.100 to 0.150 x 0.285	Low Voltage Large capacitance vs case size
0.5 pf to 300 pf	MOS Chip	$\pm 10\%$	35 ppm/ $^{\circ}$ C	0.020 x 0.020 to 0.060 x 0.060	Low leakage Small area Capacitor arrays available

Capacitors are classified according to the dielectric materials from which they are constructed, which, in turn are classified according to their temperature coefficients as defined by the Electronic Industries Association or MIL-C-55681A (Table 4). Chip capacitors are available in three basic dielectric types. In order of decreasing temperature coefficient these are BX, X7R and NPO and classified as either Class I or II. Class I dielectrics are based on low K dielectrics (~ 150) and are composed primarily of titanium dioxide or titanates admixed with other oxides. Class I capacitors are used where high stability and low loss (high Q) over a full temperature range are required. NPO capacitors are examples of the class I type. Class II capacitors are based on high dielectric constant materials such as barium titanate ($K > 500$). They exhibit nonlinear characteristics and are less stable than the Class I types.*1

Special considerations in selecting and specifying capacitors include:

1. NPO capacitors should be specified only when necessary to avoid their higher cost and higher case-size versus capacitance values.
2. Capacitor manufacturers customarily supply capacitors of 200 pf and lower with NPO dielectric, regardless of what was specified.
3. Capacitor data sheets indicate tolerances as low as 1 percent. Specifying low tolerances, if not needed, should be avoided because it results in longer delivery times and higher cost. Instead, if a tight tolerance is required in an RC time constant, one should take advantage of the trim capability of the substrate resistors to achieve the required time constant.

Figure 16 shows a silicon chip capacitor array which has trim capability. The die is 0.020×0.030 inches. Figure 17 is a schematic representation of the array. Trimming is effected by wire bonding to the various taps, thus paralleling the various sections to achieve the necessary value.

Table 4. Capacitor Material Characteristics

Dielectric Type	Dielectric Constant	Temperature Coefficient	Operating Temperature Range, °C	Dissipation Factor, %	Capacitance Change with DC Voltage Bias, %	Capacitance Change with AC Voltage Bias, %
COG/NPO	80	$0 \pm 30 \text{ ppm}/^\circ\text{C}$	-55° to +150°	0.05	0	0
COG/NPO	80	$0 \pm 10 \text{ ppm}/^\circ\text{C}$	-55° to +150°	0.05	0	0
W5R/BX	1600	$\pm 15\%$	-55° to +125°	2.0	-10	+20
K2000	2000	$\pm 15\%$	-55° to +125°	2.0	-20	+20
K2500	2500	$\pm 15\%$	-55° to +125°	2.5	-25	+20
K5000	5000	+20%, -50%	+10° to +85°	2.5	-40	+30
K5000	5000	+20%, -85%	-55° to +125°	2.5	-40	+30

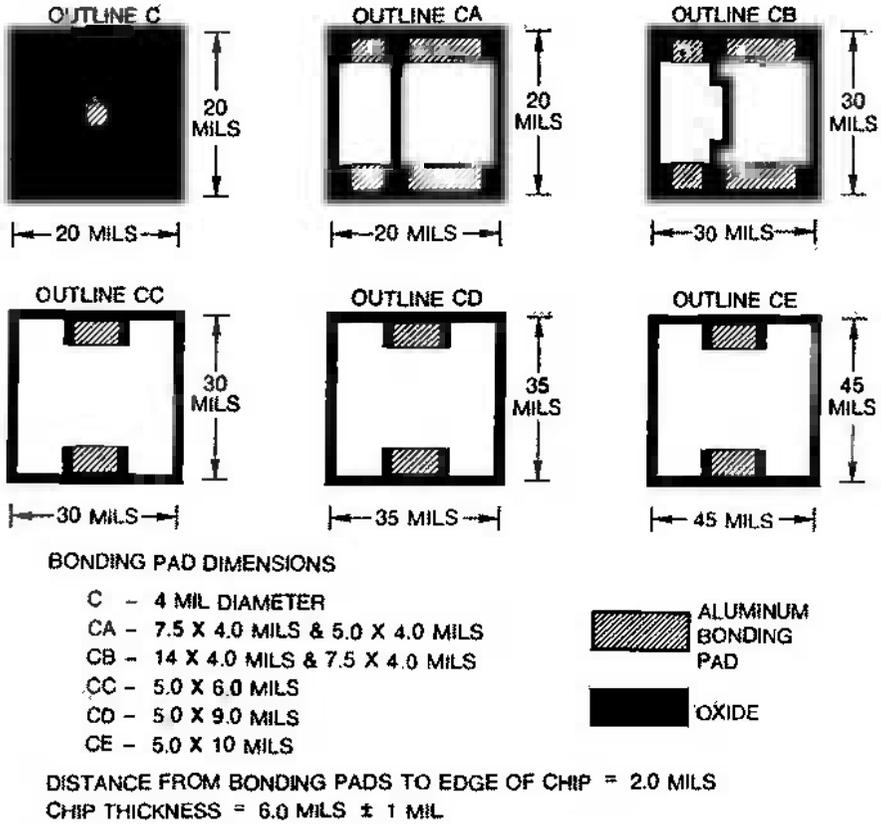


Figure 15. Configuration and size of various silicon chip capacitors.

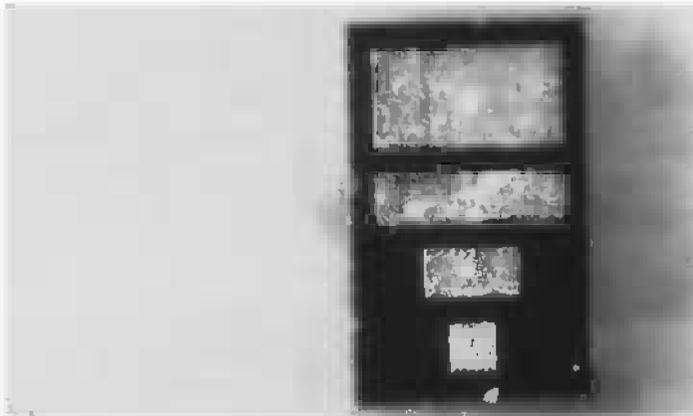


Figure 16. Chip capacitor array.

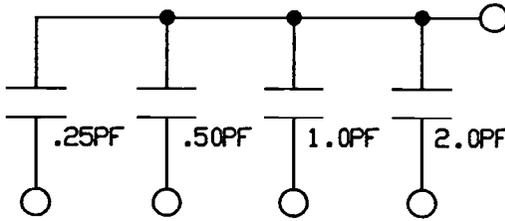


Figure 17. Schematic of chip capacitor array.

4.2 Resistors

Normally resistors are deposited or screen-printed onto the substrate during the fabrication process, however, chip resistors are used for a variety of reasons.

1. There may be only one or two resistors required for a particular design. In this case it is more economical to use chip resistors instead of depositing or screen-printing resistors, avoiding the expense of added process steps.
2. On a thick-film substrate, there may be a few resistors that are significantly different in value from the others such that it would require processing another sheet-resistance ink. In this case it is more economical to use chip resistors for the few that are different in value.
3. In a thin-film circuit where all the resistors are formed from a low sheet resistance material, there may be a need for a few high-value resistors. Again, the practical solution is to use chip resistors for the few high values.
4. Thick-film resistors have high temperature coefficients of resistance. If the circuit design requires thick film to achieve a multilayer structure, but also needs precision resistors, then thin-film chip resistors should be used.
5. Thick-film resistors have a larger noise index than thin-film. Thin-film chip resistors should therefore be used in circuits that require low noise.

Thin-film chip resistors are commercially available as 30-mil-square chips with either nichrome or tantalum nitride as the deposited resistive material. They are produced in various configurations including single value resistors, center-tapped resistors (Fig. 18), and multiple resistors in custom-designed arrays. Figure 19 shows an example of a resistor array that contains seven resistors; Fig. 20 is the schematic for this array. Chip resistors are available with all the bonding pads on the top or with one bonding pad on top and the second connection on the bottom. The standard substrate material for thin-film resistors is silicon, though quartz or polished alumina may also be used.

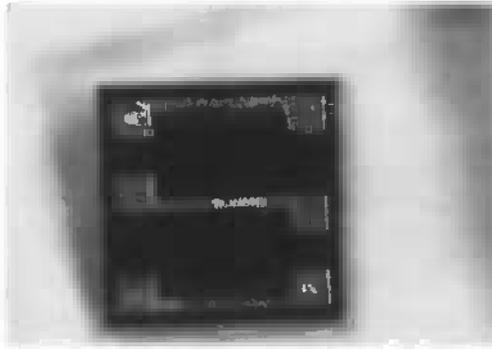


Figure 18. Center tapped resistor die.

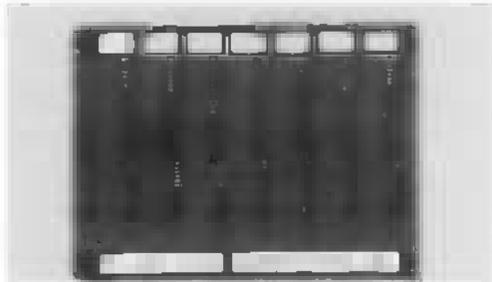


Figure 19. Resistor array die.

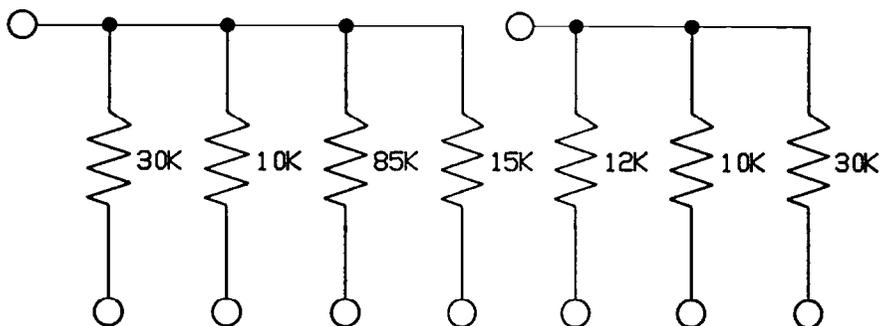


Figure 20. Schematic of chip resistor array.

Thick-film chip resistors are also commercially available and are used when very small or very large resistance values are required. Power chip resistors may also be trimmed to 0.005 percent and dissipate 3 watts in air. The standard substrate material for thick-film resistors is alumina; however, for power applications, beryllia is preferable.

Table 5 compares the four types of chip resistors and provides standard parameters, however, any of the parameters may be custom adjusted by the vendor or user. For example, if very stable resistors are required, vendors may produce precision thin-film resistors that have a 0.02 percent tolerance (user trimmable to 0.0005 percent), a temperature coefficient of 0.6 ppm per degree C, and stability to within 0.05 percent after 2,000 hours at 70°C.

The military specification that controls chip resistors is MIL-R-55342C.

4.3 Inductors

Inductors, because of their large size, are generally not integrated with other chip devices inside of hybrid packages. Instead they are assembled external to the hybrid on a printed wiring board. However, three types of inductors can be assembled in hybrids: thick-film screen printed or thin-film deposited inductors, chip inductors, and wire-wound inductors.

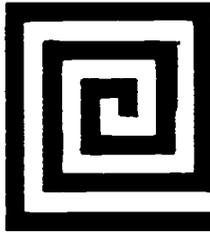
Film Inductors. Thick or thin-film inductors can be fabricated on the substrate (Fig. 21). They range in value from 10 to 100 nH, but occupy considerable substrate area.^[13]

Table 5. Chip Resistor Characteristics

Resistive Material	Resistance Range*, Ω	TCR Range, ppm/ $^{\circ}$ C	TCR Tracking, ppm/ $^{\circ}$ C	Typical Tolerance, %	Power Dissipation	Typical Chip Size (Inch)	Advantage ----- Limitation
Nickel-Chromium (NiCr)	10 to 1 Mohm	0 to +50	5	± 5 standard ± 0.01 special	250 mW at 70 $^{\circ}$ C	0.030 x 0.030	Good temperature characteristics
Tantalum Nitride	4.7 to 10 Mohm	± 100 (Typ.) ± 5 (special)	2	± 5 standard ± 0.1 special	250 mW at 70 $^{\circ}$ C	0.020 x 0.020 to 0.050 x 0.050	Stable and Moisture resistant
Thick-Film (ruthenium compounds)	0.1 to 100 Gohm	± 100 to ± 350	N/A	± 10 standard ± 1 special	30 watts/sq inch	0.035 x 0.035 to 1.00 x 0.100	Highest value of resistance ----- High noise
**Power Metal Strip	0.01 to 0.2 0.005 to 0.5 0.005 to 1.0	± 75 ± 30 ± 30	N/A	± 1 standard tighter available	250 mW 500 mW 1 watt	0.126 x 0.063 0.200 x 0.100 0.250 x 0.125	Low inductance Low resistance

* Larger values and resistor arrays are available, consult supplier data sheets.

** WSL Power Metal Strip Resistors product of Dale Resistors.



SQUARE SPIRAL



ROUND SPIRAL

Figure 21. Typical configurations of thick film spiral inductors.

Two equations that have proved to be in close agreement with measured values for round and square inductors fabricated on a substrate are as follows:

Round Inductor:

$$L = \frac{0.8a^2n^2}{6a + 10c}$$

Where: L = Inductance in nH
 $a = (d_o + d_i)/4$
 $c = (d_o - d_i)/2$
 d_o = Outside diameter in mils
 d_i = Inside diameter in mils
 n = Number of turns

Square Inductor:

$$L = (0.0216S^{0.5}n^{1.67})$$

Where: L = Inductance in nH
 S = Surface area of coil in square mils
 n = Number of turns

Chip inductors. Chip inductors are also available with values ranging from 0.1 to 1,000 μ H.

Wire-Wound Inductors. The wire-wound inductors can be attached to the substrate with epoxy and then the leads welded or wire bonded to the conductor metallization (Fig. 22). Values are available up to 1,000 μ H.

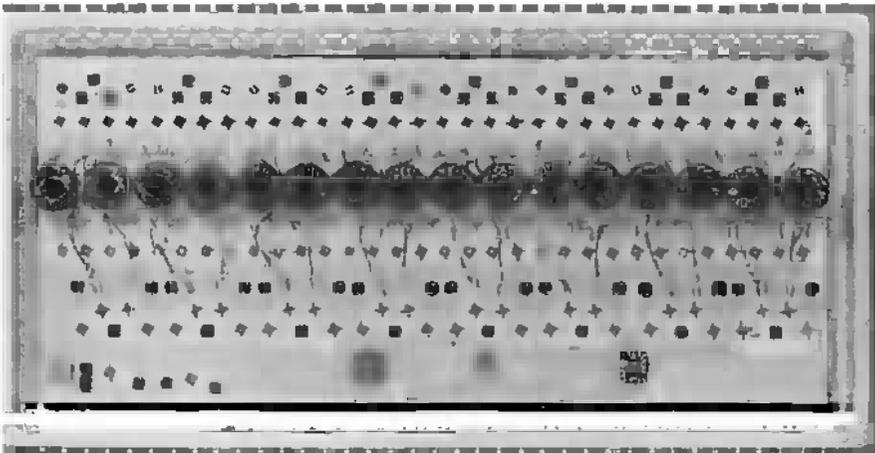


Figure 22. Hybrid using wire wound inductors. (Courtesy Rockwell International.)

4.4 Procurement

Devices should be purchased to Source Controlled Drawings (SCDs) to ensure the reliability and configuration of the chips. A typical SCD should include the following:

1. A pictorial of the chip topology, including pad locations and outline dimensions.

2. DC electrical test requirements for 100% testing of chips at room temperature.
3. Lot-sample testing (active devices) for ac and dc parameters at temperature extremes. These tests are performed on cased samples from the lot.
4. Qualification tests.

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Assembly Processes

1.0 INTRODUCTION

The processes used to assemble hybrid circuits are now fairly standard in industry. They differ primarily in the choice of attachment materials (adhesive or metallurgical attachment), interconnection processes (wire, flip-chip, or tape automated bonding), and sealing methods (seam welding, belt furnace sealing or plastic encapsulation). The assembly processes are generally common to both thin and thick-film circuits.

There are several assembly sequences depending on whether the substrate is alloy-attached or epoxy-attached to the inside of the package. If alloy-attached, the substrate must be attached in the package first since this is a high temperature operation (about 3 IO-320T when using gold-tin solder preform). The subsequent die and component attachments are performed in the order of decreasing processing temperatures. Thus, if some components are to be attached with soft solder (tin-lead or indium alloys) and others with epoxy, the higher temperature solder attachments should be conducted first. It is, of course, desirable and less costly to employ only one attachment process, preferably epoxy bonding because it is the least expensive and easiest for rework.

When epoxy is used as the attachment material, there are two sequence options. The substrate may be attached to the inside of the case first, then the die attached to the substrate-or all the die may be attached to the substrate, then the substrate attached to the inside of the package. With multiple-up substrates (laser scribed on the back side for subsequent separation) it is

economical to batch-fabricate the conductor/resistor/dielectric patterns, batch-apply the epoxy adhesive by screen-printing or automatic dispensing, automatically picking and placing the die, and curing the adhesive, all prior to separating the individual substrates and inserting them into cases.

After attachment, the die, face up, are electrically interconnected by one of several bonding processes. The most widely used process involves bonding gold or aluminum wire from the die pads to metallized bonding sites on the substrate. Die may also be flip-chip (face down) bonded, in which the connections are made through solder bumps on the face of the die, mating with corresponding pads on the substrate. A low viscosity adhesive (underfill adhesive) may be used to fill the spacings around the bumps, reinforcing the connections, and providing improved thermal conductance. In a third interconnect process, TAB (tape automated bonding), the die are bonded to a polyimide tape carrier designed so that the die may be electrically pretested and burned-in prior to assembly onto the substrate.

Numerous cleaning steps are required throughout the assembly sequence. Cleaning must be thorough enough to remove all contaminants, yet not so severe that it will degrade the die or the wire bonds. No ideal cleaning solvent or process yet exists so, at best, the chosen procedure is a compromise.

Upon final cleaning, the hybrid circuits are vacuum-baked to remove traces of moisture and other adsorbed volatiles, then sealed in a hermetic cavity package in dry nitrogen or plastic encapsulated. In some cases the circuits are coated with a thin polymeric coating prior to vacuum-baking and sealing to immobilize any loose particles that may remain on the circuit after cleaning. The coating also prevents particles from breaking loose after sealing and during actual use. Parylene, a vacuum deposited organic coating developed by Union Carbide Corp., is the most commonly used coating for this purpose. A flow-diagram showing the major assembly steps for wire bonded devices is given in Fig. 1. Materials and process details for these assembly steps are discussed in the following sections.

2.0 DIE AND SUBSTRATE ATTACHMENT

2.1 Types and Functions

The most widely used method for attaching die to the interconnect substrate or for attaching substrates to the inside of packages is epoxy adhesive bonding. It is estimated that over ninety percent of all hybrid microcircuits produced employ epoxy adhesives for both device and substrate

attachment, largely because of their low cost and ease of rework. A second attachment method is metallurgical joining, which can be effected either by eutectic scrubbing or by using an alloy preform. Metallurgical attachment is generally used for selected hybrid circuit applications, notably for high power circuits which require efficient thermal dissipation or for circuits that must meet a very low moisture content. Still a third, more recently introduced method, is silver-glass adhesive bonding, though this method has found more extensive applications in single-chip package assembly than in hybrid assembly.

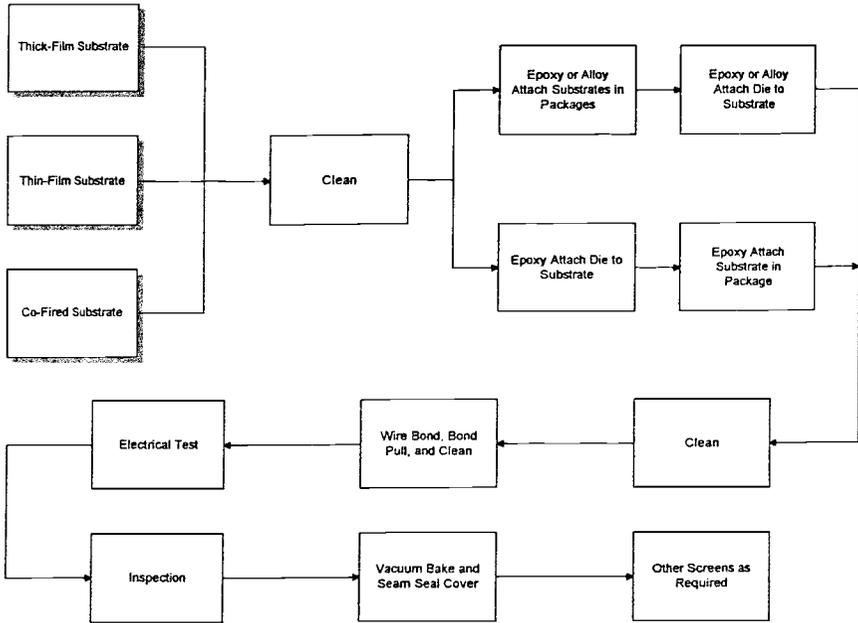


Figure 1. Flow diagram for hybrid assembly processes using wire bonded devices and hermetic sealing.

Attachment materials serve three functions: mechanical, electrical, and thermal. The foremost consideration for all attachment materials is that they have sufficient bond strength to assure that components stay in place, not only for the life of the circuit, but also during the accelerated mechanical, thermal, and chemical stresses that may be imposed on the circuit during processing and screen testing. For example, the attached die and substrates must not detach during the constant acceleration screen test required by MIL-STD-883. Generally, epoxy-attached die and substrates

will pass 5000 g's **in the Y (1) axis**, but become marginal at 7,500 to 10,000 g's, whereas metallurgically attached components can withstand 10,000 g's or greater. Further, the components must not detach during temperature cycling or elevated temperature exposure, as encountered in burn-in and life testing.

In some cases, the attachment material must function as an ohmic contact, providing an electrical conduction path from the device to the substrate circuit pads as with chip capacitors, chip transistors, and some resistors. In these cases, electrically conductive adhesives (silver or gold filled epoxies) or low-melting solders are used.

Lastly, the attachment material may have to function as an efficient thermal transfer medium, conducting heat from the die to the substrate and from the substrate to the metal or ceramic package. Solder or metal alloys are best for this purpose, but often can't be used because they are also electrically conductive, render rework difficult or impossible, or result in wire bond or device degradation due to the high temperatures required in melting the solder. In such cases, epoxies filled with metals or with thermally conductive ceramics (alumina, beryllia, aluminum nitride) are used as a compromise.

2.2 Adhesive **Attachment**

Epoxy Adhesives. Two types of adhesives, electrically conductive and electrically insulative (nonconductive), are popular for hybrid assembly (Fig. 2). Electrically conductive epoxies may either be silver-filled or gold-filled, though the silver-filled versions dominate the market. Integrated circuit die that require no ohmic contacts are generally attached with nonconductive epoxies as are ceramic substrates that are attached to the inside floors of metal packages. Silver-filled epoxies are used to attach devices that require electrical contacts, such as transistors, capacitors, and diodes; however, if carefully applied, the same conductive epoxy may be used to attach all devices. This is desirable from a production standpoint because one can screen-print or dispense a single epoxy instead of several epoxies. Metal-filled adhesives also have thermal conductivities much higher than their insulative counterparts. This is an added advantage in using silver-filled adhesives, even for those parts that do not require ohmic contact.

Though epoxy adhesives or, as a matter of fact, any organic materials were initially discouraged for use in high reliability microcircuits, considerable work has been done during the past thirty years in evaluating, optimizing, establishing requirements and specifications, and qualifying adhesives so that today hybrid microcircuits assembled with adhesives are considered highly reliable.]-I61 NASA, foreseeing the need to control the quality of adhesives,

under several contracts with Rockwell International, prepared and issued, in 1982, the first specification MSFC-SPEC-592 "Specifications For the Selection and Use of Organic Adhesives in Hybrid Microcircuits." This specification, modified to some extent, served as the basis for the presently used Method 5011 of MIL-STD-883.

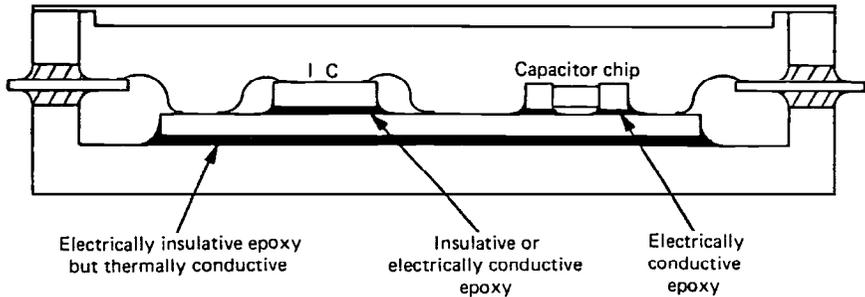


Figure 2. Cross-section of a hybrid circuit showing applications of epoxy adhesives.

Two key concerns that led NASA to generate an adhesives specification were the integrity of the bonded devices during temperature cycling and the amounts and effects of outgassing products from the adhesive on the circuit performances.^[7]

Solder, previously used to attach capacitors, had been shown to fatigue, crack and detach during temperature cycling. Some early epoxy adhesive formulations also were reported to crack during extensive temperature cycling (500 cycles from -65°C to 150°C).^[8] Special care should be taken in using gold-filled epoxy to attach capacitors since cracks at the interface have been shown to develop during cure.^[9] Bond shear strength requirements were therefore established for various mechanical and thermal stress conditions. These should, however, be augmented with electrical test data in the case of electrically conductive adhesives since small microcracks or detachments at the bond line may result in high-resistance joints yet pass the strength requirement.

The initial selection of the epoxy material is a key factor in assuring reliability. Literally thousands of epoxy formulations are available. They differ widely in properties such as thermal stability, amounts and types of outgassing products, and purity. Specification requirements were therefore set for the total weight loss as a function of temperature, amount of water evolved, amounts of chloride, sodium and potassium ions, and total ionic contents.^[1]

Other specification requirements included electrical resistivity under various stress conditions, electrical properties (dielectric constant, dissipation factor), and thermal properties (thermal conductivity, coefficient of linear thermal expansion).

In addition to the selection of an adhesive based on its inherent materials properties, the manner in which the adhesive is processed is equally important in assuring reliability. For example, in dispensing a silver-filled epoxy, care should be taken to prevent flow or spreading over or between circuit lines which risks electrical shorts, metal migration, or particle detachment. *Bleed-out*, a separation and migration of one of the constituents of the epoxy during cure, can also occur. Either the resin or the hardener/catalyst component of the epoxy may creep along a ceramic or gold-plated surface. The degree of bleed-out differs with different adhesives, their degree of cure, the type of surface used, and variations in the cleanliness and surface conditions of the substrate. Though not well understood, bleed-out contaminates wire bond pad sites and can affect both the initial bondability and long-term integrity of wire bonds. Cleaning in an oxygen or oxygen-argon plasma has been found effective in removing the bleed-out materials.¹

Adhesive Forms. Electrically conductive and insulative epoxy adhesives are available in two forms: paste or film (also referred to as tape adhesive). Paste adhesives are formulated to have optimum flow properties so they can be dispensed either by automated epoxy dispensing machines or by screen printing. Paste adhesives are best purchased as pre-mixed, degassed, and frozen in small tubes. Degassing, mixing, and packaging by experienced adhesives manufacturers avoids human errors that can be made if the user processes the adhesive. For example, mixing a two-component epoxy introduces air, and if not adequately degassed, the air becomes entrapped in the cured adhesive creating small voids in the bond line. These voids decrease both the electrical and thermal conductivity and may even degrade the bond strength. Frozen adhesives have a fairly long shelf life when stored at -40°C . They are convenient to use since only the number of tubes that are to be used in a given time need to be thawed.

Film or-tape adhesives are partially cured, B-staged, epoxies similar to those used in printed circuit fabrication. The film, cut to the exact size, is placed between the two mating surfaces, held with pressure, and cured by heating. Film adhesives have several production advantages over paste adhesives, especially in controlling the amount of adhesive that is applied and assuring complete coverage. These factors are important in attaching large area substrates or large die. The film adhesive can be purchased precut to the sizes required or may be purchased in large sheets and cut by the user.

With paste adhesives, errors often **occur** in dispensing too much or too little adhesive. With small amounts, complete continuous coverage may not be attained, which reduces the bond strength; excessive adhesive flows up and around the sides of the substrate or die and can contaminate the top circuit. Excessive flow from silver-filled adhesives can short the circuit immediately or in time, as a result of silver migration. Film adhesives have not been too popular in attaching small die because of the logistics in handling large numbers of very small sizes. Also, with the advent of automated pick-and-place machines and automated dispensers, the paste adhesives are more suited to the attachment of die.

Polyimide Adhesives. Polyimide adhesives for die attachment were first introduced in 1978 to meet the need for hybrid circuits and single chip devices that would encounter temperatures higher than 200°C in actual use or in accelerated temperature testing. Both electrically conductive (silver filled) and electrically insulative versions were introduced. Polyimides are superior to epoxies in their thermal stabilities at temperatures of 250°C or higher. The isothermal weight loss curves at 250°C for a typical polyimide compared with one of the better high-temperature-stable epoxies (an anhydride cured epoxy) are shown in Fig. 3. The continued decomposition of the epoxy is evident from its linear weight loss as a function of time. Because there are very few applications in which hybrid circuits may be exposed to such high temperatures, polyimides are not extensively used. One exception is in the oil/gas drilling industry where hybrid circuits must withstand the high temperatures and harsh environment of the deep well. For such applications, hybrids assembled with polyimide adhesive are used for digital/analog processing in sensing and measuring the deep well environment.[41

The use of polyimides in hybrid assemblies is limited because of two problems: (i) the high temperatures that must be used to cure the adhesive (generally a step cure culminating at 275°C); and (ii) the potential for entrapment of solvents or water that is released during cure. Unlike epoxies, where compositions of 100% solids (no solvents) can be formulated, polyimide adhesives require highly polar solvents (such as N-methyl pyrrolidone) to keep them in solution. This has presented a problem in the entrapment of solvent beneath large die and substrates even after curing. Further, many formulations are condensation polymer types, that is, they polymerize by the elimination of water between molecules. This can result in the entrapment of water and formation of voids within the bond line, a reduction in thermal dissipation, and degradation of the adhesive bond strength. More recent polyimide formulations are based on addition polymerization which obviates the problem of water evolution, though the solvent

entrapment problem remains since the formulations still require organic solvents. Controlling the bond line to a very thin layer ameliorates both bubble entrapment situations.^{[12][13]}

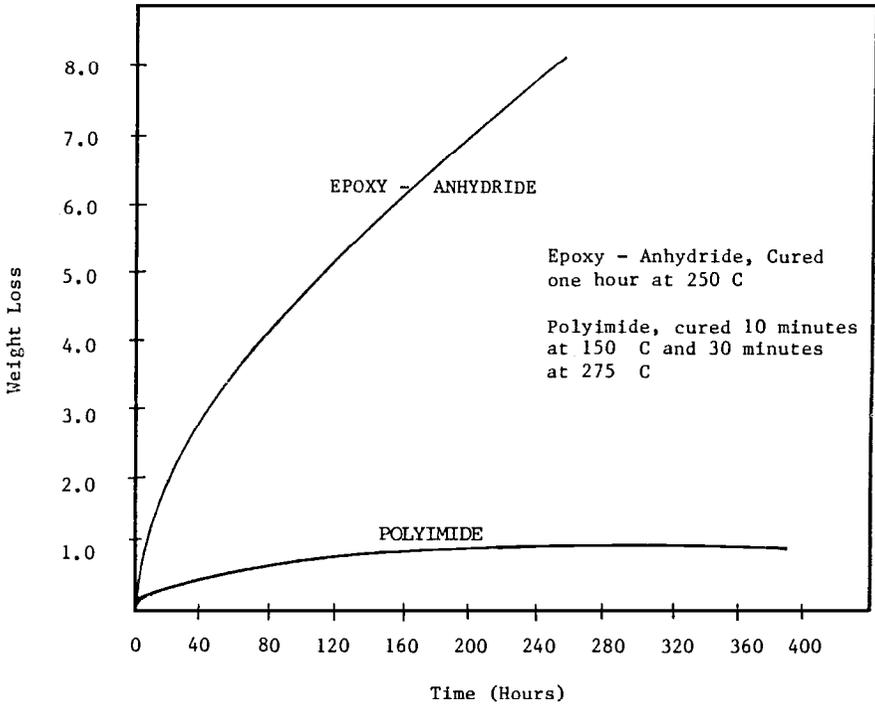
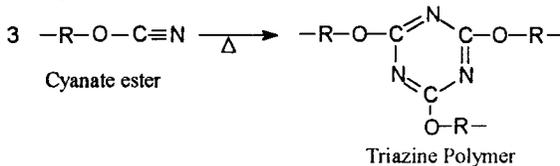


Figure 3. Comparison of polyimide and epoxy weight loss at 250°C in nitrogen.

Cyanate Ester Adhesives. Cyanate ester adhesives are based on cyanate resins. The cyanate groups (-O-C≡N) of these resins polymerize on heating, forming triazine rings which impart higher thermal stability to these adhesives than epoxies.



Glass transition temperatures for some silver-filled cyanate ester adhesives are as high as 240°C and exposures up to 370°C are tolerable, however, to achieve these properties, a cure temperature of 300°C for 30 minutes is recommended, making them more suitable for attaching single die

in solder-sealed ceramic packages than for attaching die in hybrid or multichip module packages. Silver-filled cyanate ester adhesives, because of their unique chemistry, are reported to have low moisture outgassing (< 1000 ppm in a sealed cavity package), and low ion contents (~20 ppm Na⁺ or K⁺ and ~10 ppm Cl⁻).[41]

Modified cyanate ester adhesives that cure in less than one minute at 160°C have also been developed. These “snap cure” types are designed for in-line processing for high-speed automated die bonding, for example die bonding to lead frames of plastic packages.[41]

Adhesive Bond Strength. A prime requirement for both adhesively attached devices and substrates is that they have sufficient strength to last the life of the circuit and withstand the thermal, mechanical, and chemical exposures that they will be subjected to during processing, screen testing, and accelerated life testing. Generally, strength is no problem since epoxies are among the most adherent materials available and shear strengths of over 3,000 psi are easily attainable. However, several materials and process parameters must be controlled to obtain reliable and reproducible results. Surfaces to be bonded must be well cleaned to remove both organic and inorganic (ionic) residues. Often both polar and nonpolar solvents may be required to adequately remove all contaminants. In some difficult-to-bond situations, abrasion (scuffing of the surface) or plasma cleaning must be used. Cleaning using an oxygen, argon, or oxygen-argon plasma has become popular as an efficient method for removing traces of oils and other organic residues; though a pure oxygen plasma must be used with caution since it may oxidize metals. Silver-filled epoxies are known to darken and even blacken when exposed to the oxygen plasma, due to oxidation of the silver. Adhesion to gold-plated Kovar cases may also be marginal because of the inherent inertness of gold. Some hybrid manufacturers abrade or scuff the gold on the inside floor of the package to expose some Kovar while others employ a primer to enhance adhesion. Plated gold varies in texture, surface finish, and porosity, all of which may adversely affect adhesion. Plating bath ionic residues that have not been completely removed or oily residues that have become entrapped in a porous gold plate will also degrade the adhesive strength. To assure complete removal of these contaminants the user may have to further clean the packages with deionized water to remove ionic residues, and plasma clean to remove tenacious oily residues.

In selecting and qualifying an adhesive, it is good practice to assemble prototype circuits and subject them to various cleaning solvents, processing temperatures, and mechanical screen tests. Bond shear strength requirements for adhesively attached die, substrates, and capacitors are given in

MSFC-SPEC-592 for conditions of room temperature, 150°C, after solvent immersion, after temperature cycling, and after 1,000 hours aging at 150°C. For the last three conditions a 70-80% retention of the initial bond strength is required. The constant acceleration (centrifuge test) in the Y(1) axis is an excellent test to assure that all devices and the substrate will not detach, since the force in the Y(1) axis is pulling away from the bonded part. For military/space programs, hybrid circuits are tested on a 100% basis at 5,000g's. Most paste adhesives become marginal at 7,500 g's and will detach at 10,000 g's, By controlling the uniformity and thickness of the adhesive, for example, by using a preform or applying the paste by screen-printing, centrifuge forces of 15,000 g's can be withstood. However, to consistently meet requirements exceeding 10,000 g's, eutectic or alloy attachments are recommended.

Electrical Conductivity. The electrical conductivity and stability of electrical parameters at elevated temperatures, after aging at elevated temperature, and under power, are basic considerations in the selection of die attach adhesives.

The electrical conductivity of metal-filled adhesives is a function of the extent to which the metal particles contact each other; the higher the filler content the greater the probability for metal-to-metal contact and the higher the conductivity. There is a limit, however, to the amount of filler that can be blended in an epoxy resin without affecting its flow properties or its ability to be dispensed. Even more significant than the weight percent of filler is its volume fraction. For **example**, though the weight percent of silver in an epoxy paste adhesive may be high (70-80%) the volume percent in the cured adhesive can be as low as 2%. Thus, to maximize conductivity, adhesives should be selected that have as high a volume percent of filler as practical without affecting their processing properties. [7] Other factors important in improving electrical conductivity are the shape and size of the metal particles. Silver is normally used in the form of flakes or combinations of flake and powder. The flake form provides a higher number of contact points than either spherical or cubic particles.

The conductivities of the best silver-filled epoxies are of the order of magnitude of 10A to 100- ohm-cm. Though still several orders of magnitude worse than pure silver metal, the electrical conductivities of silver or gold filled epoxy adhesives are adequate for most circuit applications. Electrical conductivities of silver-filled epoxies are quite stable, decreasing only slightly at elevated temperatures (Fig. 4) while aging for 1,000 hours at 150°C generally improves the conductivity (Table I). In spite of these excellent characteristics, there have been instances where the device and circuit parameters have shifted due to the formation of a resistive path at the

bond-line interface between the die and adhesive. This resistive layer sometimes occurs initially during the cure of the adhesive or may develop later as a function of time, temperature, and current density. In such instances, several techniques have been successful in assuring a reliable and stable electrical path.

1. Separately scrubbing the backside of the silicon die to a gold-plated surface to form a gold-silicon eutectic layer. This requires an extra step, but removes oxides on the silicon and assures a good ohmic contact.
2. Separately scrubbing the die onto gold-plated Kovar or molybdenum tabs, then adhesively attaching the tabs to the circuit. In addition to assuring a reliable electrical interface with the device, this method has the added advantage of spreading the heat dissipated by the device over a wider area.
3. Sintering die that have backside gold metallization, which results in interdiffusion and again in an improved electrical path. The diffusion should be controlled to prevent driving most of the gold into the silicon thus resulting in a silicon-rich surface or high resistance contact.

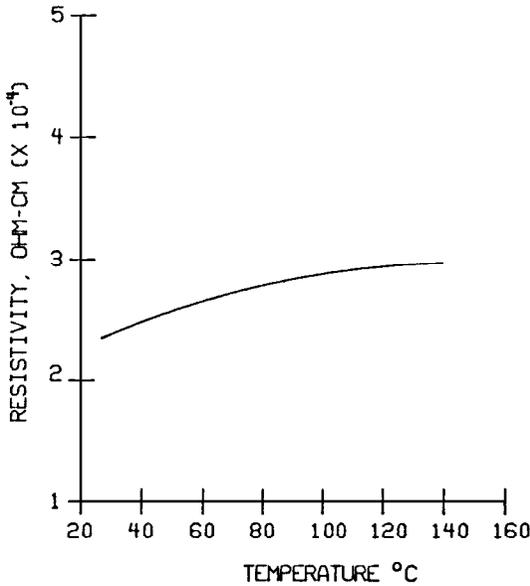


Figure 4. Volume resistivity vs. temperature for a silver-filled epoxy paste adhesive.

Table 1. Volume Resistivities for Silver-Filled Epoxy Adhesives (ohm-cm)

Adhesive	At 25°C	At 60°C	At 150°C	At 25°C after 1000 hrs at 150°C
Ablebond 36-2 ^(a)	2.4×10^{-4}	2.6×10^{-4}	2.9×10^{-4}	1.4×10^{-4}
Ablebond 84-1-LMI ^(a)	7.0×10^{-5}	8.0×10^{-5}	9.0×10^{-5}	5.0×10^{-5}
Amicon C868-1 ^(b)	3.4×10^{-4}	3.8×10^{-4}	4.8×10^{-4}	2.1×10^{-4}
Epi-Bond 7002 ^(c)	8.0×10^{-5}	4.8×10^{-4}	5.0×10^{-4}	5.5×10^{-4}
Epo-Tek H35-175M ^(d)	2.0×10^{-4}	2.5×10^{-4}	2.5×10^{-4} (at 125°C)	2.7×10^{-4}

(a) Ablestik Laboratories. (b) Amicon Corp. (c) Furane Products Company. (d) Epoxy Technology, Inc.

Weight Loss. A convenient way of assessing and comparing epoxy adhesives is to measure the total weight loss as a function of time and temperature. The temperature used is 150°C because this is the maximum temperature that hybrid circuits generally encounter in either screen testing or actual operation. A plot of the isothermal weight loss as a function of time up to 1,000 hours gives a good picture of an adhesive’s thermal stability and the amount of volatiles that can be expected to outgas. Figure 5 shows weight loss curves for two silver filled epoxies, one a 100% solids type (no solvent in the formulation) and the second, a solvent based epoxy. The much higher weight loss for the solvent based system may be due to a combination of entrapped solvent being released and gradual decomposition of the epoxy.

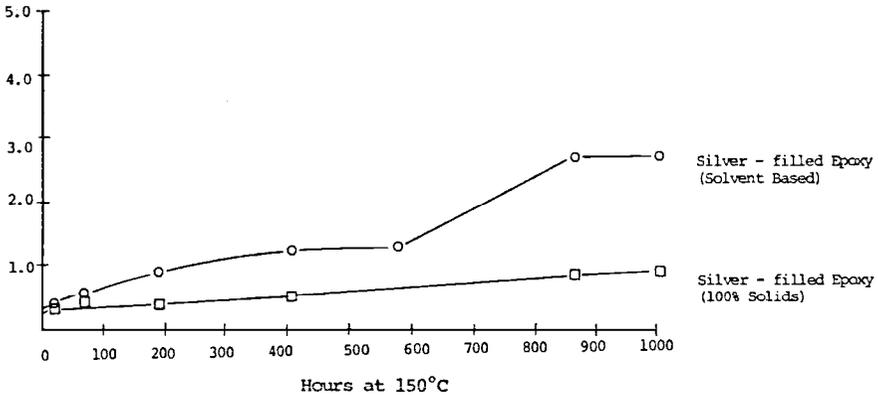


Figure 5. Weight loss of conductive adhesives vs. time at 150°C in nitrogen.

Because of the long duration of this test a more expedient test, thermogravimetric analysis (TGA), is often used. In TGA a small sample, 10–30 milligrams, of the cured adhesive is heated at a constant temperature

rise ($10^{\circ}\text{C}/\text{minute}$ is common) on a very sensitive electrobalance. The weight loss is dynamically recorded as a function of the temperature increase. TGA is an accurate method that is sensitive to very small changes in weight. TGA curves may also be used to establish an optimum cure schedule to minimize outgassing. Figure 6 compares TGA curves for two cure schedules for a commonly used silver-epoxy adhesive, the extended cure time providing a lower weight loss. TGA curves provide a quick picture of the temperature at which the material begins to decompose and the temperature at which volatiles are released, but it is not an isothermal weight loss as is the first test. A complete thermal assessment should, therefore, employ both tests and probably also a DSC (differential scanning calorimetry) analysis. The latter provides heat change data, exothermic and endothermic reactions that occur as a function of temperature, and reflect changes of state such as polymerization, melting, oxidation, decomposition, and transition from a glassy to an amorphous state (glass transition).^{[18]-[20]}

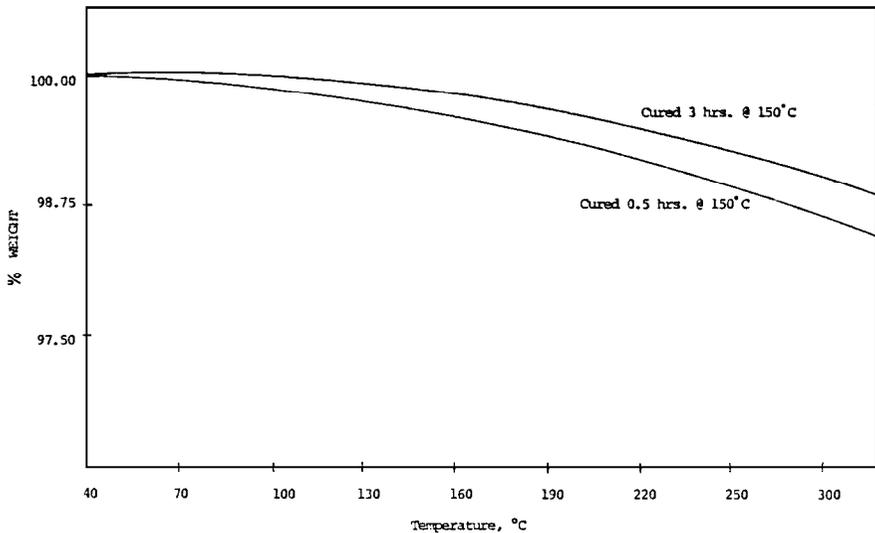


Figure 6. Thermogravimetric analysis curves for a silver-epoxy at two cure schedules.

Outgassing of Adhesives. A major concern in using organic adhesives to assemble hybrid microcircuits has been the effect that outgassing products have on the electrical performance of the devices and circuits. The main concern has been water; however, other constituents, though released in smaller amounts, may be even more deleterious than water. Though many studies have been performed on the effects of moisture on chip devices and

microcircuits the damaging effects are due to a combination of factors including the sensitivity of the devices and circuit, the nature and integrity of the device passivation, the amount of moisture, the time and temperature of exposure, gases other than water, and ionic contaminants on the surface in conjunction with moisture. In view of the numerous parameters and the difficulty in controlling all of them, industry and government agencies have settled on specifying the amount of moisture that is allowable in a hermetically sealed circuit package. The moisture requirement for Class H and class K circuits has been set at 5,000 ppm(v) maximum. Although hybrid circuits can meet these requirements, it is still not a guarantee that the circuit will be reliable. Other constituents that may be evolved, such as ammonia, amines, ketones, alcohols, chlorinated hydrocarbons, hydrogen chloride, and boron trifluoride, must be reported, if detected, but quantitative requirements for these gases have not been established.

Moisture in itself, if pure, is probably not deleterious, however, in a microcircuit it is highly unlikely that water would be pure or would remain pure for long. First, there are other constituents from the epoxy that could contaminate the circuit, including chlorides, metal ions, and amines. Secondly, there are always trace ionic residues on the circuit that cannot be completely removed even with the best cleaning solvents and methods. Moisture then acts as a medium, mobilizing and transferring these ions and contaminants to other portions of the circuit. Specific effects may be: corrosion of aluminum metalization causing electrical opens, wire bond deterioration with increases in resistance or bond lifts, device leakage currents, metal migration and electrical shorting, and electrochemical corrosion (for example, of thin-film nichrome resistors). To avoid these failure mechanisms, other tests in addition to moisture outgassing have been specified in MSFC-SPEC-592. These include weight loss at elevated temperature, corrosivity, total ionic impurities, and chloride, sodium, and potassium ion concentrations.

In recent years, adhesives manufacturers have made significant improvements in reducing the amounts and types of outgassing products and impurity ions in their formulations. For example, both epoxy resins and hardeners have been purified through distillation or extraction with solvents to remove chloride and other ionic contamination. Ammonia and amine generating hardeners have been avoided or removed. The hybrid circuit manufacturers also have made extensive improvements in reducing outgassing by optimizing the vacuum bake schedule used prior to hermetically sealing the circuits. Vacuum baking at 150°C for 16 to 96 hours has been

found effective in removing most of the moisture and other volatiles from the adhesive and other surfaces of the circuit and package.

Corrosivity. Many accelerated tests exist for measuring the corrosion potential (corrosivity) of adhesives. [21] In generating MSFC-SPEC-592, a rather simple, inexpensive test was adopted—one that qualitatively determines whether an adhesive is corrosive. According to this test, small patches of the uncured adhesive (mixed, if a two-component type) are placed on the aluminum side of aluminized Mylar film, then allowed to stand in room ambient for 48 hrs. The adhesive is then removed by dissolving in acetone. If the adhesive is corrosive it will etch the thin film of aluminum with which it was in contact. Any etching is evident by holding the Mylar film to light and observing light transmission. Thin-film aluminum is ideal for this test because it simulates actual device and hybrid circuit conductor line and bonding pad metallization. Thin-film aluminum is also very susceptible to corrosion in the presence of small amounts of ionic impurities (especially chloride ions) and moisture.

Ionic Contents. Ionic impurities in an adhesive are a key factor in causing electrolytic corrosion. The first generation of epoxies used in electronic assemblies as adhesives and molding compounds were commercial grades. These formulations inherently contained sodium and chloride ions which are by-products of the synthesis of epoxy resins from bisphenol A and epichlorohydrin. [22] These ions especially chloride, were responsible for many of the early device failures because of chloride induced chemical corrosion of aluminum. Epoxies that are produced today for semiconductor and hybrid applications are purified to reduce the ionic content or are synthesized by procedures that avoid the sodium chloride by-product. Quantitative analysis of the individual ions in an adhesive may be performed by atomic absorption spectrophotometry or ion chromatography. A list of the concentration requirements for various ions and some typical values are given in Table 2.

A measure of the total ionic content may be obtained by measuring the electrical resistivity of a water extract of the adhesive, since the ions are readily soluble in water. The water extract resistivity is, therefore, an indication of the purity of the adhesive. The test basically involves pulverizing a weighed amount of the adhesive, digesting it in 100 ml of deionized water of measured resistivity, and measuring the decrease in resistivity after a specified period of time. The resistivity of a blank water sample must also be measured and subtracted from that of the adhesive sample. The total ion content is then calculated according to Method 707 1 of FED STD 406 and reported as parts per million of sodium chloride.

Table 2. Ionic Content of Die Attach Adhesives (ppm)

	Cl ⁻	Na ⁺	K ⁺	NH ₄ ⁺
NASA/MSFC 592 requirement	<300	<50	<5	NR*
MIL-STD 883D Method 5011.4	<200	<50	<50	NR
1st generation silver epoxies	200	30	10	0–150
New purified silver epoxies	10–20	<10	<10	<10
1st generation insulative epoxies	150–600	50	<1 (ND)	0–150
New insulative epoxies	<10	<10	<1 (ND)	<1 (ND)
Silver Polyimide	<5–10	<5–10	<5–20	<5–10

*NR, no requirement; ND, none detected

2.3 Metallurgical Attachment

There are two metallurgical methods for the attachment of die and substrates: *alloy attachment* with a binary or ternary alloy preform at its melt temperature or *direct eutectic attachment* in which two metal surfaces are heated at or above the eutectic temperature to form a bond. In the phase diagram for a binary alloy, the eutectic temperature is the temperature at which the liquid and solid phases are in equilibrium. Using either of the alloy constituents alone as an attachment material would be impractical because of their very high melting temperatures, however, at a specific composition of the two (the eutectic), the melting point is significantly depressed. The eutectic is a unique composition that occurs in some phase diagrams where the molten alloy transitions from a liquid to a solid state without going through a “mushy phase.” In examining the phase diagram for gold/silicon (Fig. 7), it is seen that both gold and silicon have high melting points, 1063°C and 1414°C, respectively. The eutectic composition of these metals, however, has a practical melt temperature of 363°C. Alloys of gold with tin, germanium, or silicon are commercially available in the form of wire, ribbon, or preforms. Generally, the gold content of these alloys is greater than 70%. Many other binary and ternary alloys of gold, indium, tin, lead, germanium, silicon, and silver are available. They are used not only to attach die and substrates, but also to attach lids to packages to effect the final hermetic seal. A list of the more commonly used eutectic alloys is given in Table 3.

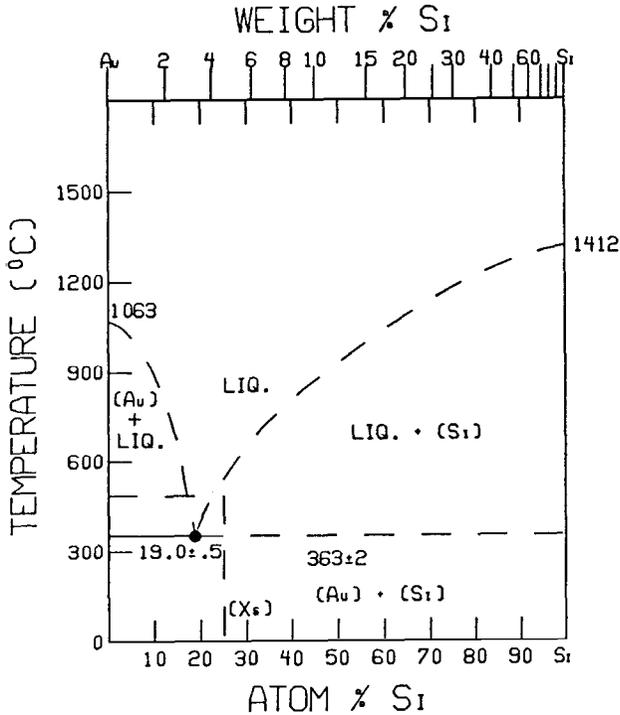


Figure 7. Gold-silicon phase diagram.^[23]

Table 3. Typical Eutectic Alloys and Their Melt Temperatures*

Alloy	Eutectic Temperature (°C)
97 In, 3 Ag	143
62.5 Sn, 36.1 Pb, 1.4 Ag	179
63 Sn, 37 Pb	183
96.5 Sn, 3.5 Ag	221
80 Au, 20 Sn	280
97.5 Pb, 2.5 Ag	303
88 Au, 12 Ge	356
96.76 Au, 3.24 Si	363

*Abstracted from a list of 223 metals, alloys, and eutectic alloys with melt temperatures ranging from 10.7° to 1063°C from the Indium Corporation of America, Utica, NY.

Eutectic attachment can also be accomplished directly without a preform, for example, by scrubbing the backside of a silicon die to a gold conductor pad at the melt temperature. The circuit substrate is placed on a hot stage and heated. The die is then picked up with a heated collet and rubbed back and forth (scrubbed) onto the pad generally above the eutectic temperature (400-500°C) whereupon the two surfaces are bonded. The mechanical scrubbing action is necessary to displace oxides of silicon that invariably are present on the surface. Further, the scrubbing action removes oxides of the molten alloys from the die-to-substrate interface, minimizing voids that interfere with thermal conduction. The presence of surface oxides have the damaging effect of lowering the surface energy and reducing the wettability of the solder. A theoretical treatment and review of surface solder wetting and adhesion has been given in the literature.[23] The direct eutectic attachment method is the standard used in the semiconductor industry for the attachment of single chip silicon devices in individual packages. The parts must be bonded in an inert atmosphere, such as forming gas, to avoid oxidation of one or both of the metals which can occur rapidly in air at the melt temperatures.

Though metallurgical attachment is widely used in the assembly of single die in packages, several guidelines must be followed when eutectically attaching die in hybrid circuits. For example, if both epoxy adhesive and alloy eutectics are used in the same circuit, the higher temperature operation (alloy attachment) must be performed first; otherwise the epoxy will decompose at the high temperatures. Similarly, in reworking a circuit, removing and replacing the epoxy presents no problem because of the low temperatures involved (150°C or less), whereas reworking the alloy attached devices requires re-exposing the entire circuit, including the epoxy, to very high temperatures. Depending on the number of rework cycles and the total high temperature exposure, aluminum-to-gold wire bonds may also suffer degradation. A general comparison of eutectic/alloy attachment with epoxy adhesive attachment is given in Table 4.

2.4 Silver-Glass Adhesives

Silver-glass pastes, introduced and marketed by Johnson-Matthey, Inc., are primarily used as alternatives to eutectic or alloy attachment of ICs and other semiconductor die. The pastes consist of silver, glass, an organic binder, and solvent. Step-temperature processing culminating at 400-450°C is required. At 100-150°C, the solvents are removed by evaporation, then at 300°C the organic binders are removed, and finally, at 400-450°C the glass

melts and joins the die to the substrate.^[24] This stepped temperature profile is shown in Fig. 8.

Table 4. Comparison of Alloy and Epoxy Attachment Methods

Eutectic/Alloy	Epoxy
Provides electrically conductive path	Can be electrically conductive or insulative depending on the epoxy used.
High thermal conductivity	Low thermal conductivity for the insulative epoxy, better for the metal-filled epoxies
High material cost	Low material cost
Difficult to rework	Easy to rework
High temperature process (up to 500° C)	Low temperature process (< 150° C)
No outgassing	Outgassing of moisture, hydrocarbons, and other species; requires bake-out before seal to control moisture inside the hybrid package
Usually requires flux and therefore extra cleaning steps to remove contaminants	No flux required
Can be rigid and brittle causing cracking of large die	Inherently flexible, providing stress relief
No bleed-out	Some epoxies produce bleed-out of resin during cure and require special cleaning

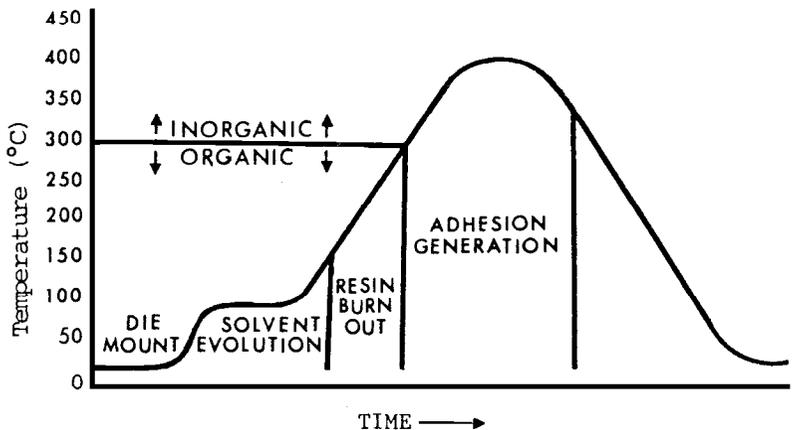


Figure 8. General processing temperature profile for silver-glass. (Courtesy Johnson-Matthey, Inc.)

The drying step is especially important. The time and temperature that should be used is a function of the thickness of the paste and the size of the die. Large die require lower temperatures and longer times to avoid solvent entrapment and the generation of voids during subsequent high temperature

steps. Processing schedules for various die sizes are given by the manufacturer or may be worked out experimentally by the user.^[25] An air atmosphere is required for the burnout step since the organic binder must be oxidized and decomposed to volatile by-products. The remaining silver-glass matrix, whose composition is about 80% silver and 20% glass after melting, joins the die to the substrate. Its properties are given in Table 5. Among the key advantages of silver-glass over eutectic or alloy materials are:

- Low material cost (eutectic alloys often contain gold as a constituent)
- Amenable to automated dispensing: high speed stamping or dot transfer
- Uniform void-free coverage, especially for large silicon die. Low thermal stress on silicon die
- High thermal dissipation

Table 5. Properties of Silver-Glass Die Attach Adhesive*

Viscosity (Brookfield RVT, T-C Spindle, 25° C)	22-28 Kcps at 10 rpm
Shelf Life	Six months at room temperature
Electrical Sheet resistance Volume Resistivity	8.1 x 10 ⁻³ ohms/square 5.0 x 10 ⁻⁹ ohm-cm
Thermal Dissipation	Θ _{J-A} lower than for gold-silicon eutectic
Thermal Conductivity (Bulk)	78.3 watt/m-K
Coefficient of Thermal Expansion	17 ± 2 ppm/° C
Adhesion	Typically 5 times MIL-STD-883 requirement. Unaffected by surface roughness or degree of oxidation of the silicon backside.
Residual Gas (moisture)	Equal to Au/Si eutectic
Ionic Contamination	Na ⁺ and K ⁺ : less than 30 ppm, Cl ⁻ and F ⁻ less than 20 ppm; Typical: less than 10 ppm
Thermal Cycling	No failures or significant decrease in adhesion after 2000 thermal cycles (-65 to +150° C)
Thermal Aging	No degradation in conductivity or adhesion after storage for 1000 hours at 400° C.
Reliability	No failures in operating life after 2000 hours at 125° C or in thermal or mechanical shock tests (MIL-STD-883).

* Courtesy Johnson-Matthey, Product Bulletin for JM5160²⁶.

To date, the major use for silver-glass adhesive has been in the semiconductor industry where it is used as a low cost alternate to gold eutectic or alloy attachment for single die in single packages.[26] There have been few applications of silver-glass to hybrid circuits, primarily because of the high processing temperatures required. Repeated exposure of the entire circuit to temperatures of 400°C, for example in reworking hybrid circuits, can degrade wire bonds and other passive or active die.

3.0 INTERCONNECTIONS

After the chip devices have been attached to the substrate they must be electrically interconnected so they can function as a circuit. Interconnecting the chip circuitry to the substrate circuitry constitutes one of the more critical steps in the manufacture of hybrid circuits.[27] Since it is estimated that twenty-five percent of hybrid circuit failures are due to faulty bonds (see Chapter 12), it is clear that interconnect bonds must be well understood and carefully produced, tested, and inspected. Interconnecting bare chips to thick or thin-film substrates is still largely done by wire bonding of which the three main variations are thermocompression, ultrasonic, and thermosonic bonding and to a lesser extent, microgap bonding. Almost all production bonding is now done by high speed, automated equipment where 600-700 wire bonds per minute can be made. Other automated bonding includes tape automated bonding (TAB) and flip-chip bonding, both of which are gaining increased prominence in the assembly of high performance multichip modules.

3.1 Wire Bonding

Interconnections are generally made with very fine gold or aluminum wire (0.7 to 2 mils in diameter). The top surface metallization of almost all semiconductor and integrated circuit devices is thin-film aluminum while the substrate metallization is gold (either thin film or thick film). Thus, depending on the wires used, a bimetallic joint is formed either at the device level (if gold wire is used) or at the substrate level (if aluminum wire is used). From a theoretical standpoint it is desirable to have a totally monometallic system; in practice this is difficult and expensive to achieve. Indirect methods such as first depositing a barrier metal then thin-film aluminum onto the thick-film gold conductor pads of the substrate have been used for some specific reliability applications. For the majority of applications bimetallic bonds are

reliable, provided that prolonged high temperature exposures, contaminants, and moisture are avoided.

Thermocompression Bonding. Many metals can be joined to themselves or to other metals at a temperature lower than the melting temperature of either of the metals if pressure is applied. The temperature can be elevated by using either pulse or steady state tool heating methods. Thermocompression (TC) wire bonding consists of joining two metals by diffusion at elevated temperature and pressure. The elevated temperatures used (generally 250 to 300°C) maintain the metals in an annealed state while a molecular bond is formed. The softer metals (gold, platinum, aluminum, copper, silver) are more readily diffusion bonded than harder metals, such as nickel. There are two variations of thermocompression bonding: wedge bonding and ball bonding:

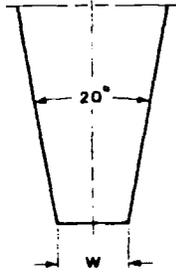
1. *Wedge Bonding.* Wedge bonding, the first type of thermocompression bonding developed, commonly uses tools like those shown in Fig. 9. Different wire-feed angles (30°, 45°, and 60°) are used when increasing wire clamp clearance is required behind the tool to clear nearby components or the package wall. A diagram of the tool and wire clamp arrangement is shown in Fig. 10. In wedge bonding, the first and second bonds are aligned in a straight line parallel to the direction of the wire from the supply spool to the tool. The wire extends under the bonding tool foot. The tool is aligned for the first bond where it is lowered and the bond is formed using a predetermined dwell time and force. The bonding tool is raised and positioned on the second bonding pad directly behind the first, where the second bond is formed. The tool is raised and the clamp carefully tugs off the wire from the second bond and then shoves the wire back under the bonding foot, readying it for the next bond. This cycle is essentially the same for ultrasonic, thermocompression, or thermosonic wedge bonding.
2. *Ball Bonding.* Ball bonding is similar to wedge bonding except that gold wire is used and one end of the wire is formed into a ball which is bonded into a “nailhead” configuration (Fig. 11). The wire is fed through a wear resistant (tungsten carbide, titanium carbide, alumina, beryllia) bonding tool then “flamed-off”, that is, cut with

an electronic flame-off (EFO) so that a ball forms at the end. In ball bonding, gold wire is usually used since it melts and readily forms a ball even in an air ambient. Aluminum wire cannot readily form a ball and quickly oxidizes unless the area is flushed with an inert gas. A key advantage of ball bonding over wedge bonding is the freedom of movement of the bonding tool; it can be moved in any direction after the first bond has been formed. The capillary bonding tool is positioned and lowered over the intended bonding site (usually the semiconductor die). The bonding force plastically deforms the ball into a “nailhead” configuration producing the first bond. The tool is then raised, moved, and positioned over the second bond site. Since at this point no ball can be formed on the wire, a wedge bond is produced with the contoured circumference of the capillary. After the second bond has been completed, the capillary is raised a short distance, the wire is clamped then pulled as with the wedge bond. The wire is then prepared for the next operation by again “flaming-off” the end to form a new ball. This cycle is repeated many times throughout the circuit to completely interconnect all the devices,

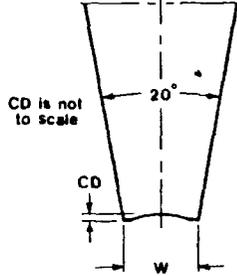
Of the two methods for producing thermocompression bonds, the ball-wedge combination is preferred, especially for automated bonding, because the tool can be programmed to move in any direction after the first bond has been made.

The main limitation of TC bonding is the high temperature required to produce reliable bonds. Even pulse heating the tool can damage some heat-sensitive devices. Prolonged steady-state stage heating can degrade the substrate elements (resistors, conductors) and the chip devices. If the devices are attached with epoxy adhesive, heating above 150°C can soften the epoxy and degrade its adhesive strength. This problem can be alleviated by using a pulse heated TC bonder which usually does not require heating the substrate. A current pulse is sent through the bonding tool which heats the tip to produce the bond, thus localizing the heat to the area of the bond pad. Pulse TC bonding is used extensively for temperature-sensitive devices and for die that are attached with epoxy to avoid thermal degradation of the epoxy.

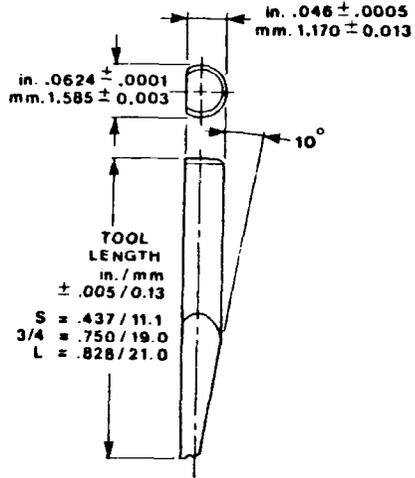
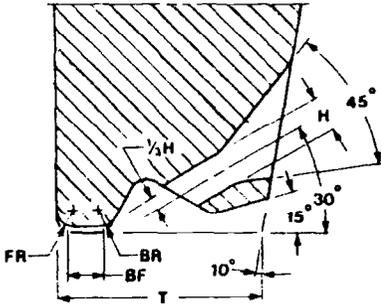
1001 30° wire feed.



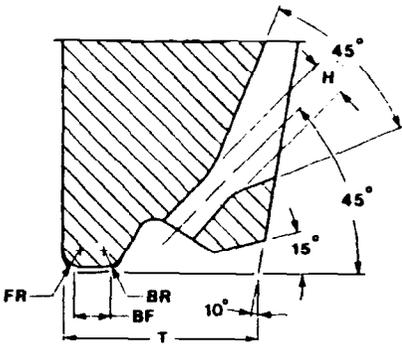
Flat (Optional)



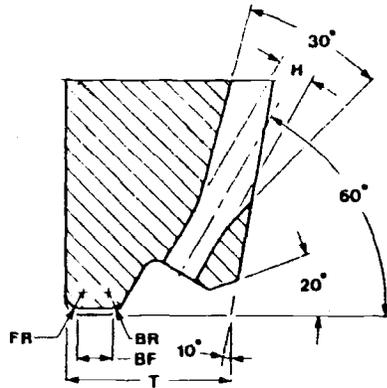
Concave (Standard)



1002 45° wire feed.

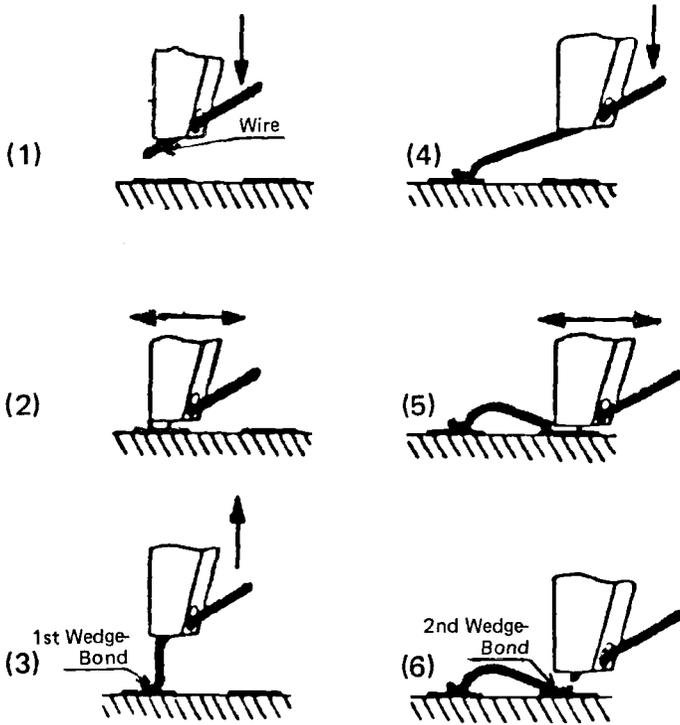


1110 60° wire feed.



Note: The foot widths listed are standard. Other foot widths are available.

Figure 9. Standard wedge bonding tools. (Courtesy Small Precision Tooling.)



- (1) Position and lower tool to the first bonding position.
- (2) Bond wire (with defined pressure).
- (3) Raise tool.
- (4) Form loop.
- (5) Form second bond.
- (6) Break wire and raise tool to Position 1.

Figure 10. Steps for wedge-wedge bonding.

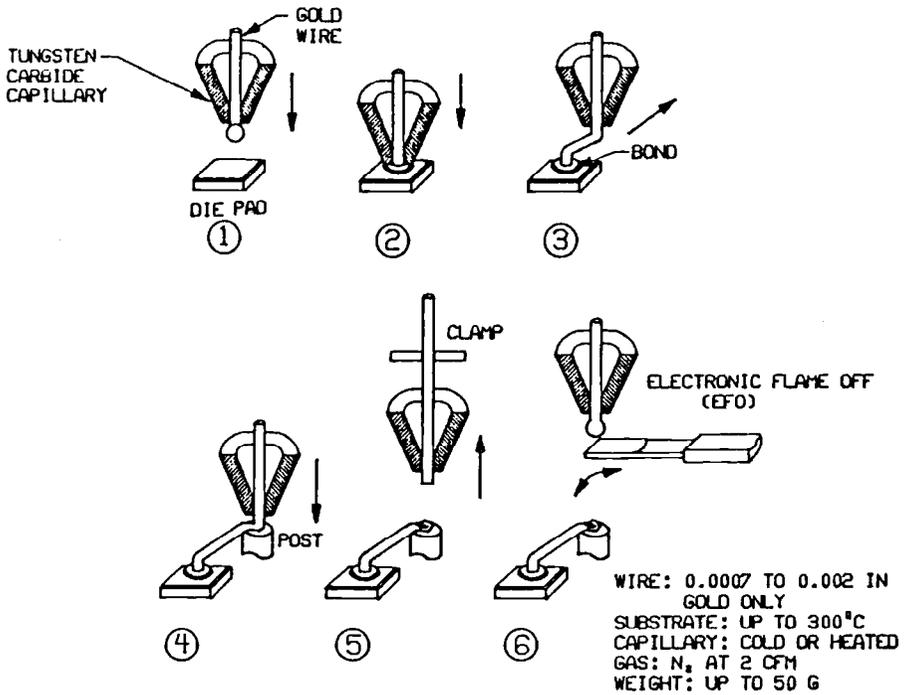


Figure 11. Steps for ball bonding.

A further limitation of TC bonding is its sensitivity to trace organic contaminants on the bonding pads. Organic volatiles that condense on the bonding pads during curing of the epoxy die-attach adhesive are known to degrade wire bondability and bond strengths. Mechanical burnishing or plasma cleaning after die attachment have been found effective in removing the residues and improving bondability. The efficiency of plasma cleaning was demonstrated by Auger analysis of the bonding pads before and after plasma cleaning. The carbon content found before cleaning disappeared after cleaning.^[30]

During TC bonding care must be taken to prevent the capillary tip from becoming clogged and preventing proper wire-feed. This can occur when foreign material enters or adheres to the inside bore of the capillary. In addition, if too small a ball is produced it will stick in the capillary orifice. This situation can occur when there is relative movement between the tool and substrate during bonding.^[31] The tip may be cleaned by forcing a small diameter tungsten wire through the capillary or by ultrasonically cleaning the tool in acetone or other solvent.

Ultrasonic Bonding. Ultrasonic bonding differs from TC bonding in that ultrasonic energy instead of heat is used to form the bond. The ultrasonic energy is coupled through a transducer to the bonding wedge tool similar in configuration to TC wedge bonding (Fig. 12). The oscillator is tuned to the resonant frequency of the transducer and tool. In other ultrasonic power supplies the oscillator is designed to sweep over a range of frequencies to ensure that the members being joined are subjected to the resonant frequency one or more times to ensure a quality bond. Ultrasonic bonding employs ultrasonic energy to scrub the wire onto the bonding pad resulting in a microfriction bond mechanism. This scrubbing action, coupled with pressure, is the means of forming the molecular bond. The metals to be joined are softened by mechanical motion and the localized heat generated from friction instead of by externally applied heat.

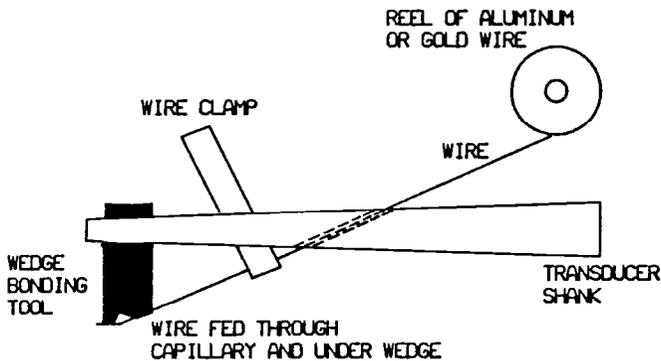


Figure 12. Side view ultrasonic bonder.

The bonding sequence for ultrasonic bonding is the same as for TC wedge bonding and, as with wedge bonding, the second bond must be made directly behind the first (Fig. 13). The wire is fed through a clamp then through the bonding wedge. After the first bond has been formed, the substrate is moved to the second position and the second bond is formed. The wire, while held in the clamp, is then pulled and severed at the edge of the second bond. The clamp then presses the proper length of wire under the tool for the next bond.

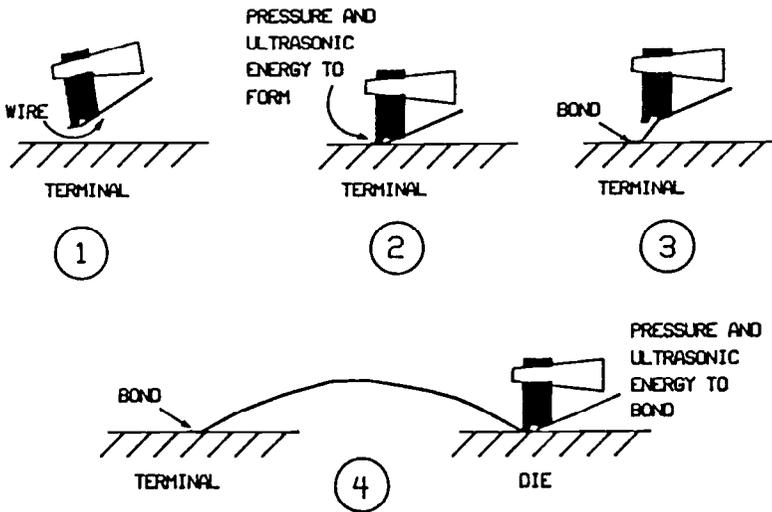


Figure 13. Ultrasonic wedge bonding sequence.

Ultrasonic bonding is essentially a “cold” process and can be used to join a wide variety of metals, thus rendering it a popular bonding technique. Both gold and aluminum wire can be used and wire sizes, like those of TC bonding, are typically 0.7 to 2 mils in diameter. For power devices, larger diameter wires ranging from 5 to 20 mils in diameter are used to obtain higher current carrying capacity. Typical pull strength values for 1-mil aluminum wire bonded to thick film gold metallization range from 8 to 10 grams.

The key advantages of ultrasonic over TC bonding are:

1. There is no chance for degrading active or passive devices due to heat. Ultrasonic bonding is a room temperature process; no external heating is necessary.
2. Ultrasonic bonding is more forgiving of surface contaminants than TC bonding. The ultrasonic scrubbing action

removes oxides and organic contaminants from the bonding site, however, the presence of some residual oxide actually assists in the localized heating process by increasing friction.

3. Void-free interfaces are produced, which result in high quality, low-resistance bonds. SEM photographs of ultrasonic bonds are shown in Fig. 14.(32)
4. Both gold and aluminum wire can be used.
5. Ultrasonic bonding is faster than TC bonding

Among the disadvantages are:

1. Careful control of the ultrasonic energy is required; ultrasonic energy can cause mechanical damage
2. The acoustical properties of the members being joined can change causing variations in strength

To achieve high production rates, the bonding machine must perform reliably for extended periods of time without cleaning, service, or replacement of the bonding tools. Probably the most critical component of a bonding machine is the bonding tool. The tool shown in Fig. 15 combines a tungsten carbide shank with a high density osmium alloy bonding tip. Osmium alloy has been shown to be an excellent transmitter of ultrasonic energy and highly wear resistant, thus minimizing tool problems.(33] Other bonding tools are produced from titanium carbide, alumina, or beryllia.

Thermosonic Bonding. The third main wire bonding process combines the best features of thermocompression and ultrasonic bonding. Pressure, temperature, and ultrasonic energy are combined to produce the bond. The bonding sequence is usually the same as that for the TC ball-wedge process; the first bond is a ball bond while the second is a wedge bond. Thermosonic bonding is rapidly supplanting other bonding processes largely because of its automation capability. Automated thermosonic bonders are now commercially available that can be programmed to produce several hundred wire bonds in several minutes which manually would take hours. The key advantages of thermosonic bonding over TC bonding are that it employs lower temperatures (about 150°C) than TC bonding (225 to 270°(J), is omnidirectional, less sensitive to surface contaminants, and is a very rapid process when automated. Among its limitations: it requires larger bonding pads than those used for ultrasonic wedge bonding.

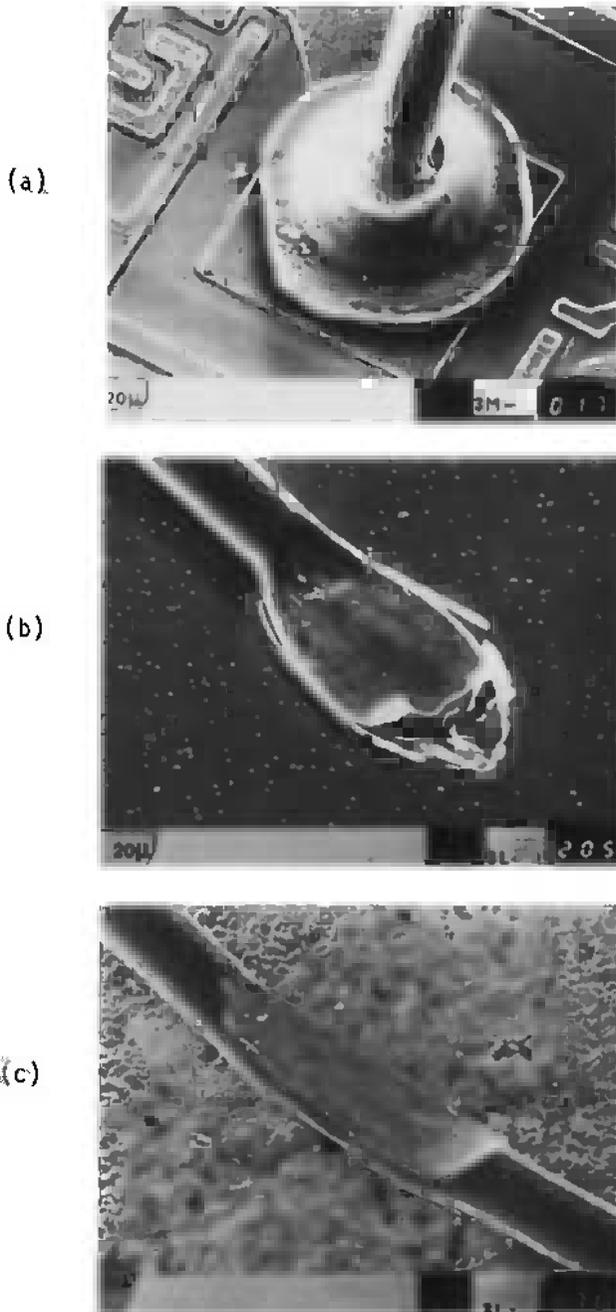


Figure 14. Bond configurations produced by ultrasonic wire bonding.^[22] (a) Ball; (b) wedge or second bond by capillary tool (ball/wedge); (c) wedge by wedge/wedge tool.

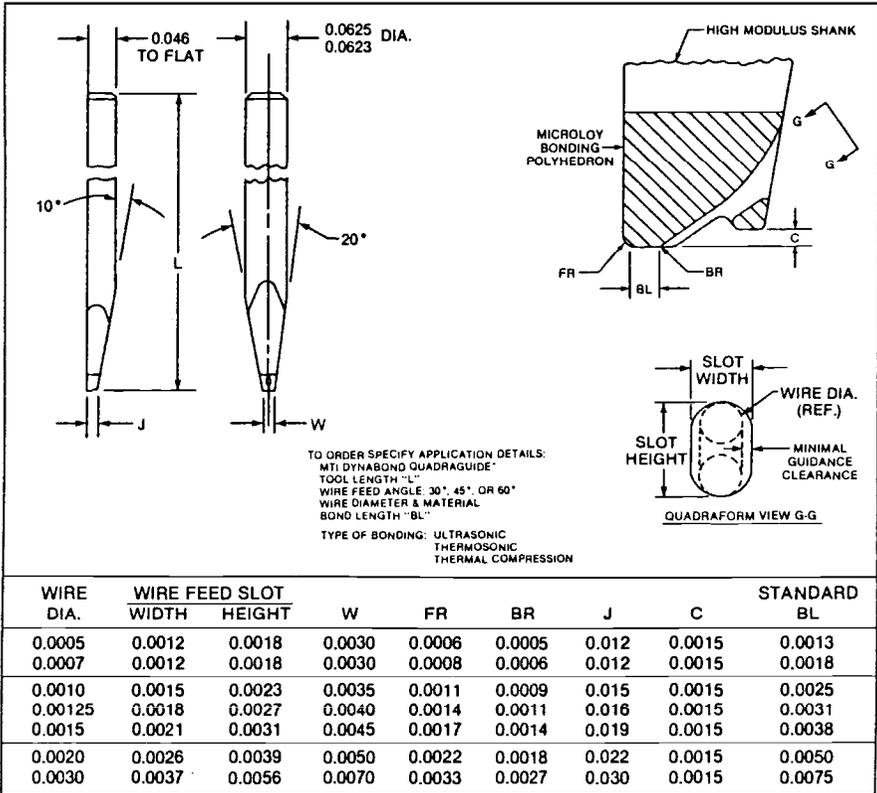


Figure 15. Ultrasonic bonding tool specifications. (Courtesy of Microminiature Technology, Inc.)

The development of a ball bonding process for aluminum wire would be highly desirable. Besides the obvious lower cost of aluminum wire, aluminum provides a monometallic interconnection at the device level, thus avoiding any potential for intermetallic formation. Extensive studies have been performed on many aluminum wire compositions in an attempt to develop a process in which aluminum can be flamed-off to form a ball. Aluminum wire containing 2% magnesium proved most successful, but the process is still in a pre-production state.^[34]

Microgap Bonding. Microgap bonding is a diffusion process in which a split-tip bonding tool is used (Fig. 16). The tool is lowered onto the wire and current is passed from one electrode half to the other through the upper member being joined while force is simultaneously applied. Individual bonds are formed under each half of the electrode. Microgap bonding is used where large diameter wires, ribbon wire, or materials that are more difficult to bond,

such as copper, are required as interconnects for high power circuits. Microgap bonding is among the more reliable bonding processes provided that certain precautions are taken in using the electrodes, among which are:

Electrodes must be clamped securely

Electrode area should be large enough to prevent excess heating

Electrodes should be burnished, using an alumina substrate after 5–10 bonds have been made and dressed after 20–40 bonds

Sample bonds should be made after dressing to remove any residues

Bonding schedules should be developed that prevent electrodes from glowing or sparking

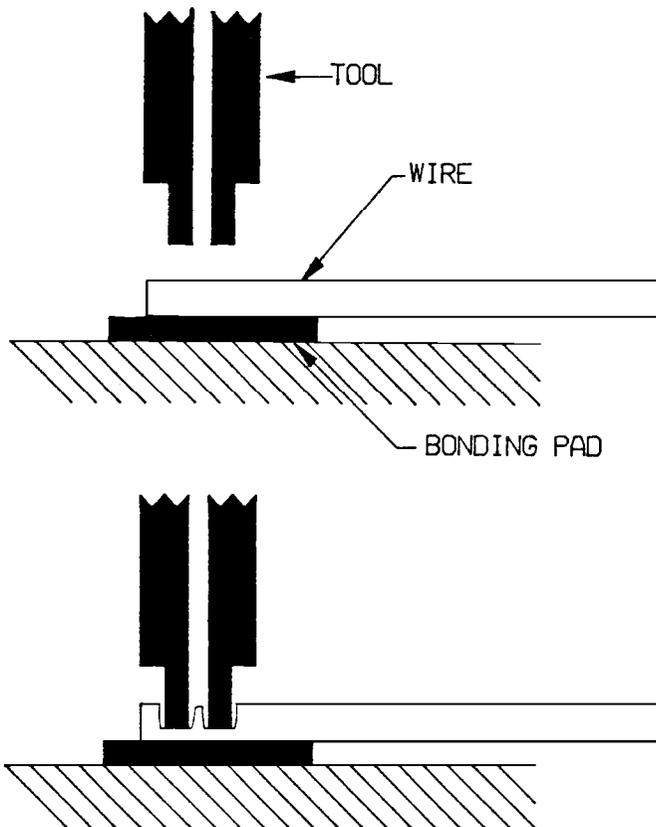


Figure 16. Microgap bonding process.

Beam-Lead Bonding. Beam-lead bonding is historical at this point. This technology was developed some twenty years ago as an alternate to wire bonding and was touted as a process that would replace all wire bonding, but did not materialize. In beam-lead bonding, the leads (beams) were fabricated at the wafer stage as an extended part of device manufacture. Hence the user would purchase devices where half the bonds have already been made and would not require wire bonding. Die were to be purchased from the semiconductor manufacturer with cantilevered gold beams instead of the normal bonding pads. The beams were approximately 0.5 mils thick, 3 mils wide, 10 mils long, and were isolated from the side of the silicon die by a passivation layer that extended onto the beam (Fig. 17). Because of the wider contact area, the interconnections had higher strengths than wire bonds. The die would be assembled face down so that the beams mated with corresponding bonding pads on the substrate. The beams were then thermocompression bonded simultaneously (gang bonded), or each beam bonded separately.

Although most hybrid manufacturers seriously tried to implement beam-lead bonding, the most serious drawback was the unavailability of all devices in beam-lead format. Semiconductor manufacturers were reluctant to convert all their devices to beam leads because of the extra processing expense and the smaller number of devices that could be obtained from a wafer due to the extra silicon area that was required to form the beam. The users were then confronted with having to mix two technologies, wire bonding and beam leads, in assembling the same circuit, which was also costly. Further concerns also arose because the die had to be bonded face down, could not be inspected, and entrapped contaminants beneath the die were difficult to remove.

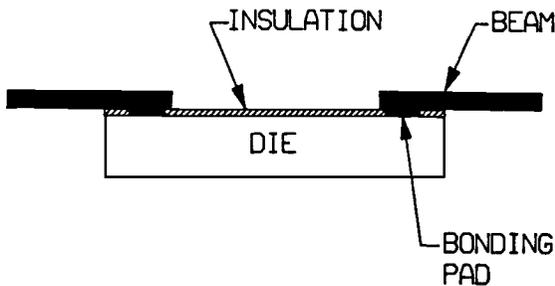


Figure 17. Beam-lead structure.

Unique Bonding Processes. Besides the previously discussed more conventional bonding processes, there are many unique variations. Because of the wide variety of hybrid circuits and their often unique types, wire bonding improvisations have had to be made. For example, electrical connections must sometimes be made with wire that resists conventional bonding. Some wires are not readily bondable by either thermocompression or ultrasonic techniques, for example, the low-temperature-coefficient wire LTC-65 (65% gold, 35% nichrome) which is used to minimize thermal drain of a component or to provide a heat or photon source. For this application, ball-entrapment TC bonding may be used. According to this method, the wire on the pad is positioned and temporarily attached with a TC ball bond. The excess wire is then removed at the nailhead and the bonding completed by using a microgap bonder to permanently attach the ball and the wire to the pad (Fig. 18). The resulting bond, which provides a stress-relieving feature, has been shown to be highly reliable.^[35] Another unique bonding process is required where devices are so sensitive to pressure that the normal force used in conventional TC bonding is too high and causes damage to the device. One method of bonding wire to these sensitive pads is to first attach a small diameter indium sphere to the bonding pad at a low temperature (about 125°C), using a conical cavity bonding tool. Then the gold wire is positioned over the indium and a low-force TC wedge bonding tool is used to diffusion bond the wire to the indium projection (Fig. 19).^[35]

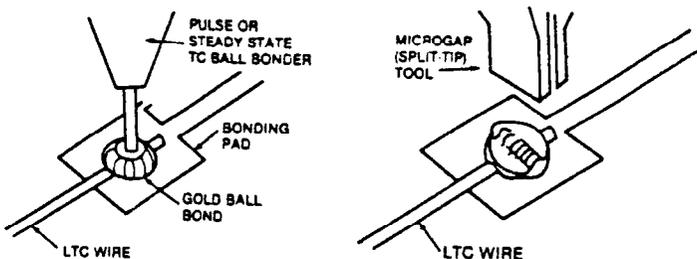


Figure 18. Ball entrapment TC bonding method.

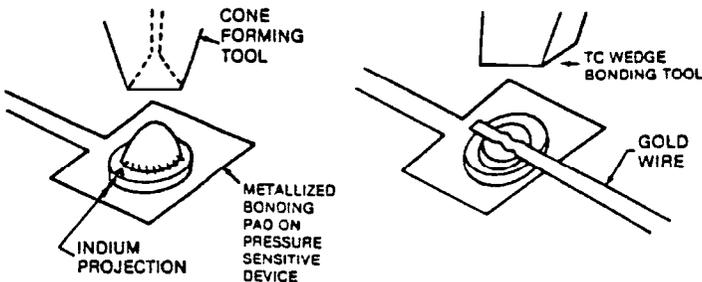


Figure 19. Low stress wire bonding method.

3.2 Automated Bonding

There are three widely used automatic bonding methods: tape automated bonding (TAB), automatic wire bonding, and flip-chip bonding.

Tape Automated Bonding. TAB is an established interconnection process primarily used for bonding single die in individual packages, however, TAB can also be used in hybrid circuit assembly. In the TAB process, semiconductor die with bumped interconnect pads are placed in apertures of a tape (a dielectric film, generally, polyimide Kapton), then gang-bonded to photoetched metallized pads on the tape. The tape (film), with sprocket holes along its sides is similar to movie film; it may be 16 mm, 35 mm, or 70 mm. Like movie film, it is handled on reels. The bumps on the die pads are an essential part of the process. They are required to provide effective contact between the die pads and the corresponding pads on the tape and also to raise the tape slightly above the die, thus preventing shorting at the edges (Fig. 20). Bumps are formed on the die at the wafer stage as a batch process. An alternate approach is to form the bumps on the tape instead of on the die. To form the bumps, the classical tri-metal system, titanium/palladium/gold, is vapor deposited or sputtered over the aluminum metallized pads of the wafer and their thickness is increased by electroplating gold. An alternate approach is to form the bumps on the tape interconnect pads (Fig. 21). This is referred to as bumped tape automated bonding or BTAB. The BTAB process is particularly useful to a user to configure devices in TAB form when they are not available with bumps from the semiconductor manufacturer. Typical TAB patterns have etched 5-mil wide conductor lines and spacings, though 2-mil lines and spacings have also been produced.¹²⁷¹ Four different TAB patterns are shown in Fig. 22.

Once the tape has been fabricated, the die are aligned under the cantilevered beams that extend from the tape over the apertures. The tape is held by the sprocket holes and the die are positioned with the aid of a microscope or TV monitor. After the chips have been aligned, interconnections are made simultaneously by thermocompression bonding, a process called *inner-lead bonding* (Fig. 23). At this stage the individual chips can be burned-in and electrically tested. This pretesting of chip devices is a major benefit of the TAB process for hybrid circuits; it increases the first time-through yield and avoids costly rework at the assembled hybrid stage. (See discussion on known-good-die in Chapter 13).

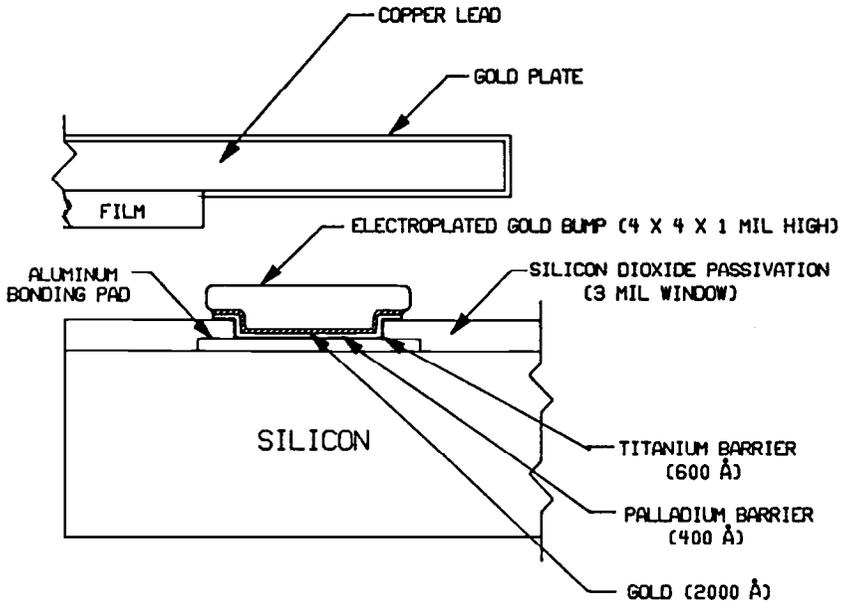


Figure 20. Gold-bumped device for TAB interconnection.

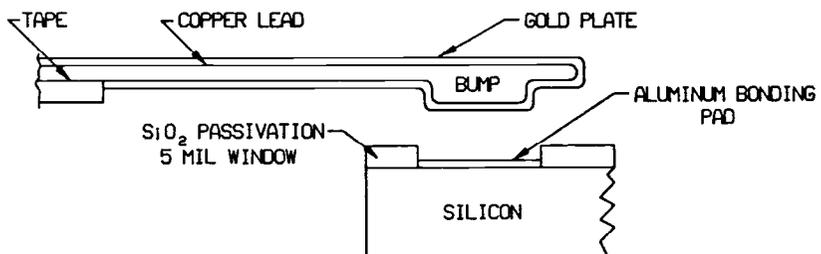


Figure 21. Gold bumps on tape (BTAB interconnection).

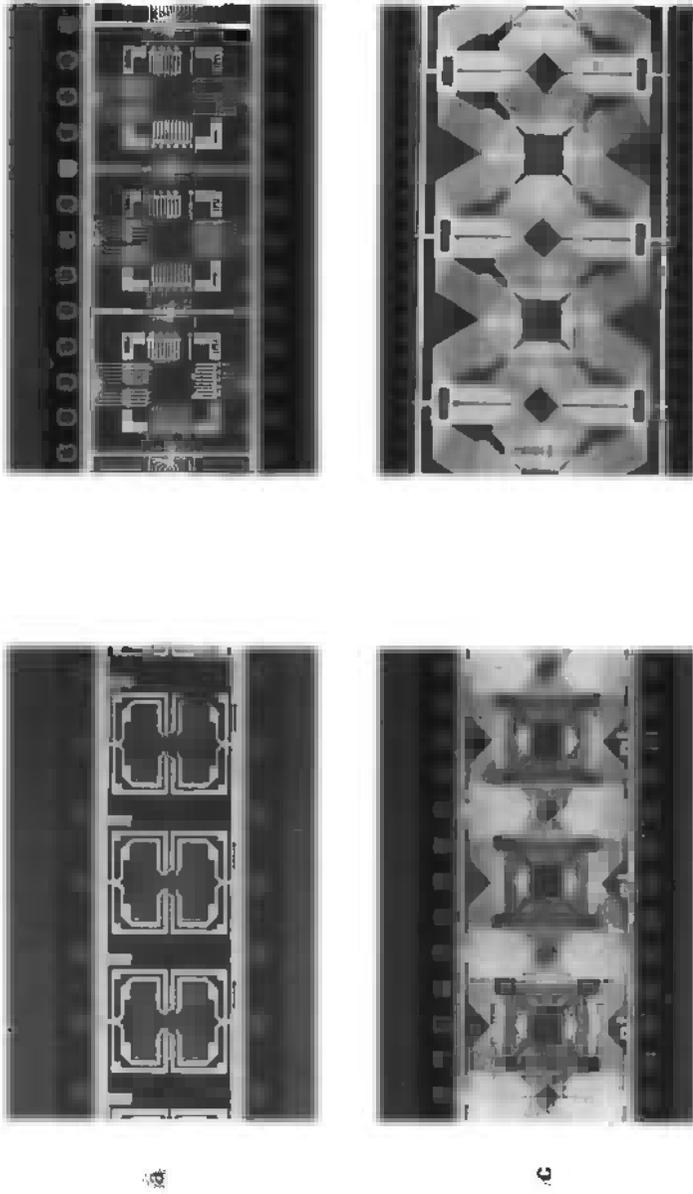


Figure 22. Examples of TAB interconnect patterns; (a) 16-mm polyimide tape, 8 leads 3.0 mils wide on 8.0 mil centers, gold plated, (b) 35-mm polyimide tape, 42 leads 4.0 mils wide on 8.0 mil centers, gold plated, (c) 35-mm polyimide tape, 164 leads 2.0 mils wide on 4.0 mil centers, tin plated, and (d) 70-mm polyimide tape, 224 leads 3.0 mils wide on 6.0 mil centers, gold plated. (Courtesy of International Micro Industries, Inc.)

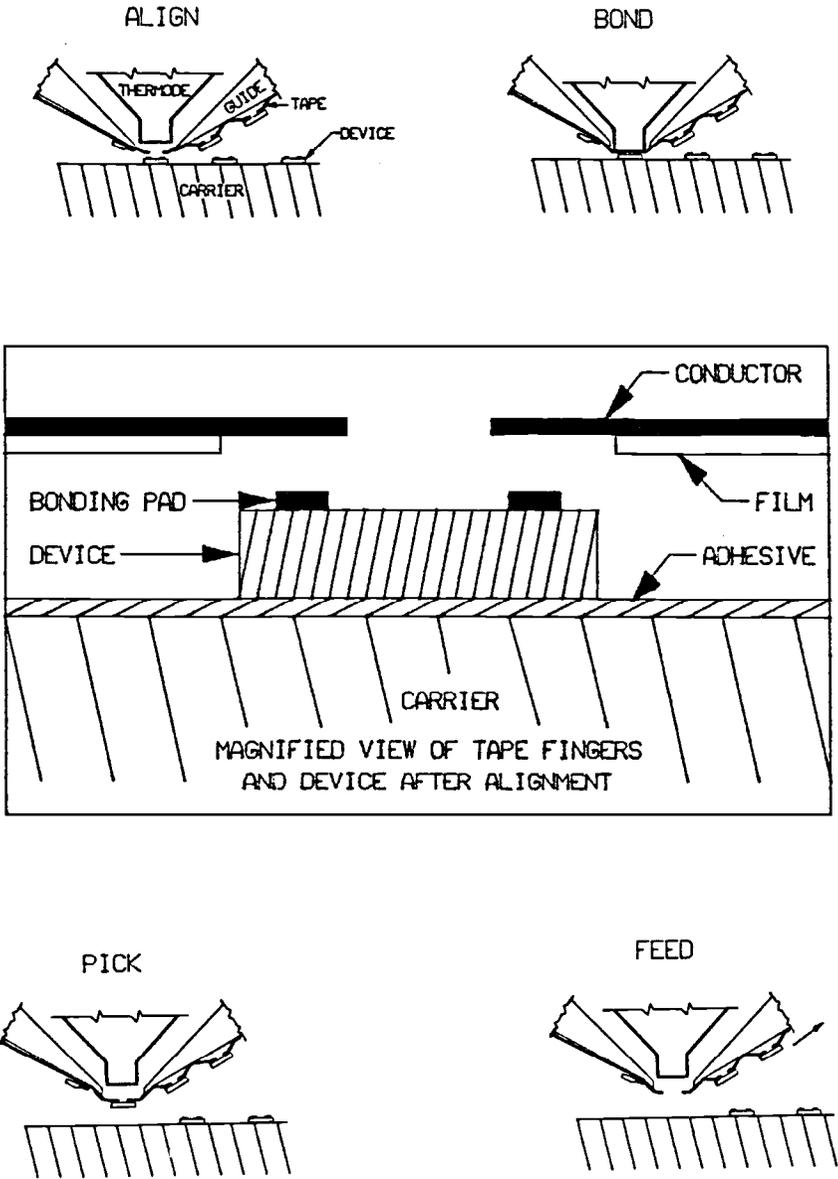


Figure 23. Inner-lead bonding sequence.

The last step in the TAB process consists in gang-bonding the outer leads of the tape to an interconnect substrate such as the thin or thick-film ceramic substrates used for hybrid circuits. This involves severing the chip and leads from the tape, forming the leads, then TC bonding, a process called **outer-lead bonding**. Equipment for inner and outer-lead bonding is commercially available; two models are shown in Figs. 24 and 25.

In summary, the main advantages of TAB are that the die can be pretested at the tape stage and burned-in prior to assembly, thus eliminating device infant mortality, the process is highly automated and the interconnections are very strong and rugged. The average pull-strength of TAB leads is about 50 grams compared to 5 to 9 grams for 1 mil-diameter wire bonds. The TAB process has been useful in interconnecting and packaging custom devices with as few as 16 I/Os to as many as 300 and is finding many applications for VHSICs (Very High Speed Integrated Circuits).¹³⁶ On the negative side, there is an initially high investment in equipment and tooling, therefore, not practical for the production of small quantities of many part types and many die are not available in bumped (TAB) format.

Automatic Wire Bonding. The introduction of automatic wire bonding machines has not only reduced the labor time and cost of producing hybrid circuits, but has also done much to reduce wire bond failures due to human errors. Once a machine is programmed, it consistently and reproducibly performs the desired steps. The computer can also sense variations and adjust the bonding parameters accordingly. Automatic thermosonic ball bonding using gold wire has become the dominant chip-and-wire bonding process. A hybrid containing 50 chip devices and 500 wires that required 40-60 minutes to bond manually now takes less than 5 minutes to bond automatically.

An automatic bonding machine consists of a computer-controlled bonding head, a closed-circuit TV camera, an x-y table, and computer programming capability. The Hughes Model 2460 (Fig. 26) and the Kulicke and Soffa Model 1419/DAWN are two of the most widely used automatic wire bonders. Software (for these machines) allows high-speed bonding in a wide variety of complex hybrids. The software takes into account variations in chip heights, wire lengths, and chips procured from different manufacturers. It can also control the size of the wire ball, vary the bonding schedules, and control the shape of the wire loop.

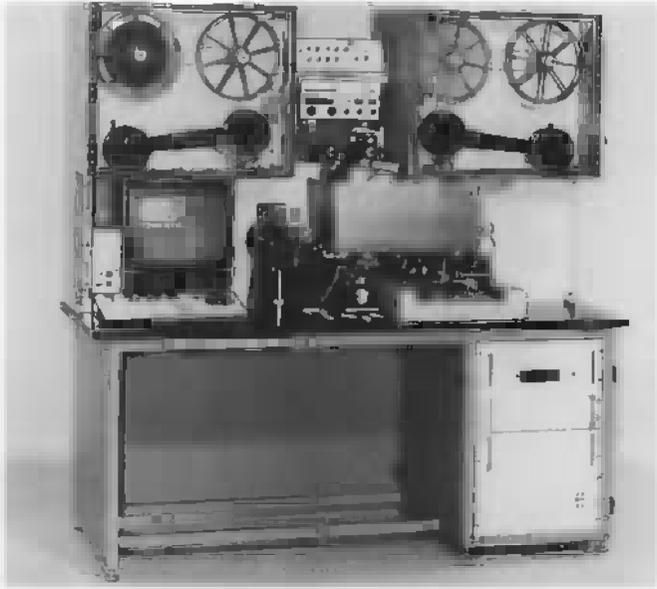


Figure 24. Inner lead bonding equipment. (Courtesy of International Micro Industries, Inc.)

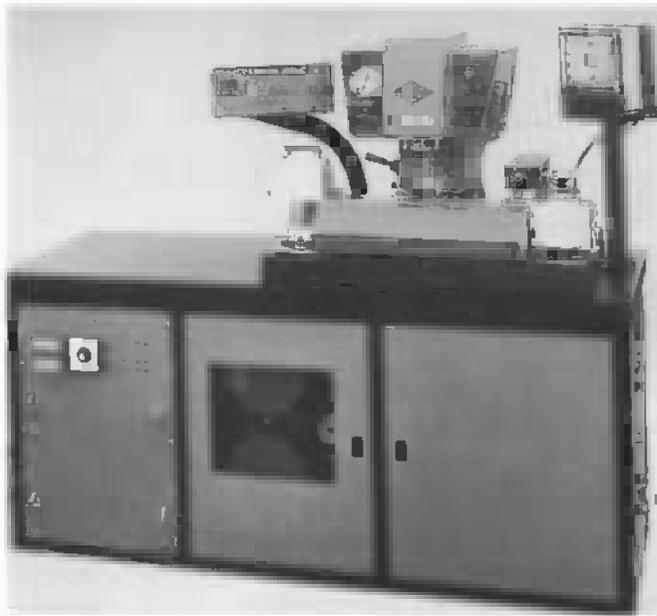


Figure 25. Outer-lead bonder, Model 4835. (Courtesy of Jade, subsidiary of Kidde, Inc.)

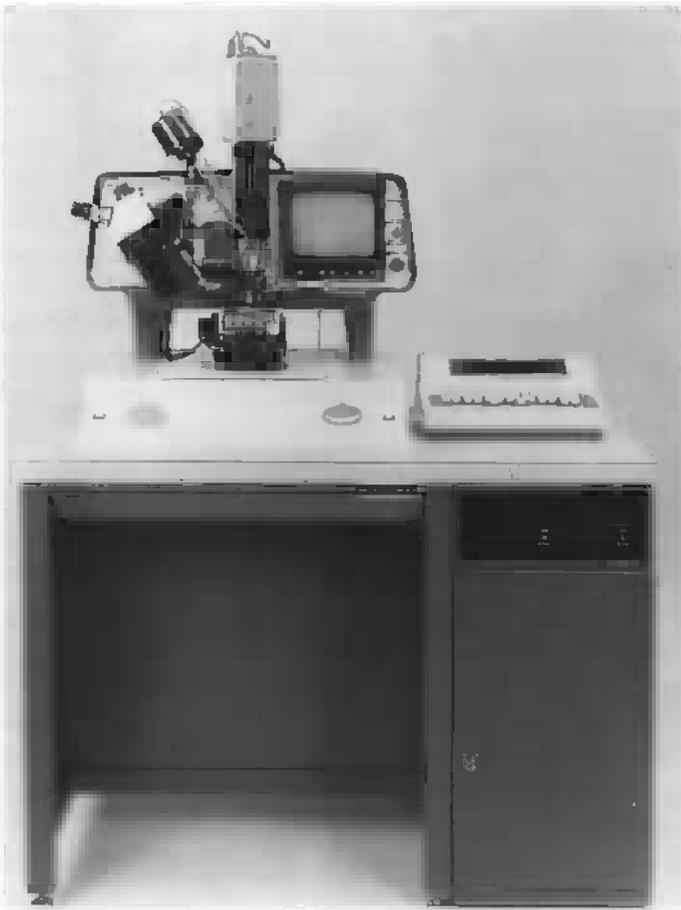


Figure 26. Wire bonder for automatic thermosonic gold wire bonding. (Courtesy Hughes Aircraft Industrial Products Division.)

Automatic wire bonders are generally equipped with pattern recognition features so that parts can be referenced prior to bonding. Parts can be referenced by pattern recognition even if they are displaced as much as 30 mils from their originally programmed positions or rotated up to 15 degrees. Both the bond forces and bond times are computer-controlled. Another powerful feature of automatic bonding machines is the precise control of the shape of the wire loops that can be achieved by controlling the trajectory of the capillary after the ball bond has been placed. This now makes stitch bonding close to the base of a silicon chip possible without the risk of shorting to the chip's edge. Long wire (up to 130 mils in length) can be placed with

reliable loop shapes, however, wires longer than 100 mils are not advisable (see Chapter 10). The algorithm controlling loop shape is such that it is automatically revised whenever the bond height or wire length changes, thus maintaining a relatively constant loop height and shape regardless of variations from wire to wire.

Besides automatic gold-ball wire bonders, automatic aluminum wire bonders are also available and used in hybrid circuit assembly. The Hughes Model 2470 and Kulicke and Soffa Model 1470 are two examples. The machines have specifications similar to the thermosonic ball bonders, but employ ultrasonic wedge bonding and can use either aluminum or gold wire in sizes from 0.7 mils to 2 mils in diameter.

Because of the high incidence of failures due to wire bonds and the large numbers of variables that can affect wire bond reliability, thorough inspection and testing are important before sealing and delivering a hybrid circuit. Visual inspection criteria are specified in MIL-STD-883, Method 2017, which defines and pictorially depicts acceptance and rejection criteria for wire bonds. These criteria are based largely on geometries, locations, and appearance of the bonds. Thus an overbond condition caused by excessive force or temperature is rejected because of its highly deformed shape and thinned out bond edge (Fig. 27). An underbond condition shows no deformation (Fig. 28) while an acceptable bond is intermediate (Fig. 29).

Though visual inspection is helpful in detecting and removing marginal bonds, it is not foolproof. Bonds that pass visual may still be weak or may have latent defects (chemical or physical) that can cause them to fail later. For this reason many manufacturers, especially those producing hybrids for military, space, or medical applications, augment visual inspection with mechanical tests, both nondestructive and destructive. In nondestructive pull testing, each wire is pulled to a specified force that will break marginal/ weak bonds, but assure that the surviving bonds are not degraded. The force that is applied depends on the wire size and type of wire bond. For example, a 2-gram force is used to test 1 mil-diameter aluminum wire bonded to gold. Values for other sizes and compositions are specified in MIL-STD-883. To perform this test, nondestructive wire pull testers with digital readouts are commercially available.

Destructive pull tests are performed on a lot-sampling basis. A minimum of ten bonds are pulled to destruction and the pull strengths and types of failure are recorded. An identically prepared second set is aged at elevated temperature (example 225°C for 2 hrs) and also pulled to failure. Average values and histograms for each set are then compared to established minimum values.

Extensive qualification tests may also be performed in which a statistical sampling of wire bonds are aged at three elevated temperatures for various periods of time up to 1,000 hours and measurements made of electrical resistance changes and wire pull strengths. These data can then be plotted and used to calculate the activation energy and, by using the Arrhenius equation, the life expectancy at lower temperatures. These tests and the short term accelerated test described above are especially valuable in disclosing any propensity for intermetallic (Au-Al) formation that can occur at lower temperatures over a longer period of time.

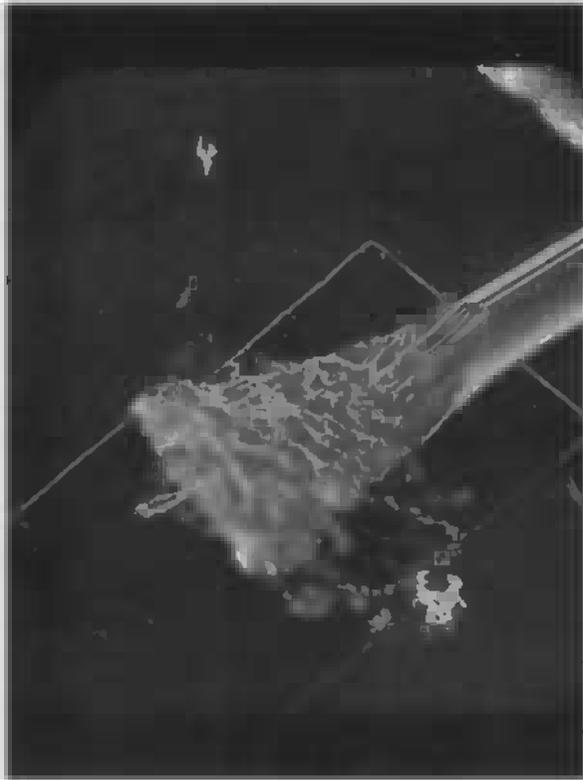


Figure 27. SEM photograph of 1-mil gold wire bond to thin-film aluminum for overbond condition (magnification 530x).

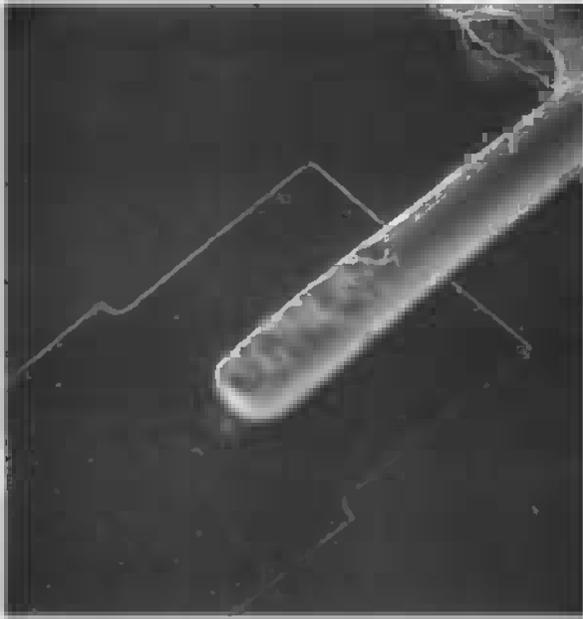


Figure 28. SEM photograph of 1-mil gold wire bond to thin-film aluminum for underbond condition (magnification 530x)

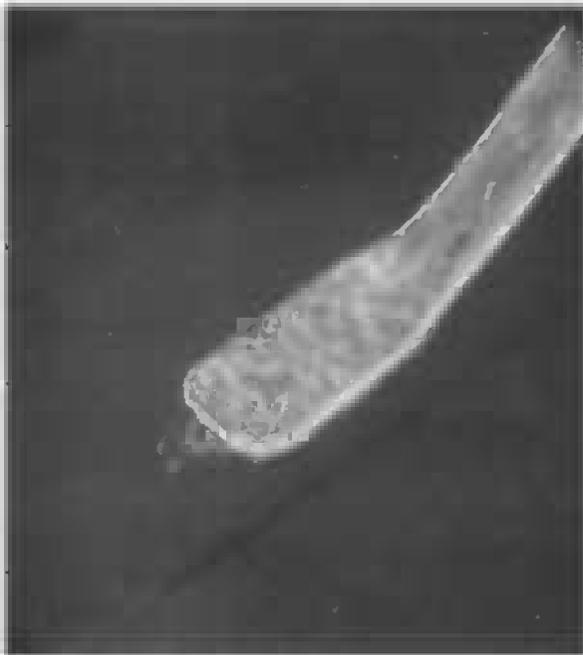


Figure 29. SEM photograph for a high-reliability production, 1-mil gold wire bond to thin-film aluminum (magnification 530x).

Intermetallic compounds formed in aluminum-to-gold bonds have been extensively studied. Bonds that had been exposed to elevated temperature, $>200^{\circ}\text{C}$, developed a purple coloration called the "purple plague." These bonds were mechanically weak and high in electrical resistance, sometimes even open. At first it was thought that only one intermetallic (AuAl_2), the purple version, was formed, that it was brittle and nonconductive, and the main contributor to failures. Later studies showed that at least five intermetallics could form (AuAl_2 , AuAl , Au_2Al , Au_5Al_2 , Au_4Al) and that the white-tan form Au_2Al was responsible for bond degradation.^{[37]-[40]} This white, intermetallic compound was shown to be brittle and to have a high electrical resistance, while the purple, intermetallic compound proved to be of high strength and electrically conductive. Besides high temperatures, it is thought that many impurities in or on the metallization catalyze and accelerate intermetallic growth. Among these are trace amounts of nickel in gold plating, impurities in thick-film gold pastes, fluxes, traces of tin and lead from solder splatter, and silicon. By understanding and controlling these variables, reliable bimetallic wire bonds can be produced.

3.3 Flip-chip Interconnections

In flip-chip bonding, devices having specially processed bumps on the face of the die are bonded face down to corresponding pads on the interconnect substrate. Compared with wire bonding or TAB, where the chips are mounted face up, flip-chip bonding offers very close packing of devices (essentially no space between chips is needed), short z-direction interconnects, and much higher I/O densities (Fig. 30).

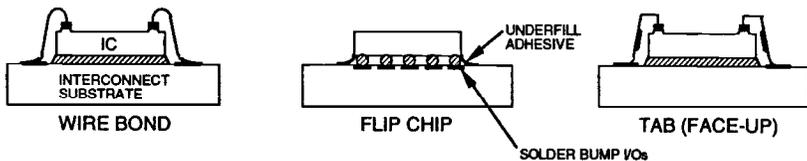


Figure 30. Comparison of flip-chip with wire bond and TAB interconnections.

Flip-chip bonding is an old technology which is now being readdressed and modified to satisfy the high density requirements of multichip modules. Originally developed by IBM and known as the C-4 process (Controlled Collapse Chip Connection), flip-chip bonding has been used for over 30 years by IBM on a production scale. Nevertheless, flip-chip bonding has found limited use by hybrid and multichip module assemblers because of the difficulty in procuring bumped die. Depositing bumps is best done at the

wafer stage by the semiconductor manufacturer, but unless volumes are very high and their use more widespread, these manufacturers have been reluctant to make a wide variety of bumped die available. Although it is possible for users to purchase the die in wafer form and perform the bumping themselves, most do not have the thin film, photolithographic, and clean room equipment and facilities that are required, nor do they wish to assume the risk of low yields. Among assemblers, wire bonding is still the most widely used interconnect process and is well entrenched.

This situation, however, is beginning to change because of the need for shorter signal paths required for high speed circuits, for larger numbers of I/Os per die, and for higher packing densities. Originally, only a few bumps (<100) arranged at the perimeter of the die were possible. Now, by distributing the bumps in an area array, over 700 bumps per die have been achieved.[41][42]

Improved low cost processes for bumping the die, both at the wafer stage and as singulated die, are being developed to meet these needs by processes that can be performed by the user. The C-4 process and generally, almost all flip-chip processes, require a number of thin-film metallization and photoetching processes to transition the aluminum metallization on the die pads to the final solder bump. These intermediate layers may consist of vapor deposited or sputtered chromium (as an adhesion tie layer and diffusion barrier) and copper (to provide wettability to the solder.¹⁴³¹ A key feature in using solder as the bump material is the self-aligning of the bumps to the pads that occurs during solder reflowing. Precise initial alignment is, therefore, not necessary since the surface tension of the molten solder moves the chip into alignment. As with most processes, there are some inherent drawbacks to solder bumped flip-chip bonding. Among these are:

- Availability of bumped die
- Requirement for using flux
- Cleaning and removal of flux residues after solder reflow to avoid corrosion
- Difficulty in visually inspecting the interconnect bonds; however, x-ray inspection is feasible
- Poor thermal/mechanical fatigue resistance for large die

Several alternate bumping processes that avoid the expensive thin-film processing steps used by the semiconductor manufacturer permit the user to apply the bumps by simpler, less expensive processes and avoid the use of flux, and even solder, have been reported. Among these alternate processes are:

1. *Forming gold bumps by using established wire bonding techniques.* In one process reported by nCHIP, gold ball bonds are formed on the chip pads by established thermosonic or thermocompression gold wire bonding. After the ball has been formed, the wire is immediately severed close to the ball.¹⁴⁵ Bumps that are 60 μm at the base and 50 μm high can be produced (Fig. 3 1). The chips are then aligned and mated to indium coated solder pads on the substrate by reflowing the indium above its melting temperature of 160°C. In a similar process reported by Bessho, et al.,¹⁴⁶ the gold bumps are also produced by gold wire ball bonding, but are then coated with a silver-palladium filled epoxy which, when mated to the substrate pads and cured, causes electrical connections.
2. *Forming the bumps from silver-filled epoxy.* Commercially available silver-filled epoxies may be automatically dispensed or screen printed onto the bonding sites of the die to form the bumps. The epoxy approach is low cost, avoids the use of both solder and flux, and does not require high processing temperatures. On the other hand, the electrical contact resistance for conductive epoxy is much higher than for metallurgical interconnections and there is a risk of further degradation due to moisture and elevated temperature exposures.

The solder connections of large flip-chip die (>200 mils square) are more susceptible to fatigue due to thermal aging and to stress cracking due to temperature cycling. Using an underfill adhesive, often a low viscosity epoxy having high wetting and penetration properties, has been shown to improve the fatigue resistance and reliability.^{145,147,148} Besides reinforcing the bonds, the underfill adhesive also provides improved thermal conductance and prevents the entry of contaminants. The underfill adhesive, however, must itself be of high purity and free of any ionic or corrosive constituents.

4.0 CLEANING

Cleaning may be considered the “unsung” process of hybrid circuit manufacture. Often taken for granted, it has never been glamorous enough to receive the full attention of engineers and chemists. Yet cleanliness at every

step of the assembly process is essential in assuring reliability and high yields. Some hybrid circuit problems that have been the result of contaminants and inadequate cleaning include: high electrical leakage currents, electrical shorts or opens, corrosion, PIND test failures, poor wire bondability, wire bond degradation, difficulty in lid sealing, and metal migration (whisker or dendritic growth). There are two aspects in maintaining circuits free of contaminants. The first is to prevent contaminants from getting onto a circuit during its manufacture. Use of finger cots, vacuum pickup tools, Teflon-coated tweezers for handling, storage of parts in dry nitrogen, assembly in laminar flow stations and clean rooms, and use of hair caps, smocks, and shoe brushes minimize contaminants and permit less severe cleaning processes. The second aspect is the selection of efficient solvents and processes for cleaning the hybrid at various stages during and after assembly.

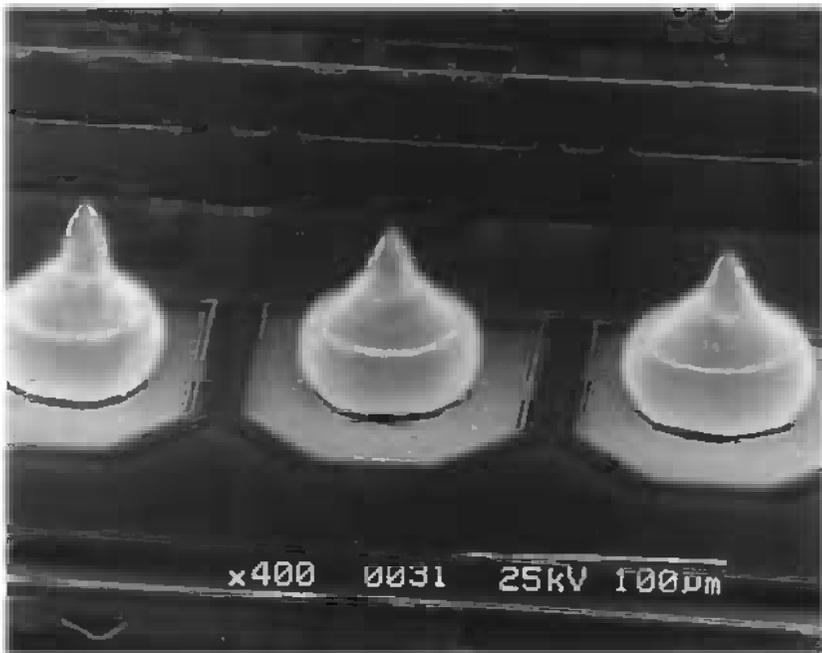


Figure 31. Gold bumps on die bonding pads.^[45] (Courtesy nCHIP.)

4.1 Contaminants and Their Sources

Contaminants found in hybrid circuits may be classified as particulates, ionic residues, inorganic residues, or organic residues. A major source of contaminants is through handling. Human skin continuously sheds particles and transfers salts (sodium chloride), ammonium compounds (through perspiration), and natural oils. Other contaminants derive from cosmetics and clothing worn by workers and transferred to the circuits during assembly and handling. Among these are hair-sprays, hand lotions, facial creams, deodorants, and synthetic and natural fibers. A compilation of potential contaminants and their sources is given in Table 6.^{[49][50]}

Table 6. Typical Contaminants Found In/On Hybrids/MCMs, and Their Sources

Contamination Type	Potential Sources
Fibers (Nylon, Cellulose)	Clothing, cotton swabs, paper towels, tissues, and other paper products.
Silicates	Rocks, sand, soil, and dust.
Oxides and Scale	Oxidation products from metals.
Oils and Greases	Machines, vacuum pumps, fingerprints, body grease, hair sprays, tonics, lotions, and ointments.
Silicones	Hair sprays, shaving creams, aftershave lotions, hand lotions, and soap.
Metals	Slivers and powders from grinding, machining, and fabricating metal parts; pieces of gold or aluminum wire from bonding operations; eutectic alloys and silver particles from attachment processes; silicon chips from die; nickel plating residue; and silver and tin dendrites from metal migration.
Ionic Residues	Ammonium compounds from perspiration, sodium chloride from fingerprints, residues from cleaning operations, certain fluxes such as glutamic-acid-hydrochloride types, residues from previous chemical steps such as etching or plating.
Nonionic Residues	Rosin fluxes, nonionic detergents, organic processing materials.
Solvent Residues	Cleaning solvents and solutions.
Organic Residues	Epoxy adhesives and photoresists.
Ceramic	Chips of alumina or beryllia from the substrate, thick-film resistors or dielectric materials.

4.2 Solvents

The solvents most commonly used for hybrid circuit cleaning are: deionized water, Freon TF, azeotropes of Freon TF, and isopropanol.

Azeotropes are constant boiling mixtures of fixed compositions of two or more solvents. Their key feature is that they distill at a boiling point lower than either of the constituents without fractionating or changing in composition. Azeotropes, **because they** combine both polar and nonpolar solvents, are particularly efficient solvents for vapor degreasing and removing a wide range of contaminants in one step. Solvents may be classified as either hydrophilic or hydrophobic.

Hydrophilic Solvents. These are highly polar molecules that have a strong affinity for water and water-like solvents. Structurally, they contain groups that have a high polarity such as OH (hydroxyl), NH* (amino), C=O (keto), CHO (aldehyde), or COOH (carboxyl). They are generally completely miscible in water. Examples include: water, alcohols (methanol, ethanol, or isopropanol), formaldehyde, acetic acid, acetone, and methyl ethyl ketone.

Hydrophobic Solvents. Hydrophobic solvents can be either polar or nonpolar compounds and have an affinity for oils and greases, which they readily dissolve. They are miscible with oils and grease, but immiscible in water. Examples include: Freon TF (DuPont), trichloroethylene, methylene chloride, toluene, and xylene. The principle of the ancient chemist: "similia similibus solvuntur" or "like likes like," still applies. Thus, structurally similar compounds have a strong affinity for each other and will be miscible. Freon TF and all Freon azeotropes are extensively used in the electronics industry because they are excellent solvents for greases and oils and are inert to the circuit components. However, it should be noted that starting in 1996 most of the freons will no longer be produced in the U.S. because of their known ozone depleting effects. The following are Freon cleaning solvents listed in order of increasing solvent strength (all Freons are products of DuPont):

Freon TF, trichlorotrifluoroethane

Freon PCA, a high purity form of Freon TF

Freon TMC, an azeotrope of 50% Freon TF and 50% methylene chloride

Freon TE, an azeotrope of Freon TF and 4% ethyl alcohol

Freon TES, Freon TE with a stabilizer

Freon TMS, an azeotrope of Freon TF and 5.7% methanol and stabilizer

Freon TA, an azeotrope of Freon TF and 11 % acetone

Freon T-WD, an emulsion of Freon TF with 6% water and 2.5% surfactant (detergent)

Table 7 lists a few of the common defluxing solvents. High Kauri-Butanol values indicate a high degree of solubility for organic materials. This solubility parameter is used to select the solvent that most closely matches the contaminant to be removed.

Table 7. Azeotropic Solvent Compositions^[51]

Azeotropic Composition Weight Percent	Trade Name	Boiling Temp °C	Toxicity ¹ TWX	Kauri- Butanol Value	Solubility Parameter
Methylene Chloride 92.7 Methanol 7.3	M-Clene-S ²	38.3	100	>136	9.9
Fluorocarbon 113 90.3 Acetone 9.4	Freon TA ³ Genesolv DA ⁴	44.4 44.4	1000	46	7.4
Fluorocarbon 113 95.5 Ethanol 4.5	Freon TE ³ Genesolv DE ⁴	44.4	900	40	7.4
Fluorocarbon 113 92.0 Aliphatic Alcohols 8.0	Freon TMS ³ Genesolv DMS ⁴	42.2	510	48	7.4
1,1,1-Trichloroethane 96.0 1-Propanol 4.0	Alpha 565 ⁶	73.8	345	124	8.6
1,1,1-Trichloroethane 93.0 Aliphatic Alcohols 7.0	Prelate ⁶	73.3	510	124	8.6

1. ACGIH 1981

2. Diamond Shamrock Corp., Cleveland, Ohio

3. E. I. DuPont De Nemours & Co., Inc., Wilmington, Del.

4. Allied Chemical Corp., Morristown, N.J.

5. Alpha Metals, Jersey City, N.J.

6. Dow Chemical Co., Midland, Mich.

In order for a solvent to effectively clean a surface it must “wet” that surface. Wetting is the ability of the solvent to flow in an unbroken pattern across a substrate or printed circuit board. Efficient wetting results in a continuous sheet of solvent, while poor wetting causes the solvent to “bead” and roll off the substrate, much like water on a freshly waxed car. The theory of wetting is well established; the more acute the contact angle of solvent to substrate, the better the wetting (Fig. 32). As the angle between the surface and the fluid approaches zero, the solvent spreads across the surface more quickly. As the angle increases, the solvent will flow more slowly until it forms beads.

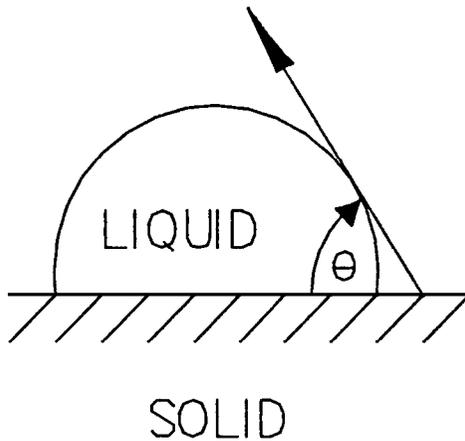


Figure 32. Contact angle of solvent to surface.

The wetting characteristics of a solvent vary depending on the type of substrate that is being cleaned. For example, the wetting characteristics of an epoxy-glass printed circuit board differ considerably from those of a ceramic substrate. In some cases the solvent does not contact the substrate, but only the film of contaminant on its surface. Efficient solvents will quickly wet a variety of different surfaces and have low surface tension to these surfaces.

Hybrids that use solder-attached devices must be thoroughly cleaned of all flux residues. Flux residues are particularly detrimental to wire bonds. Figures 33 and 34 show the damage that can be caused by the entrapment of solder and flux in a hybrid circuit package. The selection of a flux-removing solvent should not be based entirely on the solubility of the flux in the solvent because, in practice, flux, when exposed to soldering conditions, changes in chemical composition and generally becomes less soluble.

It has been reported that, in relation to wetting, capillary action, and mass transport, chlorocarbon blend solvents wet and penetrate tight spaces (less than 10 mils) better than fluorocarbon blends under normal operating conditions.^[51] Aqueous or detergent systems reach a practical limit of 10 mil spaces; for tighter areas organic solvents should be used.

The solvent's ability to penetrate tight places is primarily a function of surface tension, density, and viscosity. High density solvents with low surface tension and low viscosity provide the best wetting. The relationship frequently used to express wetting as a function of these three parameters is:

$$\text{Wetting Index} = (\text{Density} \times 1,000) / (\text{Surface Tension} \times \text{Viscosity})$$

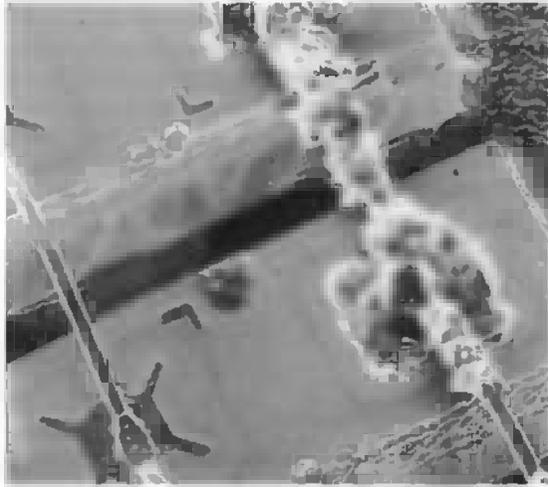


Figure 33. SEM micrograph of failed wire bond due to chloride contamination.

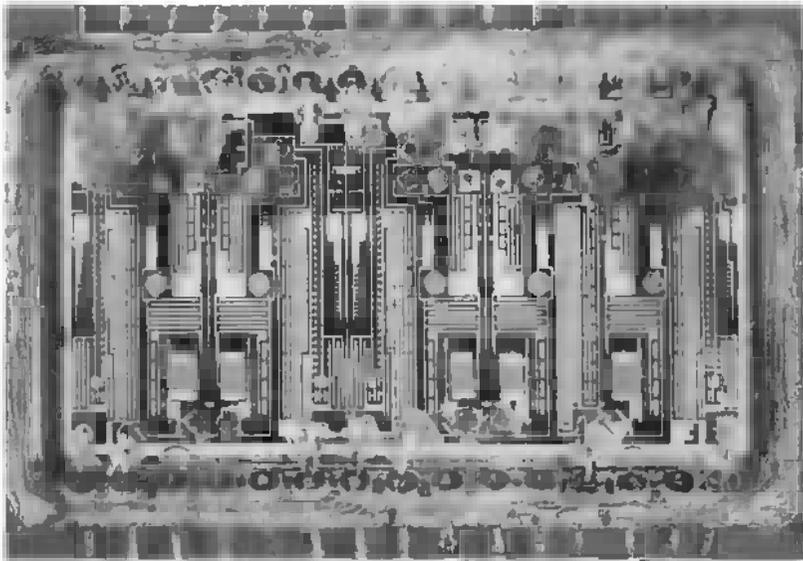


Figure 34. Corrosion of hybrid circuit due to solder/flux contamination during seal

4.3 Cleaning Processes

Basically, there are two generic cleaning processes: Wet (Solvent) Cleaning and Dry Cleaning. Solvent cleaning may be manual (used primarily for small quantities or after custom touch-up or rework) or automated batch cleaning where the parts are conveyORIZED through one or more operations, such as solvent immersion, spray, vapor degreasing, ultrasonic agitation or various sequences of these. Dry cleaning processes do not use solvent. Plasma cleaning is the dry cleaning most widely used while carbon dioxide “snow” cleaning is finding more applications as ozone depleting solvents, such as the chlorofluorocarbons, are rapidly being phased out.

Manual Solvent Cleaning. This is a non-conveyORIZED procedure for cleaning package exteriors, specific areas inside the package, and for flushing large particulates from the package. The following are examples of several manual cleaning procedures for removing various contaminants:

- Flux Removal-immersed in Freon TMC, agitate for 2 minutes, immerse in fresh Freon TMC and again agitate for 2 minutes, rinse with clean Freon TMC, then rinse with Freon TF. If flux remains on the exterior of a sealed package, it may be scrubbed off with a cotton swab dampened with isopropyl alcohol. If this procedure is ineffective, immerse the parts in water-white-rosin flux at 55 to 65°C and agitate for 2 minutes, immerse 2 minutes in isopropyl alcohol, and flush with a spray of Freon TF.
- Device (Die) Cleaning-place uncased die in a holding fixture and immerse in Freon TF, move up and down for 2 minutes, transfer to fresh Freon TF, immerse for 1 minute, then dry with nitrogen at 18 psi.
- Cases and Covers-these may be cleaned by immersing in isopropyl alcohol, flushing with fresh alcohol, and drying with nitrogen. However, a better cleaning method is to place the cases and covers in a beaker of deionized water and ultrasonic agitate for 10 minutes, spray rinse with deionized water, spray with alcohol, dry with nitrogen, then vacuum bake at 150°C for 2 hours. This method is especially effective in removing plating salts that may not have been cleaned off by the vendor.

- Substrates-prior to metal vapor deposition or thick-film screen printing, substrates should be prepared in a Class 1000 environment or better as defined in FED-STD-209. Substrates are immersed for 30 minutes in a solution of hydrogen peroxide, water, and ammonium hydroxide then transferred to heated high-purity water for 3 minutes. They are then spray-rinsed with deionized water and immersed in water until the alkalinity (pH) of the water returns to neutral. The substrates are then immersed in isopropyl alcohol and blow-dried with nitrogen. Batch methods using detergent (discussed later), may also be used.

For best results manual cleaning should be followed by conveyORIZED cleaning.

Solvent Automated Batch Cleaning. Batch cleaning is distinguished from manual cleaning in that it involves a conveyORIZED process.

In a typical conveyORIZED process (Fig. 35) the hybrids are loaded into baskets then sequentially subjected to immersion, (with or without ultrasonics), solvent vapor phase (vapor degreasing), room temperature spray, and drying. Each zone of the equipment is programmed and under computer control.

For vapor degreasing, the hybrid circuits are suspended in the vapor of boiling solvent. The hot vapors condense on the cool surfaces of the hybrids, bathing all exposed surfaces with liquid solvent. After the parts reach the temperature of the solvent vapor, condensation ceases and cleaning stops. To repeat the process, the hybrids are immersed or sprayed with fresh solvent at room temperature, cooling the surfaces and allowing vapor phase condensation to reoccur. Solvent cleaning may sometimes be undesirable because of flammability, toxicity, ozone depletion, or the high cost of some solvents. In such cases cleaning in aqueous detergent solutions may provide an alternate approach.

Substrate Cleaning. During the fabrication and assembly of hybrid circuits little or no particulate matter is generated from the ceramic substrate, therefore, if adequate handling procedures are followed after the substrates are received, no cleaning other than blowing with dry nitrogen is required. However, since meticulous handling is difficult and cleanliness cannot be assured, it is recommended that manual or batch cleaning be used prior to processing the ceramic substrates. Alumina ceramic is a highly sorptive material; ceramic exposed to the atmosphere for any period of time will

absorb/adsorb a surface film of organic vapors from the atmosphere. Even if substrates are stored in cleanroom environments, it is impossible to filter out organic and inorganic gaseous materials, such as automobile exhaust gases, hydrocarbons, and sulfur compounds. Adsorption or absorption of these gases renders the ceramic surface hydrophobic (non-water absorbing). The removal of adsorbed organic materials can be accomplished by cleaning in strong alkaline detergent solutions (discussed under Hand Cleaning), by plasma cleaning, or fire cleaning. The latter consists of conveyerizing the substrates through a belt furnace at 850 to 1,000°C, in air, to burn off the organics. Cleaning with organic solvents, though effective in removing gross amounts of oils and greases, is not as effective in removing absorbed organic films. A popular test to detect the presence of these organic films is the "Water Break-Free Test." According to this test, a surface is considered clean when it can maintain an unbroken layer of water for at least one minute when positioned vertically. Sometimes this test gives the user a false sense of cleanliness because some unrinsed detergents result in a zero contact angle. Thus, any detergent cleaning of a substrate should be followed by a dilute acid and water rinse to neutralize and remove the detergent residues.

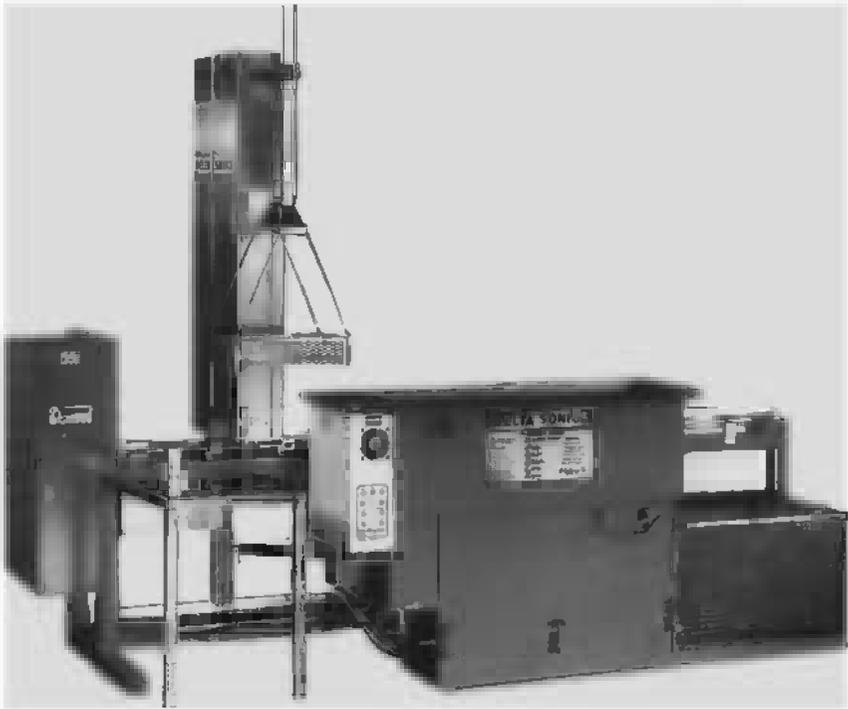


Figure 35. Conveyorized cleaning console. (Courtesy Delta Sonics).

Cleaning any surface, to be efficient, should involve some “scrubbing” action. Ultrasonic cleaning provides the best scrubbing action, but is not recommended for assembled hybrid circuits because ultrasonic energy will weaken or break fine-wire bonds. Ultrasonic cleaning is best used to clean empty cases, lids, and substrates (Fig. 36). An example of a conveyORIZED method for cleaning substrates, cases, or covers[*] is as follows:

- Clean the parts ultrasonically in an aqueous detergent solution having a pH between 10 and 13 at a temperature of 60-70°C for 2 minutes (In the complete cleaning cycle the fluids are filtered at a rate of one-half the tank volume per minute)
- Spray rinse with deionized water or filtered, softened water, at ambient temperature to remove gross amounts of the detergent solution
- Neutralize by immersion in ultrasonically agitated dilute acid solution at 20-30°C for 2 minutes
- Spray rinse with deionized water at ambient temperature
- Rinse in an overflow of deionized water with ultrasonics for 2 minutes
- Spray-rinse with deionized water
- Dry the parts by water displacement and vapor rinsing with an organic solvent

Figure 37 depicts graphically a seven-stage ultrasonic detergent cleaning and drying system.

Hybrid Assembly Cleaning. The following is an example of a sequence of steps used in cleaning hybrid assemblies without ultrasonics:

- Spray-rinse with Freon TF
- Transfer hybrids to the vapor phase of boiling Freon TF (117°C)
- Spray-rinse with Freon TF while in the vapor zone (Hybrids should remain in the vapor zone for about 3 minutes)
- Transfer parts from the vapor zone and allow to dry while in a vertical position; if any gross particles remain on the hybrid, they can be flushed off with a stream of isopropyl alcohol, followed by rinsing with Freon TF, and drying in a stream of nitrogen.

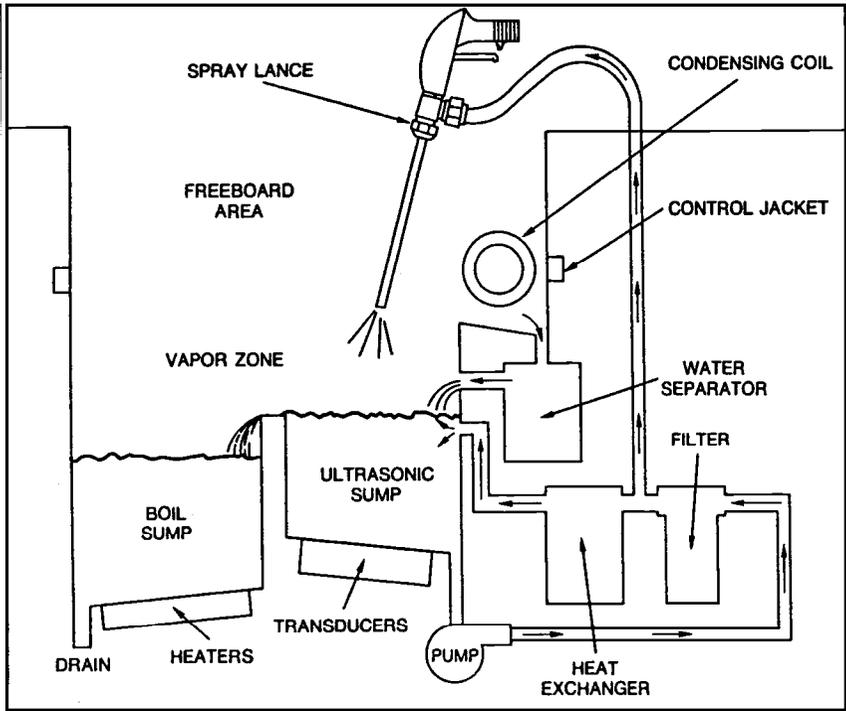


Figure 36. Ultrasonic vapor defluxer. (Courtesy Crest Ultrasonics Corp.)

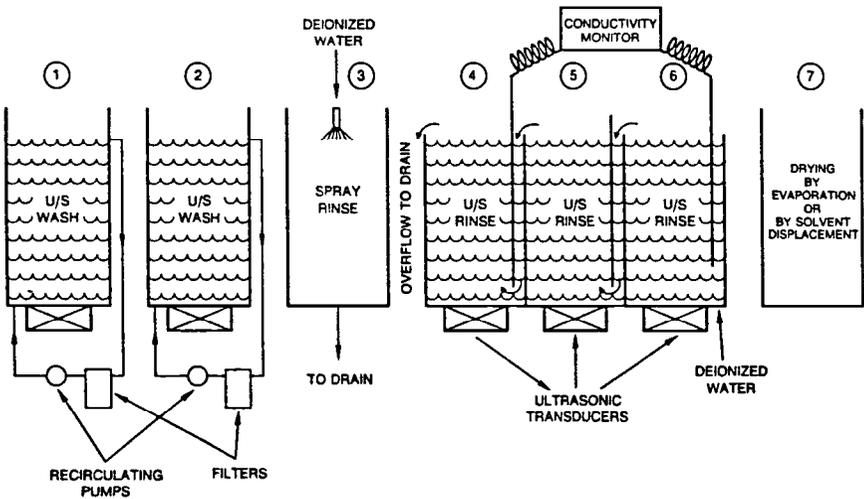


Figure 37. Seven-stage ultrasonic detergent cleaning and drying system.

Solvent safety precautions as specified by the supplier or manufacturer should be followed in using any chemicals or organic solvents. The toxicity and flammability of each material must be known and understood before implementing it in production. Exhaust hoods and scrubbers should be used to remove toxic or flammable fumes, and rubber gloves used for handling chemicals and solvents. With proper precautions and adhering to OSHA requirements, a wide variety of chemicals and solvents can be used safely. Data on the physical, chemical, toxicity, and flammability properties of industrial solvents and chemicals may be found in several handbooks.t531-t551

Plasma Cleaning. When a low-pressure gas is subjected to a high energy input such as radio-frequency or microwave energy, the gas dissociates and ionizes through collisions with high energy electrons. The resulting mixture of electrons, unreacted gas, neutral and ionic species, is referred to as a plasma and is highly effective in physically and chemically removing contaminants from a surface. Even an inert gas such as argon becomes highly reactive after being subjected to RF energy. Activated argon atoms and ions bombard the surfaces and physically dislodge contaminant residues. A pure oxygen or oxygen-argon plasma is much more effective than argon alone in removing organic residues because, in addition to the physical mechanism, activated oxygen reacts chemically with organic residues converting them to carbon dioxide and water-both volatile gases. However, a pure oxygen plasma can oxidize some thin-film metals changing their electrical or physical properties. A plasma cleaner consists of a chamber equipped with an RF power supply and filled with a gas such as argon or oxygen (Fig. 38).

Plasma was first used in 1967 to strip photoresist; in 1970 it was used in wafer processing, and finally, in 1980 it was applied to cleaning hybrid circuits. Plasma can be used to:

- Remove traces of residual photoresist from device surfaces
- Clean wire bonding pads to remove trace organic residues resulting from epoxy outgassing, epoxy smear, or epoxy bleed-out
- Clean a surface to improve its wettability and adhesion of organic coatings, adhesives, or solder



Figure 38. Plasma cleaner. (Courtesy Yield Engineering.)

The most widely used application of plasma cleaning is to prepare hybrid circuits prior to wire bonding. Plasma cleaning of wire bonding pads greatly improves bondability and bond strength of thermocompression bonds.^[30] After die attachment, circuits are placed in a plasma chamber and evacuated to 0.05 Torr then backfilled with argon at 0.175 Torr. The gas is then subjected to 50 to 75 watts of RF energy for 3 to 5 minutes. Table 8 summarizes the parameters for plasma cleaning in oxygen and argon. The effectiveness of plasma cleaning is demonstrated by Fig. 39 in which trace residues on integrated circuit bonding pads were completely removed after 3 minutes in an argon plasma.

Epoxy bleed-out, another contaminant frequently encountered in hybrid circuits, can also be removed by plasma cleaning. "Bleed-out" results from resin separating from the filler and hardener during the cure of epoxy die-attach adhesives. The resin penetrates small crevices in the substrate and migrates along the surface often contaminating wire bond pads. The thickness of this bleed-out may range from 10 to 2,500 Å. After the epoxy

has cured, the bleed-out is barely perceptible, even under high magnification. The efficiency of plasma in removing epoxy bleed-out on a gold-plated surface around a transistor can be seen in Fig. 40.

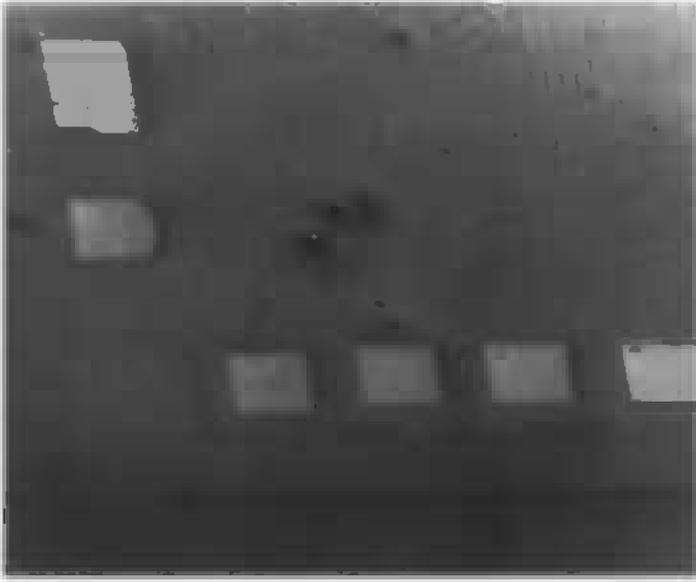
Cleaning with Non-ODS (Oxygen Depleting Substances). The chlorofluorocarbons (CFC), including the Freons, which have been almost universally used as the best cleaning solvents in both the semiconductor and hybrid circuit industries, have been shown to be ozone depleting substances (ODS). By an agreement signed by 65 nations in 1990 (the Montreal Protocol) CFCs are to be phased out by the year 2000 presenting a challenge in selecting or developing alternate cleaning solvents and processes.

Hydrochlorofluorocarbons (HCFC), initially proposed as replacements, will also eventually be phased out. Perfluorocarbons (PFC) were developed, but in 1993 were also classified as potential global warming solvents. Currently, the hydrofluorocarbons (HFC) are considered acceptable. Other commercially available organic solvents that may also be considered are given in Table 9.

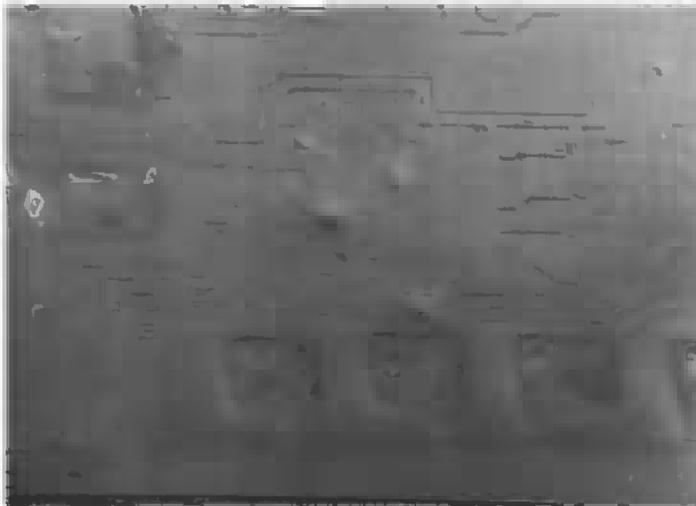
Many hybrid circuit assemblers are now using dry cleaning processes such as plasma cleaning, CO₂ snow cleaning (see below), or no cleaning at all. If care is taken during assembly and testing of the circuits or if human handling is avoided or minimized through automation, many firms are finding that solvent cleaning is not necessary.

Table 8. Plasma Cleaning Parameters

Cleaning Process	Oxygen Plasma	Argon Plasma
	Chemical	Physical
Temperature	150° C	70 - 100° C
Etch Rate	800 - 1000 Å/min.	500 Å/min.
Pressure	1 Torr	0.2 Torr
Power	75 Watts	75 Watts
Gas Flow	2 - 5 cc/min.	2 - 5 cc/min.
Time	2 - 5 min.	2 - 5 min.

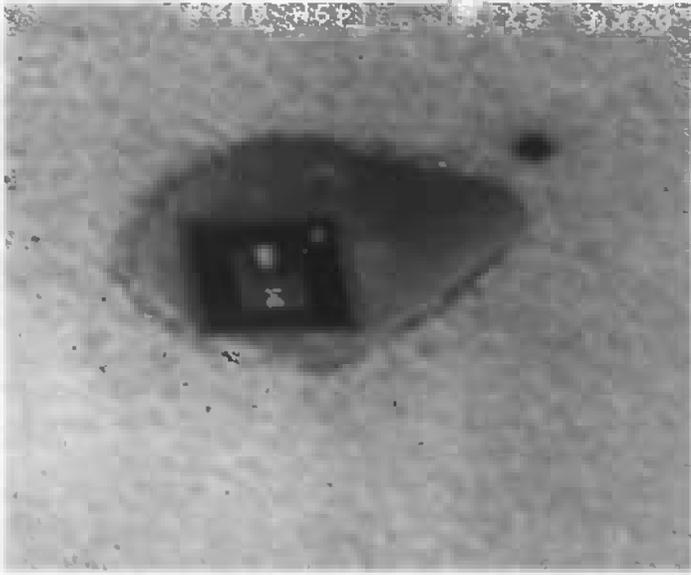


(B)

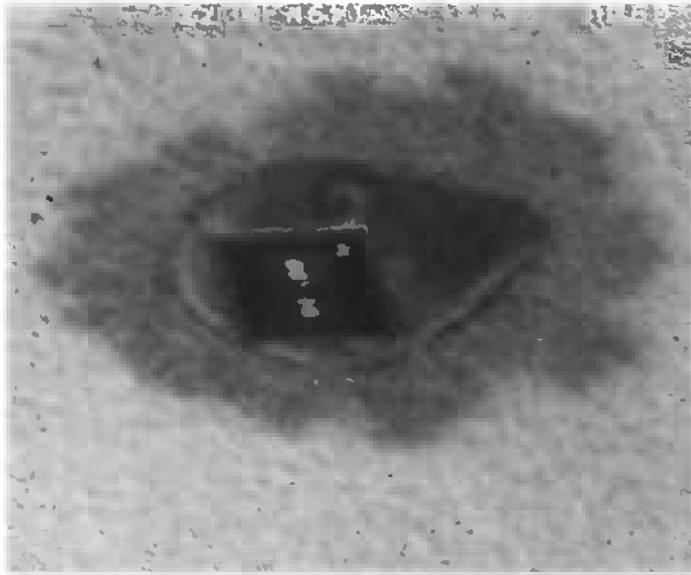


(A)

Figure 39. Plasma cleaning of bonding pads; (a) photograph of bonding pads prior to cleaning with argon plasma, (b) photograph of bonding pads after 3 minutes of cleaning with argon plasma. (Courtesy of March Instruments, Inc.)



(B)



(A)

Figure 40. Removal of epoxy bleed-out by plasma cleaning. (a) SEM photo of a transistor epoxy bonded to a plated gold surface showing the epoxy bleedout; (b) the same transistor after 3 minutes of cleaning with argon plasma. (Courtesy of March Instruments, Inc.)

Table 9. Alternate Non-ODS Cleaning Solvents

Generic Name	Commercial Name	Supplier
Isopropanol	Isopropyl alcohol	Various
Terpene	Re-Entry KNI-2000	Envirosolv
Nonlinear alcohol	Ionox FC	Kyzen
Hydrofluorocarbon-Heptane azeotrope	Vertrel XF	DuPont
Perfluoro N-ethyl morpholine/isooctane azeotrope	PF-5862	3M Co.

Carbon Dioxide Jet Spray Cleaning. In carbon dioxide jet spray cleaning, also known as “snow cleaning,” a jet spray of solid carbon dioxide (CO₂) snow and gaseous carbon dioxide impinge on the part and dislodge and sweep away particulate contaminants. The snow is generated from liquid pressurized CO₂ as it rapidly expands through specially designed nozzles. The CO₂ is constrained to pass through a triple point where solid, liquid, and gas coexist. At the triple point the aerosol turns into solid CO₂ creating snowflakes. The velocity, density, and size of the snowflakes can be controlled to provide aggressive, moderate or benign cleaning. For example, high velocity snowflakes, approximately 1–2 μm in diameter provide aggressive cleaning, while low velocity snowflakes, 0.5 μm in diameter, are used for benign cleaning of precision parts such as optics or hybrid circuits containing fine-wire bonds.^[56] Snow cleaning is a relatively new process developed as an alternative to using CFC (chlorinated fluorinated carbons) and other organic solvents which are environmentally damaging, for example, ozone depleting. Among other advantages of snow cleaning are:

- Leaves no residues; essentially a dry process
- Removes submicron particles
- Can remove thin films of greases and oils
- Rapid cleaning in seconds instead of minutes or hours
- Can be used on very sensitive surfaces

However, one precaution is that ambient moisture will condense on the cleaned surface because the part cools during cleaning. To prevent this condensation, inert ambient enclosures may be used.

The equipment for snow cleaning is relatively inexpensive. Cylinders of pressurized carbon dioxide and a variety of hand-held or automated spray guns are commercially available.^{[57]-[59]}

5.0 PARTICLE IMMOBILIZING COATINGS

Though organic coatings are not generally used in hermetically sealed hybrid circuits, some NASA and military programs have required their use to assure that any particles that remain on the circuit after cleaning, or that slough from the circuit after sealing, do not migrate and cause electrical shorts. The function of the coating is to freeze in place all loose particles and to protect the circuit from any particles that may subsequently detach during vibration, constant acceleration testing, or actual use. Most hybrid manufacturers use the PIND (Particle Impact Noise Detection) test on a 100% basis to assure the absence of loose particles. According to this screen test (defined in MIL-STD-883, Method 2020) the sealed packages are placed on a shake table and acoustically coupled to a transducer that detects and amplifies any sound due to particles that move within the package while the package is vibrated or shocked. The sound produced by the vibrating particles is picked up visually on an oscilloscope or audibly by a speaker. Though the PIND test is useful for most applications, there is no guarantee if a package has passed that particles won't subsequently detach. Thus, some programs require that the devices or hybrid circuits be coated prior to sealing.

5.1 Parylene Coatings

Parylene coatings have received the greatest attention as particle immobilizing coatings for hybrid circuits. The Parylenes, a trade name of Union Carbide for highly purified p-polyxylyene polymers, were introduced for commercial applications in 1965. They have the distinction of being among the very few polymers that can be vapor deposited and the only polymers that can be vapor deposited on a commercial scale by the thermal decomposition of a solid dimer. On heating the solid dimer to 550-600°C, it decomposes, forming gaseous diradicals which are unstable, quickly combine on a substrate to form high molecular weight, ultrathin coatings (see Fig. 4.1). Three Parylene coatings differing in the number of chlorine atoms that each phenyl group contains were introduced by Union Carbide. Parylene N contains no chlorine atoms; Parylene C contains one chlorine atom per phenyl group; and Parylene D contains two chlorine atoms per phenyl group. The importance of the Parylene coatings in microelectronic applications was first recognized by Licari and Lee who conducted studies on the effects of Parylene coatings on the electrical parameters of CMOS/SOS devices through a study contract with NASA. The high purity of these coatings (no ionic contaminants) and their ability to be deposited as ultrathin, porous-free coatings with efficient coverage provide excellent protection for semiconduc-

tor devices and integrated circuits. Further, the Parylenes not only are benign to small diameter (1 mil) wire bonds and solder joints, but actually reinforce the bonds mechanically and provide a barrier from the corrosive effects of moisture and contaminants.^[62] This is in contrast with other organic coatings, such as epoxies or thick silicones, that can stress and break wire bonds. Characteristic properties of Parylenes of importance in electronic applications are given in Tables 10, and 11 and 12. A high capacity Parylene generator having a vertically mounted 24 inch by 18 inch diameter deposition chamber is shown in Fig. 42.

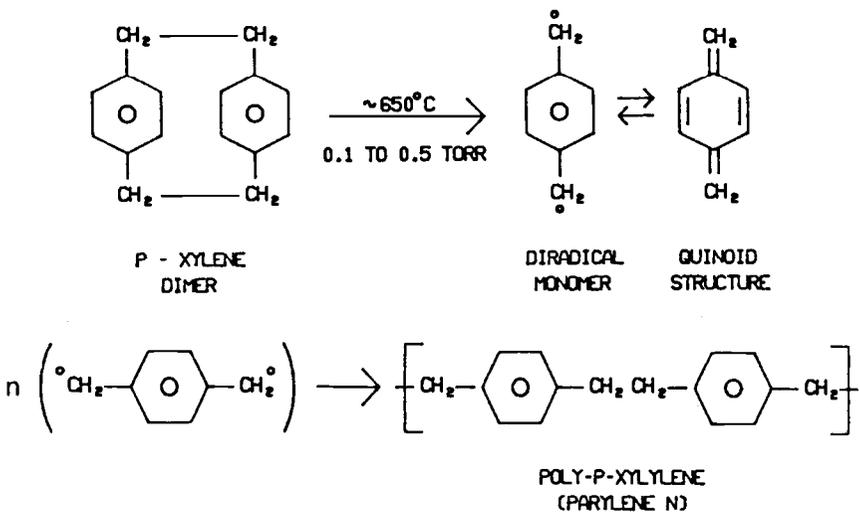


Figure 41. Parylene synthesis reactions.

Although the Parylenes are stable up to 490°C in a nitrogen or high vacuum environment, oxidative degradation occurs when they are exposed to air at temperatures of 125°C and above. Oxidation increases as a function of time and temperature until the coatings eventually lose their mechanical properties, become brittle and crack.^[63] However, the moisture transmission rates (MVTR) of Parylene N and C are among the lowest of polymers (Table 13). These low MVTRs, coupled with their excellent pinhole-free coverage and excellent dielectric properties, make the Parylenes effective moisture barriers for electronic circuits. They have been used effectively as conformal coatings to protect printed circuit boards and hybrid microcircuits and are qualified under Mil-I-46058.

Table 10. Thermal and Mechanical Properties of Parylene Coatings. *(Courtesy of Specialty Coating Systems.)*

Property	Parylene N	Parylene C	Parylene D
Tensile Strength, psi	6,500	10,000	11,000
Yield Strength, psi	6,100	8,000	9,000
Elongation to Break, %	30	200	10
Yield Elongation, %	2.5	2.9	3
Density, gram/cm ³	1.11	1.289	1.418
Coefficient of Friction			
Static	0.25	0.29	0.33
Dynamic	0.25	0.29	0.31
Water Absorption in 24 hours, %	0.06 (0.029")	0.01 (0.019")	—
Index of Refraction @ 23°C	1.661	1.639	1.669
Melting or Heat Distortion Temperature, °C	405	280	>350
Coefficient of Thermal Expansion, (ppm/°C)	69	35	—
Thermal Conductivity (W/m-K)	0.126	—	—

Note: Measurements made using ASTM methods.

Table 11. Electrical Properties of Parylene Coatings. *(Courtesy of Specialty Coating Systems.)*

Property	Parylene N	Parylene C	Parylene D
Dielectric Strength Short time, volts/mil (1 mil thick)	7,000	5,600	5,500
Volume resistivity 23°C, 50% RH, ohm-cm	1×10^{17}	6×10^{16}	2×10^{16}
Surface resistivity 23°C, 50% RH, ohms	10^{13}	10^{14}	5×10^{16}
Dielectric Constant			
60 Hz	2.65	3.15	2.84
10 ³ Hz	2.65	3.10	2.82
10 ⁶ Hz	2.65	2.95	2.80
Dissipation factor			
60 Hz	0.0002	0.020	0.004
10 ³ Hz	0.0002	0.019	0.003
10 ⁶ Hz	0.0006	0.013	0.002

Note: Measurements made using ASTM methods.

Table 12. Barrier Properties of Parylene Coatings. (Courtesy of Specialty Coating Systems.)

Parylene	Gas Permeability cc-ml/100 in ² 24 hr-atm (23° C)						Moisture Vapor Transmission Rate g-ml/100 in ² 24 hr (37° C, 90% RH)
	N ₂	O ₂	CO ₂	H ₂ S	SO ₂	Cl ₂	
N	7.7	39.2	214	795	1,890	74	1.6
C	1.0	7.2	7.7	13	11	0.35	0.5
D	4.5	32	13	1.45	4.75	0.55	0.25

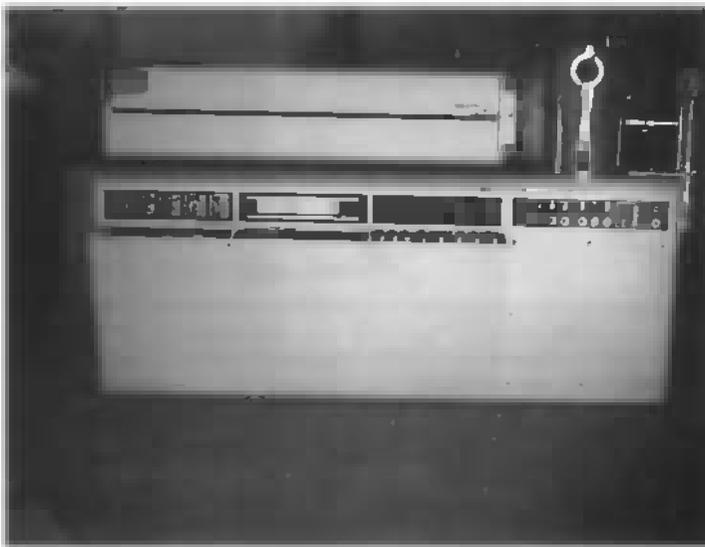


Figure 42. Parylene deposition console. Model 1040. (Courtesy of Specialty Coating Systems.)

Parylene would be the ideal coating for electronics were it not for some inherent limitations in its application, inspection, and removal. In applying Parylene, masking of the sealing surfaces and the external pins of the hybrid package is difficult and costly. Because Parylene is vapor-deposited, it penetrates and coats areas that would otherwise be inaccessible. Thus Parylene's key feature of thoroughly and evenly coating an entire circuit becomes a problem in preventing it from penetrating beneath the maskant and coating the seal and pin areas. Pressure-sensitive tape has been used as a maskant, but considerable time is necessary to firmly apply the tape without

damaging the leads. Subsequent to coating, the removal of the tape requires fastidiousness and care to avoid distorting the leads. Furthermore, depending on the tape used, adhesive residues may be difficult to remove and may require special cleaning methods. A second masking method employing a strippable latex maskant is similarly limited. The strippable maskant is difficult to apply in controlled amounts to selected areas and its removal is tedious in that the Parylene overcoating makes it difficult to grip and remove the maskant. The best masking technique employs special tooling designed so that a rubber or foam gasket is pressed tightly against the seal area during the deposition. One such tool consists of two metal plates, a bottom one, which may be flat or contain cavities into which the hybrid circuits are placed, and an upper metal plate with apertures corresponding to the cavities in the bottom plate. Two thin sheets of foam or elastomer, also with apertures, are inserted between the plates such that the hybrid packages are sandwiched between the two rubber sheets and plates and the seal areas and pins are protected (Fig. 43).

Table 13. Moisture Vapor Transmission Rates for Polymers (90–95% RH, 40°C).

Polymer	grams/m ² /24 hour/mil
Polytetrafluoroethylene (Teflon)	5
Polymethylmethacrylate (Acrylic)	550
Polyethylene terphthalate (Mylar)	30
Polycarbonate (Lexan)	31
Polysulfide (Thiokol)	668
Polyvinylidene chloride (Saran)	1.5 - 7
Parylene C	15.5
Silicone Gel	1744
Epoxy, Anhydride-Cured	37.2

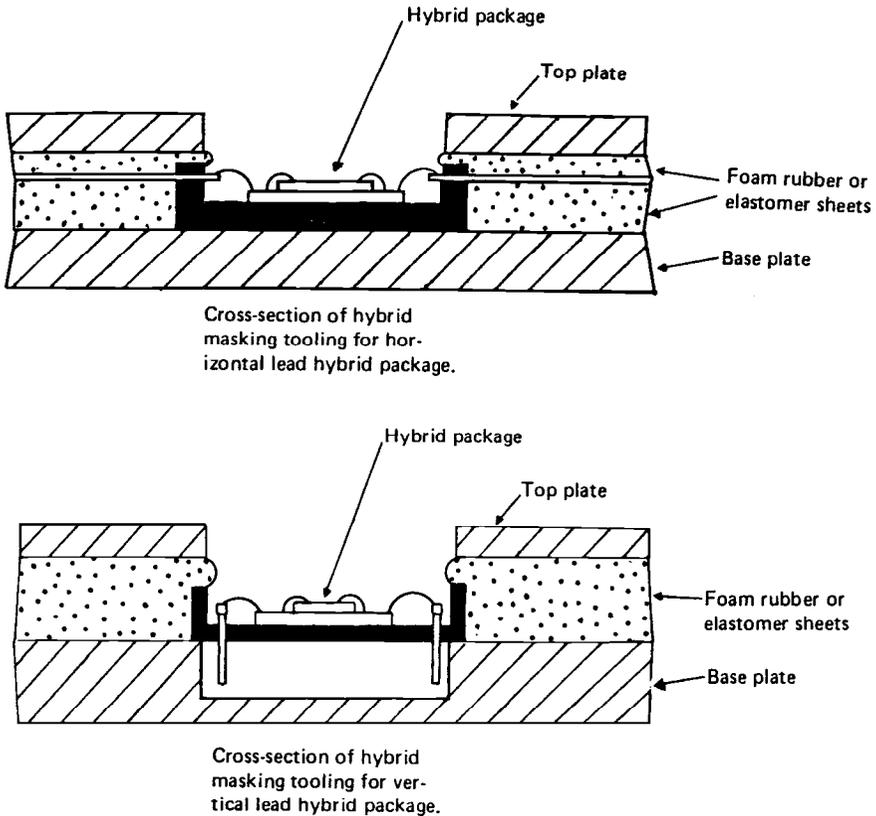


Figure 43. Masking tools for Parylene coating.

A unique method for depositing Parylene on hybrid circuits that have already been sealed consists of vapor depositing the gaseous monomer through a small hole in the lid. The hole, drilled or punched in the lid, permits the dimer free radicals to enter as vapor then polymerize and condense on the inside surfaces of the package. The hole is then sealed using a tin-lead solder. This method was developed as an expedient to salvaging already assembled and tested hybrids where it was shown that there was a risk of particle entrapment, however, drilling or punching the hole requires great care to avoid even more metal particles being generated and becoming entrapped in the hybrid package.

In reworking a Parylene-coated circuit, the Parylene must first be removed. This is relatively difficult and costly. Parylene is extremely insoluble in the normal organic solvents and, though classified as a

thermoplastic, is of such high molecular weight that it will not soften or melt at temperatures compatible with the hybrid circuit elements. The best removal method is plasma etching using oxygen. This method is not selective, thus all of the Parylene must be removed then reapplied after the rework. In general, 0.001 to 0.002 inches of Parylene can be completely removed in 20-30 minutes in an oxygen plasma. Any exposed silver-filled epoxy tarnishes under these conditions, but it has been found that plasma cleaning with argon as the last step will remove the tarnish. The effects of oxygen plasma on other sensitive elements of the circuits, for example, on some semiconductor devices and nichrome resistors, should be evaluated before using this process.

Lastly, Parylene applied in very thin films is transparent making visual inspection to assure complete coverage difficult. This problem has been largely resolved by adding a fluorescent compound to the solid dimer and co-depositing the two. Calcofluor* added to the dimer in small quantities imparts a blue fluorescence to the coating when inspected under ultraviolet light.

5.2 Solvent-Soluble Coatings

High-purity coatings have been investigated as alternates to Parylene because of the difficulties that have been encountered in removing Parylene from circuits that need to be reworked. The most useful of these have been the block copolymers of silicones and styrenes. These styrene-modified silicone coatings are readily and completely soluble in toluene, xylene, the Freons, and chlorinated solvents. The coatings can be removed quickly and easily from the entire circuit by vapor degreasing with Freon TF solvent. In the original studies performed by Licari and Weigand, Dow Corning's DCX9-6326, a block copolymer of dimethylsiloxane and aliphatic methyl styrene, was characterized for electrical, physical, and chemical properties, then applied to both hybrid microcircuits and printed wiring boards.¹⁶⁴¹⁻¹⁶⁶¹ These studies were later corroborated and expanded by David.¹⁶⁷¹ In the studies, extensive long term reliability data were collected on the effects of the coating on sensitive chip devices and on gold and aluminum wire bonds to thick-film gold conductor pads. Wire bond pull strengths and electrical resistance of a series of wire bonds were measured after 1,000 hrs at 150°C and after 1,000 temperature cycles from -55 to +125°C. In all cases the performance of the coated wire bonds was almost identical to the uncoated

* Trade name of BASF Corp.

bonds and no electrical failures of devices were noted. Though these coatings are more economical to process from the standpoint of ease of removal for rework, ease of masking, and the lower costs of spray application and equipment, they are still experimental and limited in availability.

5.3 Particle Getters

Plastic resins that cure to a tacky state may be used as alternates to coatings in immobilizing particles. These particle getters are soft silicone **gels** and are applied to the center of the inside of the lid prior to sealing. The effectiveness of silicone getters has been demonstrated by several companies through controlled experiments in which known quantities of metal particles of various sizes were purposely added (seeded) to a circuit containing the getter, then vibrated and evaluated for loose particles by PIND, x-ray, and electrical testing.~ The types of particles used were representative of those that might be found in a hybrid package. They included gold wire, aluminum wire, solder balls, and pieces of eutectic alloy. It is important to apply the getter to the center of the lid so that the seal area does not become contaminated with organic “bleed-out” from the silicone, which could degrade the seal. A distance of 0.1 inch minimum from the seal perimeter area should be kept free of all organic contaminants. Another consideration in the use of silicone getters is the amount of outgassing. As with other organic materials, the extent of outgassing is a function of the material used, its degree of cure, and the vacuum bake schedule. Silicone gels are available from both General Electric and Dow Coming.

6.0 VACUUM-BAKING AND SEALING

6.1 Vacuum-Baking

The last assembly operations are vacuum-baking and sealing. Both steps are extremely important in maintaining the reliability of the circuit for long periods of time under any adverse environments that the hybrid might encounter. Vacuum-baking removes adsorbed and absorbed moisture, but is also effective in outgassing other volatile materials from the circuit, especially organic volatiles from epoxy adhesives. If not removed, these constituents become entrapped in the package and can later cause corrosion or otherwise degrade the electrical functioning of the circuit. Though vacuum-baking is considered a critical step, it has been difficult to standardize

on an optimum vacuum-bake cycle applicable to all hybrid types because of differences in materials and devices, types and amounts of epoxy, and processing conditions used by the manufacturers. Thus manufacturers have empirically established vacuum-bake schedules that are best suited to their hybrids and often use several schedules, depending on requirements. The primary criterion for the selection of the vacuum bake cycle is the moisture requirement that the sealed package must meet. For example, vacuum-baking at high temperatures for extended periods of time may be necessary to meet the 5,000 ppm (maximum) moisture requirement for class K military and space-graded circuits, while less severe schedules might suffice for commercial hybrids. A survey showed that there were almost as many vacuum-bake schedules used as there were hybrid manufacturers. Some of the more widely used schedules are:

- 24 hours at 150°C in nitrogen, followed by 1 hour at 150°C in vacuum
- 16-24 hours at 150°C in vacuum
- 4 hours at 135°C in vacuum
- 10 hours at 150°C in vacuum
- 3 hour nitrogen bake at 100°C
- 72 hours at 150°C in nitrogen, followed by 16 hours in vacuum at 150°C

There are some cycles that are mainly vacuum, but periodically purged with nitrogen.

The oven used for vacuum-baking should be capable of being maintained to at least 150°C and a vacuum of 50 urn of mercury. The oven is connected to a dry-box so that the lid sealing operation can be performed in a nitrogen or nitrogen/helium ambient. The sealing chamber contains two double-pass doors, one from the vacuum-bake chamber to the dry-box, the other from the dry-box to the outside ambient. After the specified vacuum-bake period, dry nitrogen or a mixture of 20-30% helium in nitrogen is introduced in the chamber and the parts are transferred to the dry-box for sealing. Once in the dry-box, the parts should be sealed within 24 hours, preferably within several hours. If the hybrids remain in the dry-box for any extended time they can reabsorb moisture, small as it is, from the dry-box atmosphere. Since the vacuum-bake operation has a higher throughput than the sealing operation, the circuits often remain in the dry box ambient for extended periods of time before being sealed. The nitrogen ambient must be extremely dry (less than 50 ppm water), but even under these conditions

epoxies and other hygroscopic surfaces of the hybrid will get moisture from the nitrogen. Since there is a continuous flow of nitrogen, the amount of water absorbed by the hybrid continues to increase with time. Therefore, if the hybrid circuit packages cannot be sealed within a short period of time after vacuum-baking, the vacuum-bake cycle should be repeated.

Several factors influence the amount of moisture in the dry box. Among these are:

- The moisture content of the nitrogen entering the dry box. This should be controlled to less than 5 ppm.
- The moisture content of the nitrogen gas in the dry-box. This should be controlled to less than 50 ppm, preferably less than 20 ppm.
- The seal integrity of the dry box. The box must register a positive pressure to prevent air from entering through the seals.
- Human intervention. Both doors of the interconnecting pass-through should not be opened simultaneously which would allow air and moisture to enter.
- Introduction of tools or other materials into the dry box without prior vacuum bake-out. Backfilling an interlock that contains tools is not as efficient in removing moisture as vacuum-baking. Figure 44 shows that evacuating for 10 minutes is as effective as flushing with dry nitrogen for 2 hours. Not only does vacuum accelerate the drying time, but it also assures that oxygen and moisture are desorbed from the surfaces.¹⁶
- The flow rate of the incoming nitrogen. Figure 45 relates moisture (in ppm) to time at different flow rates. A flow rate of 10 cubic fVhr should be used for a 1.5 cu ft enclosure to reach 50 ppm moisture within one hour.

6.2 Sealing

Throughout any discussion of package sealing, the word hermetic is used to describe the effectiveness of the sealing process and the integrity of the sealed package. It is important to note that hermetic is not used in the absolute sense of being completely airtight. All hybrid and device packages, even those that are metallurgically welded, have finite leak rates. The term

hermetic is therefore applied to packages that have very low leak rates, generally less than 1×10^{-7} atm-cc/sec of helium, while packages that have leak rates of 10^{-6} atm-cc/sec or higher are considered non-hermetic. Devices and modules that are plastic encapsulated or molded are also considered to be non-hermetic. Hybrid circuits must be sealed to prevent moisture, oxygen, and other ambient contaminants from depositing onto the active surfaces, thus assuring long-term reliability. Secondly, sealing prevents mechanical damage due to handling. Hybrid circuits contain uncased die, thin-film metallization, and very fine, fragile wire, and wire bonds. Though the die are passivated, there are differences in the type, quality, and integrity of the passivation layers used by different die manufacturers and there may be variations from lot to lot from the same manufacturer. Thus one cannot rely entirely on the passivation layers for full hermeticity and long term protection. Furthermore, the wire bond sites, the wire itself, and, generally, thin-film resistors are not passivated. There are four general methods for sealing hybrid circuits: metallurgical, glass bonding, or epoxy bonding for cavity packages and plastic encapsulation for non-cavity packages.

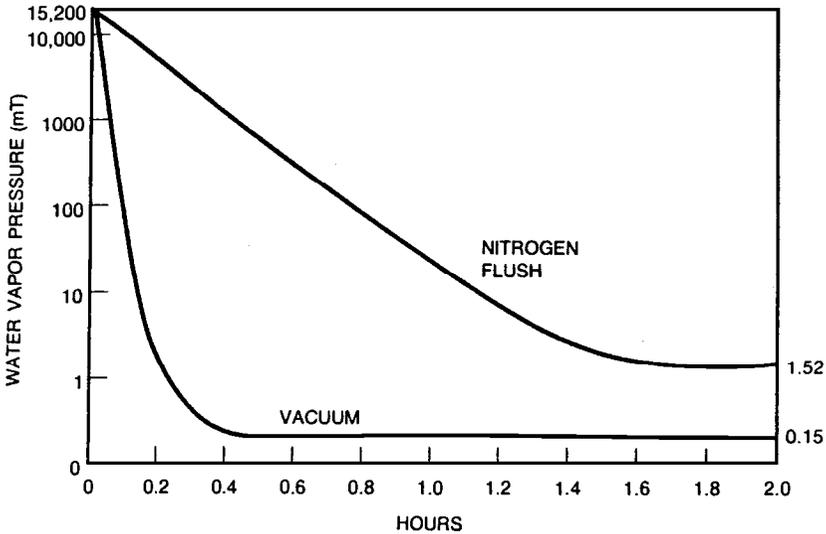


Figure 44. Drying times—vacuum vs. nitrogen. (Courtesy Benchmark Industries.)^[69]

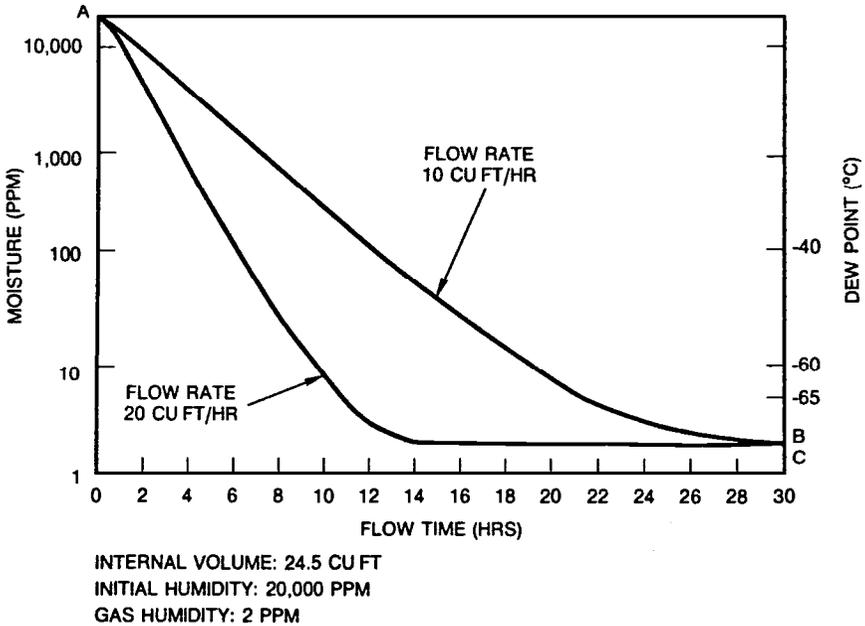


Figure 45. Drying times vs. nitrogen flow rates. (Courtesy Benchmark Industries.)^[69]

6.3 Metallurgical Sealing

Metallurgical sealing may consist of soldering or welding. Packages may be solder-sealed by inserting a solder preform between the lid and package seal area and heating to a temperature that will melt the solder.

There are four solder sealing processes, depending on the method by which the heat is applied. These are: hand soldering, belt-furnace sealing, seam soldering, and platen soldering. The solder may be one of two types: soft solder, a low melting alloy such as 63% Sn/37% Pb, melting point 183°C, or hard solder, a higher melting alloy such as 80% Au/20% Sn, melting point 280°C.

Hand-Soldering. Hand soldering is the simplest and oldest type of sealing. It is a manual process in which a soft solder such as tin-lead is melted using a heated solder-iron. Hand-soldering generally requires a flux to remove surface metal oxides, reduce surface tension, and improve wetting and adhesion. Soft solder sealing of hybrid circuits carries the risk of both flux and solder splatter becoming entrapped in the package and degrading the reliability of the circuit. A key advantage of hand-soldering is the low temperatures that can be employed which may be necessary for some heat

sensitive circuits or devices. Indium alloys having melting temperatures even lower than the 63% Sn/37% Pb are available (see also this chapter, Die and Substrate Attachment).

Belt-furnace Sealing. Belt-furnace sealing is a conveyorized process in which a solder preform is inserted between the lid and seal surface of the package, held together with a clamping fixture, and heated in a furnace to the melting temperature of the solder. Belt-furnace soldering uses a hard solder such as Au-Sn or Au-Ge. The furnace is continuously flushed with dry nitrogen so the parts are sealed in an inert atmosphere. Belt-furnace sealing is a popular, rather economical process widely used for sealing single chip devices in small packages. In belt-furnace sealing hybrid circuits having many epoxy-attached devices, a breather hole of 20-40 mils diameter is drilled or punched in the lid before sealing so that outgassing products from the epoxies can escape. The packages are then vacuum-baked and the hole is closed with soft solder, in a nitrogen dry-box. This process entails several extra steps, but without the breather hole, gases evolved from the epoxy expand and cause blowholes in the seals. Outgassing products can also become permanently sealed into the package. Belt-furnace soldering is generally applicable to metal packages and lids and, optimally, gold-plated metal. Metal lids can be sealed to ceramic packages if they have metallized seal rings. Belt-furnace sealing has many variables that must be taken into account to get high yields. The eutectic ratio of gold to tin results in the lowest seal temperature with the highest amount of gold. As the solder melts it takes some of the gold plating into solution, which then raises the melting temperature (Fig. 46). If the plating is too thick, excessive gold will dissolve, increasing the melt temperature above the furnace temperature and affecting the reliability of the seal. It is, therefore, important to control the plating thickness. Other critical parameters include thickness of the preform, flatness of the lid and package, and furnace temperature profile. The preform thickness and flatness of the package and lid are interrelated. If the mating surfaces of the package and lid are flat, the solder does not need to be very thick to thoroughly wet; however, if gaps exist in the seal area, more solder will be needed to make a hermetic seal. Sealing yields will vary widely if flatness is not controlled. The optimum thickness is arrived at through experimentation. In some cases, lack of flatness can be compensated by applying pressure during sealing by clamping the lid to the package.

The belt-furnace temperature profile must also be accurately controlled to produce reliable seals. Since each package/lid combination has a different mass, the seal interface temperature will differ from the furnace temperature, therefore, the furnace temperature must be adjusted to attain the

correct interface temperature. A typical furnace profile consists of a minimum of three stages, preheat, dwell, and cool-down. The temperature profile for solder sealing a package with a gold-tin preform is shown in Fig. 47. The parts are slowly heated (to prevent thermal shock) to approximately 25 to 50°C above the eutectic temperature of the solder, kept at that temperature for a short while to allow solder flow and wetting, then slowly cooled to ambient temperature. The peak temperature is a function of the amount of gold available to leach into the interface. It should not be so high that the solder expands and wicks onto the surface of the lid. The furnace should be continuously flushed with dry nitrogen. Any air leaking into the system will cause oxidation and inhibit the wetting of the solder. The effects of various parameters on solderability are given in Table 14.^[70]

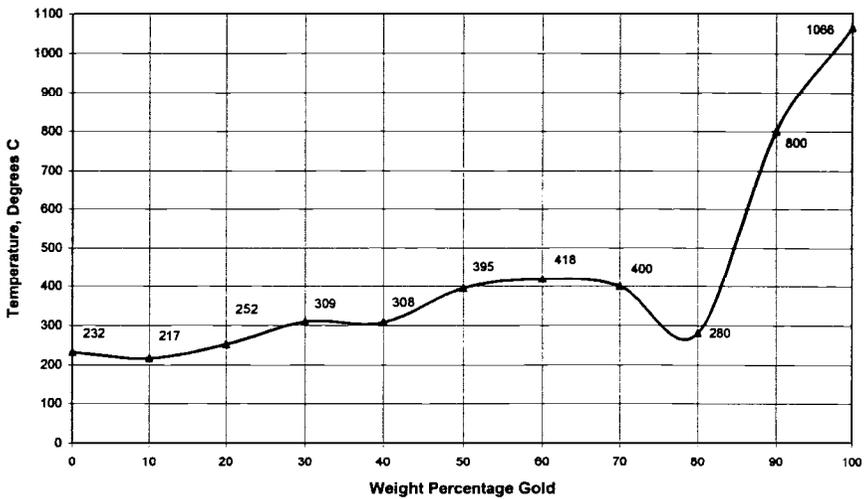


Figure 46. Gold-tin phase diagram.

Seam Soldering. Seam soldering utilizes the same equipment as seam welding (see below) except that a hard solder preform such as a gold-tin alloy is used. The solder is reflowed by resistance heating by allowing electrical pulses to travel through the lid, or from the lid through the preform between electrodes. Most of the heat is generated at the point of electrode contact because it presents the highest resistance. This process is relatively slow, but has advantages over belt-furnace soldering in that heating is localized to the seal area and the parts can be vacuum-baked and sealed in a dry-box which has a controlled nitrogen atmosphere.

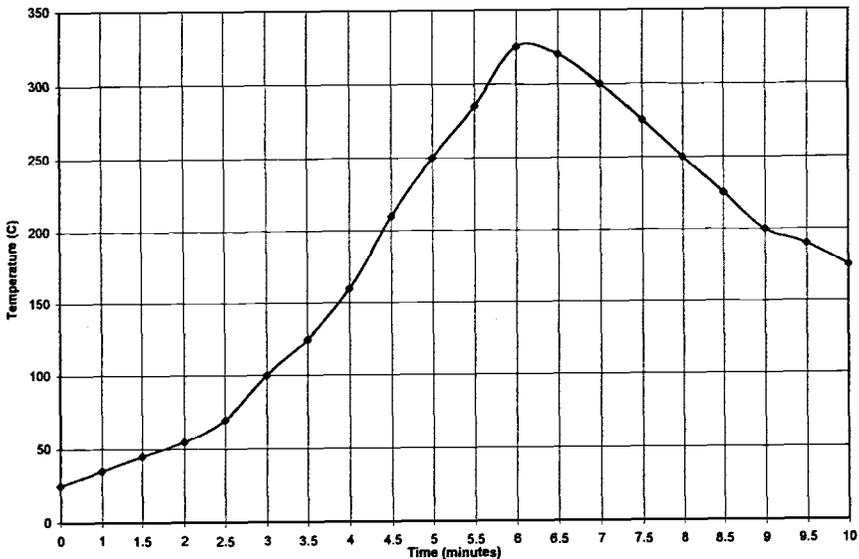


Figure 47. Furnace temperature profile for solder sealing with gold-tin preform.

Platen Soldering. In platen soldering, the package-preform-lid combination is placed in a fixture which provides for water-cooling. The chamber is evacuated and backfilled with an inert gas. A platen is then brought into contact with the lid and the platen temperature is increased to melt the solder. The chamber pressure during sealing is increased to assist in forcing the solder inward to form a better fillet and to compensate for expansion of gases within the package. Platen soldering is relatively fast requiring only about 2 minutes, but subjects the substrate and circuit to high temperatures (about 180–190°C).

Welding. Welding consists in the direct fusion of two metals through the application of heat. Heat may be generated by passing an electric current through high resistance metals (seam sealing), by focusing a laser beam (laser welding), focusing an electron beam (electron beam welding), or by direct heating (projection welding).

Seam Welding. Seam welding, also called seam sealing or resistance welding, is the most popular and widely used process for hermetically sealing hybrid packages. In seam sealing, the lid is positioned on the package and two truncated, cone-shaped copper alloy electrodes (Fig. 48) are allowed to travel along the top edge surface of the opposite sides of the package lid. These electrodes deliver high current pulses that flow through the lid-package interface, laterally across the lid, as well as through the package and out the

other parallel electrode. The travel rate of the electrodes, intensity and duration of the current pulses, and time interval between pulses are controlled to provide the optimum molten region of overlap with the minimum energy input. Seam sealing localizes the heat to the point-contact of the lid and electrodes, focusing sufficient power into the small seal area so that the weld is completed before the rest of the package has time to heat up. The temperature at the point contact (about 1500°C) can be controlled by the current pulse amplitude. By timing the pulses with the advance of the electrodes, overlapping spot welds are formed that produce a continuous weld.

Table 14. Effects on Solderability of Metallurgical, Mechanical, and Furnace Parameters^[70]

Parameter	Too much	Too little	Impact magnitude
Metallurgical			
Lid and package gold plating	Gold leaching raises melting temperature	Difficulty wetting	Moderate
Preform volume	Unnecessary wetting of surrounding areas	Difficulty obtaining complete seal	High
Package/lid cleanliness	Cannot be too clean, but too aggressive cleaning methods can produce corrosion	Solder wetting inhibited	High
Mechanical			
Lid and package flatness	Cannot be too flat	Thicker solder preform and/or use spring clips	High
Package lid and fixture mass	Longer furnace preheat and/or longer furnace dwell	Cannot get it too light	High
Lid compliance	Easy deformation from external forces	Difficult to compensate for lack of flatness	High
Preform attach	Tacked or bonded; means easy handling	Chance of preform misalignment	Moderate
Furnace			
Belt speed	Package/lid mass cannot reach melting temperature	Silicon component damage	High
Peak temperature	Solder crawls up on lid	Proper solder flow not achieved	High
Fillet appearance	Hermeticity probable	Hermeticity questionable	High
Atmosphere:			
Moisture and oxygen content	Poor wetting	The less the better	High

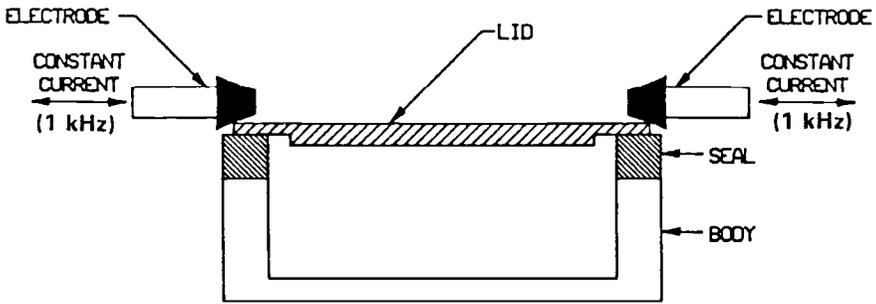


Figure 48. Parallel seam sealing (package cross-section).

Heat is proportional to I^2R , thus it concentrates at the point of highest resistance—the juncture between the lid and electrode. Step lids in which the thickness at the edges has been reduced to 5 mils and which are 5 mils narrower than the package outside dimensions permit current and heat to concentrate, thus producing a faster, more reliable seal (Fig. 49). After the electrodes have traveled along the two parallel edges of the package, the package is rotated 90 degrees to seal the other two sides. The key to producing low temperature sealing is to use a minimal total energy input.^[71] During sealing, the temperature at the interface must reach at least 200°C if tin-lead eutectic solder preforms are used, or 1500°C for direct Kovar welding. The equation that governs the sealing process is:

$$\begin{aligned} \text{Energy (in watt-seconds)} &= [\text{Avg. Power}] \times t \\ &= [I^2 \times R_c] \times t \\ &= \frac{I_{p-p}^2}{8} \times R_c \times \frac{PW}{PRT} \times t \end{aligned}$$

- where:
- I_{p-p} = peak-to-peak amplitude of 1 KHz constant current (0–1000 amps)
 - R_c = contact resistance between electrode and edge of lid
 - PW = pulse width in milliseconds
 - PRT = pulse repetition time in milliseconds—defined as the time between leading edge of successive pulse widths
 - t = total scaling time—seal perimeter in inches divided by the sealing rate in inches/sec.

These parameters can be varied to produce an optimum seal. A typical set of parameters for welding a gold-plated Kovar lid to a gold-plated Kovar package is given below.^[71]

I_{p-p}	=	700 amps
PW	=	20 ms
PRT	=	60 ms
t	=	7.6 sec

Localized seal temperature = about 1500°C

Package temperature during seal = about 50°C

Figure 50 shows a typical seam sealer while Fig. 51 shows the sealer installed in a dry-box.

Commercially available seam sealers can handle square or rectangular packages up to 8 inches on a side and circular packages from 0.125 inches to 8 inches in diameter. Several guidelines in using seam sealers may be listed as follows:

- Thickness of the lid. The thicker the lid the more current must be supplied to form the weld and the hotter the entire package will get. For best results the thickness of the lid should be reduced to 5 mils at the edges by milling or chemically etching while keeping the center portion at 10–15 mils for strength.
- Electrode wear. Since the current that produces the weld also passes through the electrodes and electrode bearings these parts have a limited lifetime, should be inspected for wear, and replaced periodically.
- Package material. Seam sealing requires two high resistance materials to form the weld joint. Kovar packages and lids can be easily welded, but not copper or aluminum packages.
- Package shape. Seam sealing machines can only be used to seal parallel-sided or circular packages. Irregular-shaped parts must be brazed, soft solder sealed, laser welded, or, if hermeticity is not a requirement, epoxy sealed.



Figure 49. Cross-section of lid-package seam weld joint (100x). (Courtesy of Rockwell International.)



Figure 50. Parallel seam sealing machine. (Courtesy Solid State Equipment Corp.)

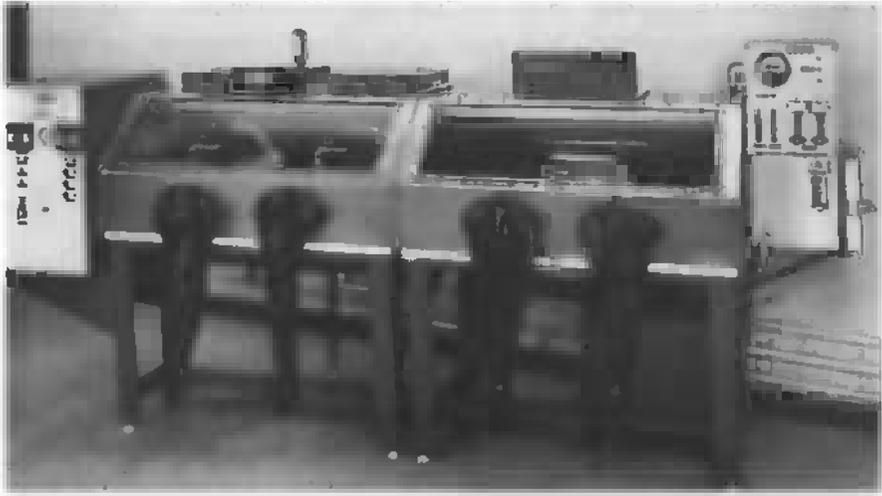


Figure 51. Seam sealer installed in dry box. (Courtesy Solid State Equipment Corp.)

Electron-Beam Welding. Electron-beam (e-beam welding) involves focusing an electron beam on the parts to be joined, resulting in heating and fusion of the parts. Electron-beam welding as a method for sealing metal packages is not extensively used because other methods (seam-sealing, belt-furnace soldering) are more economical and adaptable to production. Electron-beam welding is used in special situations where metals or alloys are difficult to weld (refractory types such as titanium, tungsten, molybdenum, stainless steel) or where the package size is too large or irregular to be sealed by more conventional means. A further advantage of e-beam welding is that the beam can be programmed and focused so that hard-to-get-at surfaces can be joined. Electron-beams can penetrate very deeply into a structure.

On the negative side, electron-beam welding is expensive, requiring high-vacuum equipment, high initial investment in electron-generating equipment, costly maintenance, and highly skilled operators. Also, because of its deep penetrating power, heat can be transferred to and degrade adjacent parts such as glass-to-metal feed-throughs and circuit components. Thus, heat-sinking and shielding may be necessary.^{[72][73]}

Laser Welding. A high power (400 watt) neodymium YAG laser provides a unique source of high-intensity energy that can be applied to many industrial applications, among which are: welding, drilling, brazing, soldering, heat treating, and cutting. In general, the laser is a potentially useful tool in cases where high intensity heat needs to be applied in a precise, controlled manner. Laser welding has many advantages over other welding methods, in particular over e-beam welding. These include:

- Low heat input results in small fusion and heat-affected areas
- A wide variety of similar and dissimilar metals can be welded; no direct contact with the work piece is required
- No vacuum is required
- There is no thermal damage to heat-sensitive devices or to glass feed-throughs
- Weld characteristics and yields are highly reproducible

As in the case of e-beam welding, the initial investment for laser equipment is high and in addition, special safety precautions and facilities are needed to operate the laser.

Projection Welding. In projection welding, one of the parts, either the lid or the package case, has a continuous metal projection that makes contact with the opposite part at the weld interface (Fig. 52). This projection forms a high resistance point contact that melts and forms a weld along the entire seam as the current is passed through. Several guidelines must be considered in the use of projection welding:

- Each package size and style requires a separate set of electrodes and weld parameters. The parameters must be established experimentally.
- The case and lid must have closely controlled tolerances; only small dimensional variations are allowed from one part to another.
- Energy consumption is high and costly, rendering this process economical only for sealing small packages.

Glass Sealing. Low-temperature-melting glass with an expansion coefficient closely matching that of the mating surfaces may be used for sealing ceramic or ceramic-metal packages. The glass, usually as a preform or paste, is applied between the surfaces to be bonded, held in a clamping fixture which also provides some pressure, then conveyorized through a

furnace. The glass melts and fuses with some of the glass of the ceramic or with oxide of the metal. The coefficient of thermal expansion of glass (about 5 ppm/C) is not as close to that of alumina ceramic as it is to Kovar, so some warpage can occur when sealing thin sections of alumina.

Glass is widely used for sealing small ceramic packages that house single devices. It has not found extensive use for sealing large, multichip, hybrid circuit packages because the high temperature required to melt the glass (400–500°C) can degrade devices, wire bonds, and epoxies within the package. Also the low tensile strength of glass and mismatches in expansion coefficients result in microcracks and loss of hermeticity.

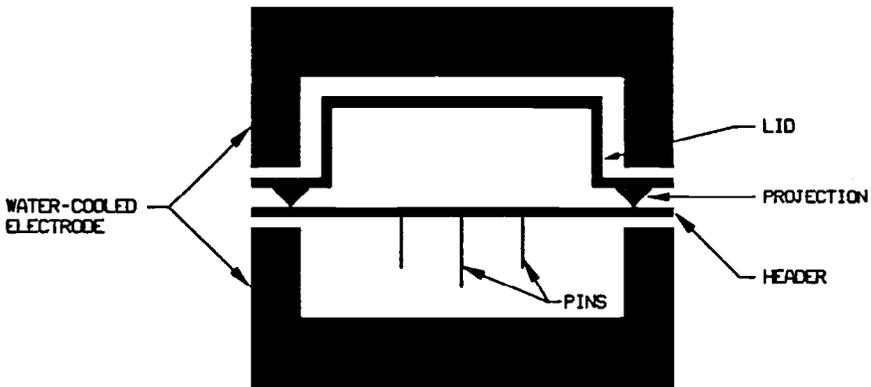


Figure 52. Diagram of projection welding.

Epoxy Sealing. The question is often raised as to whether epoxy adhesives can be used to seal metal or ceramic cavity packages and meet the hermeticity requirements of military specifications. Indeed, epoxies, both as adhesives and as encapsulants, are widely used for sealing devices and hybrid circuits, but these are for commercial and industrial applications where the strict leak rate and moisture requirements of MIL-STD-883 are not necessary. Though not hermetic in the sense of the military specifications, epoxy-sealed circuits have a long history of reliability. Epoxies may be dispensed automatically as liquids, applied by screen-printing, or used as B-staged preforms. In all cases epoxy sealing is attractive because of its low cost for both material and processing, low curing temperatures (less than 170°C), and ease of repair. Ceramic lids can be attached to ceramic packages with epoxy in a dry-box containing nitrogen, then cured in nitrogen-purged ovens. These packages, initially, may even have low leak rates of 1×10^{-8} atm-cc/

set or less; however, epoxies-even the best of them-as is true for all other organic polymeric materials, have finite permeabilities to moisture and air oxygen and in due time will allow moisture to enter and accumulate in the package. In one study, moisture sensors were enclosed in epoxy-sealed ceramic packages so that the penetration of moisture could be monitored dynamically while the packages were exposed to high humidity/temperature conditions (98% RH and 600C). Though the moisture content remained relatively low and constant for the first two to three days, there was an abrupt increase on the third day which then continued to increase linearly.¹⁷⁴¹ The latent period of 2-3 days may be explained as the time that was necessary for the water to saturate the epoxy, traverse the width and thickness of the bond line, and be released at the other end (Fig. 53).

Hermeticity and Leak Testing. Generally, metallurgically-sealed, glass-sealed metal, and ceramic packages are considered hermetic if they meet the minimum leak rates established in MIL-STD-883. Epoxy-sealed or plastic-encapsulated packages are non-hermetic because of the inherent permeability of polymers to moisture, air, and other gases and because of moisture penetration along the interface between the plastic and package leads. Non-hermetic packages are used primarily in commercial applications. Because of their lower cost, plastic-encapsulated devices such as epoxy transfer-molded integrated circuits far outnumber the hermetically-sealed packages.

Hermeticity testing involves measuring the leak rate of a sealed package that has been pressurized ("bombed") with helium. After removal from the pressure container, a mass spectrometer is used to detect and measure the helium that has penetrated the package. This is referred to as fine leak testing. Fine leak testing is normally followed by gross leak testing in which the sealed package is immersed in a heated, inert fluid such as a fluorocarbon fluid. As the package is heated the internal gases expand, escape from the package into the fluid, and are visible as a stream of bubbles. To increase the sensitivity of this test, the packages may first be immersed and pressurized in a low-boiling fluorocarbon for long periods of time (up to 16 hrs). Once in the package, the low-boiling liquid vaporizes when immersed in the heated higher boiling fluorocarbon and creates a high internal gas pressure. Leak rate sensitivity is thus increased and leaks as low as 1×10^{-11} atm-cc/set can be measured. Leakages can occur at the lid-to-package interface or at the glass-to-metal seals where the external leads emanate from the package.

An alternate leak test utilizes the radioactive isotope of krypton (Krs5). The sealed packages are pressurized for 1045 minutes with nitrogen gas

containing about 0.01% of Kr^{85} , allowing the mixture to enter the package. The parts are then removed and scanned for radioactivity.^[75] The radio tracer method has some distinct advantages over the helium mass spectrometer method in the shorter times and lower pressures needed for the gas to penetrate the package, but may be outweighed by the licensing and precautions involved in handling radioactive gases. Leak rate test procedures and requirements for both mass spectrometric and radioisotope methods are described in MIL-STD-883, Method 1014.

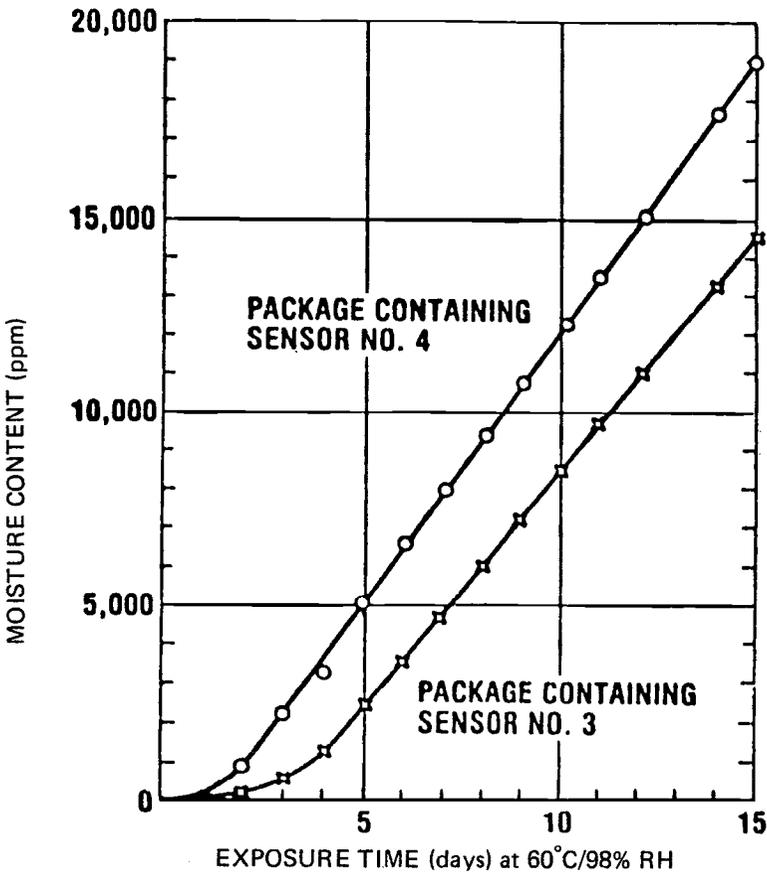


Figure 53. Moisture permeation for epoxy-sealed ceramic package.^[74]

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8

Testing

The ultimate requirement for a hybrid microcircuit is its reliable electrical functioning, thus, all tests that are performed, whether during processing or after assembly and sealing, are for the sole purpose of assuring that the intended life-expectancy and electrical performance of the circuit are met. The number, types, and frequency of tests to be performed should be established at the beginning of a program. Sometimes these are dictated by the customer while at other times the manufacturer must decide how much testing commensurate with cost and reliability is necessary. Certainly a commercial, low-cost hybrid does not require as much testing as a military, space, or medical product. A hybrid used in a toy, game, or personal computer may only require a short low-temperature bum-in/electrical test compared to a hybrid circuit used in a missile application where over 13 screen-tests in addition to extended high-temperature bum-in and numerous in-process control tests are performed. Thus, a large portion of the cost of these hybrids (often over 50%) may be due to testing and its associated traceability and documentation requirements.

Testing may be categorized as: *Electrical* (die level and hybrid level), *Visual Inspection* (internal and external visual), and *Thermal/Mechanical Screen-testing*. Furthermore, testing may be nondestructive, where it may be performed on a 100% basis, or destructive, where it is performed on a small sampling basis for qualification or quality conformance.

1.0 ELECTRICAL TESTING

1.1 Electrical Testing of Die

Pretesting of semiconductor die (ICs, transistors, and diodes) is essential in producing hybrid circuits with initial high yields. Defective die should be identified and replaced as early in hybrid assembly as possible. The further downstream that a die failure occurs (in a hybrid, a subsystem, a system, or, worse, after delivery to a customer), the more difficult and expensive it will be to isolate and repair. However, if the die can be electrically tested prior to assembly and marginal or failed ones removed, the first-time yield of the hybrid increases and there is less probability of failures later on. The yield of a hybrid, as a function of initial device yield, and the number of devices in the hybrid, is shown in Fig. 1. For example, a hybrid containing 20 devices, each of which has a 90-percent yield, theoretically results in a 12.2 percent overall first-time yield. However, if the devices are pretested their yield increases to 97.5 percent, resulting in a first-time hybrid yield of 60.3 percent. Calculations for initial yields and first-rework yield are given below.

Initial Hybrid Yield (F_i). Assume 20 devices (M) each having 10% probability of failure (P):

$$F_i = (1 - P)^M$$

$$F_i = (1 - 0.1)^{20} = 0.9^{20} = 12.2\%$$

First Rework Yield (F_r)

$$F_r = (1 - F_i)(1 - P)^{M_i}$$

where M_i is the average number of failures per rework

$$M_i = (A_i \times P) / (1 - F_i)$$

$$1 - F_i = 1 - 0.122 = 0.878$$

$$A_i = 20(0.1) / 0.878 = 2.28 \text{ average rework failures}$$

Therefore:

$$F_r = 0.878 (1 - 0.1)^{2.28} = 69\%$$

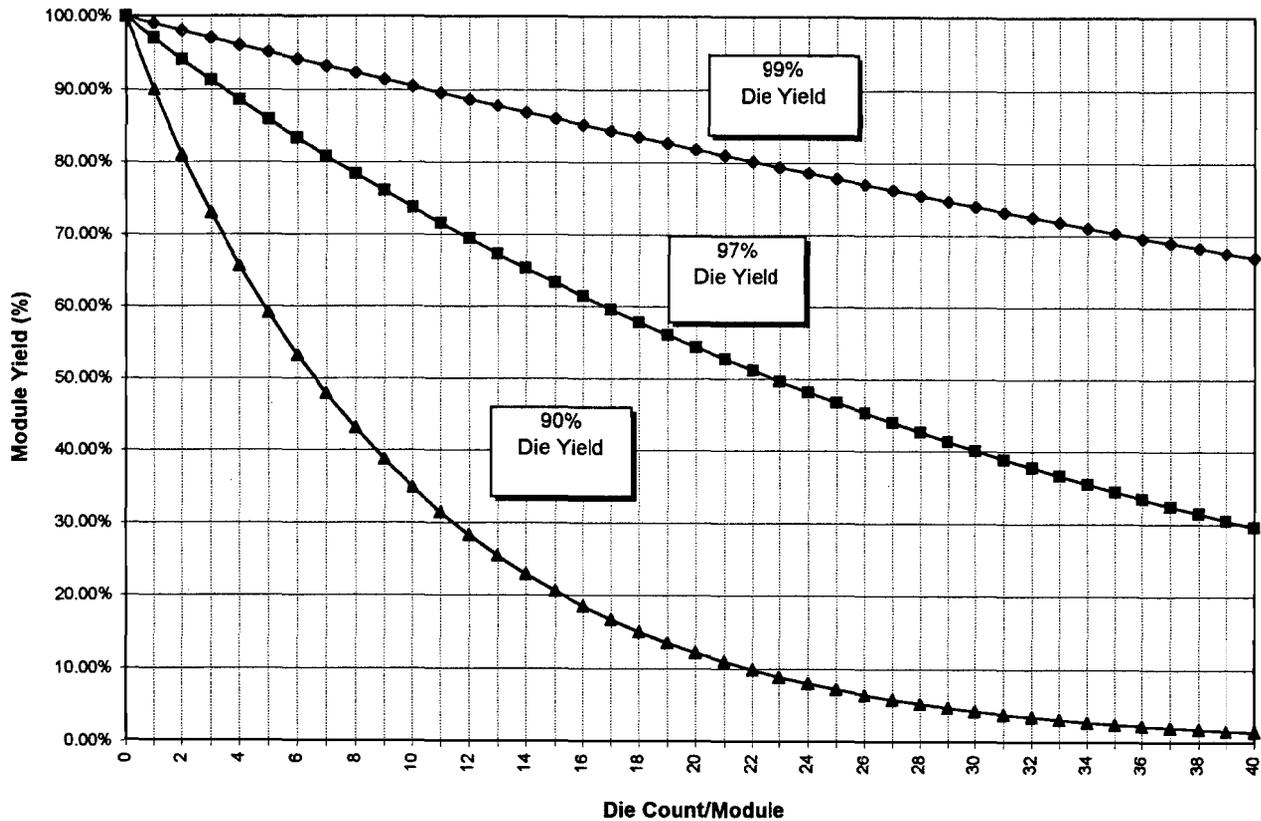


Figure 1. Hybrid yield as a function of device density and device yield.

Full functional testing and screening of chip devices are not often performed and may not always be possible. Devices mounted in chip carriers or on tape (TAB) are becoming more popular because they can be electrically tested and even burned-in prior to assembly. If the hybrid is very dense, chip carriers are not the answer due to the increased area that they require. However, if the devices are very complex, such as VLSI or VHSIC, it may not be economically feasible to use untested die in the hybrid. In such cases the die must be assembled in a carrier so that they can be pretested and screened.

Testing of integrated circuits varies with the specific device type; however, all electrical tests fall into three categories: DC parametrics, pulse testing, and function testing.

DC parametric tests are used to measure the worst-case DC parameters by forcing a voltage and measuring the current or forcing a current and measuring a voltage. These tests provide data on device electrical characteristics such as DC current, voltage breakdown, drive capability, leakage current, and power consumption.

Pulse testing provides data on the switching characteristics of a device. A pulse with a controllable rise and fall time is applied to the device and the rise, fall, and propagation times are measured.

Functional tests verify that the many internal transistors or gates within an integrated circuit are operating correctly. This is done by supplying an input signal and observing the output. These tests can also be run at worst-case voltage levels. In analog devices the input and output characteristics are checked. Memory devices are checked for pattern sensitivity to assure that data can be stored and retrieved from the memory cells. For logic or digital devices, functional tests ensure that all the internal switches are operating correctly.

DC parametric tests are the most common tests performed at the die level. The pulse tests are the most costly because of the difficulty in inputting a controlled pulse through a set of probes to the uncased die. Functional testing is likewise difficult and expensive.

In summary, some testing of uncased die prior to assembly in a hybrid is cost-effective. Electrical testing of the die improves first-time-through yield, reduces rework and manufacturing costs, and improves the reliability of the hybrid. If standard untested/unscreened devices are used, one can expect a two percent failure rate within one year.¹¹ With device testing and screening, this rate can be reduced to 0.01 percent.

1.2 Electrical Testing of Hybrids

The trend in hybrids has been toward denser, more complex, and unique custom circuits. Each custom hybrid or hybrid type has a unique set of electrical parameters associated with it. Though many custom hybrids are fabricated and assembled on the same basic equipment, using the same materials and processes, each is unique in the electrical parameters to be measured.

There are four main types of equipment, ranging from manual to automatic, that are used to electrically test hybrids.

1. A small, custom test-box that interfaces to a rack of standard test equipment.
2. A custom-built test-box with built-in signal sources and power supplies that provide “go/no-go” test data.
3. A test-card that plugs into a matrix switch and interfaces with a microprocessor that addresses a test.
4. Automated computer-controlled test equipment.

For hybrids produced in small to moderate quantities, the test-box approach is the most cost effective. The average cost of building a custom test-box is about \$1,000. The box contains the loads and input-shaping components and is connected to a rack of equipment consisting of power supplies, signal generators, digital voltmeters, and oscilloscopes. The operator inputs the required signal to the unit under test and observes the output. The box contains numerous switches, allowing the operator to select the required loads. Hybrid circuits may be tested at high, low, and ambient temperatures. The main drawback of this test equipment is that it is slow. Since it is manual, an average circuit may require one hour to test at each temperature.

The second approach is slightly more expensive because of the added components that are installed in the box; however, it is faster since it is designed to run a test sequence on its own. A key limitation is that it cannot be used to troubleshoot a failed hybrid. It only provides a “pass or fail” indication and does not indicate what specific electrical parameter failed.

The third approach employs a universal test-box that interfaces to a rack of test equipment. It utilizes a test-card upon which the hybrid circuit is mounted and a matrix-switch that interfaces the pins of the hybrid with corresponding pins of the universal test adapter.

The last approach, automated testing, is the most cost effective when a large production rate is involved. A hybrid that takes an hour to test manually, may be tested in a matter of seconds on an automated tester, however, there is a higher initial investment. An automated tester may cost a million dollars or more, in addition to the cost of programming, which may exceed \$20,000. A trade-off must therefore be made between the time and cost savings of testing and the initial investment in equipment and programming.

Until recently, the manufacturers of automatic test equipment had not addressed the testing of hybrid circuits or multichip modules directly, but their automated semiconductor testers were extensively used to test both single and multiple chip devices.

Popular automated testers of the 1980s were the Tektronix 3260 and 3270 testers. Figure 2 is a photograph of the Tektronix 3260 system, widely used to test military hybrids. Most automated testers are equipped with a temperature handler so that testing can be performed at various temperatures.



Figure 2. Automated electrical test equipment—Tektronix 3260. (Courtesy of Rockwell International.)

The main features of the Tektronix 3260 tester include:

- . 64 pin I/O capability
- † 20 MHz burst rate for functional testing
- . High speed pattern generator
- . DC parametric measuring system
- † Delta time measuring system
- † Multi-terminals, disk drives, hard copy units, and printers
- † Remote test head to interface with handler
- † 8 programmable power supplies and 7 programmable clocks

Digital voltmeters and signal generators may be added, to perform analog testing.

With the popularity of multichip modules, test equipment manufacturers are now providing better automated test capability. Hewlett Packard and IMS (Integrated Measurement Systems, Inc.), for example, have developed test stations that perform structural testing (boundary scan), at-speed functional testing, and accurate fault diagnosis. These testers can handle high pin counts (up to 1024 pins) with a data rate of up to 200 MHz. The HP8300 system can handle data rates even higher than 200 MHz. See Chapter 13 for more information on testing present day MCMs.

Generally the hybrid circuits or MCMs are interfaced to the tester by a so-called “pizza” board (Fig. 3). These boards are circular-shaped, printed circuit cards that interface the input/output leads to the electronics of the tester. They are also used to apply output loads to the device under test. Newer testers utilize rectangular boards interfacing to either circular or rectangular arrays of test system driver boards.

Electrical test equipment may be divided into three groups based on the type of hybrid to be tested: memory, analog, or digital. As test equipment evolves, however, the dividing lines among groups are getting harder to identify.

Memory Circuit Testers—Because of the high density of devices in a memory hybrid or MCM, automatic testing is necessary. Often the most efficient test method is to test the memory as a separate subsection on a dedicated memory tester. The tester must be capable of supplying many address lines, clocks, data lines, and power supply lines. If, for example, a MCM contains 128k words by 32 bit wide SRAM memory, the tester requires 17 address lines, 32 bidirectional data lines, 3 to 10 clock drivers,

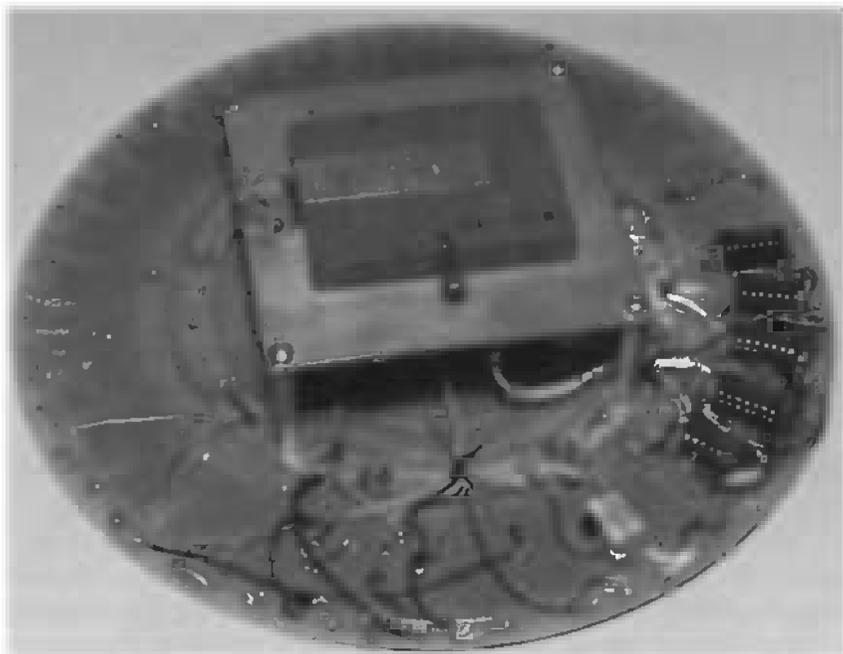


Figure 3. Interface board for Tektronix tester.

and at least one power supply line. Because of the mixture of 8, 16, 32, and now 64 bit microprocessors on the market, the memory requirements are diverse. The test equipment must be very versatile to adapt to the diversity of the memory requirements. An ideal memory test system should have a reconfigurable interface, address generator, clock generator, and as many flexible features as possible. An example of this type of tester is the Mega Test Genesis II tester (Fig. 4).

Analog and Digital Testers—Most analog hybrids are still tested with manual test equipment or dedicated custom test fixtures. Since hybrids are often partitioned for the convenience of the system designer, they may consist of combinations of digital, analog, power, and microwave functions. This makes the design and manufacture of an automated system even more complicated. Test system manufacturers such as Digilog are beginning to make significant inroads into this test market, however.

Functional testing of a hybrid or MCM may be performed at various stages during or after assembly. Typical test points may be as each functional

section of the module is completed, at preseal, preburn-in, post burn-in, and final acceptance. Complex and high density hybrids and MCM's may be broken down into subsections which are tested and burned-in before being integrated together. With these high density hybrids, open-face burn-in and electrical testing before sealing have been found to be cost-effective in screening out and reworking marginal devices.



Figure 4. Genesis II high speed module tester. (Courtesy Megatest Corp. and Elmo Semiconductor Corp.)

Preseal functional testing is normally performed at ambient temperature, but in some cases, where the hybrid must meet a stringent high temperature requirement, the hybrid may be tested at that temperature. Hybrids are seldom tested at low temperatures in an unsealed condition because of the risk of moisture condensation with its potential for corrosion. To prevent frost and moisture condensation on unsealed hybrids, the dew point of the air immediately surrounding the part must be lower than the temperature at which testing is performed. To accomplish this, the part is

tested in a dry-box using a temperature-controlled chuck—a “hot plate” on which the hybrid is mounted. Low or high temperature testing can also be accomplished by placing a shroud over the hybrid and forcing cold or hot air or nitrogen gas into the shroud (Fig. 5). The temperature of the gas is regulated by monitoring the temperature of the hybrid with a thermocouple

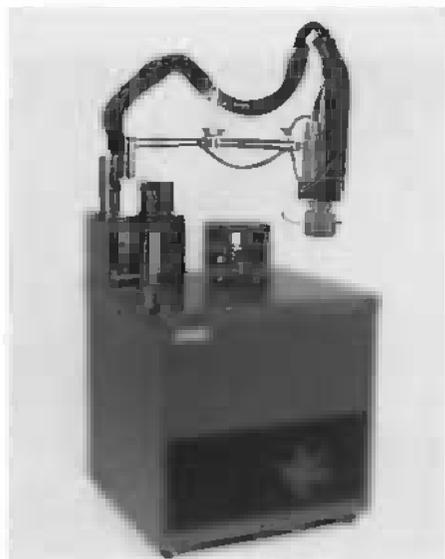


Figure 5. Air-jet temperature system. (Courtesy FTS Systems.)

Preburn-in testing is required only if Percent Defective Allowable (PDA) or Parameter Drift Screening (PDS) are specified. PDA is a requirement that a burn-in lot shall be acceptable if greater than 90 percent pass functional testing after burn-in (for Class H) and 98 percent pass (for Class K). PDA is calculated as the number of failures divided by the total number of hybrids that are in a burn-in lot. If the PDA is exceeded, the entire lot should be rejected.

Parameter Drift Screening (PDS) is another requirement often imposed on Class K hybrids. For some hybrids, specific electrical parameters are critical to their operation. These parameters must be measured before and after burn-in to calculate changes. If the parameter drifts exceed specification requirements, the hybrid is rejected.

2.0 VISUAL INSPECTION

Prior to sealing a hybrid microcircuit, visual inspection should be performed. This inspection is done with the aid of optical equipment that has the capability of 30x to 100x magnification. The purpose of this screen is to check the internal materials, construction, and workmanship of the hybrid microcircuit for compliance with requirements. Inspection is performed according to MIL-STD-883 Method 20 17 and involves the following:

1. Active Chip Devices-integrated circuits, transistors, diodes.
2. Passive Chip Components-capacitors, resistors, inductors.
3. Substrate Defects-substrate, metallization, alignment, resistors, resistor trimming.
4. Element Assembly to the Substrate-solder or epoxy attachment, element orientation.
5. Substrate Attachment to the Case-solder or epoxy attachment, substrate orientation.
6. Wire Bond-Ball bonds, wedge bonds, crescent bonds, beam lead bonds, mesh bonds, ribbon bonds.
7. Internal Wire Bonds (General)-wires and beams.
8. Through-hole mounting and screw tabs
9. Connector and Feedthroughs.
10. Package Conditions-foreign material, stains.

After successful completion of the inspection, the hybrid is sealed

3.0 NONDESTRUCTIVE SCREEN TESTS

Nondestructive screen tests consist of a series of mechanical and thermal tests that are imposed on hybrids on a 100 percent basis. The screens listed in Table 1 are considered nondestructive.

3.1 Thermal/Mechanical Tests

In addition to electrical testing, hybrids are subjected to varying degrees of mechanical and thermal screen tests. All military hybrids must be

subjected to the testing specified in MIL-STD-883. Chapter 11 details the military specification requirements while this chapter details the reasons that the tests are required. These screening tests, developed and modified over many years, are an effective means of testing the integrity of the die and substrate adhesion and of “weeding out” marginal die that may fail during the life of the product. Table 1 is a matrix of the screen tests versus the defect that can be identified by each test. It should be noted that it is not necessary to perform both mechanical shock and constant acceleration, but that one or the other must be performed. Table 2 provides a more detailed description of the screen tests.

Table 1. Screening Versus Defects Identified

Screen	Failure mechanism										
	Wire bonds	Die bonds	Loose particles	Package defects	Package seal	Thermal mismatch	Process induced	Surface effects	Dielectric failure	Metalization	External leads
Precap visual	x	x	x	x			x			x	
Stabilization bake	x	x					x	x			
Thermal cycle	x	x		x	x	x		x			
Thermal shock	x	x		x	x	x					
Centrifuge	x	x	x	x							
Mechanical shock	x	x	x	x							
Vibration	x	x	x	x							
Leak test	x				x						
Power burn-in	x							x	x	x	x
Reverse bias Burn-in (High voltage)	x										
X-ray	x	x	x	x							
External visual	x			x							

Table 2. Screen Tests and Detectable Failures

Test	Description	Failure Mechanism Exposed
<p>1 INTERNAL VISUAL (Precap Visual)</p> <p>SEM INSPECTION</p>	<p>A visual inspection before the circuit is encapsulated employing high-power and low-power microscopes. Examines the package, package leads, die bonding, wire bonding, and the topology of the chip.</p> <p>Scanning electron microscope examination is performed on a sampling basis only.</p>	<p>Defects in the diffusion, oxide, and metalization. Some of the most common faults found are scratched metalization that may open at a later date, contamination (this may induce instabilities) bonding problems that may result in opens and shorts, cracks or chips at the edge of the die, pinholes or diffusion defects caused by dirty or scratched photomasks.</p>
<p>2 STABILIZATION BAKE</p>	<p>24 hours at 150°C. Determines the effects of elevated temperature when no electrical stress is applied.</p>	<p>A preconditioning treatment prior to conducting other tests. Improves some characteristics and degrades others as it redistributes ionic contamination.</p>
<p>3 THERMAL SHOCK</p>	<p>(15 Cycles: 100°C, 5 min., 0°C, 5 min, with 10 seconds of transfer time) Determines the ability of the device to withstand sudden exposures to temperature extremes.</p>	<p>Wire bonds that are either mechanically poor or may become intermittent in plastic encapsulated circuits because of the large thermal temperature coefficient of the plastic encapsulation. Cracked silicon die, improperly made die bonds, improper lid seals, defective packages, microcracks in the metalization.</p>
<p>4 TEMPERATURE CYCLING</p>	<p>(65°C, 10 min., +159°C, 10 min, with 5 mins. transfer time, 10 cycles) Determines the ability of the device to survive exposures at extremes of high and low temperatures and to the effect of alternate exposures at these extremes.</p>	<p>Cracking and crazing of the glassivation, opening of thermal seals and case seams, changes in electrical characteristics due to stresses in the substrate, or rupture of the conductors or insulating materials.</p>
<p>5 MECHANICAL SHOCK</p>	<p>(One of 30,000g's shock of 0.12 millisecond duration in the Y2 plane, or five 1,500g's shock of 0.5 milliseconds in the Y2 plane) Determines the capability of the parts to withstand rough handling encountered in shipment or in field operation.</p>	<p>Detects mechanical weaknesses in wire bonds, die bonds, and package seals. Jars loose mobile contaminant particles in hermetic packages which can then be detected by subsequent electrical testing, X-rays, and/or hermetic seal tests.</p>
<p>6 CONSTANT ACCELERATION</p>	<p>(30,000g's for 1 min. in each of X and Y planes) This test is made to fine mechanical weaknesses that are not already uncovered by the shock and temperature cycling tests.</p>	<p>Weak wire bonds, improperly dressed leads, weak die bonds, cracked die and improper lid seals in hermetic packages. (ineffective on plastic encapsulated circuits and is the last of the mechanical stresses applied to the circuit.)</p>
<p>7 LEAK TESTS</p>	<p>Detects leaks ranging from 5×10^{-8} atm cc/sec to gross leaks. Both a fine leak and gross leak test is required. Determines the effectiveness of the seal of cavity devices.</p>	<p>Weeds out defective seals which may become latent failures when exposed to moisture or gaseous contaminants.</p>
<p>8 INTERIM (PRE BURN-IN) ELECTRICAL PARAMETERS</p>	<p>Device dependent.</p>	<p>Uncovers electrical failures which have been caused by mechanical and thermal stresses that have been applied in the previous tests.</p>
<p>9 BURN-IN TEST</p>	<p>(168 hours at 125°C) The burn-in screen eliminates marginal devices that would probably result in infant mortality or early lifetime failures under use conditions.</p>	<p>Detects metalization defects such as intermittent shorts or opens caused by pin-holes in the passivation layer beneath the metalization and corrosion or contamination. Near opens caused by scratches or voids will tend to become permanent opens. Locates circuits which have crystal dislocation, diffusion anomalies, contamination in or on the oxide, improper doping levels, and cracked die.</p>
<p>10 INTERIM (POST BURN-IN) ELECTRICAL PARAMETERS</p>	<p>These electrical tests are made after the burn-in test to determine the number of devices that failed. The result will give an indication of the quality of the entire lot and may serve as a basis for rejection of the lot, if more than the prescribed number of devices fail.</p>	
<p>11 REVERSE BIAS BURN-IN (75 hour power life test at 150°C)</p>	<p>Similar to Test 9, except the temperature is higher and the time is shorter. The electrical stress may also be slightly different.</p>	<p>If further failures can be found, indicates that further burn-in should be performed or the lot should be rejected.</p>
<p>12 FINAL ELECTRICAL TEST</p>	<p>Static and dynamic tests on 100% of the circuits.</p>	<p>Ensures that all the circuits perform the function intended over the voltage and temperature range specified.</p>
<p>13 RADIOGRAPHIC</p>	<p>The circuits are X-rayed.</p>	<p>Detects internal defects such as loose extraneous wire, gold slag, weld residues, improperly dressed wire bonds and voids in the die attach material or in the glass, when glass seals are used. Very effective in most hermetic packages to detect extraneous particles described above, as well as other defects missed by visual examination.</p>

3.2 Burn-in

Burn-in consists of applying power to a circuit while maintaining an elevated temperature for an extended period of time (160 hours for Class H and 320 hours for Class K). Raising the temperature and applying power stresses critical connections and components and accelerates the life cycle of the hybrid. If there are any weak connections or ionic contaminants the device will fail. If the hybrid does not fail, it is considered acceptable and will remain operational until attrition or normal failure modes occur.

Burn-in is a critical step in the screening of hybrids since it establishes electrical and thermal conditions that approximate actual operation in a compressed time frame. As noted in Chapter 12, components, especially integrated circuits, have a high rate of infant mortality. Thus, if a device is prone to failure it will fail within the first several months of operation. Burn-in accelerates this time. A burn-in of 160 hours at 125°C is equivalent to a full year of operation at ambient temperature. Semiconductor devices are prone to many types of failures, one of which is ion migration, which generally occurs in or on the passivation layer or between metal conductors. Chloride or sodium ions are the two prevalent forms of ionic contamination. Positively charged sodium ions under temperature and bias conditions readily migrate to N-doped regions causing high leakage current and even shorts. Chloride ions tend to migrate to the P-doped material and may cause emitter-to-collector shorts in npn transistors. These defects may not be discernible for many months, but the combination of high temperature and power that is provided by burn-in accelerates ionic migration without affecting the normal failure rate or wear-out rate. Wear-out is associated with metal migration, long-term threshold drift, and corrosion.¹¹

The Arrhenius equation governs the reaction failure rate of an electronic device:

$$F = Ae^{(-E_a/kT)}$$

where:

F = failure rate

E_a = activation energy (varies from 0.3 to 2.3 eV; if it is not known, MIL-STD-883 allows the use of $E_a = 1.0$ eV)

k = Boltzmann's constant (8.63×10^{-5} eV/K)

T = junction temperature in degrees Kelvin
(degrees C + 273 = degrees K)

To compare the failure rate in normal operation (F_1) to the rate after burn-in (F_2), the equation may be modified as follows.

$$\frac{F_1}{F_2} = \frac{Ae^{mE_1kT_1}}{Ae^{mE_2kT_2}}$$

$$\frac{F_1}{F_2} = e^{-mE_1kT_1 + mE_2kT_2}$$

For example, a hybrid that is burned-in for 168 hours at a junction temperature of 125°C corresponds to 1.1 years (9,636 hours) of operation at 50°C. This calculation is based on $E_j = 0.6$ ev. A small change in the junction temperature produces a drastic change in the failure rate. For instance, if, in the above example, the part is burned-in at a junction temperature of 135°C for 168 hours, the equivalent operating time would be 1.7 years (14,892 hours). Figure 6 is a curve of a junction temperature versus equivalent hours at 50°C. Since junction temperature is critical to the failure rate, it must be precisely controlled during burn-in.

There are various ways that burn-in can be accomplished:

1. In an oven with heated ambient air or nitrogen circulating around the hybrids.
2. In a chamber filled with a liquid fluid that is heated and circulated over the hybrids.
3. On individual boards with heaters that burn-in one hybrid at a time.

Air Ambient Burn-in. In order to control the junction temperature in an air ambient burn-in oven, a temperature profile of each hybrid type is performed, then the oven temperature adjusted or heat sinks added to the hybrids. If a hybrid generates minimal heat under power, this system is effective. However, if the hybrid generates an excessive amount of heat, burn-in in a liquid medium should be considered. The reasons for this are discussed in the next section. Figure 7 provides an example of an air burn-in system.

Liquid Burn-in. The liquid burn-in system consists of a chamber filled with an inert dielectric fluid that may be heated or cooled to maintain the required temperature (Fig. 8). The fluid circulates over the hybrids, thus heating or removing heat as required. The fluids used must be chemically and electrically stable at the temperatures used and are generally fluorocarbons. A liquid burn-in system is ideal when power devices are being burned-in because the temperature stabilizes and equilibrates better in liquid than in air.

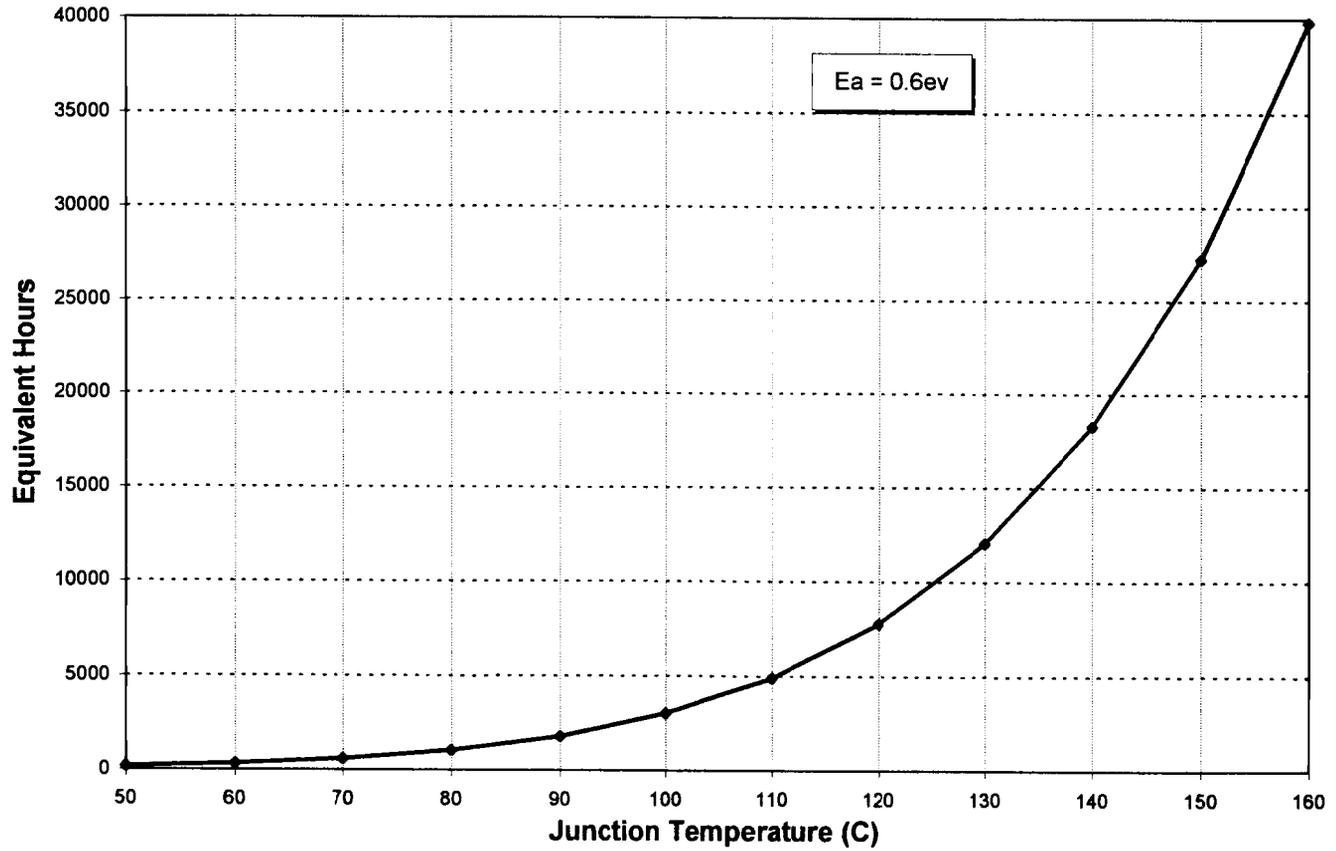


Figure 6. Hours at 50°C equivalent to 168 hours burn-in at various junction temperatures.



Figure 7. Air ambient burn-in system. (Courtesy Aehr.)

a



b

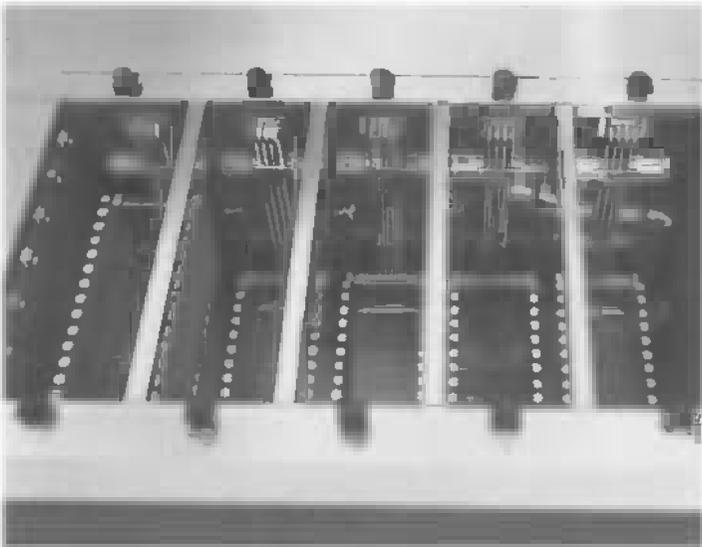


Figure 8. Liquid burn-in system; (a) console (b) internal chambers. (Courtesy FTS Systems, Inc.)

Air vs. Liquid Burn-in. Using air-circulating ovens to burn-in power devices creates several problems:

- The need for heat sinks
- Potential hot spots
- Thermal runaway

Air systems have a poor heat transfer from the hybrids and poor temperature stability throughout the oven. Most ovens can only maintain a 3°C variation across the oven. Heat transfer is impeded by the air’s low specific heat (0.24 Btu/lb) and low density (0.66 lb/cubic foot). Thus, efficient heat transfer can only be effected by flowing a large mass of air over the hybrids. The equation for the device case-to-ambient thermal resistance (r?,,) in air is?‘1

$$r_{cA} = \frac{330(qLAy8)}{Jyo471}$$

where: 4 = power generated (watts)
 A = surface area of the hybrid (square inches)
 V = velocity of air (fbmin)

If thermal resistance is high and the hybrid generates a large amount of heat, the temperature of the hybrid increases and may go into thermal runaway. The threshold at which air fails to remove heat effectively lies between 1 and 2 watts/sq inch.13j

The device-to-ambient thermal resistance for a liquid system is:

$$r_{cA} = \frac{10.4}{-[-,-a.173)$,0.724)}$$

The thermal resistances of a hybrid cooled in air and in liquid were calculated using these equations. For a hybrid that dissipates 10 watts per sq inch (at an ambient temperature of 125°C), the case-to-ambient thermal resistance is 27WW (I’= 250 fl/min) for air-cooling and 0.3WW (V= 70 ft/min) for liquid-cooling.

Generally, liquid burn-in should be considered if the junction temperature of the device is expected to increase 50°C or more above the ambient temperature.

3.3 Particle-Impact-Noise Detection (PIND) Testing

Conductive particles trapped in electronic packages have long concerned manufacturers of high-reliability components. There is even greater concern for hybrids because they are more complex; involve more materials, processes, and devices in construction than single devices; and have a higher incidence of loose particles. Hybrid circuits are also more expensive than single electronic components, which leads to more concern for yields.¹⁴¹ There are four ways that the problem of loose particles can be addressed:

1. Reducing or eliminating particles during processing.
2. Protecting the hybrid from loose particles by coating with Parylene or other organic coatings (see Chapter 7).
3. Using particle getters.
4. PIND screen testing to detect loose particles.

No matter how many times a circuit is cleaned or how clean the area in which it is assembled, there is no guarantee that it will be particle-free. Thus, the first method has never been considered absolutely effective, although with repeated and effective cleaning and handling procedures it can approach 100% yield. Coating effectively immobilizes or traps particles so that they cannot move and cause shorts; however, the extra steps involved in masking, coating, and reworking a hybrid that has been coated are difficult and expensive. Particlegetters consisting of soft silicon gels are sometimes used and reported to be effective. As with the organic coatings, there is the expense of the extra steps involved and the concern of added outgassing from the silicone.

PIND testing is the test most widely used. It is effective for detecting loose particles in hybrids and can be used as a 100% screen test. PIND testing involves mounting the hybrid on a transducer with an acoustic coupling material and shocking and vibrating the hybrid while the transducer “listens” for loose particles within the unit under test.

A PIND tester (Fig. 9) consists of a shaker, an oscilloscope for visual monitoring, a programmable control unit, and a speaker for audio monitoring.



Figure 9. PIND test station. (Courtesy Rockwell International.)

Method 2020 of MIL-STD-883 defines the conditions for PIND testing as follows

1. Preshock at 500–1500 g's.
2. Vibrate for 3–5 seconds at 20 g's, 40–200 Hz.
3. 200–600 g's during vibration.
4. Repeat steps 2 and 3 twice more.
5. Vibrate at 20 g's, 40–200 Hz for 5 seconds.

This sequence is repeated in five independent passes and failures in each pass rejected. To be acceptable, hybrids must survive all the tests.

3.4 Infrared (IR) Imaging

Over the past several years, infrared imaging has gained prominence as a nondestructive method for evaluating semiconductor die and hybrid circuits. Thermal imagers detect and measure infrared energy then convert it to usable temperature information. A hybrid microcircuit can be scanned

with infrared equipment to evaluate the integrity of die and substrate attachment and wire bonds and to produce a thermal profile of the circuit while powered. This thermal profile helps design engineers in locating hot spots and repartitioning the circuit to improve thermal dissipation.

The applications of IR imaging in hybrid processing fall into two categories: IR microscopy and thermography. Figure 10 depicts the application of IR imaging for these two categories.^[5]

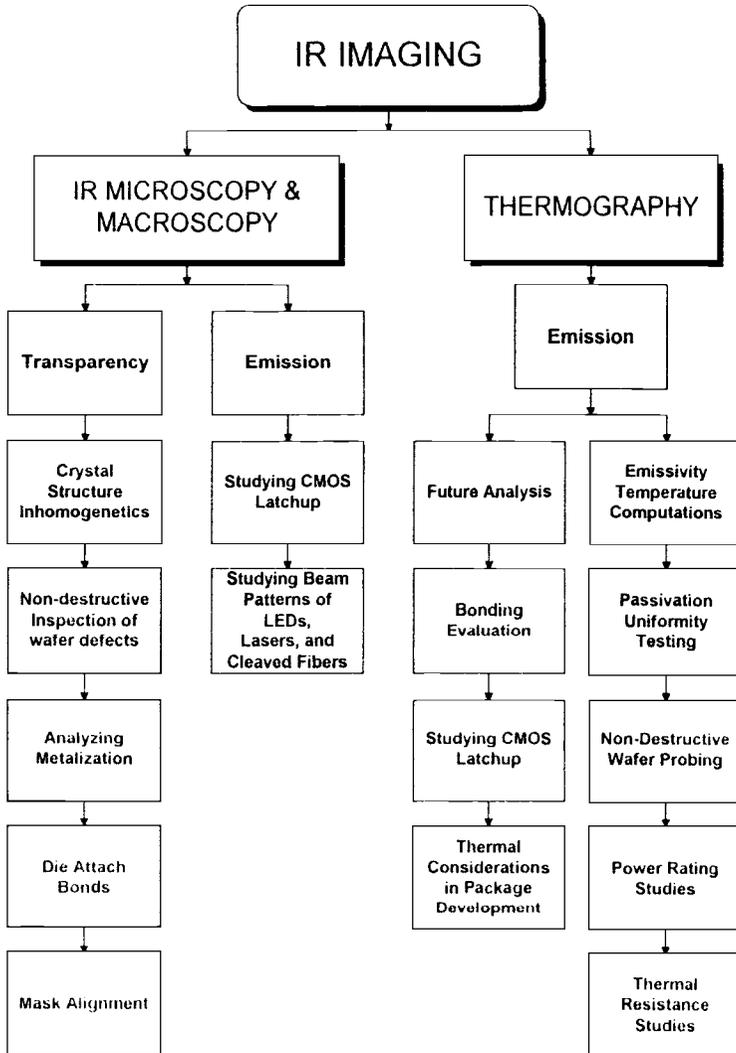


Figure 10. The application of IR imaging in semiconductor manufacturing is divided into two distinct categories.

IR Microscopy. IR microscopy is similar to optical (visible light) microscopy since the instrument that is used is a microscope, however, the image is seen via the detection of IR energy. This energy, operating at wavelengths of 0.7–2 micrometers, is transmitted through or reflected back from the material. Although the IR microscope looks like an optical microscope, it differs in that it includes an IR-sensitive detector. These detectors can be either in the form of an eyepiece (Fig. 11) or a TV camera with an IR sensitive photoconductive layer of Si or PbS (Fig. 12).

An advantage of IR microscopy is that it does not require sample preparation as does x-ray radiography. Another advantage is that at the wavelength that IR microscopy operates many semiconductor materials are transparent, permitting inspection of the die-attach medium beneath the die.

This method of scanning is mainly used for the in-process inspection of wafers. Non-uniformity of doping in semiconductors and the crystalline structure of the silicon wafer can be viewed.

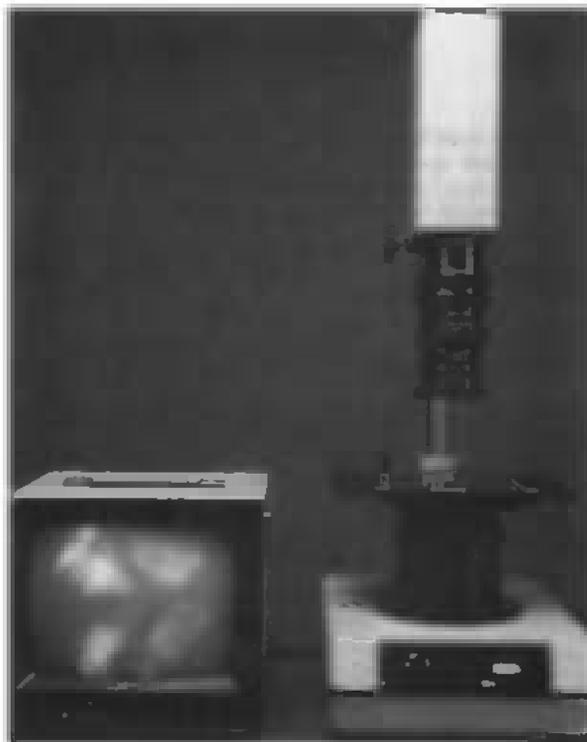
IR Thermography. IR thermography is more useful than IR microscopy in the design and testing of hybrid microcircuits. IR thermography provides a color temperature profile of the circuit while the circuit is under power. The scan can be compared with the scan of a failed hybrid to isolate a faulty device or design. In the initial design stage, thermal profiles may be used to position and arrange devices so that heat can be more evenly distributed and dissipated. Revised layouts can be evaluated and design changes incorporated before hybrids are committed to production.



Figure 11. The infrared viewer, Model 7310 from Electrophysics, is an infrared to visible image converter that replaces a microscope eyepiece and provides a visual view extending out to a wavelength of 1.2 μm .



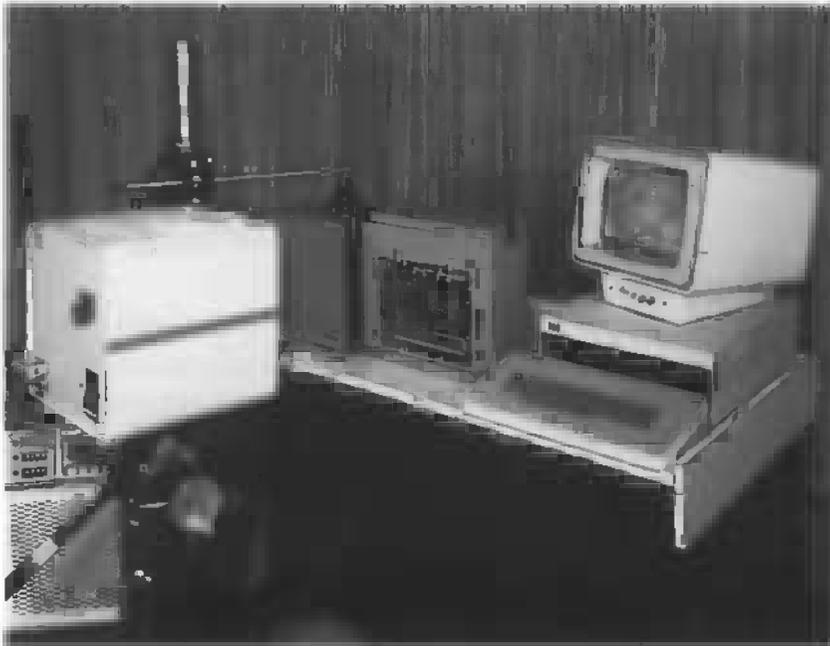
The Reichert-Jung Polyvar-Infrapol Infrared Research Microscope uses a lead sulfide (PbS) vidicon in its CCTV subsystem. The PbS vidicon is sensitive to IR energy up to a wavelength of 2.2 μm .



The Sira Macro PIM can be equipped with a silicon vidicon, with IR sensitivity up to 1.1 μm , or an IR (PbS) vidicon, with IR sensitivity up to 2.0 μm .

Figure 12. Infrared microscopes with TV-equipped cameras.^[5]

Thermography involves the generation of thermal images of emitted blackbody radiation from hybrids. Very few objects are black bodies and a correction factor (emissivity) must be applied to the blackbody equation to get a true temperature. With instrumentation presently available, the detected IR energy is displayed as a digital temperature-profile map of the circuit. This image is presented on the screen of a color monitor, with the various colors (up to 256) indicating temperature differences of 0.1°C . The true temperature of a circuit must take into account the emissivity of the sample. It is possible, with today's equipment, to correct for the differences of emissivity of the various elements in a hybrid. This is accomplished by scanning a nonoperating hybrid and storing the IR energy. This is stored in the equipment, and each pixel in the raster can be corrected when a scan of an operating hybrid is input, giving a true temperature picture of the hybrid. Figure 13 is a photo of a thermography system manufactured by UTI Instruments.



3.5 Acoustic Microscopy

Acoustic microscopy is a nondestructive test method that has become extremely valuable in the failure analysis of hybrid microcircuits, multichip modules, and hermetic and non-hermetic packages.^[6] The principle of acoustic microscopy is based on the transmission of sound signals through a part and detection of differences in their reflectances from various materials. The reflected sound is converted by a transducer to an electrical signal which is then processed to form a picture of the part on a monitor in a raster fashion. Typically, the part is immersed in a fluid such as deionized water or an alcohol and the signal is transmitted through this medium. The frequency of the transducer, which can be varied from 10 MHz to 100 MHz, is chosen for the type of sample and the type of inspection that is required. The lower frequency transducers are better for depth penetration where thick samples are tested, while the higher frequency transducers are more suited for image resolution and for very thin interfaces. Acoustic microscopy differs from thermal scanning in that the signal is not dependent on the dielectric properties of the material, but on their physical properties, such as density and elasticity.^[7]

Acoustic microscopy is most useful for detecting voids, delaminations, or cracks within a structure. Some specific applications where SAM has been used effectively are:

- Epoxy or solder die attach inspection for voids and completeness of coverage.

- Detection of voids in adhesive or solder attached substrates.

- Location of cracks in die or substrates or packages

- Delaminations in plastic encapsulated microcircuits due to solder reflow temperature exposures, temperature cycling, or thermal aging.

- Fractures in ceramic parts or interfaces with metals or glasses.

- Integrity of welded joints in hermetically sealed parts

- Integrity of ball grid array or flip chip solder interconnections.

- Detection of thickness variations in thin-film conductors.

Detailed discussions on the operation of the acoustic microscope may be found in the literature.^[8]

4.0 DESTRUCTIVE SCREEN TESTS

Chapter 11 lists the screens that are to be performed on a hybrid microcircuit. In this section a listing of the tests that are considered to be destructive, a brief description of destructive physical analysis (DPA), and a detailed description of moisture analysis (internal water vapor content) will be given. The following is a list of the tests that are considered to be destructive.

- Die Shear Strength
- Wire Bond Strength
- Lead Integrity
- Solderability
- Salt Atmosphere
- Moisture Resistance
- Destructive Physical Analysis
- Internal Water Vapor Content (Moisture Analysis)

4.1 Destructive Physical Analysis (DPA)

Destructive physical analysis is the process of disassembling, testing, and inspecting a hybrid for the purpose of determining conformance with applicable design and process requirements. The purpose of DPA is to verify external and internal physical configuration. While DPA procedures can be performed by the hybrid manufacturer, they are usually performed by the user.

The procedures to be followed are detailed in MIL-STD-883 Method 5009, which requires the following:

1. External visual
2. X-ray
3. Particle impact noise detection test (PIND)
4. Hermeticity
5. Internal water vapor analysis
6. Internal visual
7. Baseline configuration
8. Bond strength.
9. Scanning electron microscopy (SEM)
10. Die shear

4.2 Moisture and Gas Analysis of Package Ambients

The presence of water alone or with other gaseous or ionic contaminants in hermetically sealed hybrid microcircuits or integrated circuit packages is a continuing concern to manufacturers of high reliability systems. The interaction of water with ionic or gaseous residues has been reported to corrode device metallization and electrical interconnections, produce metal migration, induce electrical shorts, create high leakage currents, and produce inversion currents in semiconductor devices. Thus, Method 1018 of MIL-STD-883 was established to specify test methods and controls for moisture. In spite of the establishment of these requirements and test methods, variations in moisture contents continue to be found among packages of the same lot, assembled and processed in the same manner, by the same manufacturer. Epoxy adhesives used for substrate and die attachment are key contributors of moisture and other outgassing products, especially if inadequately cured or vacuum-baked. Some epoxy formulations are inherently heavy outgassers and not as thermally stable as others. During the past twenty years considerable progress has been made in understanding and characterizing epoxy adhesives for electronic applications (see Chapter 7). Currently, by following specific guidelines in the selection and qualification of adhesives and by optimizing the cure and vacuum-bake schedules, hybrid circuits that meet the Class K and H moisture requirements of less than 5,000 ppm can be produced.

Besides selecting a low-outgassing epoxy and employing optimum processing conditions, some hybrid circuits still show large amounts of water, together with oxygen and argon. Even circuits that have been assembled without organic adhesives sometimes show water, oxygen, and argon. This can only be attributed to the penetration of air into the packages after they have been sealed. The presence of moisture in hermetically sealed hybrid circuits has been correlated with leak rates and with MIL-STD-883 screen tests and bum-in. It has been reported that a relationship exists between the leak rate and moisture content of a package and that to consistently meet the MIL-STD-883 moisture requirement of 5,000 ppm moisture, a leak rate of $\sim 3.0 \times 10^{-9}$ atm-cc/set after screen tests and bum-in is required.¹ In another study it was found that some packages with very low leak rates before screen tests had high leak rates after screens, indicating that stresses imposed on the glass-to-metal seals or lid seal during the screens may have opened the seals.²

Industry has standardized on mass spectrometry as the best and most accurate method for the analysis of moisture and other gaseous constituents

contained in sealed hybrid circuit packages. Mass spectrometry is a destructive method and thus used only for initial qualification and subsequently, on a sampling basis, to monitor production lots. Nondestructive methods such as the incorporation of moisture sensors in packages would be ideal, but, to date, none of these methods fully satisfies the requirements of Method 1018 of MIL-STD-883. The equipment most widely used for moisture analysis is a computer-controlled quadrupole mass spectrometer. In one version, the equipment contains a carousel holder to vacuum-bake a number of packages at the same time and a tool to pierce each package sequentially. The packages are first baked at 100°C at a chamber pressure in the low 10^{-8} Torr range for an extended time, usually 12 hours or more. This assures that all volatiles from the outside of the package have been removed and that all adsorbed/absorbed moisture within the package has been outgassed so that it can be measured. In a second version (the rapid-cycle method), a package is externally mated to the instrument through a gasket, then pierced, so that the vacuum of the mass spectrometer chamber is not broken. Provision is made for heating the package at 100°C a minimum of 10 minutes prior to piercing. Mass-spectrometer assemblies designed with special features for moisture analysis of electronic packages are commercially available (Fig. 14).



Figure 14. Mass spectrometer for moisture and gas analysis. (Courtesy Rockwell, Int.)

Because of the wide variations in moisture values that have been reported by different laboratories and even by the same laboratory for identical packages processed in the same manner, considerable effort has been devoted by both industry and government agencies to standardize the equipment and analysis procedures. Rome Air Development Center assumed the lead for this effort. As a result, equipment-calibration procedures and test procedures (Method 10 18) have been written and several laboratories have been certified. These actions have resulted in closer correlation of results among laboratories.

Water-vapor-detection sensitivity varies with package volume and water-vapor concentration. The mass spectrometer must be calibrated with samples having known amounts of moisture—generally nitrogen containing 2,000 ppm and 5,000 ppm (by volume) of water. A three-volume calibration valve (TVCV) designed as a package simulator, should be incorporated in the equipment to calibrate the instrument for three volumes, 0.8, 0.1, and 0.01 cc, of nitrogen gas containing known amounts of moisture. The known amounts of moisture may be generated by pressure, divided flow, or cryogenic methods.

After calibrating and preconditioning the equipment, background scans are taken after the valve to the mass analyzer has been open for several seconds. This provides a correction factor for any extraneous outgassing from the chamber walls, sample tooling, and exterior package surfaces (in the case of the carousel arrangement). Each package is then pierced, generally through the lid, with a sharp piercing tool. The evolved gases are analyzed by the normal mass spectrometric method of measuring mass-to-charge peaks of the spectrum produced.^{f31}

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9

Handling and Clean Rooms

1.0 HANDLING OF HYBRID CIRCUITS AND COMPONENTS

The handling of hybrid circuits and their individual components is a very important aspect of manufacturing. Handling involves keeping parts clean, protecting them from ambient contaminants, protecting them from electrostatic discharge, and avoiding mechanical damage.

1.1 Cleanliness of Tools

Tweezers, plastic probes, vacuum probe tips, finger-cots, and plastic gloves can be considered clean when removed from the vendor's package, but contaminated if picked up with bare hands. If so handled, the tools may be cleaned with isopropyl alcohol and dried prior to use.

Table I details some general handling procedures for hybrids and components.

1.2 Storage

As discussed in Chapter 7, cleaning is an important process in the manufacture of hybrid circuits. After cleaning, hybrid components and unsealed assemblies should be stored in a dry nitrogen environment to prevent oxidation, moisture adsorption, and corrosion (Table I). When storing chip capacitors that have silver terminations, a sheet of lead acetate paper should be included to prevent sulfide formations on the terminations.

Table 1. Handling and Storage of Circuit Components and Assemblies

Item	Handling Technique	Storage Technique	
		Container	Environment
I. Metallized Substrate	<ol style="list-style-type: none"> 1. Tweezers, broad-bill. 2. Vacuum Probe, vacuum cup. 3. Teflon or Nylon Probe. 4. Film gloves or finger cots. 	As received from the vendor	Class 100,000 per FED-STD-209
II. Semiconductor Dice, Chip resistors, or chip capacitors	<ol style="list-style-type: none"> 1. Vacuum probe, vacuum cup 2. Teflon or Nylon probe 3. Tweezers 4. Brush 	As received from the vendor, or equivalent such as Gel Paks	Class 100,000 per FED-STD-209, in a nitrogen purged area
III. Metal or Plated Components			Class 100,000 per FED-STD-209
a. Connectors	<ol style="list-style-type: none"> 1. Tweezers 2. Teflon or Nylon probe 3. Film gloves, or finger cots 4. Bare hands, avoid contact areas 	As received from the vendor, or equivalent	
b. Package Bases	<ol style="list-style-type: none"> 1. Tweezers 2. Vacuum probe, vacuum cup 3. Film gloves or finger cots 4. Bare hands, avoid seal areas 	As received from the vendor, or equivalent	
c. Package covers, and preforms	<ol style="list-style-type: none"> 1. Tweezers 2. Vacuum probe, vacuum cup 3. Film gloves or finger cots 	As received from the vendor, or equivalent	
1. Not cleaned	<ol style="list-style-type: none"> 1. Tweezers 2. Vacuum probe, vacuum cup 3. Film gloves or finger cots 4. Bare hands, avoid seal areas 	As received from the vendor, or equivalent	
2. Cleaned	<ol style="list-style-type: none"> 1. Clean tweezers 2. Clean Vacuum probe, vacuum cup 3. Clean Film gloves or finger cots 	Polypropylene, polystyrene, or glass container	Nitrogen chamber
IV. Plastic coated components	<ol style="list-style-type: none"> 1. Tweezers 2. Teflon or Nylon probe 3. Film gloves, or finger cots (avoid devices) 4. Bare hands, avoid devices or exposed metal; surfaces 	As received from the vendor, or equivalent	Controlled area per FED-STD-209
V. Unsealed hybrid/MCM assemblies	<ol style="list-style-type: none"> 1. Tweezers 2. Film gloves or finger cots 3. Bare hands, avoid seal areas 	Polypropylene, polystyrene, or glass container; metal trays, magnets on metal strips, or metal fixture. (Observe ESD precautions) or Gel Paks	Class 100,000 per FED-STD-209, store in nitrogen chamber
VI. Cleaned unsealed assemblies (between clean and seal)	<ol style="list-style-type: none"> 1. Clean tweezers 2. Bare hands, avoid seal surface 3. Clean Film gloves or finger cots 	Polypropylene, polystyrene, or glass container; metal trays, magnets on metal strips, or metal fixture. (Observe ESD precautions) or Gel Paks	Nitrogen chamber
VII. Sealed assemblies	<ol style="list-style-type: none"> 1. Tweezers 2. Teflon or Nylon probe 3. Film gloves or finger cots 4. Bare hands 	Polypropylene, polystyrene, or glass container; metal trays, magnets on metal strips, or metal fixture. (Observe ESD precautions)	Controlled area per FED-STD-209
VIII. Static Discharge sensitive components and assemblies	GROUND OPERATOR		
A. Devices	<ol style="list-style-type: none"> 1. Vacuum probe, vacuum cup 2. Teflon or Nylon probe 3. Brush 	As received from vendor or placed in a Faraday bag.	Class 100,000 per FED-STD-209, in a nitrogen purged area
B. Unsealed Assemblies	<ol style="list-style-type: none"> 1. Tweezers 2. Vacuum probe or vacuum cup 3. Bare hands avoid seal areas 	Faraday cage bag or container.	
C. Sealed assemblies	<ol style="list-style-type: none"> 1. Tweezers 2. Film gloves or finger cots 3. Bare hands 	Faraday cage bag or container.	

1.3 Clean Rooms

Hybrids should be assembled and tested in a cleanroom environment in order to keep contamination to a minimum during processing and to increase yields. A single particle of dust can cause an open or short during the thin-film processing of fine line interconnect substrates. Presently, MIL-PRF-38534 suggests that hybrid manufacture, assembly, and test be performed in a class 100,000 area or better. FED-STD-209E, the current standard for cleanrooms, defines six classes (English system) of air cleanliness: Classes 100,000; 10,000; 1,000; 100,10; and 1. These numbers represent the number of particles 0.5 pm or larger in a cubic foot of air. Note: a micron (1 pm) is approximately 1/50* the width of a human hair. Figure 1 shows the chart of three of the classes, and Fig. 2 shows the other classes. A Class 10 area, for example, is one that contains less than 10 particles of 0.5 (or larger) pm/cubic foot, 30 particles of 0.3 pm/cubic foot, 75 particles of 0.2 &cubic foot, and 350 particles of 0.1 urn/cubic foot. Verification is performed by measuring one or more of the particle sizes listed. To determine the concentration of particles 0.5 pm or larger, a sample of air is drawn through a membrane filter. The filter is then microscopically examined to count the number of particles. For particles less that 0.5 pm, the concentrations shall be determined by a discrete-particle counter (DPC). These machines sample the air at a known flow rate, passing through a sensor producing a signal relating to the size of the particle.

Lists of the various standard classes, in both English and SI (Intemational System) are given in Table 2. Classes other than those shown in the table (for example, classes M 2.2, M 4.3, and M 6.4 [Classes 5, 600, and 70,000]) may be defined when special conditions dictate their use. The following equations can be used to approximate the concentrations:

$$\text{Particles/m}^3 = 10M (0.5/d)^{2.2}$$

where: M is the SI class designation and *d* is particle diameter in micrometers

$$\text{Particle}\&t3 = N, (0.5/d)^{2.2}$$

where: N, *is the* class designation and *d* is particle diameter in micrometers.

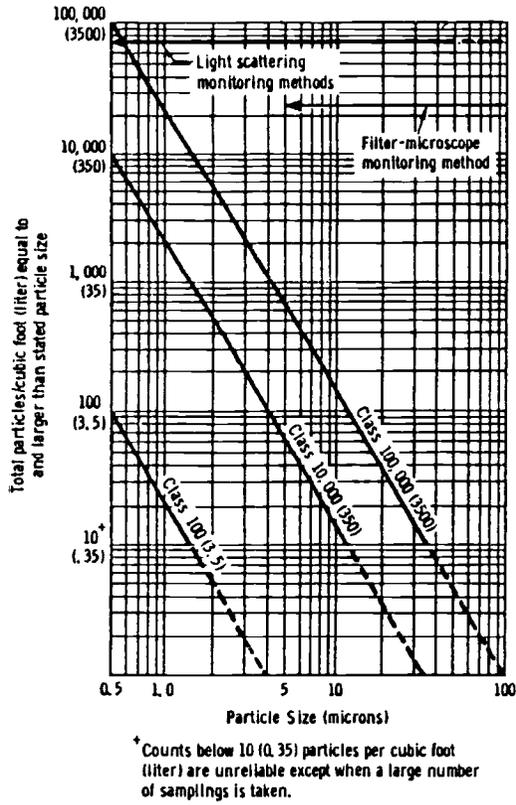


Figure 1. Particle size distribution curves. (FED-STD-209E.)

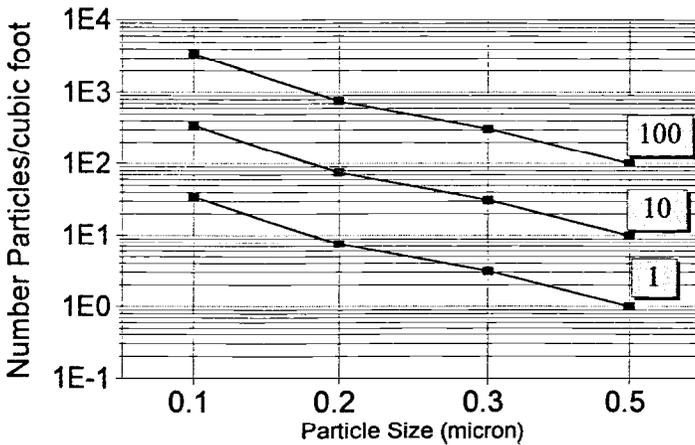


Figure 2. Federal Standard 209E, Classes 1, 10, and 100.

The air in a normal (non-clean) room contains approximately 500,000 particles per cubic foot while the outside air contains approximately 1,000,000 particles per cubic foot.^[1] When there is a stiff breeze, the dust particles can easily reach 1.5 million particles per cubic foot.

The comparison of the various cleanroom classes is given in Table 2.

Table 2. Airborne Particulate Cleanliness Classes

Class Names		Class Limits									
		0.1 μm		0.2 μm		0.3 μm		0.5 μm		5 μm	
		Volume Units		Volume Units		Volume Units		Volume Units		Volume Units	
SI	English	(m ³)	(ft ³)								
M 1		350	9.91	75.7	2.14	30.9	0.875	10.0	0.283		
M 1.5	1	1,240	35.0	265	7.50	106	3.00	35.3	1.00		
M 2		3,500	99.1	757	21.4	309	8.75	100	2.83		
M 2.5	10	12,400	350	2,650	75.0	1,060	30.0	353	10.0		
M 3		35,000	991	7,570	214	3,090	87.5	1,000	28.3		
M 3.5	100			26,500	750	10,600	300	3,530	100		
M 4				75,700	2,140	30,900	875	10,000	283		
M 4.5	1,000							35,300	1,000	247	7.00
M 5								100,000	2,830	618	17.5
M 5.5	10,000							353,000	10,000	2,470	70.0
M 6								1,000,000	28,300	6,180	175
M 6.5	100,000							3,530,000	100,000	24,700	700
M 7								10,000,000	283,000	61,800	1,750

“Super 1” is 100 times cleaner than the existing Class 1—within three minutes after the air conditioning is turned on, the number of dust particles that are 0.1 micron in diameter in 30 cubic meters is reduced to one or less.^[2]

The biggest contributors of contamination in a cleanroom are people. Every move that a human makes inundates the air with a shower of dust and lint. Most of the particulates that come from people are dead skin cells. A single worker can generate up to 300,000 particles per minute simply by sitting and up to 5 million particles per minute by walking.

Cosmetics are a major source of contamination. Millions of particles are released during an application. Lipstick for example, can generate 1.1×10^9 particles and mascara can generate 3.0×10^9 particles that are greater than 0.5 μm in diameter.

Table 3 depicts the sizes of particles arising from some common activities.

Figure 3 depicts the relative sizes of human-generated particles.

Table 4 depicts the increase of particles in a room arising from human activities.

Table 3. Particle Sizes Generated. (Courtesy Rockwell International Semiconductor Division.)

Source	Size of Particles (μm)
Rubbing Skin	4
Scratching vinyl	8
Writing with pen on paper	20
Turning screws	30
Rubbing epoxy coatings	40
Folding paper	65
Sliding metal on metal	75
Rubbing ordinary paint	90

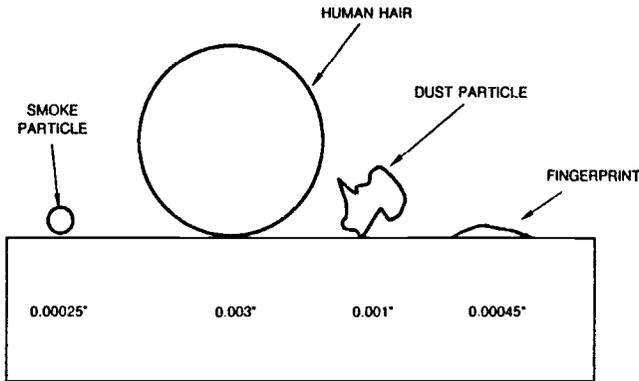


Figure 3. Relative sizes of human-generated particles.

Table 4. Pollution Comes from People. (Courtesy Rockwell International Semiconductor Division.)

Activity	Percentage Increase of Particulates
Normal Breath	No Particulates
Smoker's Breath After Smoking	500 %
Sneezing	2,000 %
Sitting Quietly	20 %
Rubbing Hands on Face	200 %
Walking	200 %
Removing a Handkerchief From Pocket	1,000 %
Stamping Shoe on Floor	5,000 %

2.0 ELECTROSTATIC DISCHARGE

Each year millions of dollars are lost by the semiconductor and hybrid industries because of electrostatic damage to devices and circuits. This loss can come from damage to semiconductor devices causing a product to fail or, in a more extreme case, an explosion due to a static spark in a volatile atmosphere. Uncontrolled static charge can cause a variety of problems in industrial operations. They can be grouped into:

- Component destruction or damage
- Dust attraction
- Fire and explosion
- Personnel shocks

A static charge develops when two materials come into contact and then separate, causing the transfer of electrons from one surface to the other. The material that has the greater affinity for electrons becomes negatively charged. Thus, when rubber is rubbed against wool, the rubber becomes negatively charged while the wool assumes a positive charge **due** to the loss of **electrons**.

2.1 Development of Charge

The amount of charge that can build up on a surface depends on the conductivity of the material. Metals, being good conductors, tend to dissipate and lose the charge immediately, whereas nonconductors will retain their charge for a long time. If the conditions are right, plastics can maintain a charge for weeks. The polarity and the magnitude of the charge on a material can be approximated from the "Triboelectric Series." In this chart (Table 5), the further apart two materials are, the more readily a static charge is generated. The materials at the top of the series acquire a more positive charge relative to materials below it. Thus, if glass is rubbed with cotton the glass will acquire a positive charge, but if glass is rubbed with asbestos it becomes negative.

Table 6 gives some typical electrostatic voltages for common everyday activities.^{r3]}

Table 5. Triboelectric Series

Air	
Human Skin	
Asbestos	
Glass	
Human Hair	
Nylon	
Wool	Increasingly Positive
Fur	
Lead	
Silk	
Aluminum	
Paper	
Cotton	
Steel	
Wood	
Sealing Wax	
Hard Rubber	
Acetate Rayon	
Nickel-Copper	
Brass-Silver	
Synthetic Rubber	Increasingly Negative
Orlon	
Saran Wrap	
Polyurethane	
Polypropylene	
PVC	
Silicone	
Teflon	

Table 6. Typical Electrostatic Voltages

Source of Electrostatic Generation	Electrostatic Voltages (VDC)	
	@ 10 to 20% RH	@ 65 to 90% RH
Walking across a carpet	35,000	1,500
Walking over a vinyl floor	12,000	250
Worker at a bench	6,000	100
Vinyl envelopes	7,000	600
Picking up a plastic bag	20,000	1,200
Work chair padded with polyurethane foam	18,000	1,500

2.2 Device Susceptibility

Many devices used in hybrid microcircuits are susceptible to damage even if electrostatic voltages are below 500 volts. It must be pointed out that a human does not even feel an electrostatic voltage unless it is at least 4,000 volts and it takes at least 10,000 volts to cause an audible crackle or spark. Table 7 gives the damage susceptibility of semiconductor devices.^[3]

Table 7. Damage Susceptibility of Common Semiconductor Devices

Device Type	Range of Susceptibility (volts)
MOS FET	100 - 200
J-FET	140 - 10,000
CMOS	250 - 2,000
Schottky Diode	300 - 2,500
Schottky TTL	1,000 - 2,500
Bipolar Transistors	380 - 7,000
ECL	500
Silicon Controlled Rectifier (SCR)	680 - 1,000

There is a plethora of information on the subject of static electricity and the effects of electrostatic discharge on electronic devices and circuits.^{[4]-[7]} Suffice it to say that hybrids and hybrid components should be handled in a static-safe manner.

The military documents to which the reader is directed for more information are:

- | | |
|---------------------------|---|
| DOD-HDBK-263 | Electrostatic Discharge Control Handbook for Protection of Electrical and Electronic Parts, Assemblies, and Equipment. |
| DOD-STD-1686 | Electrostatic Discharge Control Program for Protection of Electrical and Electronic Parts and Assemblies and Equipment. |
| MIL-STD-883 | Method 30 15 Electrostatic Discharge Sensitivity Classification. |
| MIL-B-8 1705 | Military Specification, Barrier Materials, Flexible, Electrostatic-Free Heat Sealable. |
| NAVSEA SE 003-AA-TRN-0 10 | Electrostatic Discharge Training Manual. |

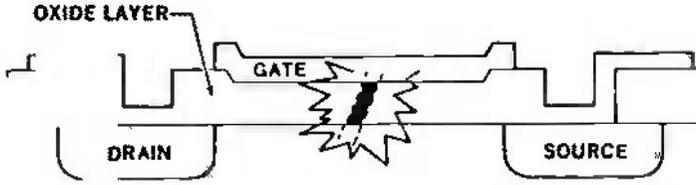
2.3 Static Damage

Devices may be degraded and even destroyed by ESD (electrostatic discharge) producing excessive voltage or excessive current. Figures 4 and 5 are examples of static damage on a component.

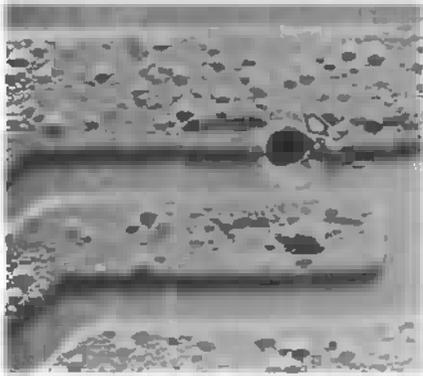
There are three types of damage to integrated circuits that are commonly caused by ESD: dielectric failure, interconnect metallization failure, and PN junction failure.

Dielectric Failure. This failure mode is an electric field induced action that ruptures oxides on a chip. Figure 4 is a representation of this type of damage.

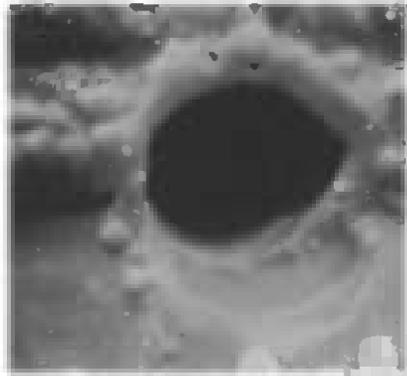
Interconnection Metal Failure. This type of failure is due to the metal reaching its melting temperature. This can be at a lower temperature than expected since oxides that may be underneath the metal are very poor thermal conductors and therefore all the heat is dissipated in the metal and not conducted away. Figure 5 is an example of this type of damage.



a



b



c

Figure 4. (a) Static-damaged MOS transistor; (b-c) static-discharge damaged operational amplifier integrated circuit, (c) is 5-fold magnification of (b)

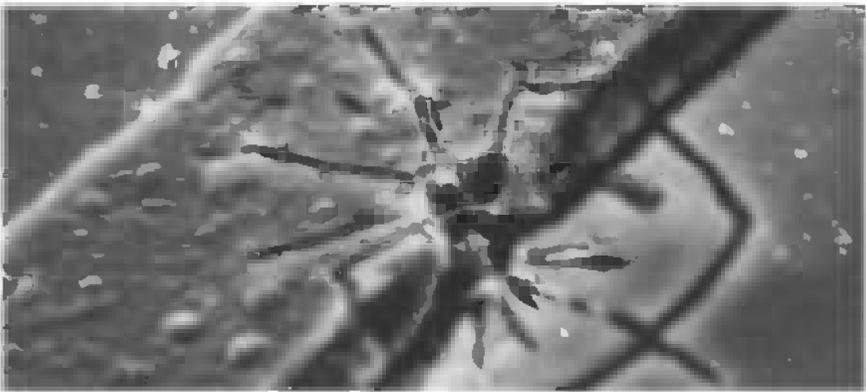
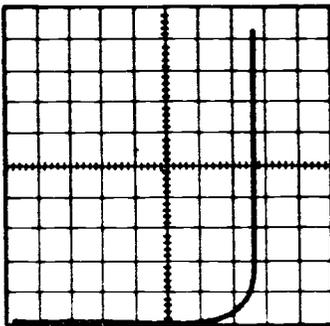


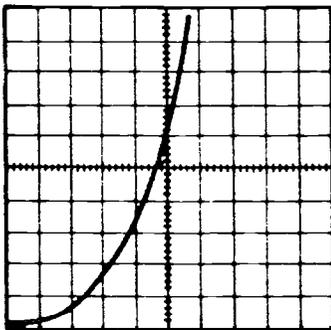
Figure 5. ESD discharge damage to aluminum metallization. Note the classic lightning pattern and melting from the thermal energy.

PN Junction Failures. This failure mode occurs when the ESD voltage/current causes melting of the silicon or metal “spiking” from one diffusion layer to another.

The above discussions involve the destruction of devices due to ESD; however, devices can also be degraded, but not destroyed. Ninety percent of all ESD problems are due to degradation. This can only be detected by observing the I/V curves of the semiconductor junctions. In a part not exposed to ESD, the knee of the curve is very sharp; however, subjecting the part to ESD causes the knee to soften (Fig. 6).^[8]



**NORMAL I/V CHARACTERISTIC
ACROSS INPUTS
INPUT TO INPUT
(5 μ A PER DIVISION)
OUTPUT RISE TIME \cong 8 η S**



**ESD — DEGRADED I/V
CHARACTERISTIC ACROSS INPUTS
OUTPUT RISE TIME \cong 25 η S**

Figure 6. Results of 500-V discharge to package lid.

2.4 Coping with ESD

In order to prevent damage or degradation of a hybrid or a hybrid component many safeguards are available.

Personnel Awareness. It is very important that hybrid manufacturers have a training program for their personnel so that they are aware of the dangers of ESD. All personnel who are to be in an area where there are

sensitive devices must be trained. After the program, they should be tested and not allowed to handle devices until they pass the test and are certified.

Personnel Grounding. When personnel handle ESD sensitive devices they must be grounded through the use of a wrist strap. The wrist strap that connects the wearers skin to ground has become one of the most commonly used products in the fight against ESD. There are many designs of wrist straps, but they all rely on a conductive strap that contacts the wearers wrist and drains static charge to ground. The strap cannot go directly to ground, for safety reasons, since a wearer touching test equipment that is not grounded can be shocked. For this reason, a current limiting resistor is added in series with the operators wrist to ground. This resistor is in the range of 250 kohms to 10 Mohms with 1 Mohm being the standard. When working with high voltages, this resistor value must be changed to assure that the current the wearer is subjected to is less than 10 to 15 ma. One megohm of resistance has appeal because the decay time is short enough for most circumstances and yet spares the operator from feeling the static charge. When a wrist strap is connected to ground it must not be connected to a connection point on the work surface since the work surface adds more resistance to ground, thus changing the decay time. Wrist straps are prone to failure due to the flexing of all of the elements in the strap (wrist cuff, resistor, connecting wire). In view of the fact that wrist strap failures are not necessarily observable, the straps must be checked prior to use.

Storing or Transporting of Hybrids. Hybrids must be protected from ESD by storing and transporting them in static-safe tote boxes and bags. In order to decide on the type of containers, various definitions must be understood. The following are the definitions from DOD-HDBK-263.

Classification	Definition
Conductive	Material having surface resistivity of 10 ⁵ ohms/square maximum.
Static Dissipative	Material having surface resistivity of >10 ⁵ and ~10 ⁷ ohms/square.
Anti-Static	Material having surface resistivity of >10 ⁹ ohms/square and < 10 ¹² ohms/square.

Components and hybrids must be placed in bags during the times that they are not being worked. In the past “pink-poly” was thought to be protection enough; being an anti-static type no charge would build up on the bag, however, it would not protect the internal component from discharges

from external fields. This means that if a charged person would handle an anti-static bag the charge would go through the bag and damage the component inside. The newer bags are a combination of conductive and anti-static materials.

Humidity. The risk of ESD damage is much greater in an area that has low humidity (less than 30 percent) than in an area that is above 50 percent relative humidity.

Labels. Static-sensitive components and hybrid assemblies must be labeled with warning signs. The label shown in Fig. 7 is described in Electronic Industries EIA Standard RS-471. Doors leading to an area where static-sensitive devices are being assembled should also have a warning sign.



Figure 7. Static sensitive label.

Static Dissipative Work Bench Tops. All table tops must be of an anti-static or static dissipative material. Bench tops should be grounded through a 250K ohm to 10 megohm resistor with 1 megohm standard. Initially, as in the case of enclosures, a conductive (metal) table top was used; however, this suffered with two disadvantages: (i) it discharged the static too fast and could damage components with a spark; and (ii) it was dangerous to personnel if live circuitry was placed on the surface.

Conductive Floor Mats. Floor mats that are grounded should be used at every work station. The conductive floor has conductive elements heat and pressure-fused into vinyl material. This controls static-charge build-up on personnel and equipment; it also allows a path for static-charge drain. The drawback is that the effectiveness depends upon humidity and the type of footwear that contacts the floor.

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7. Wilson, D. D., Echols, W. E., and Rossi, M. G., EOS Protection for VLSI Devices, *RA DC Report MCR-84-506* (1984)
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10

Design Guidelines

Converting design requirements into a producible hybrid microcircuit design involves the application of many diverse engineering disciplines. The disciplines include circuit design and analysis, layout design, thermal analysis, test and test equipment design, process development, and CAD design. An effective method of communicating these design requirements to all concerned personnel is through a formal Design Transmittal Document.

1.0 HYBRID MICROCIRCUIT DESIGN TRANSMITTAL

The Hybrid Microcircuit Design Transmittal provides information necessary for the applications engineer and the layout designer to proceed with the hybrid design. As a **minimum**, the transmittal contains the following:

- Hybrid parts list
- Circuit schematic
- Component and total circuit power dissipation
- Performance requirements
- MIL-SPEC requirements
- Documentation requirements
- Circuit layout flow, power, and grounding requirements including input/output pin arrangement

The design transmittal may also be used as a change control document to implement design changes that may occur during the hybrid microcircuit development. The transmittal is revised by the circuit design engineer and returned to the applications engineer (Fig. 1). Figure 2 is an example of an alternate transmittal form.

2.0 SYSTEM REQUIREMENTS AFFECTING HYBRID CIRCUIT DESIGN

After the requirements have been defined in the Design Transmittal Form, the following sequence must be followed to effectively design a system using hybrids:

- Partitioning the circuit.
- Selecting a packaging approach.
- Selecting materials and processes.
- Defining quality assurance provisions.

All of these tasks should be performed concurrently with the designer, the hybrid engineer, and the manufacturing engineer.

2.1 Partitioning

Three major factors must be considered when partitioning system electronics into hybrid microcircuits: (i) number and types of input/output pins required; (ii) device density; and (iii) power dissipation. In addition, consideration must be given to possible circuit commonality (using one circuit for multiple functions) and testability. These factors are interdependent; they may be modified by commonality and testability requirements and usually require several iterations during the partitioning process. Input/output pin and device density requirements are addressed first so that a package and substrate size can be selected. The power dissipation of each candidate hybrid is then calculated by determining the dissipation of each of its components. Partitioning is still largely a trial-and-error application of several rules.

HYBRID MICROCIRCUIT DESIGN TRANSMITTAL	
PART TITLE	PART NUMBER
PROGRAM NUMBER ASSIGNED <div style="text-align: center; margin-top: 20px;">  </div>	PROGRAM
CIRCUIT TYPE: (1) ANALOG _____ (2) DIGITAL _____ (3) IF/RF _____ (4) OTHER _____	MIL-STD REQUIREMENTS (1) MIL-STD-883 CLASS _____ (2) N/A _____ (3) OTHER MIL SPEC REQ'D _____
DRAWING REQUIREMENTS: (1) ESWA _____ (4) PRODUCTION _____ (2) ENGINEERING _____ (5) OTHER _____ (3) DEVELOPMENT _____ REMARKS:	
PREPARED: DATE:	APPROVED: DATE: REVISED:

Figure 1. Hybrid microcircuit design transmittal form.

CONTENTS:

ITEM	ADVANCE	FIRM	DUE
(1) PARTS LIST _____	_____	_____	_____
(2) SCHEMATIC* _____	_____	_____	_____
(3) SPECIAL REQUIREMENTS _____	_____	_____	_____
(4) PACKAGE DESCRIPTION _____	_____	_____	_____
(5) CIRCUIT PERFORMANCE/TEST REQUIREMENTS _____	_____	_____	_____

*RESISTOR POWER DISSIPATION AND TOLERANCES WITH CAPACITOR
TOLERANCES AND VOLTAGE REQUIREMENTS MUST BE INCLUDED.

(Item 1) Parts List

Item No.	Qty. Req'd.	Part No. or Identifying No.	Nomenclature/Description	Reference Designation	Data: Vendor, Siz. See <input type="radio"/> General Note

(ITEM 2)
SCHEMATIC

(ITEM 3)
SPECIAL REQUIREMENTS

(ITEM 4)
PACKAGE DESCRIPTION

(ITEM 5)
CIRCUIT PERFORMANCE/TEST REQUIREMENTS

Figure 1. (Cont'd.)

I. CUSTOMER INFORMATION: DATE: _____

Customer: _____

Address: _____

City: _____ State: _____ ZIP: _____

Engineer: _____ Title: _____ Telephone: _____

Purchasing: _____ Title: _____ Telephone: _____

Marketing: _____ Title: _____ Telephone: _____

II. APPLICATION AND FUNCTION: _____

PROGRAM NAME: _____

DISCLOSURE STATEMENTS IF APPLICABLE: _____

III. QUALITY REQUIREMENT: CLASS B CLASS S OTHER: _____

IV. SCHEMATIC (Please describe or attach): _____

POWER SUPPLY VOLTAGES AND CURRENT REQUIREMENTS (viz. 15V @ 20ma max.): _____

CIRCUIT POWER DISSIPATION: _____

OPERATING FREQUENCY (and other special considerations such as bandwidth): _____

V. COMPONENTS:

- ACTIVE (Note Special Requirements): _____
- ACTUAL POWER DISSIPATION: _____
- SEMICONDUCTOR GATE ARRAY TECHNOLOGY (TTL, CMOS, ECL) PREFERRED: _____
- RESISTORS: ACTUAL POWER DISSIPATION: _____
 TEMPERATURE COEFFICIENT: _____ PPM/°C FROM: _____ °C to _____ °C
 SPECIAL TRACKING OR RATIO MATCH REQUIREMENTS: _____
- CAPACITORS: TEMPERATURE COEFFICIENT: _____ PPM/°C FROM: _____ °C to _____ °C
 EIA CHARACTERISTICS RATING: _____
 VOLTAGE RATING: _____ VOLTS
- CRYSTALS, CRYSTAL FILTERS (Calibration \pm , Temp. Coef., Series or Parallel Mode): _____
- SAW DEVICES (Functions and Specification): _____

Figure 2. Product checklist (hybrid and high frequency products worksheet). (Courtesy of Teledyne Microelectronics.)

368 Hybrid Microcircuit Technology Handbook

VI. PHYSICAL CONSIDERATIONS
SIZE REQUIREMENTS: LENGTH: _____ WIDTH: _____ THICKNESS: _____
PACKAGE TYPE: PLUG-IN BUTTERFLY OTHER _____
LEAD SPACING: _____ .050" _____ .100" _____ OTHER: _____
TELEDYNE OPTION: _____

VII. PACKAGING:
What areas of modification for packaging design are permissible?
 CHANGE OR DELETE COMPONENTS: _____
 PARTITION SCHEMATIC INTO MULTIPLE PACKAGES: _____
 LEAD BREAKOUT: _____
 OTHER: _____

VIII. TESTING REQUIREMENTS (APPLICABLE MIL-STDs, etc., SHOCK, etc.):
OPERATING TEMPERATURE: MIN: _____ °C TYPICAL: _____ °C MAX: _____ °C
STORAGE TEMPERATURE: MIN: _____ °C TYPICAL: _____ °C MAX: _____ °C
OTHER: _____

IX. ESTIMATED USAGE:
DEVELOPMENT MODELS: _____ EACH. DELIVERED BY: _____
PROTOTYPES: _____ EACH. DELIVERED BY: _____
PRODUCTION: _____ EACH AT _____ (RATE DELIVERED/MTH), BEGINNING _____
PRODUCTION TARGET PRICING: _____

X. CUSTOMER-FURNISHED MATERIALS/EQUIPMENT: _____

XI. DISCUSSION OF DESIGN/PROGRAM GOALS: _____

Figure 2. (Cont'd.)

2.2 Input/Output Leads

The maximum number of leads that a package can have depends on the package size and lead configuration; the larger the package the greater the number of leads that can be accommodated. For packages with plug-in leads,

the standard pin spacing is 0.100 inch centers. This spacing provides sufficient area on the printed wire board (PWB) for plated through holes for each pin and allows running conductor traces between the microcircuit pins on the board. Staggered double rows may be used to increase pin density, but only at the expense of reducing usable substrate area in the package.

If a “butterfly” or flat-pack-style package is specified, the leads may be on 0.050 inch centers because leads of this style are usually lap soldered or welded to a multilayer printed circuit board and conductor traces are not run between the microcircuit leads. Once the package style has been selected, package supplier catalogs should be reviewed to select packages with the desired pin and substrate capabilities.

Testability of a hybrid microcircuit directly depends on electrical access to the circuit via the input/output leads. A desirable rule is to dedicate 20 percent of the total available leads as test points, though in high density systems this cannot always be followed.

One common way to increase the number of leads is to have leads on all four sides, however, packages with leads on all four sides are undesirable from the standpoint of heat-sinking capability. Heat-sinking is usually effected through a metallized area on the printed wiring board directly beneath and in contact with the microcircuit. The metallized area conducts heat from the microcircuit to a board side-rail or similar heat sink and requires that at least one side of the microcircuit package be free of pins. A second drawback of having leads on all four sides is the greater care that is required in handling and inserting the package into test adapters without damaging the leads.

2.3 Component Density

Several guidelines exist to size substrate area requirements, none of which is exact or without qualifying conditions. One general practical rule limits the area required for chip components (active and passive) to 20 percent of the substrate area. This rule is valid for substrates of one-half inch square or larger, but smaller substrates require a preliminary layout for valid sizing. The 20 percent rule is sufficiently accurate for partitioning.

2.4 Power Dissipation

In general, some form of heat sinking is required to satisfy the power dissipation requirements of hybrid microcircuits. The heat-sinking technique previously discussed (conduction through a metal pad) can dissipate 1 to 2

watts per square inch of substrate. In the range of 2 to 5 watts per square inch, special heat-sinking techniques must be employed. For dissipation greater than 5 watts per square inch, special materials and processes are required, including the use of beryllia substrates, direct bonded copper to alumina or beryllia, and the use of alloy attachment are effective. Where hybrids consist only of CMOS integrated circuits, operating at low speeds (< 100 kHz), little or no heat sinking is necessary.

2.5 Mechanical Interface/Packaging Requirements

Hybrid microcircuits are normally mounted on printed wiring boards (PWB). If system interconnections require the use of multilayer PWBs with components mounted on both sides, the hybrid package should be of the "butterfly" or flat-pack type (Chapter 6) (leads parallel to the principal plane of the package) so that the leads can be attached by lap-soldering or welding. If the PWB components are to be wave-soldered, or if components are to be mounted on one side of the board only, the hybrid package should be of the plug-in style (leads perpendicular to the principal plane of the package). The leads (pins) project through plated through-holes in the PWB and are soldered in place. In this approach the inspectability of the soldered joint at the pin is limited to one side of the PWB since the package case obscures the joint on the hybrid circuit side of the PWB.

3.0 MATERIAL AND PROCESS SELECTION

Three substrate fabrication processes are available for selection: single-layer thin film, multilayer thick film, and multilayer co-fired thick film; however, see Chapter 13 for multilayer thin-film substrates for multichip modules. Performance requirements, resistor requirements, component interconnect density, and production quantities are factors to be considered in the process selection.

A guide to process selection is contained in Table 1, an overall comparison of co-fired, thick- and thin-films is shown in Table 2, and a comparison of the thick-film and thin-film conductor parameters is contained in Table 3.

Chip resistors may be used to complement any process; for example, precision thin-film resistor chips may be used with thick-film multilayer circuits and high-value thick-film chip resistors may be used with thin-film interconnect substrates. Chip resistors have been discussed in Chapter 6.

Table 3. Gold Conductor Current and Resistance

Width (in)	Plated Thin Film 0.01 Ω/\square		Thick Film 0.003 Ω/\square	
	Resistance (ohms/in)	Current Rating (amps)	Resistance (ohms/in)	Current Rating (amps)
0.005	2	0.750	0.6	2.25
0.010	1	1.5	0.3	4.5
0.015	0.7	1.25	0.2	6.75
0.020	0.5	3.0	0.15	9.0

4.0 QUALITY ASSURANCE PROVISIONS

4.1 Quality Engineering/Quality Assurance Requirements

Quality Engineering/Quality Assurance (QE/QA) efforts/controls are largely determined by the program requirements. In general, these efforts or controls can vary from good engineering or commercial practice to customer inspection/surveillance of each step in the fabrication, assembly, and test. It is important that the QE/QA requirements be established early in the program and that they be determined for both the hybrid microcircuit and the associated test equipment. The program QE/QA requirements will affect microcircuit design, fabrication and test; test equipment design, fabrication and checkout; and documentation and quality assurance. Once the microcircuit QE/QA requirements are agreed upon with the customer, they are incorporated in the specific assembly specification.

4.2 Screen Tests

Class K and H levels of quality assurance for military hybrid circuits are established by the screen test procedures of MIL-STD-883, Method 5008. A detailed discussion of screen test methods is presented in Chapter 11.

4.3 Preferred Parts List

A preferred parts list is established from which the circuit components are selected. A preferred parts list minimizes the number of part types, with resultant economies in parts procurement, inventory, circuit fabrication, and test. The preferred parts list should be compiled from suppliers who routinely supply chip (uncased) components. The parts must be selected from a supplier's catalog or a source control document, to assure that they

are delivered with a defined and controlled chip size, topology, and electrical performance.

5.0 HYBRID DESIGN PROCESS

A hybrid microcircuit design flow diagram is contained in Fig. 3.

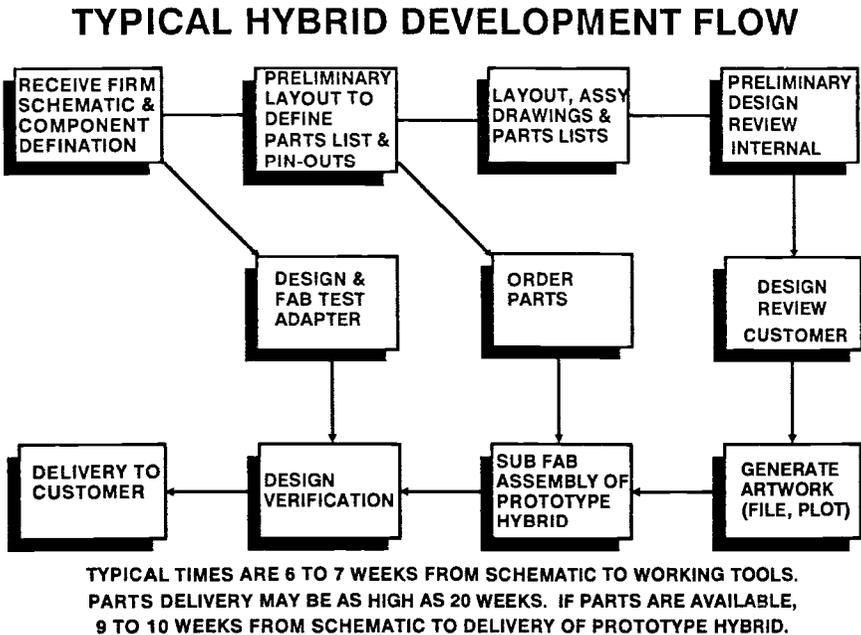


Figure 3. Hybrid development flow.

5.1 Design and Layout

A fully detailed schematic consists of data transmitted from the circuit designer to the layout designer. With this schematic, and having selected the hybrid circuit technology, package/substrate size and, following the appropriate design guidelines, the layout designer can proceed with the layout of the circuit. A fully detailed schematic should include:

- a. Resistor values, tolerances, actual maximum continuous power dissipations (no derating or nominal values); special requirements such as temperature coefficient of

resistance (TCR), TCR tracking, ratio requirements, and stability.

- b. Capacitor values, tolerances, voltage ratings, and any special dielectric characteristics such as temperature coefficient.
- c. Manufacturers name and part number for each semiconductor chip.
- d. Conductors with any special requirements identified, such as high current power and ground lines, separate grounds, and conductors sensitive to distributed parameters as in high-frequency circuits.
- e. High dissipation elements identified with expected dissipation specified (not the maximum dissipation capability of the device).

There are two approaches to a hybrid layout: manual (single line and full layout) and computer aided.

In a manual layout, a single-line diagram is produced that interconnects the circuit components by single lines denoting conductors. After the single-line diagram is completed, the input/output leads are defined. In the second phase of the layout, the single-line diagram is expanded to a full detail drawing from which artwork and 1 x working tools are generated; the drawing is usually 20 times actual size, but can be 10 times or 40 times, depending on the actual substrate size and the most convenient working-drawing size. The single-line method is a fast way of obtaining rough pinouts and component positions. A second manual method involves analyzing the circuit then laying out full line-widths instead of single-lines.

5.2 Computer-Aided Design (CAD)

Automation of electronic designs has become essential due to the increasing complexity of devices, printed circuit boards, and hybrids. Due to the complexity, the design cycle has lengthened, causing the cost of design to increase. In order for **the** hybrid industry to become more cost effective the use of **CAD** became a necessity.

The first stand-alone workstations were introduced in 1981. Prior to that, only a few designs were done on CAD systems such as the large time-shared CALMA. With the introduction of personal computers, an affordable

CAD became available for hybrid design, allowing the designer to increase his productivity and flexibility of changing designs without a cost impact.

CAD equipment varies in price depending on the extent of automation. Lower priced systems will not automatically place the components; the designer still needs to analyze the hybrid, then place the components. The computer will then “rats-nest” connect the components. At this point the designer will move some of the components so that the connections are routed the most efficient way. The more expensive CAD systems have the added capabilities of automated placing and automated routing according to the design guidelines entered into the CAD.

Following is a list of Computer Aided Design systems that can be used to design hybrids and multichip modules.

COMPANY	PACKAGE	PLATFORM	OPERATING SYSTEM
Cadence Design Systems	Allegro-MCM	DEC; HP; IBM; SUN	Unix
CADUCEUS	AutoHybrid	IBM PC	DOS
Cooper & Chyan Technology Inc.	Spectra PS	HP; IBM; SUN	HP-U, IBM, SUN OS
Daises (an Integraph Co.)	MCM Engineer	Integraph; SUN	Unix, Integraph OS, SUN OS
Harris EDA	Funesse MCM	SUN	SUN OS
HEM Data Corp.	Snap-Master for Windows	IBM PC	Windows #.x
Mentor Graphics Corp.	MCM Station	HP; SUN	Unix
Racal-Redac Ltd.	MCM Design Suite	DEC; IBM; SUN	Ultrix, Unix
Zuken Inc.	CR3000	HP 300, 400, 700; SUN	Unix

5.3 Artwork

After the layout is completed, the next step in designing a hybrid microcircuit is the artwork generation. Care must be taken in preparing and handling of photomasks due to the further ramifications this artwork has on the substrate processing. Film quality such as dimensional stability and minimum line widths is an important factor. Kodak Precision Line LPD7 (Positive Film) and LP7 (Negative Film) are standard films for camera reduction of hybrid layouts. Line widths as small as 0.002 inches are workable with precision developing of these films.

Photoplotter. Several media are used to generate master artwork from the layout. Of these, photoplotting is the most popular. A photoplotter is a machine that has a bed for unexposed film which usually is pre-punched for accurate registration. A photoplot head, which houses a controlled light source, illuminates the film through apertures which are loaded on a carousel wheel. This wheel is controlled by a motor which drives the light source across the film. A previously encoded set of instructions directs the head which aperture to select, where to move, when to turn the light on, continue movement to the desired coordinate, stop, and turn the light off. This cycle must be completed and maintain an accuracy of 0.0005 inch over twenty-four inches of film. If the layout has been designed on a CAD system, the data to drive a photoplotter is readily available. Most photoplotting is done at four-times size, so when the plot is photoreduced to 1 x working tools, the error is $0.0005/4$, or $1/8$ of a mil.

The photoplotter described above is the standard type that has been on the market for many years. New plotters are now available that contain a laser as the light source. The laser illuminates a drum which exposes the film in $\% \text{ mil}$ increments. These laser plotters are able to process a C size piece of film in 10 minutes, which is much faster than the conventional photo-plotters.

Rubylith. An early method for producing artwork involved the use of "Rubylith" film. Ruby film is a laminate of a hard, clear film on a soft opaque base film. The Ruby is overlaid on the layout and scribed with an X-Act0 knife or cutter on an X-Y guide around all the perimeters of the desired pattern on the layout. Then, whichever is the smallest area is peeled away, thus generating a positive or negative pattern. The pattern is as accurate as the skilled person doing the cutting. This cutting is usually done at 20x; therefore when reduced to 1 x, any errors in accuracy are reduced by 20. When generating a thin-film mask, the composite (both conductor and resistor) should be cut and peeled first, shot with a camera, then finished, cutting away the desired resistor pattern for use in the second etch. This method, even though it is manual, gives excellent sheet-to-sheet registration.

Other methods for master artwork generation exist, but the two mentioned above are the most popular. Masks have been successfully created using penplotters, printed circuit board tape, and even hand drawn marks copied from view-foil transparencies when time constraints so mandate for simpler circuits.

Accuracy of masks is more critical to thin-film circuit generation than to thick film due to the small precision resistors. As undercutting of the pattern during etching can cause serious yield loss in resistor values, so can undercutting during exposure of the master pattern. Therefore, the emulsion of the artwork should be as close to the substrate as possible for thin film (base side down). In thick-film fabrication, the master artwork is used to fabricate screens. For the maximum accuracy, the artwork is placed against the screen; therefore, the emulsion is placed up (base side down). Standard film thickness for the master artwork ranges from 0.004 to 0.007 inch. If the film is too thin, it is susceptible to stretching or bowing, and if too thick, it is hard to keep planar.

5.4 Design Review

The completed layout must be reviewed for format, electrical performance, thermal characteristics, producibility and fabrication, and assembly process compatibility. This review is usually held with the designer, applications engineer, production engineer, and the customer. Upon completion of the design review, required design changes are incorporated into the completed layout prior to release for artwork preparation. Checklists, as in Fig. 4 (for thick-film circuits) or in Fig. 5 (for thin-film circuits), are helpful in the design review.

Electrical Performance. The layout must be reviewed for correctness relative to the circuit schematic and component requirements. It should be verified that devices are interconnected per print and that resistor layout and trim capability are compatible with the required values and tolerances. In the present CAD systems this check is automatic; the layout is automatically checked against the schematic.

Conductors must be reviewed for current-carrying requirements. Gold conductors should be designed to carry 6×10^5 amps/cm² maximum, in compliance with MIL-PRF-38534. Conductor widths must be wide enough to eliminate voltage spikes during transient current conditions, or voltage offset buildups. While true for signal paths, power and ground lines are particularly important due to generally higher current levels and the potential for cross-talk between devices. The placement of critical circuit components and conductors should be reviewed with respect to parasitic effects.

A. ELECTRICAL PERFORMANCE

- 1. Does the circuit layout correspond with the schematic/netlist? _____
- 2. Has the layout been organized for optimum circuit performance? _____
- 3. Are there sufficient external leads for input/output/test? _____
- 4. Has high-frequency interaction been considered? _____
- 5. Has cross coupling been minimized? _____
- 6. Have matched resistors/components been located close to each other? _____
- 7. Have high-current conductors been properly designed? _____
- 8. Has conductor impedance been considered (Typical 3mfXC1j)? _____
- 9. Are there adequate grounds fgridded for buried layers)? _____
- 10. Does the layout provide for easy testing/troubleshooting? _____

B. SUBSTRATE

- 1. Is the substrate size correct for the package (no radii interference)? _____
- 2. Is a standard package used? _____
- 3. Are there alignment marks on the substrate? _____
- 4. Is the standard thickness substrate (0.025 inch) used? _____
- 5. Is the correct surface finish specified (25 microinch CLA)? _____
- 6. Is the correct camber specified (0.002 inch/inch)? _____
- 7. Are the substrate and package appropriate for hybrid design? _____
- 8. Are substrate holes correct (0.010 inch minimum; good for 0.025.inch-thick substrate)? _____

C. CONDUCTOR DESIGN

- 1. Are line widths correct (0.010 inch minimum)? _____
- 2. Are line spacings correct (0.010 inch minimum)? _____
- 3. Is the distance from substrate edge correct (0.010 inch minimum)? _____

Figure 4. Design review checklist for thick-film circuit

D. BONDING

- 1. Is the minimum-sized pad provided for bonding (0.010 x 0.010 inch)? _____
- 2. Are all wire lengths less than 0.100 inch? _____
- 3. Is the minimum bond distance from edge of die correct (0.020 inch)? _____
- 4. Is the distance from bond to package wall per guidelines? _____
- 5. Is the distance for bonding around capacitors per guidelines? _____
- 6. Are exit bonding pads correctly aligned to the package? _____
- 7. Have areas been designed to use for probing? _____
- 9. Is the correct bonding technique being used? _____
- 10. Is there any die-to-die bonding? _____
- 11. Are there any wires crossing over another wire or die? _____

E. RESISTOR DESIGN

- 1. Are conductor probe pads available to probe for trimming? _____
- 2. Are all parallel resistor paths open? _____
- 3. Is there clearance for trimming? _____
- 4. Are the correct methods of trimming specified? _____
- 5. Is there sufficient trim area to Up-Trim from nominal value? _____
- 6. Is power dissipation ≤ 50 w/in.' for resistors? _____
- 7. Is the number of resistor pastes held to a minimum of three? _____
- 6. Have select-at-test resistors been minimized? _____
- 9. Is the minimum resistor size correct (0.020 x 0.040 inch)? _____
- 10. Is the aspect ratio (length/width) less than 10? _____
- 11. Are all resistors aligned with the X-Y axes? _____
- 12. Do all resistors overlap the conductor by a minimum of 0.010 inch? _____

Figure 4. (Co&d)

F. ASSEMBLY AND PRODUCIBILITY

- 1. Is there any circuitry beneath discrete parts? _____
- 2. Are all die square with X-Y axes? _____
- 3. Are die-epoxy mounting pads 0.010 inch larger than maximum die size? _____
- 4. Are die-solder mounting pads 0.020 inch larger than maximum die size? _____
- 5. Are capacitor mounting pads 0.020 inch larger than maximum die size? _____
- 6. Are IC's a minimum of 0.040 inch apart? _____
- 7. Are components correctly identified as "Static-Sensitive"? _____
- 8. Has reliability been considered? _____
- 9. Has the drawing package been completed? _____
- 10. Will pick-and-place assembly be utilized? _____
- 11. Will autobonding be utilized? _____

G. VIA DESIGN

- 1. Are all vias larger than 0.010 x 0.010 inch? _____
- 2. Are all vias staggered (if more than 2 layers)? _____

H. INSULATOR DESIGN

- 1. Are the windows around bond sites as large as possible? _____
- 2. Is the opening around resistors minimum of 0.020 larger than resistor? _____

REVIEWER(s):		DATE:

Figure 4. (Cont'd.)

A. ELECTRICAL PERFORMANCE

- 1. Does the circuit layout correspond with the schematic netlist? _____
- 2. Has the layout been organized for optimum circuit performance? _____
- 3. Are there sufficient external leads for input/output/test? _____
- 4. Have high-frequency interaction been considered? _____
- 5. Has cross coupling been minimized? _____
- 6. Have matched resistors/components been located close to each other? _____
- 7. Have high-current conductors been properly designed? _____
- 8. Has conductor impedance been considered (Typical .01 mR/13)? _____
- 9. Are there adequate grounds? _____
- 10. Does the layout provide for easy troubleshooting? _____

B. SUBSTRATE

- 1. Is the substrate size correct for the package (no radii or pin interference)? _____
- 2. Is a standard package used? _____
- 3. Are there alignment marks on the substrate? _____
- 4. Is the standard thickness substrate (0.025 inch) used? _____
- 5. Is the correct surface finish specified (2-6 microinch CLA)? _____
- 6. Is the correct camber specified (0.002 inch/inch)? _____
- 7. Are the substrate and package appropriate for hybrid design? _____

C. CONDUCTOR DESIGN

- 1. Are line widths correct (0.005 inch minimum)? _____
- 2. Are line spacings correct (0.005 inch minimum)? _____
- 3. Is the distance from substrate edge correct (0.010 inch minimum)? _____

Figure 5. Design review checklist for thin-film circuit

D. BONDING

- 1. Is the minimum-sized pad provided for bonding (0.010 x 0.010 inch)? _____
- 2. Are all wire lengths less than 0.100 inch? _____
- 3. Is the minimum bond distance from edge of die correct (0.020 inch)? _____
- 4. Are jumpers held to a minimum? _____
- 5. Is the distance from bond to package wall per guidelines? _____
- 6. Is the distance for bonding around capacitors per guidelines? _____
- 7. Are exit bonding pads correctly aligned to the package? _____
- 8. Have areas been designed to use for probing? _____
- 9. Is the correct bonding technique being used? _____
- 10. Is there any die-to-die bonding? _____
- 11. Are there any wires crossing over another wire or die? _____
- 12. Are there any wires crossing over an active conductor? _____
- 13. Are all wire bonds unidirectional (i.e., no perpendicular bonds)? _____

E. RESISTOR DESIGN

- 1. Are probe pads available to probe for trimming? _____
- 2. Are all parallel resistor paths open? _____
- 3. Is there clearance for trimming? _____
- 4. Are the correct methods of trimming specified? _____
- 5. Is there sufficient trim area to Up-Trim from nominal value? _____
- 6. Is power dissipation = 30 w/in.' for noncritical resistors? _____
- 7. Is power dissipation = 15 w/in.' for critical resistors? _____
- 8. Are select-at-test resistors required? _____

Figure 5. (Cord)

F. ASSEMBLY AND PRODUCIBILITY

- 1. Is there any circuitry beneath discrete parts? _____
- 2. Are all die square with X-Y axes? _____
- 3. Are die-epoxy mounting pads 0.010 inch larger than maximum die size? _____
- 4. Are die-solder mounting pads 0.040 inch larger than maximum die size? _____
- 5. Are capacitor mounting pads 0.020 inch larger than maximum die size? _____
- 6. Are devices a minimum of 0.040 inch apart? _____
- 7. Is the drawing identified as "Static-Sensitive"? _____
- 8. Has reliability been considered? _____
- 9. Has the drawing package been completed? _____
- 10. Will pick-and-place assembly be utilized? _____
- 11. Will autobonding be utilized? _____

REVIEWER(s):		DATE:

Figure 5. (Cont'd.)

Thermal Characteristics. Resistor geometries are reviewed for compatibility with power dissipation ground rules. Thin-film resistors shall be less than 30 watts per square inch and thick-film resistors shall be less than 50 watts per square inch (before trim).

Producibility. The layout design must be reviewed for compatibility with the requirements identified in the layout design guidelines.

Fabrication and Assembly Process Compatibility. The design must be reviewed by operations personnel for compatibility with on-line fabrication and assembly equipment and tooling. New tooling or special device handling requirements are identified at this step.

5.5 Engineering-Model Design Verification

The first engineering-model circuit is integrated with the test adapter and tested according to the requirements of the Functional Test Specification. Deviations between the test requirements and circuit performance are identified. The test results are analyzed to determine if the deviations are related to marginal circuit design, test adapter design, or unrealistic specification requirements. The system user is requested to evaluate the deviations between actual and specified performance with regard to required system performance and, when possible, to integrate the circuit into the next assembly level to verify system performance.

The engineering-model circuit performance should also be verified over the system operating temperature range. Temperature testing is particularly important for those applications requiring production testing at temperature. The test results are used to aid in establishing test limits at temperature.

The design verification is also used to perform any special tests such as design margin, limit testing, and noise testing.

5.6 Modification and Redesign

Modifying or redesigning the hybrid microcircuit may be necessary because of a system requirement change, adverse results from the design verification testing, or next-level integration testing. The circuit modification may or may not require a redesign of the circuit layout. A simple component change (transistor, chip capacitor, resistor, or, in some cases, an integrated circuit) may suffice to make a correction without a major layout revision. In most cases, layout changes are required when it is necessary to modify circuit interconnect patterns, add components, or change components that are different in physical size or number of pinouts.

In cases requiring a change in layout, the interconnect substrate may sometimes be altered by scribing conductor lines and using jumper wires to incorporate and verify the change. After being modified, the engineering model circuit is again subjected to design verification testing. When possible, the circuit is integrated into the next level to verify system performance.

Only when the system user is satisfied with the circuit performance should the changes be permanently incorporated into the layout, assembly drawing, and functional test specification, and the design change released.

6.0 SUBSTRATE PARASITICS

6.1 Capacitance Parasitics

In hybrid microcircuits, the ceramic used as the substrate material is the source of two types of parasitic capacitances:

1. Interelectrode capacitance: the capacitance between two conductors or resistors on the substrate (X-Y direction).
2. Capacitance to the case through the substrate (Z-direction).

Due to the high dielectric constant of alumina (8 to 10), parasitic capacitances can be large enough to present problems for some circuits.

The capacitance between two parallel plates is given by the equation:

$$C = \epsilon_0 \epsilon_r A/d$$

where, ϵ_0 = absolute permittivity of free space
 = 0.255 picofarads/inch
 ϵ_r = dielectric constant of the material between the plates.
 A = Area of the plates (square inches)
 d = Distance between the plates (inches)

This equation does not take into account fringing at the edge of the plates and assumes a large area (A) compared to the distance (d) between them. With some factor, this equation can be used to calculate the capacitance of a hybrid conductor to the case. In order to calculate the capacitance between two conductors on the substrate, the general equation must be modified. To do this, conformal mapping must be performed to transform these lines onto another plane. When these lines are mapped onto a plane where they are in the same configuration as a parallel-plate capacitor, the general equation can be used.

The *transformation equations* were first introduced by P. S. Castro and P. N. Kaiser in 1962.¹ The derivation is long and will not be presented here. A complete listing can be found in Reference 2. The result of the derivation is shown in the following, which gives the total capacitance between two parallel conductors or resistors.

$$C = \frac{\epsilon_o L}{2} \left[\frac{K(k_1')}{K(k_1)} + \epsilon_r \frac{K(k_2')}{K(k_2)} \right]$$

where :

$$k_2 = \frac{\tanh(\pi W_s / 4 d_s)}{\tanh \left[\frac{\pi (2W_L + W_s)}{4 d_s} \right]}$$

L = Length of line (inches)

ϵ_o = 0.225 pf /inch

W_s = Spacing between conductors (mils)

W_L = Width of conductor (mils)

d_s = Thickness of substrate (mils)

$k_1 = \dots$

$k_1 = W_s / (2W_L + W_s)$

$k_2 = R$

$K(k)$ = elliptical integral of the first kind

Through many laboratory measurements it was noticed that the measured values differed from the calculated values by a multiplication factor. This factor is due to the influence of the case on the lines of flux that are between the conductors. Some of the lines of flux terminate on the case, thus lowering the capacitance between the conductors. This factor approaches 1.0 as the line widths become large, which is reasonable because as the line-widths increase the equation approximates the general equation. From the data, a curve-fitting routine was used and a multiplication factor was derived. When the substrates were removed from the case, values calculated more closely approximated the measured values (Fig. 6).

$$\text{FACTOR } (F) = \frac{1}{1.2455 - 0.013146 W}$$

$$C = F \left[0.11225 \frac{K(k_1')}{K(k_1)} + \epsilon_r \frac{K(k_2')}{K(k_2)} \right] \text{ pf /inch}$$

Figure 7 shows the interelectrode capacitance for various line widths.

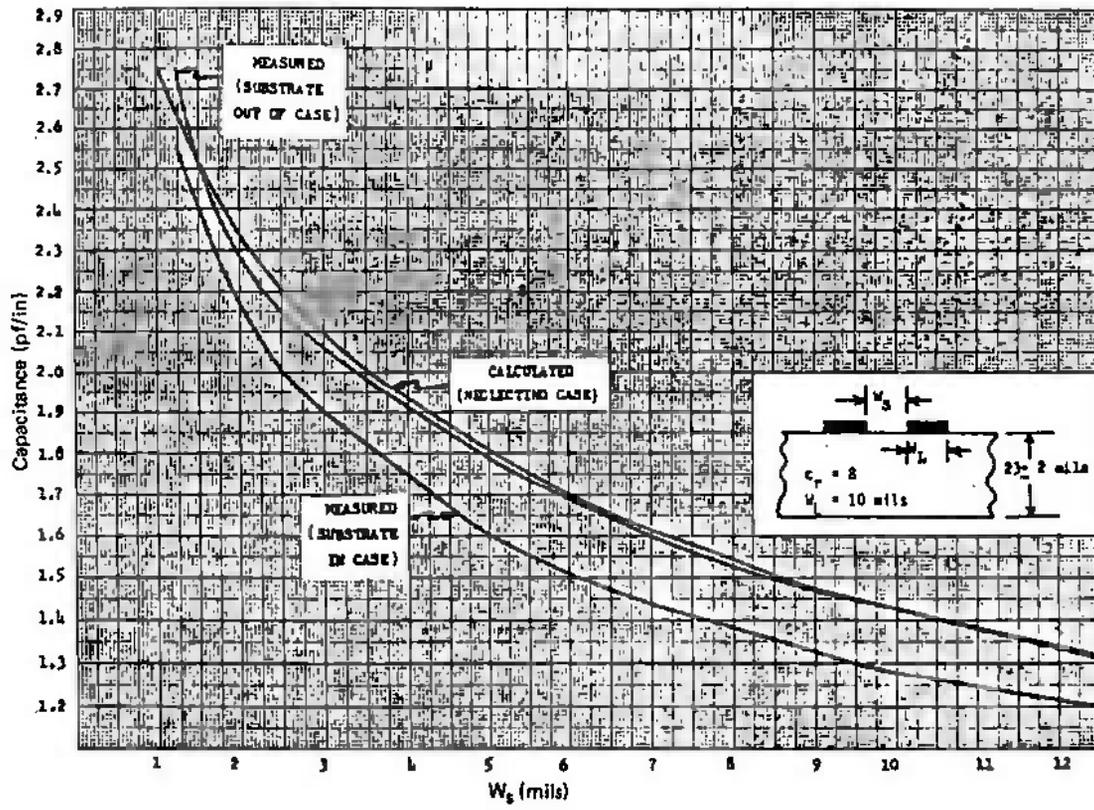


Figure 6. Interelectrode capacitance.

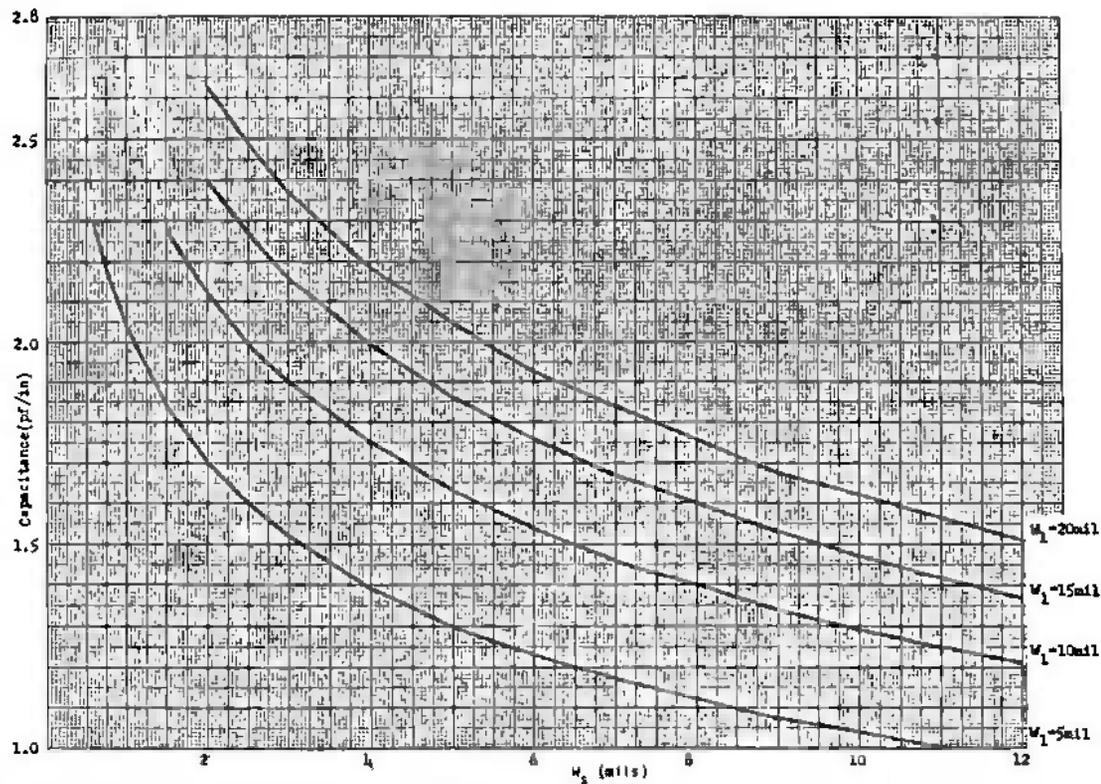


Figure 7. Calculated interelectrode capacitance with multiplication factor included.

6.2 Conclusions on Interelectrode Capacitance

From the equation and the curves the following conclusions are reached for the capacitance between two conductors on a substrate mounted in a metal case.

1. The capacitance is directly proportional to the dielectric constant of the substrate material and to the substrate thickness. Capacitance can be minimized by using a thin substrate having a low dielectric constant.
2. Capacitance varies directly with the line width. Minimum capacitance is obtained with narrow lines.
3. Thickness of the conductors does not affect the value of the capacitance as long as it is small compared with the other dimensions.

6.3 Capacitance Computer Program

A computer program was written to facilitate the calculation of the inter-electrode capacitance between two resistors or conductors. Listed below is an example of the output:

```
LINE WIDTH = 10 MILS, LINE SPACING = 10 MILS,
SUE3STRATETHICKNESS = 25 MILS, DIELECTRIC CON-
STANT = 8, INTERELECTRODE CAPACITANCE = 1.30
pt7inch
```

Program Description. A computer program was written which will calculate the interelectrode capacitance between conductor or resistor lines on a thin or thick-film substrate. The program was originally written in BASIC and run on a Tektronix 4050 series computer; however, this solution can easily be obtained by using a spread sheet program such as Microsoft Excel.

The data input to the program is: E , (dielectric constant of the substrate), W , (width of conductor or resistor), W_s , (spacing between conductors or resistors), and D_s , (thickness of substrate). When these parameters are input, the program will calculate the interelectrode capacitance of the resistor or the conductor lines.

It must be remembered that these equations were derived on the assumption that only two lines were present. If more lines are added, the capacitance between different pairs of lines are all connected in series. A listing of the program follows:

390 *Hybrid Microcircuit Technology Handbook*

```
4 GO TO 100
8 u=51
9 GO TO 460
12 GO To 660
100 REM*****S***S***t*****.
110 REM ****THIS PROGRAM CALCULATES INTERELECTRODE
CAPACITANCE
120 REM*****
130 REM*****+*****
140 PRINT "ENTER DIELECTRIC CONSTANT OF THE SUB-
STRATE"
150 INPUT E
160 PRINT "ENTER CONDUCTOR WIDTH(IN MILS)"
170 INPUT W1
180 PRINT "ENTER CONDUCTOR SPACING(IN MILS)"
190 INPUT W2
200 PRINT "ENTER SUBSTRATE THICKNESS(IN MILS)"
210 INPUT D
220 A=PI*W2/(4*D)
230 B=PI*(2*W1+W2)/(4*D)
240 C=(EXP(A)-EXP(-A))/(EXP(A)+EXP(-A))
250 X=(EXP(B)-EXP(-B))/(EXP(B)+EXP(-B))
260 K2=C/X
270 J2=(1-K2*K2)^0.5
280 K1=W2/(2*W1+W2)
290 J1=(1-K1*K1)^0.5
300 Y=K2
310 GOSUB 520
320 L3=L
330 Y=J2
340 GOSUB 520
350 L4=L
360 Y=K1
370 GOSUB 520
380 L1=L
390 Y=J1
400 GOSUB 520
410 L2=L
420 C1=0.11225*(L2/L1+E*L4/L3)
430 C2=1/(1.24551716264-0.0131467236078*W1)*C1
440 PAGE
450 U=32
460 PRINT @U:"LINE WIDTH= ";W1;" LINE SPACING= "W2
470 PRINT @U:"SUBSTRATE THICKNESS= ";D;"DIELECTRIC CON
STANT= ";E
```

```

480 PRINT @U:"JJ"
490 PRINT @U:"INTERELECTRODE CAPACITANCE (PF/IN)= ";
500 PRINT @U: USING "4D.2D";C2
510 END
520 REM *** SUBROUTINE TO CALCULATE COMPLETE ELLIPTIC
    INTEGRAL
530 GI=(1-Y*Y)"O.S
540 A1=1
550 A2=A1
560 T=A2*1.OE-4
570 A1=GI+A1
580 X1=A2-G1-T
590 IF X1< =0 THEN 630
600 G1=(A2*G1)"0.5
610 A1=0.5*A1
620 Go TO 550
630 L=P I/A1
640 RETURN
650 REM*****+*****+*****
660 REM ***** ROUTINE TG CHANGE DATA*****
670 REM*****+**++*****+***
680 PRINT "1..CHANGE DIELECTRIC CONSTANT"
690 PRINT "2.....CHANGE CONDUCTOR SPACING"
700 PRINT "3..CHANGE CONDUCTOR WIDTH"
710 PRINT "4..CHANGE SUBSTRATE THICKNESS"
720 PRINT "5 TO END DATA CHANGE"
730 PRINT "ENTER THE NUMBER OF CHANGE TO BE
    MADE";
740 INPUT U1
750 IF U1=5 THEN 220
760 Go TO U1 OF 860,830,800,770
770 PRINT "ENTER THE NEW SUBSTRATE THICKNESS ";
780 INPUT D
790 GG TO 680
800 PRINT "ENTER THE NEW CONDUCTOR WIDTH ":
810 INPUT W1
820 GO TO 680
830 PRINT "ENTER THE NEW CONDUCTOR SPACING ";
840 INPUT w2
850 GO TO 680
860 PRINT "ENTER THE NEW DIELECTRIC CONSTANT ";
870 INPUT E
880 Go TO 680

```

6.4 Inductive Parasitics[21

Any conducting path has a self-inductance associated with it. This inductance is a function of the geometry of the conducting path and the permeability of the surrounding material. In the absence of any magnetic material, the inductance becomes dependent only on the dimensions and the permeability of free space ($\mu_0 = 4\pi \times 10^{-7}$ henry/meter). The self inductance of a single, straight conductor line (see Fig. 8a) is:

$$L = \frac{\mu_0 L_L}{2\pi} \left[\ln \left(\frac{2L_L}{W_L} \right) + \frac{1}{2} + \frac{W_L}{3L_L} \right]$$

Eq. (1) **$L = 5.08 L_L \left[\ln \left(\frac{2L_L}{W_L} \right) + \frac{1}{2} + \frac{W_L}{3L_L} \right]$**

L_L is the length of the line

W_L is the width of the line

$w, \ll L_L$

The last term in Eq. 1 is usually much smaller than the rest of the terms and is often neglected. As the line length is made smaller, the inductance becomes smaller. In fact, the inductance per unit length decreases with decreasing length. As the line is made narrow, the inductance increases.

When another conductor is placed next to the first, there is a mutual inductance which comes into play. The mutual inductance between the two parallel lines (Fig. 8b) is:

Eq. (2)

$$LM = 5.08 L_L \left[\ln \frac{2L_L}{W_L + I\%} \left(1 + \frac{WL + WS}{LL} - \frac{1}{4i} \frac{w_1 + w_2}{LL} \right)^2 + \frac{1}{12} \frac{nh}{1 + S} \frac{in}{WL} \right]$$

W , is the space between conductors

$s, \ll r,$

$w, \ll L_L$

The last three terms of this equation are usually small and are often neglected. The mutual inductance decreases as the length decreases and the mutual inductance per unit length also decreases as the length decreases. As the width and space width decreases the mutual inductance increases.

When two conductors are in parallel with current flowing in the same direction, the mutual induction adds to the self-inductance of each line. The total inductance would then be the parallel combination of the two.

$$L_p = \frac{2(L_s + L_m)}{2} \quad \text{if: } L_s = L_m = L$$

Es. (3)

$$L_s = \frac{L_s + L_m}{2}$$

where: $L_s, L_m,$ and L are given by Eqs. 1 and 2.

When two conductors are connected in series (Fig. 5C) so that current travels in opposite directions, the mutual inductance will subtract from the self-inductance of each line.

$$\text{Eq. (4)} \quad L_s = L_s + L_m - 2L_m \quad \text{if } L_s = L_m = L$$

$$L_s = 2(L - L_m)$$

If the small terms in Eqs. 1 and 2 are neglected then added into Eq. 4, the total inductance of Fig. 5C becomes:

$$\text{Eq. (5)} \quad L_2 = \frac{\mu_o L}{\pi} \left[\ln \left(1 + \frac{W_s}{W_L} \right) + \frac{3}{2} \right]$$

$$L_s = 10.16L \cdot [\ln(1 + \frac{W_s}{W_L}) + \frac{3}{2}] \text{ nH/in}$$

L_o is length of each meander

This is the total inductance for a two-meander pattern of Fig. 5C: Since the logarithm term does not contain the line length, the inductance per unit length of path is independent of length.

The same procedure can be used on the three-meander pattern of Fig. 8d.

$$L_s = L_A + L_B + L_C - 2L_{A4} - 2LM(BCP2LM, A, \\ = 3L - 4LM + 2L_b = 3L - 4LM + 2L_b$$

where: $L_s = L_s = L_s = L$ and L_s is given by Eq. (2) with

$$W_i = W_s + 2W_s \text{ substituted in Eq. (2) for } W,$$

$$J-3. (6) \quad L_s = \frac{3\mu_o L_s}{2\pi} \left\{ \ln \left[\frac{(2L_s)^{1/3} \left(\frac{W_L + W_s}{2} \right)^{2/3}}{W_L} \right] + \frac{7}{6} \right\}$$

$$L_s = 15.24L_s \left\{ \ln \left[\frac{(2L_s)^{1/3} \left(\frac{W_L + W_s}{2} \right)^{2/3}}{W_L} \right] + \frac{7}{6} \right\}$$

This general procedure can be carried out to any number of meanders. The general equation for 'W' meanders, in series, is:

$$L_s = nL - 2(n-1)L_{s,j} + 2(n-2)LM - 2(n-3)L_{s,j} +$$

LM is mutual inductance between nearest neighbors

$L_{s,j}$ is mutual inductance between next nearest neighbors

where LM_u is Eq. (2) with $W_s = W_s, L_s = 2W_s + 3W_s,$

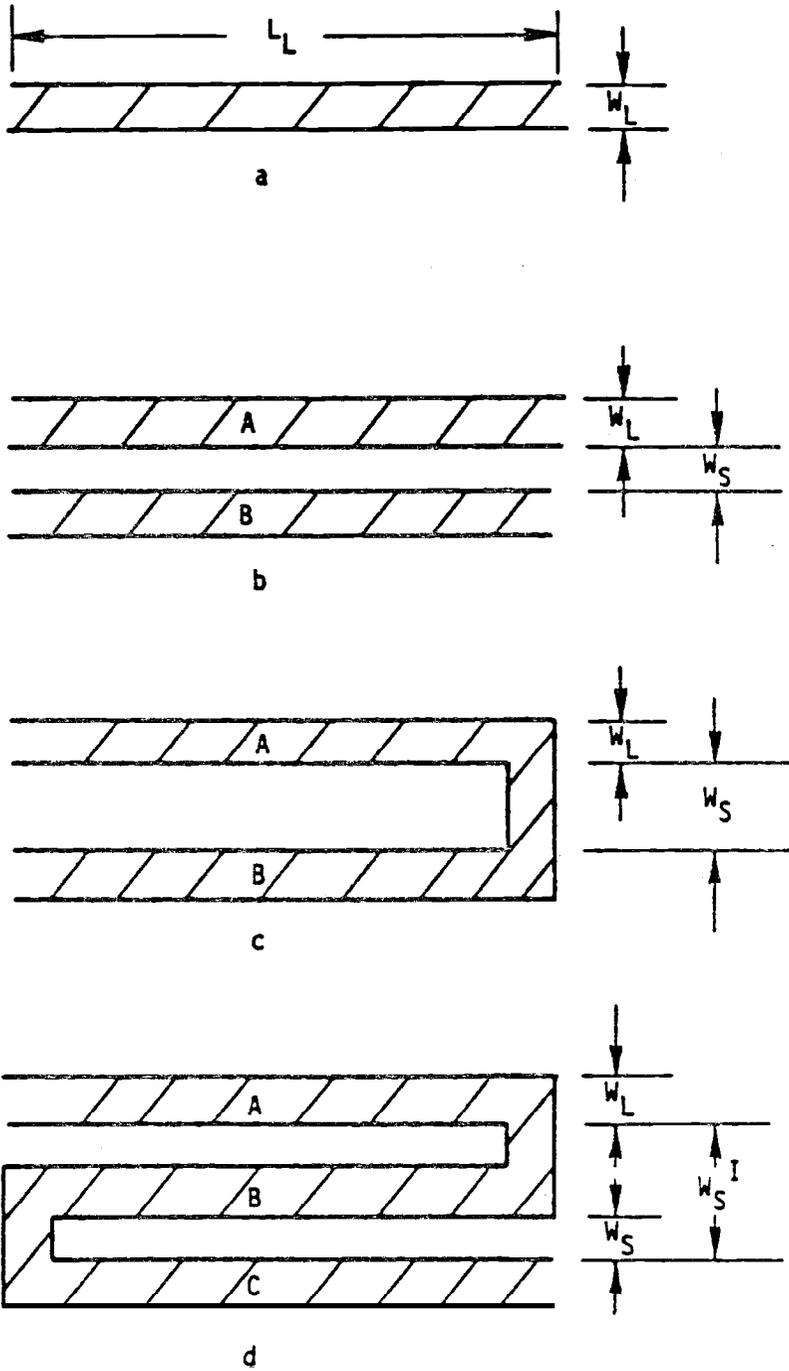


Figure 8. Inductance pattern configurations.

As the number of meanders is increased and more items are added, the smaller terms that were neglected now become significant, and errors will result if they are not accounted for. For this reason, a computer program was written which takes into account all the terms. Following are the computer results of various configurations along with curves which were drawn from this data. Conclusions concerning this section are listed after the curves.

Figure 9. This is a plot of self-inductance of straight conductors on a thin-film substrate (see Eq. 1). It gives a family of curves for different line width.

Figure 10. This is a plot of mutual inductance between two conductors (see Eq. 2), showing a family of curves for different line widths and line spacings.

Figure 11. This is a plot of total inductance (self and mutual) for a meandering pattern. These curves were drawn for a line width of 5 mils and a total length of the pattern equaling one inch.

6.5 Conclusions on Parasitic Inductance

The following conclusions can be drawn from the equations presented in this section:

1. The self-inductance of a straight conductor decreases as the line width increases, for a constant line length (Fig. 9). Therefore, a wide line gives less inductance than a narrow line.
2. For a constant line length (Fig. 10), the mutual inductance between two conductors decreases as the line width plus the line spacing increases,
3. Inductance is directly related to the length of the pattern, therefore, the inductance can be made smaller by placing components closer together.
4. The meander pattern is basically a noninductive pattern. It has less total inductance than its straight line counterpart.

In summary, inductance in a hybrid conductor can be minimized by making the pattern meander or making the conductor short.

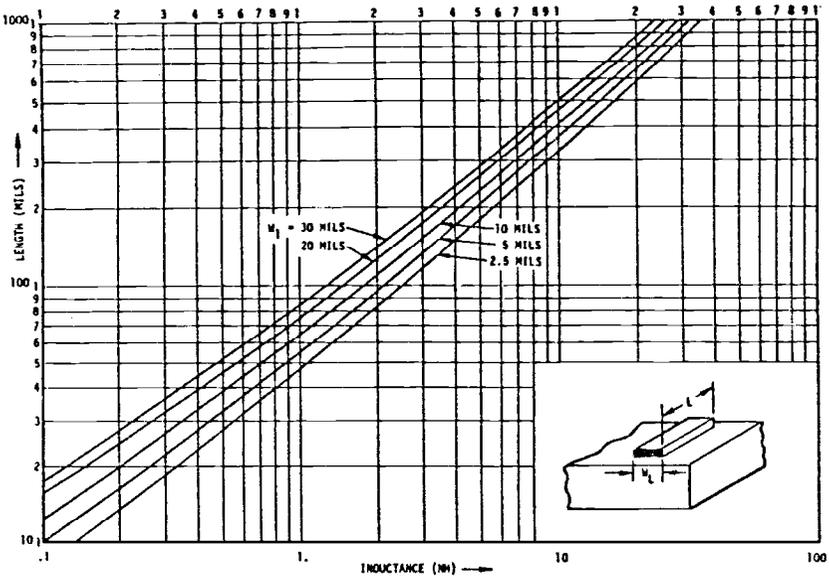


Figure 9. Inductance of straight conductors.

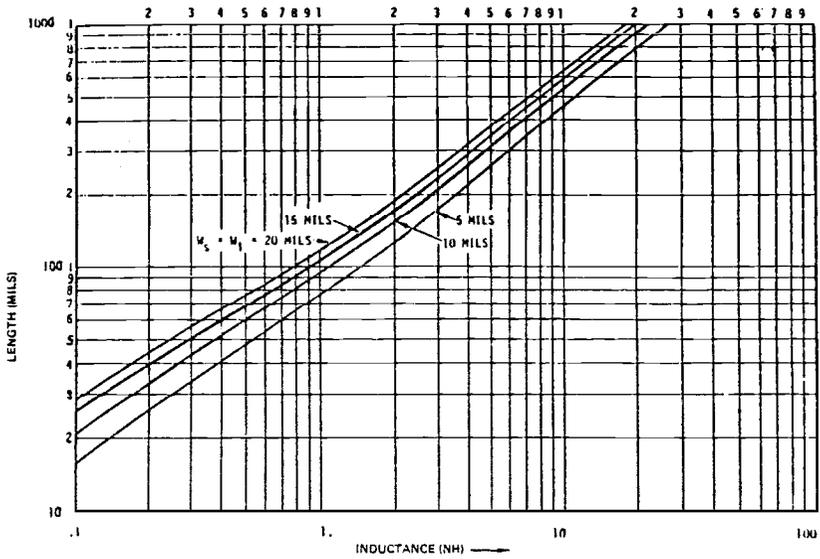


Figure 10. Mutual inductance between two parallel conductors.

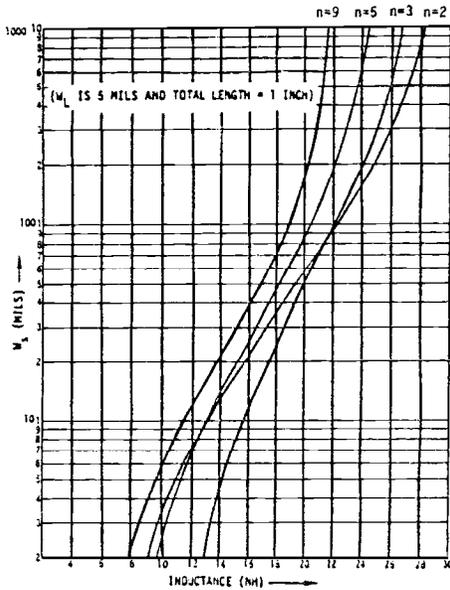


Figure 11. Total inductance of meandering resistor pattern.

7.0 THERMAL CONSIDERATIONS

Power dissipation is a major factor in the design of a hybrid circuit. Basically, a hybrid circuit must be designed so that the semiconductor devices are maintained at or below their maximum-rated temperature. Since most hybrid circuits are heat-sinked in some manner, the temperature of the heat sink plus the temperature rise from the heat sink to the junction of the semiconductors must be less than the maximum-rated device temperature.

Special processes and materials are employed for hybrid circuits having high power dissipation requirements. Alumina, which possesses good thermal properties, is the commonly used substrate material, but for high power-dissipating circuits beryllia is used because its thermal conductivity is seven times greater than alumina. Since beryllia is a toxic material if drilled or sanded, other nontoxic materials have been developed that have equal or better thermal characteristics. Aluminum nitride, for example, is 10 times better thermally than alumina and there are metal matrix materials such as mixes of copper and tungsten which are 6 times better. A new substrate material that is becoming available and receiving wide attention is diamond.

Chemical vapor deposited (CVD) diamond is an electrical insulator yet has thermal conductivity approximately 100 times greater than alumina. To further enhance the thermal transfer, large-geometry power devices may be attached to beryllia or alumina substrates with eutectic or solder alloys rather than with the conventional epoxy. There are three mechanisms by which heat can be transferred:[31[4] conduction, convection, and radiation.

7.1 Conduction

Conduction, which involves the intermolecular transfer of kinetic energy, is the main means of transferring heat in a solid. For any given temperature difference, more heat can be transferred by conduction than by either convection or radiation. Heat transfer by conduction is governed by Fourier's law, which states that the rate of heat flow through a material is directly proportional to the cross-sectional area of the material, proportional to the temperature difference across the material, and inversely proportional to the thickness of the material. A proportionality constant called the thermal conductivity is used in the equation. Therefore, the heat flow, or power dissipated, is equal to the area, times the temperature gradient, times the thermal conductivity, divided by the thickness.

7.2 Convection

Convection involves the transfer of heat through gaseous or liquid fluids. It is the main method of heat transfer between a solid and a fluid in contact with it; for example, a bare die and the surrounding air.¹¹ Power dissipation by convection is not as easily expressed as that by conduction. The rate of flow by means of convection is mainly a function of the surface area of the solid, the temperature difference between the solid and the fluid, the velocity of the fluid, and some inherent properties of the fluid.

7.3 Radiation

Thermal radiation involves the transfer of heat by electromagnetic waves. The rate of flow is directly proportional to the surface area and to the fourth power of the temperature.[61

Of these three thermal transfer mechanisms, conduction is most applicable to hybrid circuits.

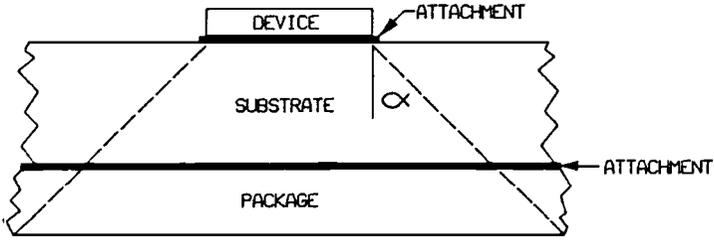
7.4 Circuit Design Thermal Criteria

The following criteria should be followed in designing a hybrid that requires a high heat dissipation:

- a. Use high-density alumina, beryllia, aluminum nitride, or diamond substrates (these have high thermal conductive properties).
- b. Power-dissipating devices should be positioned as closely as possible to heat sinks and evenly distributed over the substrate.
- c. Use large conductor area contacts to aid in power dissipation for film resistors with aspect ratios < 1 .
- d. Conductors should be as wide as possible.
- e. High thermal dissipaters should be at least 0.10 inch from the edge of the substrate.
- f. Use heat-spreading tabs to attach high-power, small-size transistors. These tabs may be gold plated Kovar or molybdenum.

The thermal impedance of the hybrid circuit structure may be calculated to determine the temperature rise from package base to device junction. Figure 12 is a representation of the thermal interfaces involved in the attachment of a device and the electrical analog of the heat path. Curves used to calculate thermal resistance are given in Fig. 13. The thickness of the epoxy joints is difficult to control in production, consequently, curves representing three thicknesses are given. The curve for 0.001 inch thick adhesive represents a structure containing a device bonded with 0.001 inch of silver-filled epoxy and a substrate bonded with 0.001 inch of nonconductive epoxy. As a rule of thumb, the 0.002 inch thickness curve should be used. These curves were generated based on a 0.010 inch thick die, 0.025 inch thick substrate, and 0.040 inch thick package base.

A matrix as shown in Table 4 provides materials data needed to calculate thermal resistance.



HYBRID THERMAL MODEL
(NOT TO SCALE)

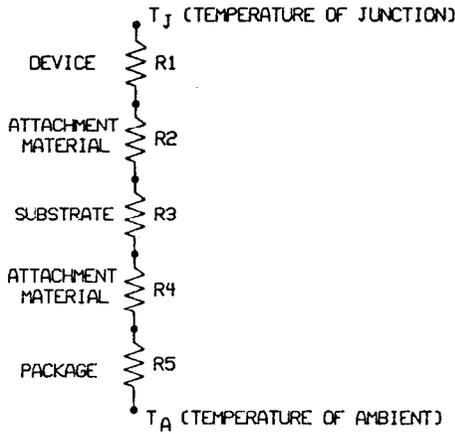


Figure 12. Thermal structure of device attachment.

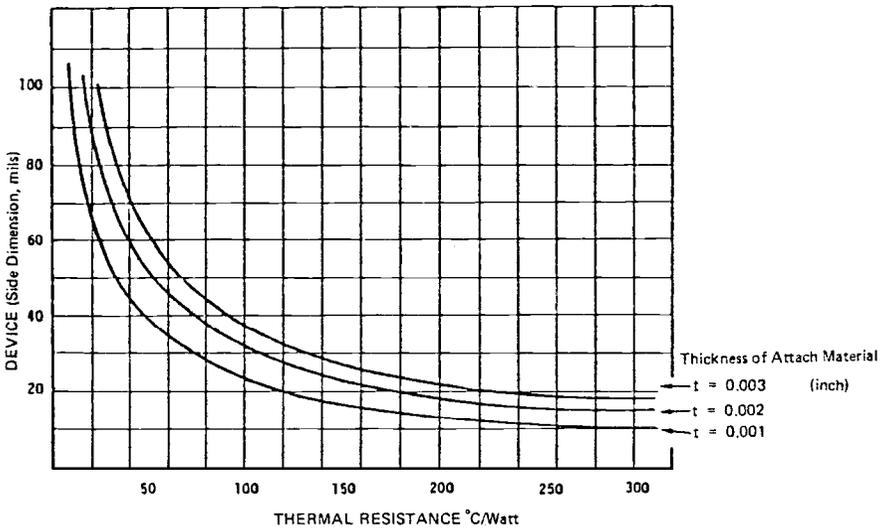


Figure 13. Curves for calculating thermal resistance.

Table 4. Thermal Properties of Materials Commonly Used in Hybrids^[3]

Material	Thermal Conductivity (watt/°C-in)*	Specific Heat(s) (cal/g-°C)	Mass Density (g/cm ³)
Silver	10.6	0.056	10.5
Copper	9.6	0.093	8.9
Au-Si eutectic	7.5	—	—
Gold	7.5	0.03	19.3
Beryllia	6.58	0.31	2.8
Aluminum	5.52	0.22	2.7
Molybdenum	3.9	0.066	10.2
Nickel	2.29	0.1125	8.9
Silicon	2.13	0.18	2.4
Silver/glass (die attach)	1.36	—	—
Alumina			
Co-fired)	0.37	—	—
94%	0.70	—	—
96%	0.89	0.21	3.7
99.5%	0.93	—	—
Solder (60-40)	0.91	0.04	8.7
Kovar	0.49	0.11	8.2
Thick-film dielectric	0.55	—	—
BeO filled epoxy	0.088	—	—
BeO filled RTV	0.066	—	—
Glass	0.04	0.20	2.2
Epoxy, silver filled	0.04	0.17	3.5
Epoxy, nonconductive	0.01	0.2	2.0

*Watt/°C-in = (0.0255) watts/m-K

Thermal Performance of a Semiconductor Device. The major characteristics affecting the thermal performance of a semiconductor device are:

- a. Device size
- b. Interconnection method (flip-chip, ball grid arrays, tab, wire bond, adhesive bond)
- c. Attachment material (filled epoxy, eutectic)
- d. Substrate material
- e. Device density
- f. Thermal interface with next assembly
- g. Package thermal design

The active area of typical semiconductor devices (transistors or diodes) range from 10 to 30 percent of the device plan area. Multi-junction devices such as ICs are assumed to have active areas 50 to 70 percent of the plan area.

Thermal Design. Advances in the density and complexity of integrated circuits have resulted in the need to dissipate more power and heat. If the heat is not efficiently removed the life expectancy of a device is shortened. As the junction temperatures of semiconductor devices increase above 125°C there is an increasing risk of thermal runaway.

To assure reliability, the thermal impedance of the hybrid structure should be calculated. For this calculation the following parameters are required:

1. Maximum allowable junction temperature of the die (T_j).
2. Maximum power dissipation (P_D).
3. The system ambient temperature (T_A).
4. Thermal conductivities of all materials used in the system (K).

The total thermal resistance of a structure is calculated by summing all the individual thermal impedances, much the same way as an electrical circuit is analyzed. Thermal heat (h) flows down a length (X) of cross-sectional area (A), analogous to a current (I) flowing down a resistance (R) of length (L). In fact, there are many analogies between thermal and electrical mechanisms as shown in Table 5.

Table 5. Thermal Parameters and Their Electrical Analogs

Thermal Symbol	Thermal Parameter	Unit	Electrical Symbol	Electrical Parameter	Unit
ΔT	temperature difference	°C	V	voltage	volt
h	heat flow	calories	I	current	amp
θ	thermal impedance	°C/watt	R	resistance	ohm
γ	heat capacity	watt-sec/°C	C	capacity	farad
K	thermal conductivity	cal/sec-cm-°C	σ	conductivity	mho
Q	quantity of heat	calories	q	charge	coulomb
t	time	second	t	time	second
$\theta\gamma$	thermal time constant	second	RC	time constant	second

The one major difference between the thermal and electrical units is that Q is in units of energy, whereas q is simply a charge. Hence h is in units of power and may be equated to an electrical power dissipation.

Derivation of the Thermal Equations. Fourier's law of Heat Transfer by Conduction states: *The rate of heatflow equals the product of the area normal to the heatflowpath, the temperature difference along the path, and the thermal conductivity of the material.* Therefore

$$dq/dt = -Kil(dT/dx)$$

where dq/dt is the power (P), expressed in watts, K is thermal conductivity, and T is temperature. To determine the thermal resistance, the above equation is integrated.

$$P \int_0^x dx = -KA \int_{T_2}^{T_1} dT$$

$$PX = -KA[T_1 - T_2] = -KA[T_1 - T_2]$$

$$PX = KAAT$$

$$PX/KA = AT$$

If the thermal resistance (θ) is expressed as $\theta = X/KA$ an expression is obtained that relates power dissipation to the temperature difference. Therefore:

$$PB = AT$$

If the path that the heat travels is short compared to the heat source dimensions, the above equation applies. However, as the path-length increases, heat will spread out laterally (Fig. 14). The above equation must then be modified to take into account this thermal spreading which lowers the thermal resistance compared to a straight-line path.

The thermal equation then becomes:

$$\theta = 1/K \int_0^x dx / A$$

where A is a function of x and equal to the surface area that the heat traverses at any cross-section. The equations that govern thermal spreading are very complicated. A simplification assumes that the heat spreads at a 45 degree angle corresponding to a truncated pyramid.

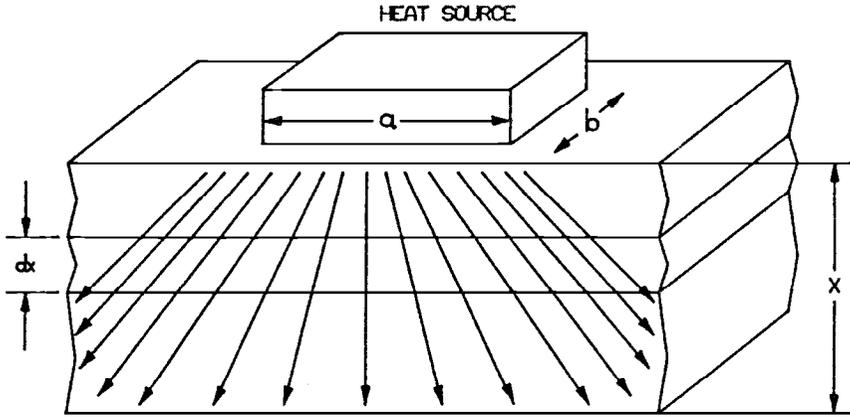


Figure 14. Heat source spreading.

Square-Shaped Heat Source ($a = b$).

$$\theta = \frac{1}{K} \int_0^x \frac{dx}{A} = \frac{1}{K} \int_0^x \frac{dx}{(a+2X)^2}$$

$$\theta = \frac{1}{K} \left[\frac{-1}{2(a+2X)} \right]_0^x$$

$$\theta = \frac{1}{K} \left[\frac{-1}{2(a+2x)} + \frac{1}{2a} \right]$$

$$\therefore \theta = \frac{x}{Ka(a+2x)}$$

Rectangular-Shaped Heat Source (See Fig. 15). This is the case most often encountered in hybrid thermal analysis.

$$\theta = \frac{1}{K} \int_0^x \frac{dx}{A} = \frac{1}{K} \int_0^x \frac{dx}{(a+2X)(b+2X)}$$

$$\theta = \frac{1}{K} \left[\frac{1}{2a-2b} \right] \ln \left(\frac{b+2x}{a+2x} \right) \Big|_0^x$$

$$\therefore \theta = \frac{1}{2K(a-b)} \left[\ln \left(\frac{a}{b} \right) \left(\frac{b+2x}{a+2x} \right) \right]$$

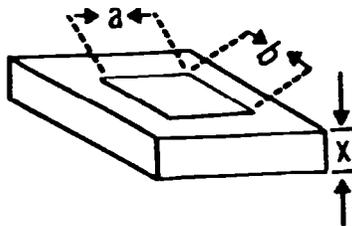


Figure 15. Rectangular shaped heat source.

Circular-Shaped Heat Source. If the heat source is in the shape of a circle (Fig. 16), the same principles apply to heat spreading. The equation that takes into account the change in geometry is:

$$\theta = x / [K\pi(a^2 + aX)]$$

These equations are first-order approximations and only take into account one device. If a more detailed analysis is required one of the computer programs listed at the end of this section should be used.

The “2x” term in the equations is based on the assumption that the heat spreads at a 45° angle. To change that angle one must add (tan α), the angle from the perpendicular line from the device to the heat-flow lines (Fig. 12). Thus, to change the angle of spread from 45°, the “2x” term should be replaced with (2x)(tan α).

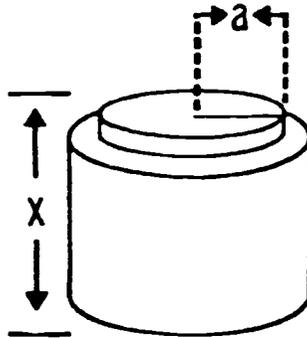


Figure 16. Circular shaped heat source.

7.5 Thermal Analysis Computer Programs

There are many thermal analysis programs on the market to choose from. These range from the simple programs that make use of the equations discussed in the previous sections, to very elaborate programs that take into account air flow, using fluid dynamics. Most of the programs concentrate on heat transfer based on conduction within a single component, using the angle of spread to predict the influence of near heat dissipaters. These programs ignore the cooling air around the component. The major drawback of many thermal-analysis programs that are integral with computer aided design (CAD) systems is their inability to take into account air flow and heat sinking around the package.

Some of the major CAD vendors have recognized this limitation and are now offering links to third-party computational-fluid-dynamics software. The following is a list of CAD vendors that have these links.

Vendor	CAD Tool Platform	Thermal Analysis Tool		
		--Component Level -- -- System Level (MCM's)--		
Cadence Design Systems	Design Framework II	Thermax Designer	Thermax Expert	Flotherm
Mentor Graphics	Falcon		Autotherm	Autoflow
Racal-Redac	CAD Expert	Floorplanner 2000	Thermal Analysis Tool	Flotherm

These computer programs tend to be expensive; however, some can be run on a personal computer as opposed to a workstation. Programs are also available that are not currently supported by CAD system.

The following is a list of companies that supply thermal analysis tools. This is not intended to be complete because additional systems are regularly introduced.

CHAM, Wimbledon, UK

Dynamic Soft Analysis, Pittsburgh, PA

Flomerics, Flomerics, Inc., Westborough, MA

Cadence Design Systems, San Jose, CA

Mentor Graphics, San Jose, CA

Racal-Redac Systems, Tewksbury, UK

Parametric Technology, Waltham, MA

7.6 Thermal Testing

The thermal performance of a hybrid may be evaluated by means of thermographic testing or computer simulation.

1. An instrument such as the UT1 thermal imaging system or the Hughes Probeye thermal video system can be used to evaluate a hybrid. More discussion of these systems is given in Chapter 8.
2. Computer simulation of a hybrid can be performed using existing CAD software listed above or TXYZ, a program available from the National Bureau of Standards.

8.0 LAYOUT GUIDELINES COMMON TO BOTH THICK- AND THIN-FILM HYBRIDS

The need to produce a low-cost system requires that hybrids be economical to produce. Innovative steps must be taken at the circuit design and layout phases to simplify fabrication, assembly, and testing. The following procedure applies to both thick- and thin-film hybrid technologies.

1. Analyze and redraw the circuit schematic to eliminate or minimize the number of crossovers, to position the external leads at the substrate edge, and to place all components in the relative positions they are to occupy. All power components should be evenly distributed and input and outputs well separated.
2. Determine size and configuration of all deposited resistors.
3. Locate all key elements.
4. Locate external-lead bonding pads; usually a package master outline is used.
5. Locate other circuit elements and draw interconnect patterns.
6. Orient all chip elements, circuit-element characteristics, trimming direction, and materials and processes necessary to fabricate the design.
7. Place conductors parallel to the edges of the substrate wherever possible.
8. Keep conductors as short and wide as possible to minimize added circuit resistance, stray capacitance, and increases in TCR, particularly when terminating low-value resistors and for ground or transistor collector paths.
9. Design wire bond pads for bonding from the substrate to the package pinouts to be 0.015 x 0.015 inch minimum. Wire bond pads for substrate-to-device and substrate-to-substrate jumpers shall be 0.012 x 0.012 inch minimum (0.015 x 0.015 inch preferred).
10. Avoid running circuitry beneath discrete parts such as chip capacitors.
11. If needed, design test points to be 0.015 inch square pads and offset to prevent damage to conductors when probing (internal test points are provided only if the package is pin limited).
12. After the layout is completed, number the components (R1, R2, Q 1, Q2, etc.) on the layout from left to right, then transfer the designations to the schematic. This helps locate a component on the substrate during rework and troubleshooting.

8.4 Assembly Aids

Assembly aids are designed into the layout, where space permits, and consist of bond site locators and chip orientation locators. This aid takes the form of a half-circle for wire bond sites. Whenever size permits, the part number shall be added to the layout.

8.5 Device Placement

1. Semiconductor devices are attached to metallized pads on the substrates. The normal pad potential necessary for proper device operation is listed below. Any uncertainty about pad potential can be resolved by consulting the specific device manufacturer.

PMOS-most positive chip supply

COSMOS-isolated

Transistors-collector contact

Diodes-cathode contact

Op-Amps-most negative chip supply

TTL-isolated

ECL-most negative chip supply

These should be considered as guidelines, but some changes may be required depending on the sensitivity of the circuit design.

2. Components of the same type should be oriented in the same direction where possible to reduce errors during assembly.
3. All die should be oriented square with the edges of the substrate.
4. Die-pad dimensions for epoxy die attach shall be equal to the maximum die size, plus 0.005 inch on a side, with a 0.0 IO-inch minimum clearance between pad edge and adjacent circuitry when the electrically conductive epoxy is applied by screen printing. If the epoxy is manually applied, the die-pad dimensions for die greater than 0.05 inch on a side shall be the maximum die size plus 0.010 inch on a side. A tab opposite pad 1 of an integrated circuit

die can be included for die orientation purposes, where possible.

5. For eutectic die attach, the pad dimensions shall be 0.020 inch longer along each edge than the maximum dimension of the die. Where space permits, the die-pad area should be large enough to accommodate two die to facilitate rework
6. The minimum dimensional requirements to install chip capacitors are shown in Fig. 18. Use more liberal pad area wherever available. Generate the layout using maximum capacitor size as specified in the vendor's catalog.
7. The minimum spacing between integrated circuit die should be 0.040 inch.
8. Unused inputs to devices should be connected as specified on the applicable circuit diagram.
9. Transistors should be positioned for unidirectional wire bonding whenever practical. Figure 19 illustrates acceptable bonding directions.

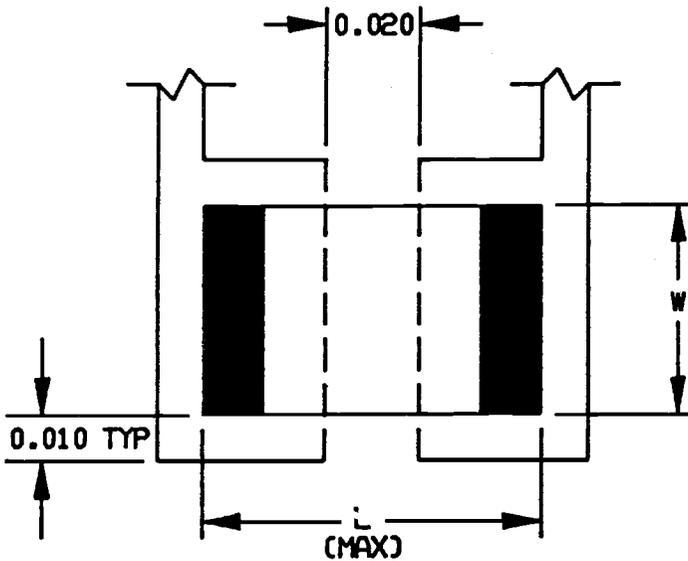


Figure 18. Chip capacitor layout criteria.

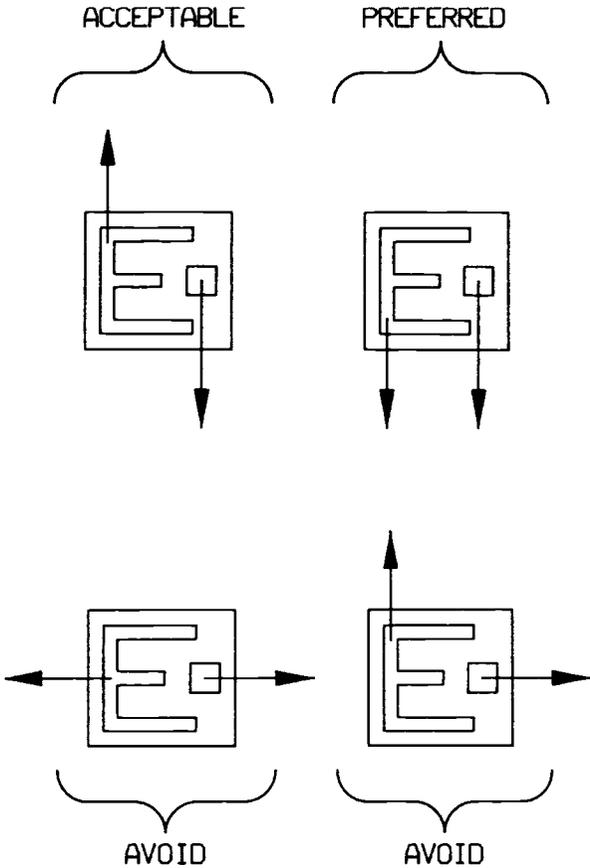


Figure 19. Transistor wire bonding directions.

8.6 Wire Bonding Guidelines

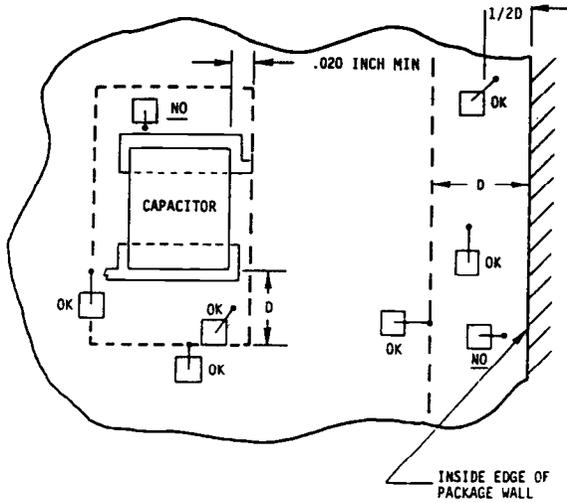
Circuit connections to chip components are generally (either gold or aluminum) made by wire bonds. Gold wire 0.0015 inch in diameter is typically used for substrate-to-substrate jumpers and substrate-to-package pin-out connections. Gold or aluminum wire 0.001 inch in diameter is typically used to make connections from chip components to thin-film substrates. Gold wire 0.001 inch in diameter is typically used to make connections from chip components to thick-film substrates. Both types are either ultrasonically or thermosonically bonded. Table 6 lists the conductive characteristics of the wire bonds. See Chapter 7 for a detailed discussion on wire bonding.

Table 6. Wire Bond Characteristics

Wire Type	Size (in)	Current (ma)	Rated Resistance (ohm/in)	Fusing Current	Single Bond Resistance (m Ω)		
					To Thick Film Au	To Thin Film Au	To Device Aluminum
Gold	0.0015	400	0.52	1.0 amp	—	—	—
Gold	0.001	250	1.20	0.5 amp	5	45	45
Aluminum	0.001	200	1.50	500–600 ma	20	30	60

Following is a list of guidelines that should be followed for wire bonding:

1. Wire bond lengths shall be 0.100 inch maximum, measured point-to-point on the layout.
2. The wire-bonding-machine operating clearance determines how close a wire bond can be made to relatively high components (package wall, chip capacitor, inductor, etc.). When the bonding direction is perpendicular to such a component, the bond site must be as shown in Fig. 20. The minimum distance can be significantly reduced by bonding the wire at an angle to the wall. All wires should be laid out parallel to the package walls if possible.
3. Substrate bond sites for die-to-substrate wire bonds shall be a minimum of 0.020 inch from the edge of the die.
4. Wire bonding from die to die should not be permitted; all device wire bonds must terminate on the substrate in order to allow probing any circuit node for fault isolation.
5. Only one lead may be bonded to a die pad; stitch bonding is not permitted.
6. Crossing over die with flying leads should not be permitted.
7. Wire bond sites should have a minimum dimension of 0.010 inch square.



Minimum dimension "D" is established for bonding directly (perpendicular) toward a device or package wall.

Capacitor: "D" varies according to the capacitor height.

If height = 0.040 inch	D = 0.070 inch
= 0.050 inch	D = 0.085 inch
≥ 0.060 inch	D = 0.100 inch

Package: "D" varies according to the package wall height.

If height ≤ 0.040 inch	D = 0.100 inch
≥ 0.126 inch	D = 0.360 inch

Figure 20. Wire bonding guidelines.

8.7 Preferred Processes and Materials

There are certain processes and materials that have proven to be the best in a production environment. The following lists the processes and materials that yield a producible hybrid\MCM assembly.

- (a) Package Kovar Metal, Plug-in, Unibody, gold-plated over nickel or integral lead ceramic
- (b) Cover Kovar Metal, Stepped, goldplated over nickel

416 *Hybrid Microcircuit Technology Handbook*

(c) Substrate	Alumina, Al ₂ O ₃ , (0.025" thick)
(d) Substrate Attachment	Electrically Nonconductive Epoxy
(e) Device Attachment	Electrically Nonconductive Epoxy Electrically Conductive (Silver)
(t) Capacitor Attachment	Electrically Conductive Epoxy
(g) Interconnection	Gold Wire, Alumirmm Wire
(h) Joining Process	Thermosonic or Ultrasonic
(i) Preseal Screen	Nondestructive wire bond pull
(j) Seal	Seam Seal, no preform
(k) Post-Seal Screens	Gross Leak, no pressure Stabilization Bake (24 hours 125°C) TemperatureCycling(-55 to+ 125°C, 10 cycles) Centrifuge (5000 g's, one minute) Hermeticity, Pressure fine and gross Burn-in (160 hours 125°C)

As with any product, there are exceptions to the preferred processes and materials. For example, if the hybrid dissipates high power it could consist of the following: copper, molybdenum, or AlN package, copper-alloy case leads, copper wire, beryllia or AlN substrate, direct bonded copper metallization on substrate, and solder or eutectic attached devices and substrate.

Things to Avoid in Processes and Assemblies-Past experience has shown that certain assembly processes and/or materials should be avoided or used with caution. Among these are:

- (4) Components that are piggybacked (mounting one device on another).
- (b) Conductive epoxy to make electrical connections, except on backside-contact devices such as transistors, diodes, and terminals on chip capacitors.
- (4) Silver-filled epoxy on aluminum metallization.
- (4) Conductive epoxy as a conductor; for example, don't use epoxy in place of a wire bond, especially in RF circuits.
- (4) Conductive epoxy to make the electrical connection on a coil. Coils should be microgap welded to the substrate. Epoxy can then be used for mechanical strength.

- (9) Solder and flux in a hybrid. Use vacuum solder system to avoid flux.
- (s) Tin-lead solder in a hybrid that also contains a gold-aluminum interconnection system.
- 04 Organic potting or coating materials in a hermetically sealed package. However, Parylene may be used as a coating and properly cured polyimides may also be used as coatings or as interlayer dielectrics for multichip module substrates.
- (9) Using cased devices inside a hermetic hybrid package. However, in some high-frequency circuit applications cased devices are unavoidable.
- (i) Hybrid packages that have nonstandard lead centers.
- (k) Packages that have leads on all four sides, unless provisions have been made for thermal transfer.
- (l) Metal packages that use glass-to-metal seals with many (>80) leads since the more leads there are, the greater the chances of a glass bead leaker. Use ceramic integral-lead packages where possible.
- Cm) Wire bonding from one component to another.
- (4 Wire bonding between tall components.
- (0) Wire bonding directly into a tall component (device or package wall).
- (P) Bimetallic interconnections, e.g., aluminum wire on thick-film gold metallization, especially in a non-hermetic package.

Design and Development-In order to assure that a design is producible in a production environment, the following steps should be taken.

- (a) Follow design guidelines.
- (b) Hold a design review with designers and packaging personnel. There should be a preliminary and a final design review held.
- (c) A design review checklist should be used when reviewing the layout.
- (d) A flow chart should be developed showing the hybrid process flow.

Military Specifications-The military specifications that apply to hybrids are listed below.

MIL-PRF-38534	Military Specification, Hybrid Microcircuit, General Specification for
MIL-STD-883	Test Methods and Procedures for Microelectronics

However, at this writing the Secretary of Defense has stated that military specifications shall be performance specifications and the details of fabrication left to the hybrid/MCM supplier.

9.0 **GUIDE TO HIGH-PERFORMANCE HYBRID/MCM/ PACKAGE DESIGN**

9.1 **General**

1. Use as small a package as possible.
2. Use materials with low dielectric constants.
3. Incorporate decoupling components in the substrate/package, however, decoupling at every die is not required.
4. Perform 100% incoming material screening, such as bondability tests.

9.2 **Signal Lines**

1. Control the line impedance.
2. Keep uncontrolled lines as short as possible (wire bonds and package leads).
3. Place signal lines between ground planes in a stripline construction to reduce cross-talk.
4. Maintain maximum spacing between lines to minimize cross-talk.
5. Minimize the number of right angle bends in signal lines which will cause reflections.
6. Connect terminating resistors as close to the end of the lines as possible.

7. Avoid unterminated branches of signal runs which will cause reflections.
8. Confine each high-speed signal line to one layer.
9. Locate the input/output chips near the hybrid package I/O pins.
10. Run parallel ground lines along critical signal lines for shielding.
11. For critical timing, minimize differences in the signal-line lengths to reduce the propagation delay difference.

9.3 Power and Ground Planes

1. Lay out power and ground pins symmetrically.
2. Balance the number of power and grounds to the number of signal lines.
3. Provide power and ground planes to reduce inductance and resistance to the pins.
4. Connect the die-attach area to the most negative potential plane.
5. Allow for extra power and ground bonds.
6. Evenly distribute numerous voltage and ground I/O connections on the hybrid substrate.

Interconnection-These items should be followed in order to lower the inductance of the interconnect.

1. Keep wires short.
2. Use lower loop heights.
3. Use ribbons for bonding.
4. Use parallel wires.
5. Eutectically attach medium power and RF devices to molybdenum tabs before attaching to substrate.
6. Keep emitter leads short on RF devices.
7. Maintain a monometallic wire bonding system, especially at die level.
8. Use redundant wire bonds on electrolytic capacitors in addition to attaching with silver-filled epoxy.

9. Use special package design if electrical current exceeds 5 amps.

9.4 Substrate and Conductor Material

Some important characteristics of substrate materials are:

1. Surface finish
2. Loss tangent
3. Dielectric constant
4. Thermal conductivity

Other preferred process:

5. Electroplated gold components must be traceable to the plating rack, time they were electroplated, and all processing parameters.
6. Use aluminum nitride instead of beryllium oxide, due to toxicity issue.
7. Use diamond substrates when you need thermal dissipation that is 4 to 5 times greater than that of BeO and a dielectric constant of 5.6 or less that is stable from 45 MHz to 20 GHz.

10.0 EQUATIONS

For a multilayer hybrid, the following equations apply.

Characteristic Impedance-For a multilayer hybrid, the characteristic line impedance can be calculated from:

$$Z_0 = \frac{87}{\sqrt{(\epsilon_r + 1.41)}} \ln \frac{(5.98 \times h)}{(0.8 \times W + t)}$$

- where
- W = Conductor width (inches)
 - t = Conductor thickness (inches)
 - h = Dielectric layer thickness, between conductor and ground plane (inches)
 - ϵ_r = relative dielectric constant of the material

11.0 CROSS-TALK

The ratio of cross-talk between two parallel microstrip conductors can be calculated to a good approximation using the following relationship.

$$\frac{V_{Pickup}}{V_{signal}} = \ln \frac{2.303 \pi y z F}{\ln h / a}$$

- where h = the dielectric thickness
- d = the line separation from the center point of each line
- a = 0.00275 inch

12.0 SIGNAL LINE CAPACITANCE

$$C = \frac{E_r E_0 A}{h}$$

- where E_r = relative dielectric constant of the material
- E_0 = permittivity of free space (2.2490 x 10⁻¹³ farads/inch)
- A = signal line area in square inches
- h = dielectric thickness in inches

13.0 SIGNAL-LINE INDUCTANCE

$$L_o = Z_o^2 C_o$$

- where Z_o is in ohms
- C_o is in farads

14.0 MICROSTRIP PROPAGATION DELAY

$$T_p = 1.017 (0.475 \epsilon_r + 0.67)^{1/2} \text{ ns/ft}$$

MATERIAL	ϵ_r	T_p (ns/ft)
SiC	40	4.51
Al ₂ O ₃	9	2.26
Low ϵ_r -Glass Ceramic	5.6	1.86
Epoxy Material	4.5	1.70
Polyimide	3.2	1.50
Teflon-filled glass	2.9	1.45

15.0 TYPICAL MATERIAL THICKNESSES

MATERIAL	THICKNESS (μm)	RESISTANCE MILLIOHMS/SQUARE
THIN-FILM GOLD CONDUCTOR (DEPOSITED)	1	20
THIN-FILM GOLD CONDUCTOR (PLATED)	5	5
THICK-FILM GOLD CONDUCTOR	10 - 50	3
LTCC GOLD CONDUCTOR	10 - 50	3
THICK-FILM DIELECTRIC	10 - 50	--
MCM-D POLYIMIDE DIELECTRIC	5 - 25	--
CERAMIC SUBSTRATE	625	--
SILICON SUBSTRATE	500	--

16.0 THICK-FILM MATERIALS AND PROCESSES DESCRIPTION

Thick-film circuits utilize screen-printed and fired conductors, resistors, and insulators on ceramic substrates. They are considered to be relatively rugged, have excellent power dissipation capability, and possess good operational characteristics. Two key advantages of thick-film over thin-film circuits are the capability of multilayering thick film to give high-density interconnects with z-direction conductor vias and the ability to apply a wide range of resistor values well into the megohm range.

Design guidelines generally tend to deal in terms of maxima or minima, but usually the optimum design is not based on either. For example, for a 1 x 1 inch substrate which utilizes 0.020 inch-wide conductor lines and 0.020 inch-wide spaces, yields are close to 100 percent and the labor costs extremely low. However, for a 2 x 2 inch substrate which utilizes multilayer 0.0075 inch-wide conductor lines, 0.0075 inch-wide spaces, and numerous resistors, yields are lower, perhaps by 20 percent, and labor costs increase, sometimes by an order of magnitude. On a practical basis, the preferred minimum line width and spacing is 0.010 inch.

The following sections contain design guidelines which have been developed for a variety of situations. Naturally, each packaging layout contains its own constraints and, in these cases, the guidelines must be modified. These guidelines are intended to be compatible with the requirements for automatic resistor trimming and automatic wire bonding.

16.1 Thick-Film Substrates

Normally, thick-film circuits are fabricated on substrates made from 96 percent alumina (Al₂O₃). The 96 percent-alumina content represents only the nominal percentage of alumina, with the actual content varying from slightly under 95 percent to slightly over 97 percent, depending on the supplier. All paste manufacturers use 96 percent-alumina substrates to check out their pastes, unless specifically requested to do otherwise. The 96 percent-alumina substrate is compatible with most thick-film pastes, has a high flexural and compressive strength, and good thermal conductivity—approximately one-seventh the thermal conductivity of aluminum. Where greater thermal dissipation is required, 99.5 percent beryllia or aluminum nitride should be used because of their higher thermal conductivities (about the same as aluminum).

Substrates are available in either the “as-fired” condition or with ground surfaces. Substrates with all surfaces ground may cost up to five times as much as “as-fired” substrates, but with dense, fine-line circuitry, high resistor density, or because of system packaging considerations, grinding may be necessary, at least on the surface side to be screen printed.

A more detailed discussion of properties of thick-film substrates may be found in Chapter 2.

Substrate Holes and Machining. When required, the substrate supplier may install holes in the substrate prior to firing and delivery; the holes are punched into the “green” ceramic before firing. After firing, holes may have changed their relative position from a base line by as much as ± 1 percent. For example, two holes located two inches apart before firing of a “green” ceramic could have the relative spacing between them change by as much as 0.040 inch. This change in relative position is caused by material shrinkage during firing, which is difficult to control uniformly across the substrate. For a premium, most suppliers will supply to $\pm 1\%$ percent tolerance on hole locations, depending on the configuration of the particular part. Hole diameters may vary ± 10 percent except that the maximum tolerance should not exceed 0.005 inch. Holes with better dimensional tolerance can be produced by drilling the fired ceramic using a diamond drill, a CO₂ laser, or an ultrasonic tool.

Diamond Drills. Diamond drills are relatively expensive and tend to degrade quickly. Small-diameter diamond drills are usually of solid construction and the diamond tips break off rather easily. Above 0.040 inch diameter, diamond core (hollow) drills that have a longer life are used. Obviously, tool life and thus cost per hole is also a function of the depth of the holes (thickness of substrate) being drilled.

Ultrasonic Drilling. When an ultrasonic tool is used to drill fired ceramic the costs are only slightly less expensive than when a diamond drill is used. However, when a large number of holes is required, or when precision hole locations (± 0.005 inch) are necessary, the use of a multiple drill head on an ultrasonic tool is a good method. For example, 25 to 30 holes can be drilled through 0.020 inch alumina in approximately 30 seconds with a single head machine, while 200 holes can be drilled through 0.050 inch-thick alumina in 3 minutes using multiple heads. Because of expensive tooling costs, using multiple head ultrasonic drilling is economical only for high production runs.

Laser Machining and Drilling. Laser machining and hole drilling have been used successfully to fabricate interconnect substrates requiring numerous through-holes. Laser-scribed substrates are commonly used so that several patterns can be processed at one time (“multiple” ups).

The drilling of holes in a ceramic substrate with the use of a laser takes place by thermal and photochemical methods. The wavelength determines the method. A CO₂ laser is the primary laser used in drilling and machining of ceramic substrates, which can be set with a 0.004 inch beam. In order to drill holes in thin ceramic (0.005 and 0.010 inches) a “Q-switched” low power Nd:YAG laser must be used. The principle used is high energy ablation. The laser beam has little effect on the ceramic until a threshold energy is reached.

Occasionally it is necessary to radius the edge of a substrate or the circumference of a hole. This can be accomplished by tumbling (edges only), laser, liquid honing, or sand blasting (air abrading). Except for laser machining, these methods of material removal do not lend themselves to great accuracies so care should be exercised when specifying their use.

Conversely, when radiused edges on substrates are not desirable, the maximum acceptable radius should be specified on the procurement document.

Substrate Dimensions. The following are guidelines for selecting substrate sizes:

- a. Use of substrates thinner than 0.020 inch is not advisable due to fragility and difficulty in handling. Thicknesses of 0.025 inch to 0.060 inch are generally used; selection of a specific thickness may depend upon the size (area) and shape required to resolve a specific packaging problem.
- b. Preferred size of substrates is 2.5 x 2.5 inches x 0.025 inch. However, 4 x 4 inch substrates are becoming popular. Off-the-shelf substrates as large as 5.5 x 7.0 inches are available. Larger area and/or thicker substrates can be purchased from suppliers; however, special runs and tooling are required.
- c. A practical rule-of-thumb for determining the substrate thickness required for multilayer thick-film circuits is to allow a minimum of 0.025 inch of substrate thickness for each inch of length or width, whichever is longest, e.g., 0.025 inch thick when the longest substrate dimension is 1 inch, 0.050 when the longest substrate dimension is 2.5 inches.

16.2 Thick-Film Conductor Materials

Although a variety of conductor compositions are commercially available, only silver, silver alloys, gold, platinum-gold, and palladium-platinum-gold are extensively used. Silver thick-film conductor compositions, although relatively inexpensive, are in disfavor for military and high-reliability applications because of the potential for silver migration. Gold conductor compositions are used in those applications requiring eutectic and epoxy die bonding, thermocompression, thermosonic or ultrasonic bonding. When tin-lead solder attachment is required, palladium-platinum-gold alloys and/or copper compositions must be used. It is important that these compositions adhere well to the substrate and exhibit very low sheet resistivities. Typical tensile adhesion strengths for thick-film conductors fired on alumina ceramic range from 1,000 to 4,000 psi. The sheet resistivity of fired gold and copper compositions is less than 0.005 ohm per square and the sheet resistivity of solder tinned platinum-gold is less than 0.01 ohm per square. For comparison, the resistivities of selected conductors are as follows:

Copper	2 to 5 milliohms/square
Gold	3 milliohms/square
Palladium-Gold	5 milliohms/square
Platinum-Palladium-Gold	25 to 60 milliohms/square
Solder-Coated Pt-Pd-Au	Less than 10 milliohms/square
Copper-plated Pt- Pd-Au	5 milliohms/square

16.3 Thick-Film Resistors

Resistor pastes are available from many suppliers in sheet resistivities from 1 ohm/square to above 300 megohms/square in increments of one and **three** decades. Sheet resistivities best suited for use are 100,300, 1k, 3k, 10k, 30k, and 100k. Higher (300k, 1M, 3M, 10M, 100M, 300M) and lower (1, 3, 10, 30) sheet resistivities are also available, but they have less desirable characteristics and should only be used where absolutely necessary. The one-decade sheet resistivities are off-the-shelf items, whereas the 3x and 10x values must be blended and are subject to additional lot charges.

Resistor tolerances should be as wide as possible. Although resistor pastes with tolerances tighter than *1 percent can be requested, they require special stabilization steps which greatly increase costs and delivery time.

Resistor pastes can be reliably screen-printed and fired to approximately 20 percent of nominal value. For most applications, the resistors are purposely printed to a nominal value that is 20 to 30 percent below the required final value. The resistance is then increased by removing resistor material to decrease the effective width, either by air abrasive methods or more commonly, by laser-beam vaporization. Typical thick-film resistor characteristics are given in Table 7.

Table 7. Thick-Film Resistor Characteristics

Ohm/Square/Mil	TCR (ppm/° C)		Quan-Tech Noise
	Type I	Type II	Max (db)
5	>+100		-10
10	±250		-20
30	±150		-20
100	±125		-20
300	±100		-15
1K	±100		-10
3K	±100		-5
10K	±100	±150	0
30K	±125	±150	+10
100K	±150	±150	+15
300K	±350	±150	+20
1M	±600	±150	+25
3M	±750	±250	
10M		±750	

Overcoating Resistor Surfaces. Thick-film resistors are deposited on the substrate last. This is because they tend to shift in resistance value with repeated firings. If a resistor must be protected, it is coated. Resistors shall not be covered with a fired dielectric due to the high firing temperature of the dielectric. Thick-film resistors are often overcoated with a glaze material (typically a borosilicate glass) to protect them against the environment, chemical attack, and mechanical abrasion. These overglazes are designed to fire at lower temperatures than the interlayer dielectrics to minimize any resistor drift.

16.4 Overglaze Design Guidelines

Overglaze dielectric is noncrystalline, low-temperature-firing glass with or without a color pigment that remains visible after firing. The overglaze should be the last material to be fired during the fabrication of the substrate. Overglazes are used for many functions, some of which are listed below.

Circuit Identification. By screen-printing an overglaze containing a color pigment on the circuit it is possible to identify the following:

- a. Part number.
- b. Pad number.
- c. Bonding direction.
- d. Bonding pad.
- e. Routing of a specific conductor.
- f. End points of a multilayered conductor and components with bodies which are not mounted directly to the substrate.
- g. Static-sensitive devices.

Circuit Protection. Overglaze can provide environmental protection for single and multilayer circuits by acting as a barrier against solder, fluxes, solvents, adhesives, humidity, and other contaminants.

Protection for Gold Conductors. If a substrate is to be immersed in solder, a layer of dielectric or glaze can be screen-printed over gold conductors which are to be protected from the solder. An alternative approach not requiring an overglaze, is to use platinum-palladium-gold conductors, which are more resistant to solder than pure gold conductors.

Resistor Protection. Overglazes may also be screen-printed onto resistors when the designer wants to protect the resistor from the environment and/or from solder and flux. Overglaze should overlap all resistor and conductor protected areas by a minimum of 0.0075 inch. Figure 21 shows the preferred overlap.

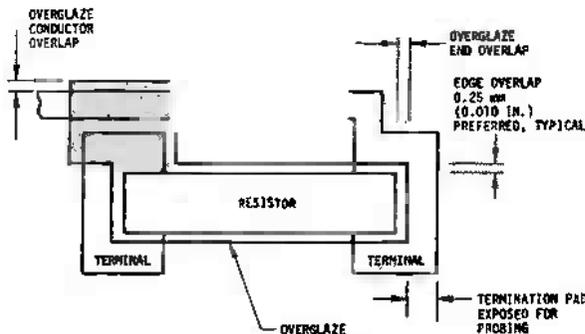


Figure 21. Overglaze overlap for resistor and conductors.

Solder Control. Overglaze can be used as a dam to control the flow of solder during solder applications and reflowing operations, thus preventing shorting of adjacent conductors.

Sealing Surface. Overglaze used to provide a sealing surface for epoxy mounting a cover shall have dimensions as shown in Fig. 22. The width of the overglaze surface insures the placement of the cover without assembly difficulty. Tolerances on the dimensions of the cover must be included when defining the sealing surface width.

Short Prevention. Overglaze can be used on chip and wire circuits to prevent wires from shorting to underlying conductors (Fig. 23).

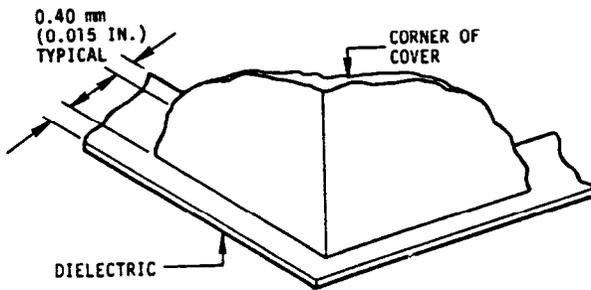


Figure 22. Sealing surface.

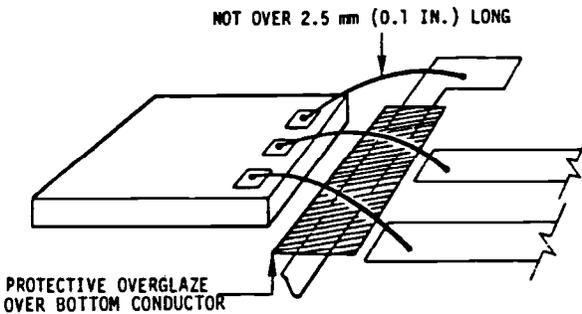


Figure 23. Prevention of electrical shorting.

Chip-Carrier Overglaze Design. Figure 24 illustrates the use of the overglaze around a chip-carrier component. The conductor patterns are shown for reference. The overglaze in this case is used to prevent the solder from flowing away from the chip-carrier pads.

By controlling the solder to the region of the pad, uniformity in the size of the solder fillets can be maintained.

Figure 25 is an example of a poor overglaze design. Here, the solder is allowed to flow away from the three pins. Thus, the fillet size and reliability will not be the same as on the other pads.

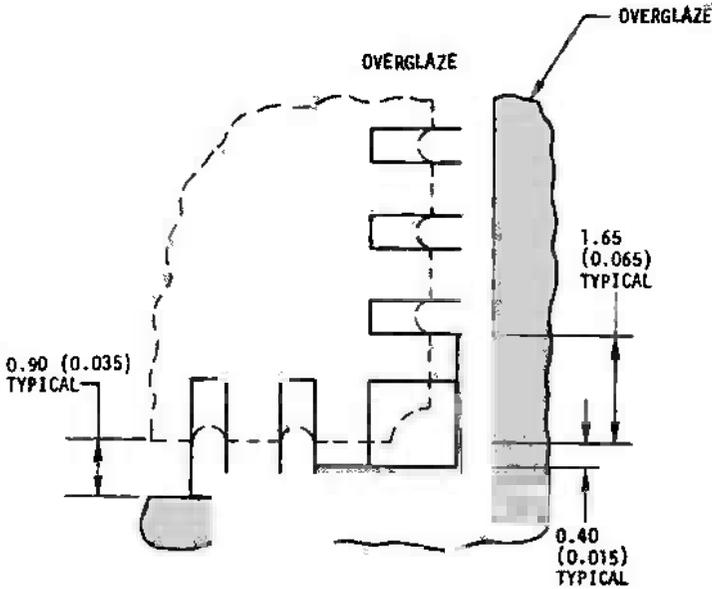


Figure 24. Chip-carrier overglaze design.

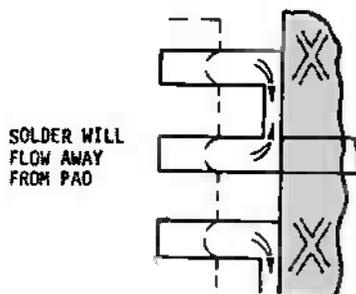


Figure 25. Poor overglaze design.

16.5 Solder Application

Solder can be added to thick-film substrates by dipping, manual or automatic dispensing or by screen-printing.

Uniform solder wetting of screen-printed solder paste depends upon **the** width of the solder paste patterns, the emulsion thickness of the screen, conductor composition, and the flux in the solder paste, as well as the reflow parameters.

Artwork for depositing screenable solder paste should be the same as for the thick-film screen artwork, perhaps **0.0025** inch less.

16.6 Thick-Film Dielectrics

High dielectric constant (k) insulator compositions (as high as $k = 1,200$) are used to make capacitors, and low k insulator compositions ($k = 9$ to 15) are used to provide insulation between conductors.

Although the thick-film process provides good general-purpose capacitors, it is usually not practical to screen thick-film capacitors since they utilize excessive substrate area and require considerable additional processing. Usually chip capacitors are more suitable. Thick-film capacitors are normally utilized only where the end product would consist largely of capacitors, such as in delay lines.

Low- k dielectric compositions are used to form either insulated crossover or multilayer circuitry. Using numerous individual insulated crossovers in lieu of a multilayer design is not recommended when screen-printed resistors are to be used because of the irregular topography which results in large dispersions in resistance values.

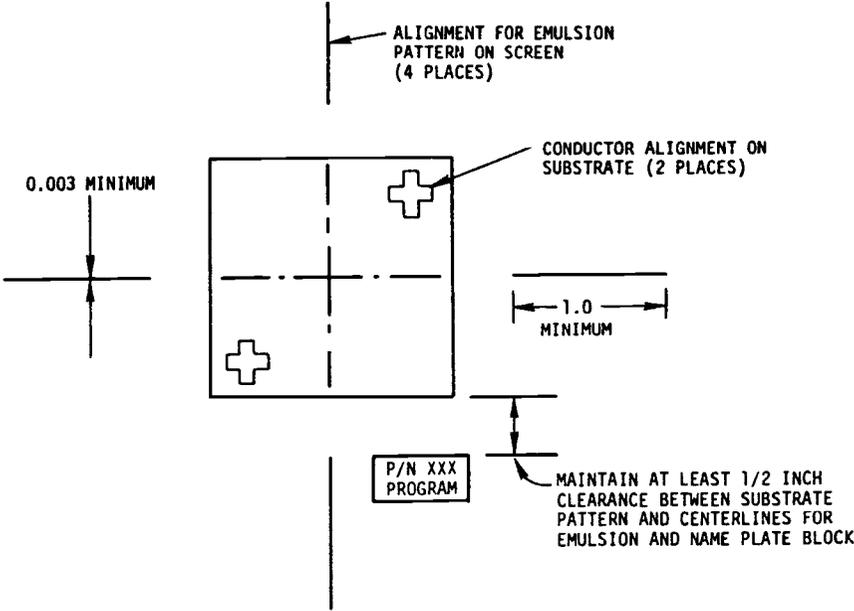
17.0 THICK-FILM DESIGN GUIDELINES

When generating a thick-film layout, various items must be added to the artwork to facilitate fabrication. These items, such as alignment marks and artwork identifiers, are described in the following sections.

17.1 Artwork and Drawing Requirements

Alignment. Alignment marks are specified to cover screen pattern alignment and alignment of deposition levels during circuit fabrication. A “+” shall be used in diagonally opposite comers of all artwork circuit areas

to align successive levels (see Fig. 26). The dielectric artwork(s) shall have corresponding “+” shaped windows in two corners. It is preferable that the “+” be designed using 0.010 inch-wide lines and a total length of 0.040 inch, if space permits. Artwork for each sheet resistivity shall have an alignment square that fits into one corner of the conductor “+” alignment mark. The sheet resistivity paste to be screen-printed first shall have a square that conforms to the No. 1 space in the bottom left corner of the crosses. The second sheet resistivity square will fit into the No. 2 corner of each cross.



Preferred Conductor Alignment Method and Alignment for Positioning Artwork on Screen Emulsions

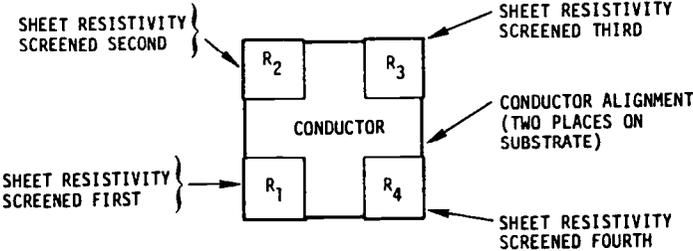


Figure 26. Alignment marks.

Center Lines for Emulsion Patterns. Center-line marks approximately 0.003 inch wide by 1.0 inch shall be placed on each piece of artwork, as shown in Fig. 26. These marks are for locating artwork when making exposed emulsion patterns on screens. They should be positioned at least 0.5 inch from the active substrate area.

“F” Indicator and Part-Number Alignment System. The letter “F” indicator is a nonsymmetrical letter which provides a quick way to insure correct orientation of $1\times$ artwork and screen relationships on all levels. The “F” indicator is designed into each level of artwork at the same location (for that design). The “F” is not used for critical level-to-level alignment during printing. As each level is overprinted on the “F”, the edge definition and the subsequent alignment become poorer. No “F’s” need be used on the resistor or dielectric artworks.

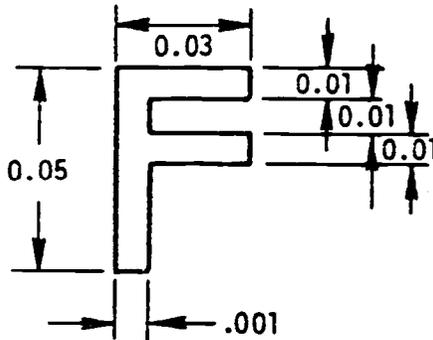


Figure 27. Letter “F” alignment indicator.

As an alternative, the part number could be used in place of an “F” on the level 1 conductor on the substrate and used in combination with a “+” to provide alignment for conductors.

Artwork Identification and Requirements. Separate artwork is required for each level of conductors, dielectrics, and resistors (i.e., for each sheet resistivity) and for solder paste, conductive epoxy, nonconducting epoxy, overglaze, and via fills. All artwork shall have the correct part number and configuration, as well as program identification. Each sheet of artwork for a particular circuit shall be numbered consecutively, starting with Sheet 1, Sheet 2, etc., in the order required for processing, as shown in the following examples. The sequence for resistor processing normally is most economi-

tally performed when the sheet resistivity with the largest number of resistors is processed first and the one having the fewest number of resistors is processed last. One-to-one artwork shall be “read right emulsion” (RRE) positives and shall be on 0.004 inch thick polyester film. The black areas of the positive artwork are the areas in which material is deposited onto the substrate. Two sets are required for each sheet. The black areas on the artwork should be on top of the polyester sheet.

Examples:

(a) *Conductor on Substrate*

P/N XXXX-X Sheet 1
Name: Level 1 Conductor Au
Date:
Program: HYBRID

(b) *Dielectric over Level 1 Conductor*

P/N XXXX-X Sheet 2
Name: Level 1 Dielectric
Date:
Program: HYBRID

(c) *Conductor over First-Level Dielectric*

P/N XXXX-x Sheet 3
Name: Level 2 Conductor Pt-Au
Date:
Program: HYBRID

(d) Resistors-Separate artwork is required for each sheet resistivity. The sheet number for the first resistor sheet resistivity value would follow that of the top dielectric level over the top conductors. Example for resistors of sheet resistivity of 30 k ohms/sq:

PIN XXXX-X Sheet 4
Name: Resistor 30 k ohms/sq
Date:
Program: HYBRID

As mentioned earlier, the sequence for resistor sheet numbers would be to start with that sheet resistivity that has the largest number of resistors and to finish with the sheet resistivity that has the fewest number of resistors. Following this sequence will help improve the yield on the parts.

(e) *Conductive Epoxy for Device Attachment*

P/N XXXX-X Sheet_
Name: Conductive Epoxy
Date:
Program: HYBRID

(f) *Epoxy for Solder Masking and/or for Insulating Material*

P/N XXXX-X Sheet
Name: Nonconductive Epoxy
Date:
Program: HYBRID

(g) *Solder Paste*

P/N XXXX-X Sheet_
Name: Solder Paste
Date:
Program: HYEWD

17.2 Multilayer Yields

Although there is no theoretical limit to the number of levels of conductor metallization that can be fabricated, judgment should be exercised because as the number of conductor levels increase, yields decrease.

Multilayer process yield is dependent upon each of the following items and upon the interrelationships among these items:

- Number of conductor levels
- Via size
- Via space
- Conductor width
- Conductor space

17.3 Conductor Patterns-General Considerations

- (a) Maximize conductor densities on the lowest possible conductor level to minimize complexities on the upper conductor levels.
- (b) Avoid adjacent parallel conductor runs on different levels to reduce parasitic capacitance effects.

- (c) During design and layout of bond sites, adequate bonding tool clearance between the bond sites and surrounding obstructions must be provided for assembly and rework
- (d) Minimum width and spacings shall be utilized only where necessary.

Conductor Orientation-For best results, all conductors less than 0.010 inch wide should be oriented parallel or perpendicular to each other and to the edges of rectangular substrates. Conductor angles at 45 degrees may also be used, but are difficult to consistently print and should be avoided.

Conductor Width-Minimum conductor width used by most hybrid manufacturers is 0.0075 inch (0.010 inch preferred). Conductors to be soldered should not be less than 0.010 inch wide in order to minimize leaching and enhance reworkability.

Conductor Spacing-Minimum spacing between conductors should be 0.0075 inch (0.010 inch preferred). Conductor spacing should be equal to or greater than any adjacent conductor width that is less than 0.010 inch. Conductors running parallel to the edge of a substrate should be at least 0.015 inch from the edge of the substrate. Conductors running perpendicular to the edge of the substrate should be at least 0.0075 inch from the edge.

Conductor Layer Limits. Multilevel substrates without resistors should be limited to four conductor levels. Increasing the number of levels will typically lower the substrate fabrication yields. However, in some cases an extra level may be warranted as a “trade-on” to avoid a situation with an even more negative yield impact (such as use of 0.010-inch vias instead of the preferred 0.015 inch vias and the extra conductor level). Generally, multilayers with resistors should be limited to a maximum of two levels of dielectric.

Dimensional Relationships. Table 8 presents the dimensions for improved yield and for multilayer design minimums.

Parallel Conductors on Adjacent Levels. Stacking conductors in the same direction on adjacent levels should be avoided. If it is not possible to design the circuit with perpendicular conductors on adjacent levels, then parallel conductors should not run on top of one another in the same direction.

Ground and Voltage Planes and Inner Level Metallization. Design ground and voltage planes using a grid pattern rather than a solid layer. This saves a significant amount of conductor paste and improves the integrity of the structure since delamination often occurs with solid metal planes. It is preferable to keep innermetallization levels as flat as possible in order to have reasonably flat surfaces on the top level. An air-fired multilayer which is to

be subsequently soldered should use one of the gold-platinum-palladium alloy pastes for the top conductor (or for any areas exposed to solder). The inner protected conductor layers should use gold alloy pastes in order to provide the lowest electrical resistances.

Table 8. Multilayer Dimensional Relationships

Feature	Improved Yield Designs	Absolute Design Minimums
Conductor Levels	3 or less	
Via Size	0.020 inch	0.015 inch
Via Configurations	Square	Rectangular
Space Between Via and any Other Conductor Feature	0.015 inch and greater	0.010
Conductor Width		
Level 1	0.015 inch	0.0075
All Other Levels	0.015 inch	0.0075
Conductor Space	0.015 inch	0.0075

Conductor Spacing from the Edge of a Dielectric. The spacing between a conductor and edge of the dielectric on which it is screened, or along the edge of a window in the dielectric (device installation area and/or resistor deposition area), should be 0.0075 inch minimum. This spacing decreases the possibility of conductor material flowing into the window and safeguards the conductor from damage during device installation, testing, or removal.

Conductor Lines from One Level to the Next Lower Level. When a continuous conductor is required to go from one level to the next lower level, the upper conductor width should be decreased at the edge of the dielectric, preferably to 0.0075 inch if the upper conductor is at least 0.010 inch wide. If the conductor width cannot be decreased, consider insulating the lower conductor lines from adjacent conductors with dielectric in between them, or maintaining a 0.010-inch separation (Fig. 28).

Overlapping Different Conductor Metallizations. It may occasionally be necessary to use a conductor line composed of different metallizations in different areas, e.g., gold (for wire bonding) overlapping a

platinum-gold conductor to be used for soldering attachments. In such cases one conductor should overlap the other by 0.0075 to 0.010 inch. The gold conductor should be protected from solder by a dielectric or overglaze insulating layer at least 0.0075 inch from the exposed gold overlap areas (Fig. 29).

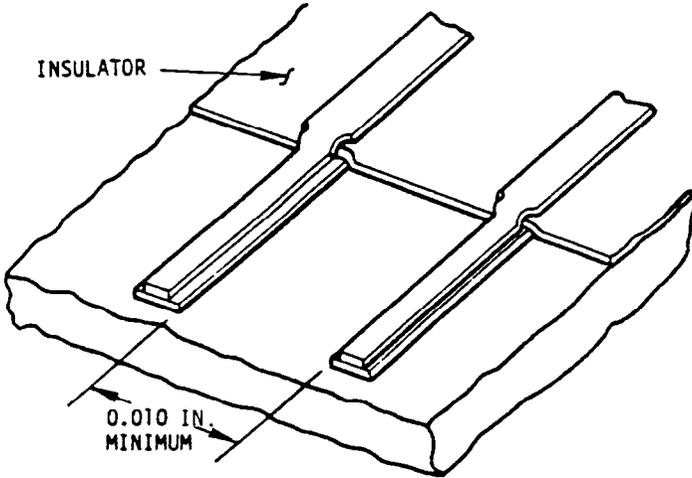


Figure 28. Interlevel connections at edge of insulator.

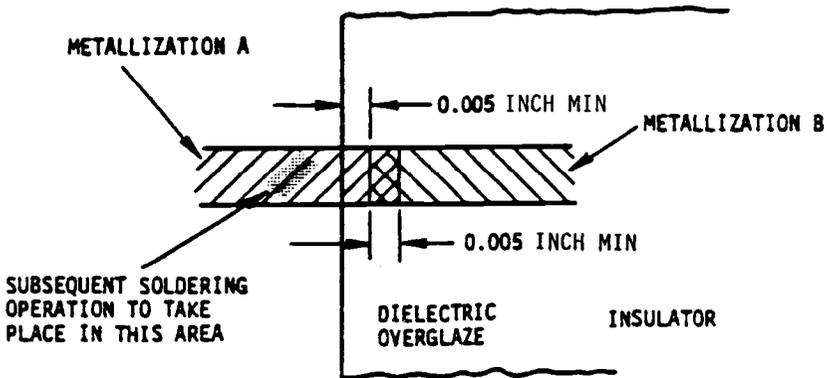


Figure 29. Transition between different metallizations.

Double (Superimposed) Conductor Layers. In order to decrease conductor line resistances and/or increase resistance to solder exposure, it may be desirable to have one conductor layer superimposed on a lower layer. Except at vias, the upper conductor layer should be 0.003 to 0.004 inch shorter than the lower conductor layer.

Probe Pads. Resistor Probe Pads (Automated Probing)—Probe pads will be provided where necessary for resistor trimming or checking of the circuits (Fig. 30). Where possible, probe pads will be located toward the outside perimeter of the circuit so that probing of one pad will not be prevented by the space required by another probe. Probe cards are used for automatic high-speed resistor trimming. The probe card leads have minimum dimensional requirements and require positioning so that they do not shadow (interfere with) the laser beam during trimming.

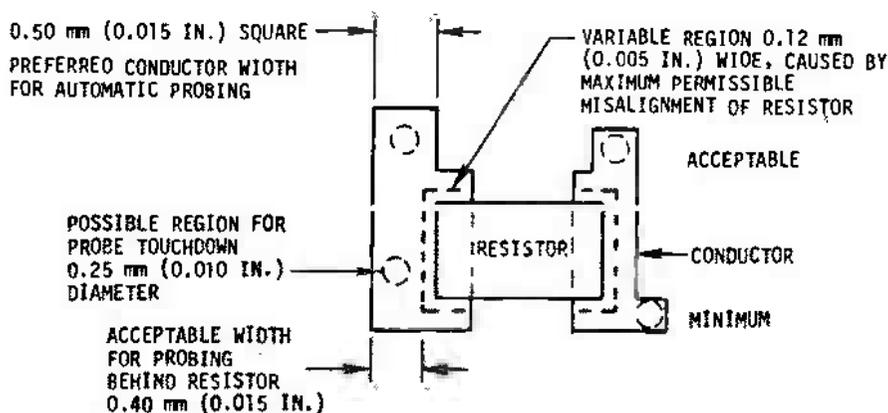


Figure 30. Four possible probing locations

17.4 Vias—Conductor Connections Through Multilayer Dielectric

Vias are used for interlevel metallization connections through dielectric. Vias should not be used as sites for wire bonding.

Noncongruent Vias. Interlevel connections (vias) shall be made only to adjacent levels of metallization, i.e., two separate conductor levels with just one dielectric level between them. Vias making a connection through more than one dielectric level shall be staggered vertically from level to level (see Fig. 31). Vertical vias through more than one layer of dielectric can be accomplished if a separate screening (via fill) is performed to fill the vias.

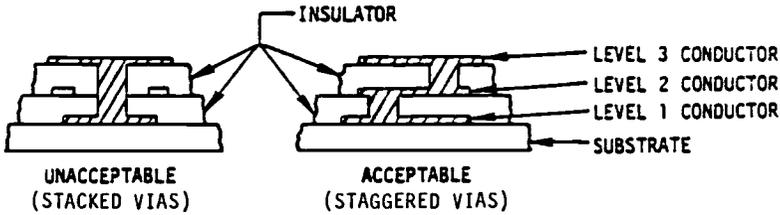


Figure 31. Interlevel via design.

Minimum Size of Vias. The minimum size of vias should be 0.015 inch \times 0.015 inch square. Round vias shall not be used. Square vias are preferred over rectangular shapes.

Staggered Vias on the same Level. Vias connecting parallel conductors on the same level should be staggered rather than arranged in even steps from conductor to conductor. Vias on separate conductors shall be separated by at least 0.010 inch. This rule applies to vias in the same conductor level, adjacent levels, and levels two away from it. Once separated by more than two levels, the rule is not applicable. Staggered vias are illustrated in Fig. 32.

Minimum Conductor Size at Base of Via. The underlying conductor at the base of a via should be at least 0.005 inch wider than the via (0.0025 inch away from the via on each side).

Substrate Holes. Holes may be machined in prefired substrates or punched in the "green" tape before the substrate is fired. Holes are used to electrically interconnect circuitry on both sides or to mechanically attach the substrate. For electrical connections the holes are filled with conductor metallization. Conductive through-holes shall be at least 0.005 inch larger than component leads (maximum tolerance) to allow for the thickness of metallization (including solder, when used). Conductor pad areas around holes in the substrate shall be at least 0.020 inch larger in diameter than the hole.

17.5 Wire and Die Bonding Pads

Positioning of Wire-Bonded Parts and Leads. During layout for wire-bonding (flying lead) parts, care should be exercised that the bond sites are positioned so that the leads will have minimal possibility for electrically shorting out circuitry on the dice. Also, to facilitate bonding, bond sites should be positioned to minimize the number of directions of wire lay.

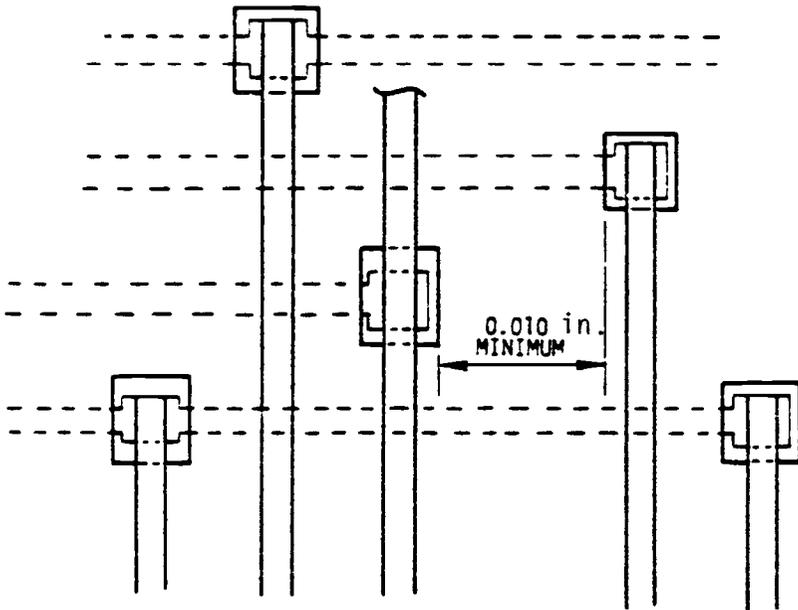


Figure 32. Staggered vias.

Orientation of Bonding Pads. Die bonding pads should be designed to have the pad edges parallel to the edges of rectangular substrates. This facilitates assembly and reduces rework time by greatly simplifying alignment, thus costs are reduced.

Bonding Tool Clearance. During design and layout of bond sites, adequate tool clearance between the bond sites and other obstructions must be provided for assembly and rework.

Minimum Size of Wire Bond Pads. When possible, design wire bond pads 0.015×0.015 inch, minimum. The absolute minimum for automatic wire bond pads is 0.012×0.012 inch.

Minimum Dielectric Openings for Bonding Pads. Openings in a dielectric to expose a bonding pad shall be 0.020×0.020 inch minimum.

Minimum Distance of Bonding Pads from Vias. A bonding pad which is connected directly to a via metallization shall be placed a minimum of 0.005 inch away from the outer edge of the via.

Minimum Spacing Between Edge of Pad and Component. The near edge of a bonding pad should never be closer to any component or package wall than the height of that component.

Bonding Pads and Package Pins. Bonding pads to be interconnected to the output pins of the package should always be placed on the perimeter of the circuit substrates.

IC Wires. Where possible, the wires from ICs should be radial from the perimeter and of approximately the same length.

Design of Conductor Pads. Conductive pads for components with conductive bases. Discrete components requiring direct attachment and having a bottom electrical contact shall be mounted on a conductive pad designed for conductive epoxy, solder, or eutectic mounting. Conductive pads over dielectric shall have at least two or three separate layers of dielectric under the pad for air-fired systems and three layers for nitrogen-fired systems.

Sealing-Ring Metallization for Cover (Lid) Attachment. If the thick film substrate is to serve as the base of the package, a seal ring can be screened on the substrate so that a metal cover can be attached directly to the substrate. Design dimensions for sealing rings are a function of the cover being installed and the proximity of the adjacent circuitry. Best results are obtained when the sides of the sealing-ring are oriented parallel to the sides of rectangular substrates. The sealing ring should be wider than the flange of the cover to allow for adequate solder filleting, perhaps as much as 0.010 inch on both the inside and the outside edges of the cover flange.

Sealing rings are generally fabricated from platinum-gold conductor compositions. Where possible, the sealing ring should enclose all circuitry, to minimize possible damage to circuitry during lidding and delidding operations. Also, to avoid damage from solder splatter, the sealing ring should be separated from adjacent circuitry by 0.040 inch minimum, otherwise adjacent circuitry may be covered with an insulator.

Terminal Pad for Soldering. Pads for attaching external leads to a substrate that serves as the package base shall be as large as the area permits. Pad widths shall be 3x the lead diameter or 1.9 mm (0.075 inch), whichever is greater. Preferred width is 2.5 mm (0.1 inch). Pad lengths shall be a minimum of 1.9 mm (0.075 inch). Preferred length is 2.5 mm (0.1 inch). The minimum spacing between the edges of adjacent lead pads shall be 0.5 mm (0.020 inch). Preferred spacing is 0.75 mm (0.030 inch). Terminal pads are illustrated in Fig. 33. Terminal pads over dielectric shall have at least two layers of dielectric underneath, for air-fired systems, and at least three layers for nitrogen-fired systems.

Axial Lead Component Pads. Axially leaded component pads shall be designed per Fig. 34. Note the acceptable alternate pad position for axial leads bent in opposite directions.

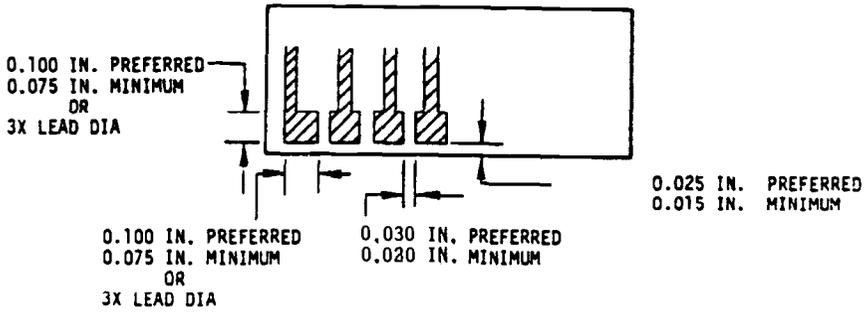


Figure 33. Terminal pad for soldering.

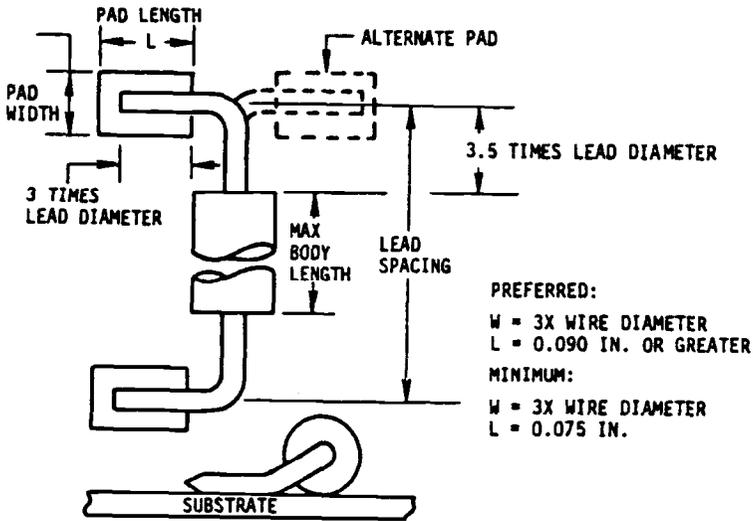
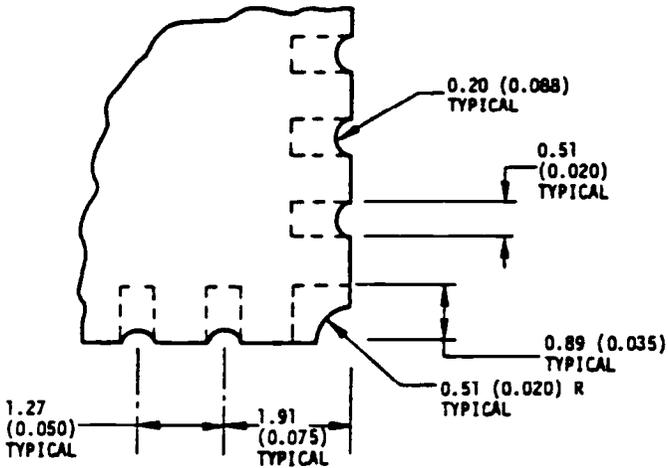


Figure 34. Axial lead component pad.

Chip-Carrier Pads. Figure 35 shows the plan view of a chip-carrier component, Fig. 36 represents the design of the conductor pads on the substrate for the component. Even when a pin-out is not required, the conductor pads will be designed into the circuit.

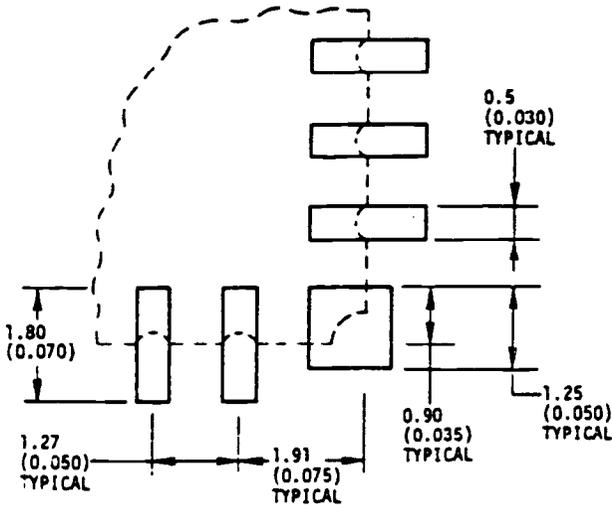
Chip-Carrier Conductor. Figure 37 illustrates the conductor design for a chip-carrier component. Important items in the design are:

- a. Side pad conductors are at least 1.78 mm (0.070 inch) long.
- b. Corner pad conductors can proceed outward in any direction, but the 1.27 mm (0.050 inch) pad size *must* be maintained.
- c. Pads may be connected, however, dielectric or overglaze *must* be used to limit solder flow and possible shorting between pads under the base of the chip carrier.



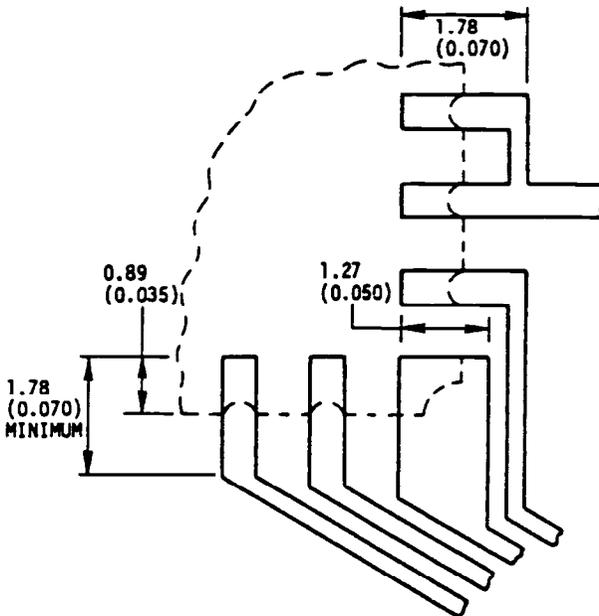
(Numbers in parentheses are in inches; numbers not in parentheses are in mm)

Figure 35. Chip-carrier component.



(Numbers in parentheses are in inches; numbers not in parentheses are in mm)

Figure 36. Chip-carrier pads on substrate.



(Numbers in parentheses are in inches; numbers outside of parentheses are in mm.)

Figure 37. Chip-carrier conductor example.

17.6 Thick-Film Resistor Design Guidelines

Resistor Configurations. The most useful and easy-to-process resistor has a rectangular configuration. "Top hat" (lobe type) resistors are often used where space limitations prohibit adequate resistor length in the direction of current flow, or where dynamic trimming over a significant range is required. "U" (folded resistor) and "L" shaped resistors are not recommended because they are more difficult to deposit and to adjust.

Aspect Ratio. The resistance of a specific resistor with a given resistivity is dependent on the ratio of its length to its width (aspect ratio) expressed as a number of "squares". The resistor "length" is always the dimension of the resistor which is parallel to the current flow (Fig. 38). The aspect ratio of most thick-film resistors generally range from 1/6 to 4, which limits the spread of available resistor values to 24:1, for rectangular resistors of a given resistivity.

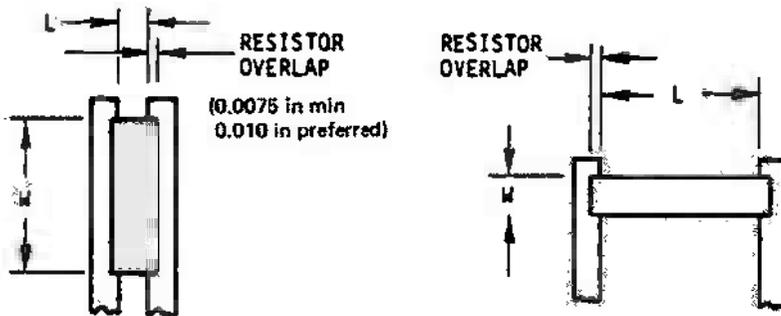


Figure 38. Rectangular resistors

Rectangular Resistors:

$$\text{Aspect Ratio} = \text{Length/Width}$$

where the length is measured in the direction of current flow. Rectangular resistors are the preferred type of design. This is due to the ease of screening of the ink and calculation of final value. When the ink is screened in the direction of the resistor, a uniform thickness of ink is deposited thus causing a more uniform power distribution. The resistance value of a rectangular resistor can only be increased by a factor of two, if more range is needed, then a *top-hat* design must be used.

Top-Hat (Lobe Type) Resistors—Avoid designing a “top hat” resistor except in the following situations:

- a. When the resistor tolerance is greater than ± 5 percent.
- b. When a power level of 25 watts/inch² can be used without sacrificing space.

When used, a top hat resistor shall be designed as indicated in Fig. 39. Top-hat resistors should be designed at 25 watts/inch² with tolerances of ± 5 percent or greater.

The advantage of a top-hat geometry is its trim range. Shown is a 5-square top-hat resistor. The disadvantages are: hot spots and, sometimes, extra stabilization time is required during the trimming operation (especially for tighter tolerances).

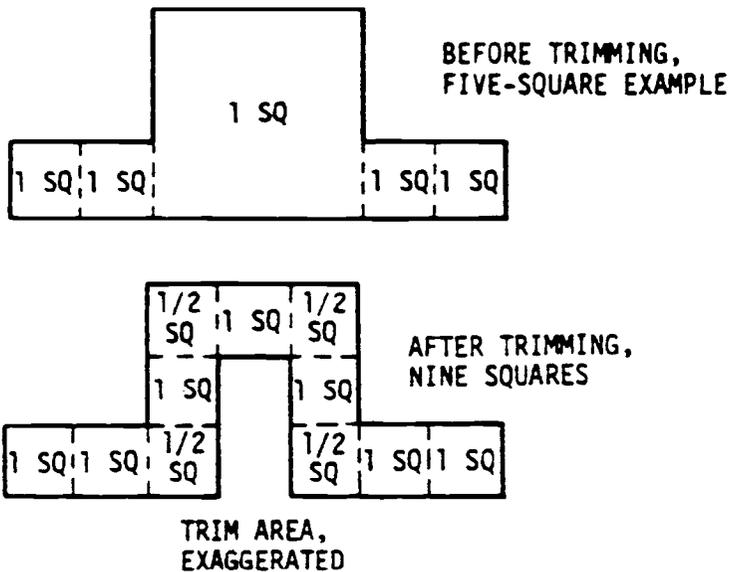


Figure 39. Top-hat resistor.

By trimming the resistor as shown in Fig. 39, a 9-square resistor can be made from a 5-square resistor. The resistance has been increased by 80 percent by removing only 15 percent of the total area. The trim area in Fig. 39 is exaggerated for illustrative purposes.

Bent (L) Resistors—The best resistor is a square or rectangle. However, if a resistor of greater than ± 1 percent tolerance cannot be fitted into a

specific area, it may be bent. In this situation (to be avoided if possible), allow the corner area to count one-half the resistivity of a straight area (Fig. 40).

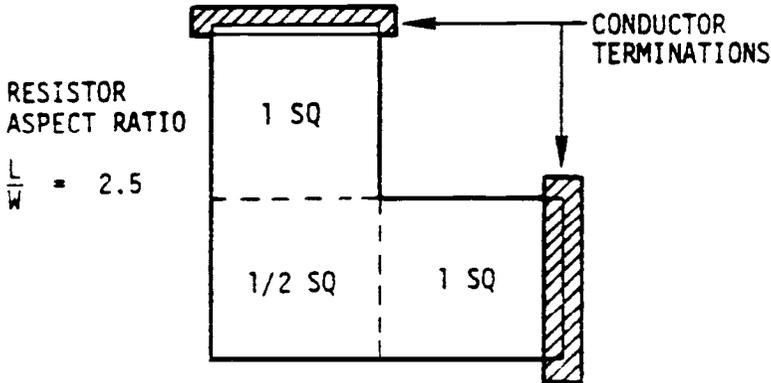


Figure 40. Bent resistor design.

Number of Sheet Resistivities. Design the resistors using sheet resistivities such that the number of sheet resistivities required is minimized. It is preferable to limit the number of sheet resistivities on one substrate to four. Although geometric similarity among resistors decreases the variance in resistor values of the as-fired (unadjusted) resistors, it is more important to keep the number of sheet resistivities to the lowest number possible. This reduces the number of screen-printing operations which decreases fabrication costs and increases yield.

Minimum Length. The minimum length of a resistor shall be 0.040 inch. Resistors should not utilize an aspect ratio greater than 10 because the aspect ratios are difficult to adjust. On extremely short resistors it is difficult to maintain the uniform sheet resistivity expected from aspect ratio calculations due to the greater relative effect of the contact resistance at the resistor terminations. This is attributed to material diffusion processes between the resistor material and the resistor termination material.

Minimum Width. The minimum resistor width should be 0.020 inch, but the preferred minimum width is 0.040 inch. On extremely narrow resistors it is difficult to maintain the uniform thickness required for use of aspect ratio calculations. As the width decreases, the rounded edges of the resistors form a greater percentage of the cross-sectional area.

Incremental Steps In Dimensions. A resistor should have its dimensions as multiples of 0.127 mm (0.005 inch) in order to simplify the layout and artwork generation. The larger dimension of the resistor will be determined by the aspect ratio which is required to achieve the resistance needed for the paste being used.

Resistor Clearance. The area on the base substrate within 0.015 inch of a resistor shall be free of all insulator and conductor materials except for the resistor terminations and the resistor test probe locations. The clearance between a resistor and the edge of the substrate shall be 0.75 mm (0.030 inch) minimum.

Resistor Overlap. The minimum resistor/conductor overlap shall be 0.0075 inch. Preferred overlap is at least 0.010 inch. Do not completely overlap the conductors at the end of the resistor deposition because the resistor composition will flow down and spread out, possibly causing shorting to adjacent metallization. Resistor patterns shall be designed so that different sheet resistivities do not touch each other.

Orientation. Orient all resistors, without exception, in either the X or Y direction parallel to the substrate edges (Fig. 41). Attempt to orient all resistors in the direction of squeegee travel (from termination to termination). Any resistor whose long axis is perpendicular to the direction of squeegee travel will have a higher fired value.

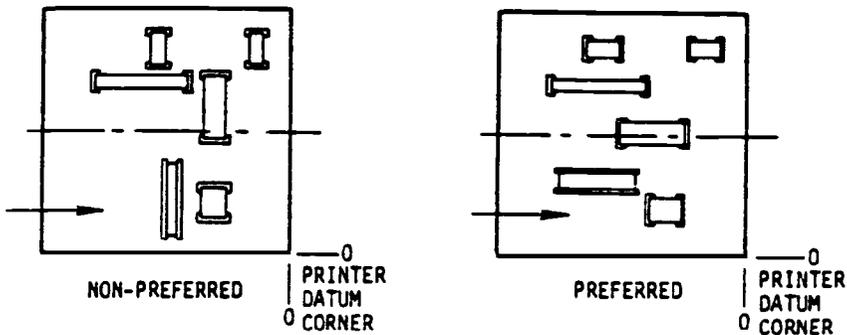


Figure 41. Resistor orientation.

Conductor Terminations. Conductor terminations of resistors shall always be on the same level as the resistors (all level 1 conductors, i.e., on the substrate).

Resistor Windows in Multilayer and Cross-Over Circuits. A resistor window in the dielectric level(s) shall be at least 0.010 inch wider than each side of the resistor. The spacing helps resistor trimming and deposition.

Height of Surrounding Topography. The height of resistors can be no less than the surrounding topography formed by the other circuit materials (plus the offset of the screen). It is very important that the height of all materials around the resistors be kept at the same value. For example, on multiple substrates previously laser scored, there are surrounding substrate areas that will be discarded once the score lines are broken. A resistor next to a score line adjacent to the throwaway ceramic, would be without a buildup on one side. In this case, uniform resistor thickness can be provided by depositing a dielectric layer on the disposable ceramic surface which would be outside the normal artwork areas for the circuit.

Maximum Multilayer Levels with Resistors. When resistors are screen-printed last through apertures in multilayer dielectric, the thickness of the resistor increases with each added level of dielectric. A two-level multilayer is generally considered to be the limit with respect to keeping resistors at a thickness which is easy to trim and does not require added stabilization. Dried thicknesses of resistors (before firing) are approximately 40 microns (1.5 mils) for a two-layer circuit.

Closed Loops. Closed resistor loops should be avoided so that resistors can be measured and trimmed individually. If a resistor loop is necessary, the loop must be left open until all resistors in the loop have been trimmed, whereupon it may then be closed with a wire bond, a small-gauge wire or ribbon, or a soldered jumper. The metallized pattern on each side of the gap must be designed to provide an acceptable pad for whichever bridging technique is selected (Fig. 42). Resistor patterns shall be designed so that different sheet resistivities do not touch each other.

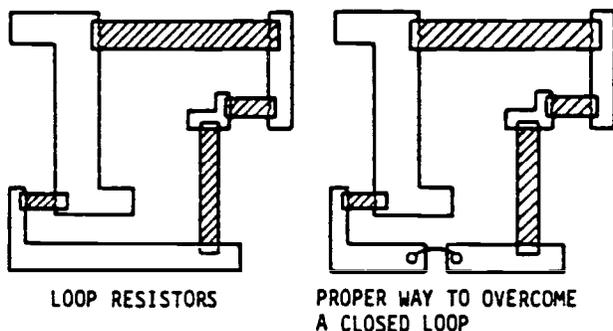


Figure 42. Trimming closed loops.

Resistor Calculations. The basic equation for calculating resistance is:

$$R = sL/W$$

- where: R = resistance, ohms
 L = length of resistor
 W = width of resistor
 s = sheet resistivity of paste, ohms/square/mil (resistor paste sheet resistivity is usually based on 1-mil thickness).
Note: The term “square” (or “sq”) is dimensionless.

This equation can also be expressed as:

$$R = \rho L/tW$$

- where: t = thickness of resistor, inches
 ρ = bulk resistivity, ohms-inches

Figure 43 depicts the relations of the above dimensions. This resistor has four squares of resistive material. If the resistivity of the film is 1,000 ohms per square, then the resistance is 4,000 ohms.

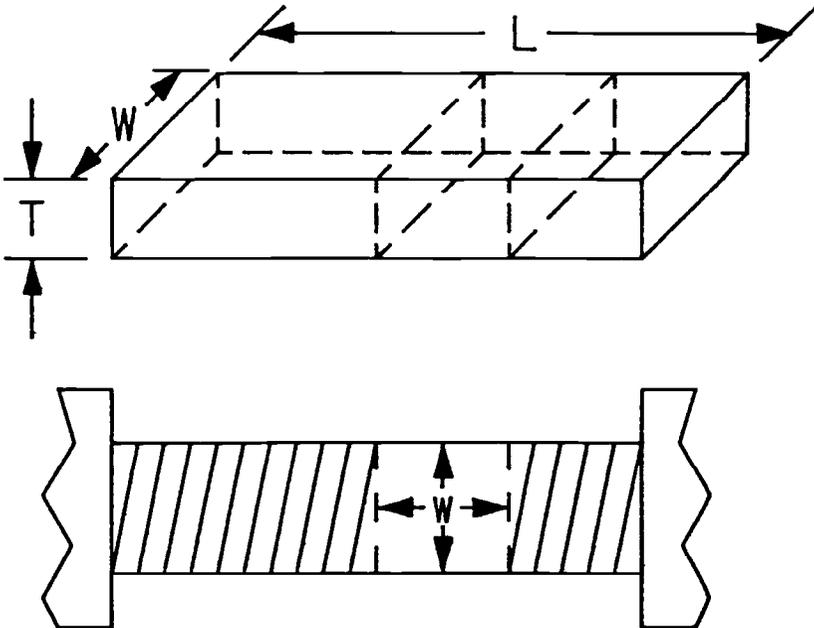


Figure 43. Four-square figure configuration.

Calculation Example-Assume an ink of sheet resistivity 1,000 ohms/ square ($s = 1,000$ ohms/sq/mil), a length of 0.100 inch, and a width of 0.050 inch ($L = 0.100$ inch, $W = 0.050$ inch). Calculate the resistance

$$R = \frac{sL}{W} = \frac{1,000 \text{ ohms/sq} \times 0.100 \text{ in}}{0.050 \text{ in}} = 2,000 \text{ ohm}$$

Resistance and Thickness Considerations. The previous calculation assumes that all resistors have a dried thickness (before firing) of 0.001 inch. This is reasonably true only for a nonmultilayer circuit. The present state of the art requires that resistors be deposited only on the ceramic substrate and that they be fired only once. As a consequence, resistors shall be limited to one side of the substrate and, for multilayers, they must be deposited onto the ceramic through openings (windows) in the surrounding dielectric, which will always result in a dried thickness greater than 0.001 inch. Resistor paste compositions are blended to compensate for added thicknesses in order to provide the design resistance values. These blends are controlled and tested, as are the original “as received” pastes.

TCR Tracking. Worst-case TCR tracking is the sum of the TCR tracking of the resistor members. Tracking between different resistivities must be experimentally determined and is subject to lot-to-lot variation in the paste within specification limits. To obtain best tracking characteristics, resistors should be deposited from one sheet resistivity in tandem (in series, in a straight and continuous pattern laid end to end) with a common center conductor. Tracking between resistors of the same sheet resistivity on the same **substrate** will be within ± 5 ppm/deg C. Special designs will track within ± 1 ppm/deg C.

Resistors which must track each other must be designed with a power dissipation factor which is as low as practical and have the same geometry. The following equations show the relationship between the resistors so that they have the same geometry/square area.

Assume two resistors R_1 and R_2 ,

$$R_1 = \frac{sL_1}{W_1}$$

$$R_2 = \frac{sL_2}{W_2}$$

Divide the first equation by the second.

$$\text{Eq. (7)} \quad \frac{R_1}{R_2} = \frac{L_1/W_1}{L_2/W_2} = \frac{L_1W_2}{L_2W_1}$$

Since the areas must be equal

$$\text{Q. (8)} \quad L_1W_1 = L_2W_2$$

Let n = the ratio of the value of R_1 and R_2 ,

$$\text{Eq. (9)} \quad n = \frac{W_2}{W_1}$$

$$nL_1W_1 = L_2W_2$$

From Eq. (8)

$$W_2 = L_1W_1/L_2$$

Substituting into Eq. (9)

$$\frac{nL_1^*L_1^*W_1^*}{L_2} = \frac{W_1}{L_2}$$

$$nL_2^2 = L_1^2$$

or $L_2 = L_1 \sqrt{n}$

and $W_2 = W_1 \sqrt{n}$

Example:

$$R_1 = 10k \quad L_1 = 0.5 \text{ inch} \quad W_1 = 0.010 \text{ inch}$$

$$R_2 = 20k \quad L_2 = \text{unknown} \quad W_2 = \text{unknown}$$

$$n = 10/20$$

4.54 Hybrid Microcircuit Technology Handbook

Therefore,

$$L_1 = L_i / \epsilon = 0.5 / \epsilon = 0.707 \text{ inch}$$

and $W_1 = 0.010 / \epsilon = 0.00707 \text{ inch}$

This example represents two resistors, one twice the value of the other, but designed to cover the same area, thus giving the best tracking stability between the two.

Power Rating. Screened resistors (5 ohms to 10 k ohms) can be rated for a dissipation of 50 watts/square inch, but they shall be rated at 25 watts/square inch for design applications to permit a 50 percent reduction in the trimmed path during resistance adjustment. See appropriate resistor specifications for power ratings of resistors above 10 k ohms.

$$P \text{ (watts)} = L \text{ (in.)} \times W \text{ (in.)} \times 25 \text{ watts/sq inch}$$

where: L = the useful resistive length measured along the direction of current flow
 w = the resistor width

A more useful version of the above formula might be

$$P_d = P / (L \times W)$$

where: P_d = the rated power dissipation in watts/square inch (25 watts/square inch)
 P = the actual resistor power dissipation in watts

Densities. The maximum resistor density per substrate depends directly on the allowable power dissipation per substrate. It is generally considered that 25 resistors per square inch or 50 resistors per substrate are reasonable maxima.

Three-Year Operating End Points. Three-year operating endpoints in a temperature range of -55 to +125°C are normally expected to remain within +1 percent/-0.5 percent of the initially adjusted resistance value.

Five-Year Non-Operating End Points. Five-year nonoperating endpoints in a temperature range of -55 to +125 are normally expected to remain within ±0.2 percent of the initially adjusted resistance value.

Preproduction Design Verification. It may be difficult to design resistors to attain the desired resistivities because of contact resistance, the effect of widely varying widths, and nonuniform substrate topography created by conductors, insulators, and other resistors. Usually, a few circuits of any layout can be made in the laboratory using special techniques, but when production quantities are anticipated it is recommended that time be allowed for redesign of resistor geometries. This redesign period is best accomplished prior to the official release of drawings.

Deposition Surface. Resistors may be deposited either on the substrate or top dielectric. Where power dissipation is an important concern, it is desirable to screen print the resistors directly onto the substrate. Generally, resistor characteristics (TCRs, value, etc.) may change when deposited on dielectric and should be verified during the engineering phase. Multilayers with resistors should be limited to a maximum of two levels of dielectric.

18.0 THIN-FILM GUIDELINES

18.1 Standard Practices

Layout Preparation. Layouts are designed on a rectangular grid, usually at 20 times actual size. The lines on the 20x grid fall on 0.1 inch centers which produce an actual-size minimum dimensional resolution of 0.005 inch. The layout is a manually prepared or computer assisted drawing on which chip components are positioned and interconnected and substrate resistors and conductors are designed.

Artwork and Tool Generation. Originally the artwork tools for substrate fabrication were made by photographically reducing a digitized circuit layout to actual size. A digitized layout is a computer-aided design tool prepared from the layout design by encoding the layout in a digital format. An interim artwork tool, usually at 4x, is generated directly on Mylar film from the digitally formatted layout. The 4x master is photographically reduced to 1x to provide the working tool. The advantage of the digitized layout process is flexibility when changes are required. The software layout design can be iterated until the design is satisfactory, then committed to artwork; however, now most modern CAD stations will output an artwork file which can directly produce 1x artwork.

Substrates. Thin-film circuits are normally fabricated on very smooth 99.5 percent alumina (Al_2O_3) substrates that are 0.025 ± 0.002 inch thick. Standard substrate size is 2.000×2.000 inches with a tolerance of 0.003 inch. Surface roughness should not exceed 4 microinch center line average (CLA) on the "A" or front surface, and camber should be no greater than 0.002 inch/inch.

18.2 Design Limitations

Thin-Film Conductors. The preferred conductor width and spacing is 0.005 inch. The minimum distance between a conductor and the edge of the substrate shall be 0.010 inch; however, the preferred distance is 0.020 inch. All thin-film circuits should be gold plated to provide a maximum sheet resistivity of 0.01 ohm/square. Thin-film conductors for hybrid circuits are not normally multilayered, however, see Chapter 13 for multilayered substrates used in thin-film multichip modules.

Thin-Film Resistors. *Layout Design*—The resistance value for a thin film resistor is determined by its aspect ratio and the sheet resistivity of the thin resistor film similar to that of thick films. Resistors designed in a top-hat configuration are more widely used in thin-film than in thick-film circuits. Due to current density phenomena, the estimation of the effective number of squares in a top-hat configuration is not straightforward. Figure 44 may be used to predict the effective square count of a top-hat resistor configuration.

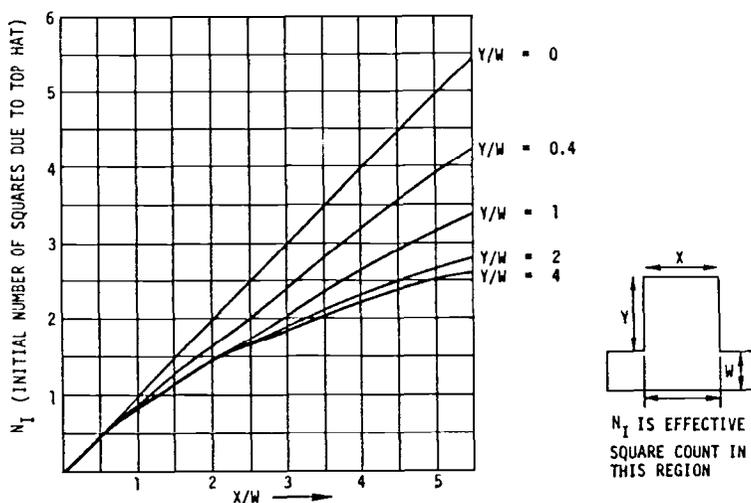
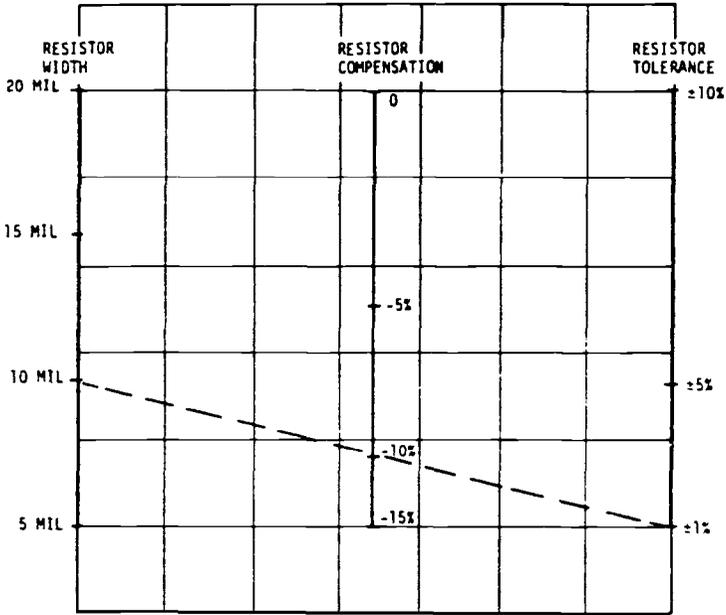


Figure 44. Initial square count of top-hat resistor.^[5]

To compensate for errors inherent in the fabrication process, resistors with aspect ratios less than one shall be designed 10 percent low. Resistors less than 0.020 inch in width shall be designed in accordance with the nomograph shown in Fig. 45.



NOTE: The broken line indicates that a 0.010-inch-wide resistor trimmable to ±1 percent should be designed 10 percent low.

Figure 45. Nomograph for determination of resistor design values.

Resistor Density. Maximum resistor density per substrate depends on resistor size, area required by other circuit components, and circuit power dissipation limitations. Figure 46 shows how an example resistor value varies in size as a function of power dissipation and trim requirements.

Resistor Trimming. Figure 47 shows various methods for trimming resistors. The trim capability must be designed so that trimming will not reduce the resistor width to less than one-half the narrowest section.

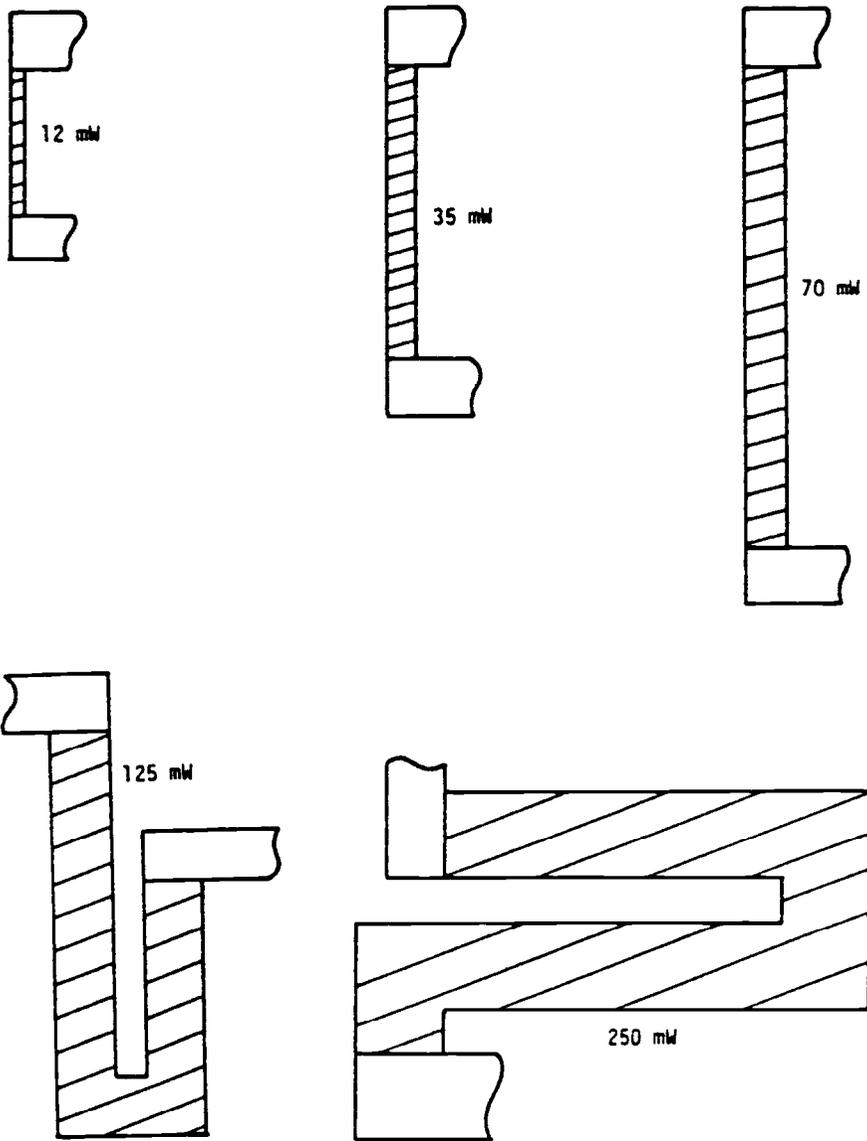


Figure 46. Various sizes for 1.8 k ohm resistors, depending on power dissipation.

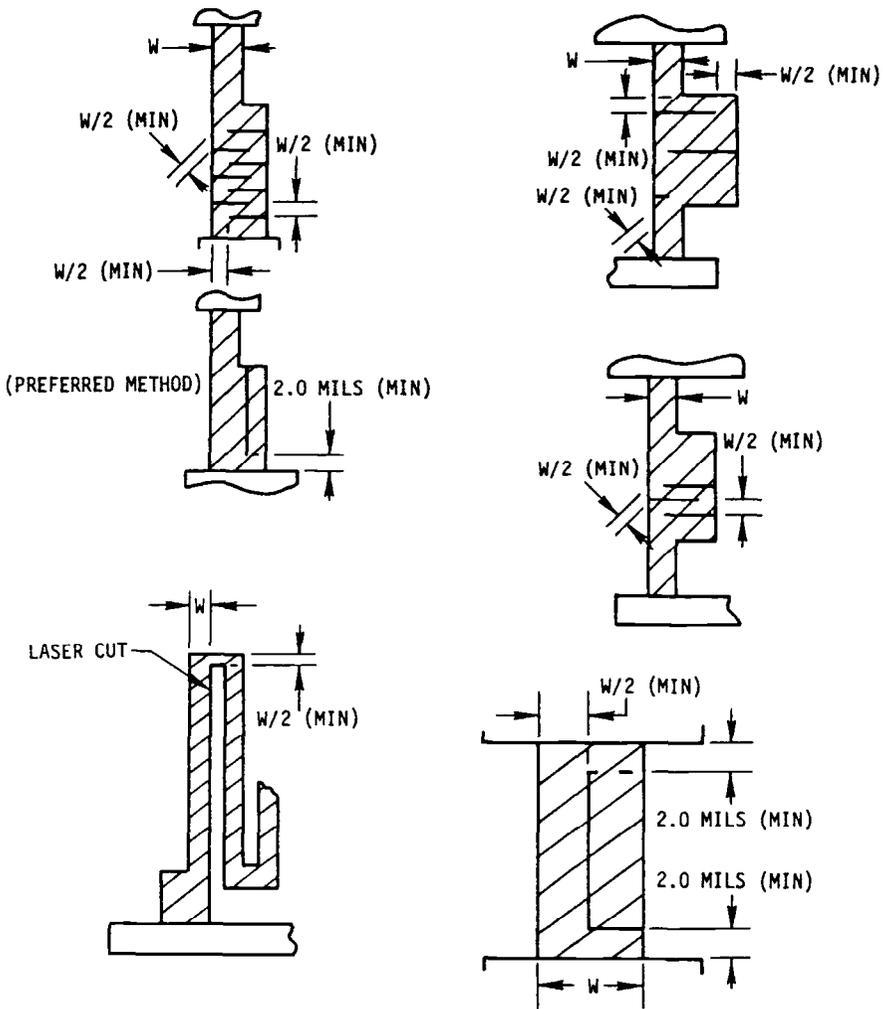


Figure 47. Typical laser cuts.

Uptrimming (increasing the ohmic value) is the normal trim method, however, some down trim capability is desirable on close-tolerance resistors. In the case of body-trimmed resistors, the design should include a conductor segment in series to provide this down trim capability.

460 *Hybrid Microcircuit Technology Handbook*

Power Dissipation. Thin-film resistors on alumina substrates are designed to dissipate 30 watts/square inch maximum. Although actual power dissipation capability is much higher, they are derated for design applications to permit a 50 percent area reduction during resistance adjustment.

Power dissipation is determined using the same equation discussed in the thick-film section.

$$Pd = PI(L \times w)$$

where: Pd = the rated power dissipation in watts/square inch

P = the actual resistor power dissipation in watts

L = the useful resistive length measured along the direction of current flow in inches

W = the resistor width in inches

The nomograph shown in Figure 48 is a handy aid that may be used when determining the aspect ratios for resistors. The procedure for its use is as follows:

GIVEN RESISTOR-10 k ohms \pm 5 percent, 200 mw, maximum power dissipation to be 15 watts/square inch.

STEP 1 : Determine the number of squares required for a 10 k resistor. At 200 ohms/square, the number of squares equals $10000/200$, or 50.

STEP 2: Trace line A from 0.200 watt on the resistor-dissipation scale through 15 on the watts/square inch scale to determine area.

STEP 3: Trace line B from the area scale through 50 on the number-of-squares scale to determine resistor width.

The resistor width calculated for this example is slightly more than 16 mils. Following standard design practice of working to a 5-mil grid, the resistor should be designed with a 20 mil width (next highest grid increment) so as not to exceed maximum power dissipation.

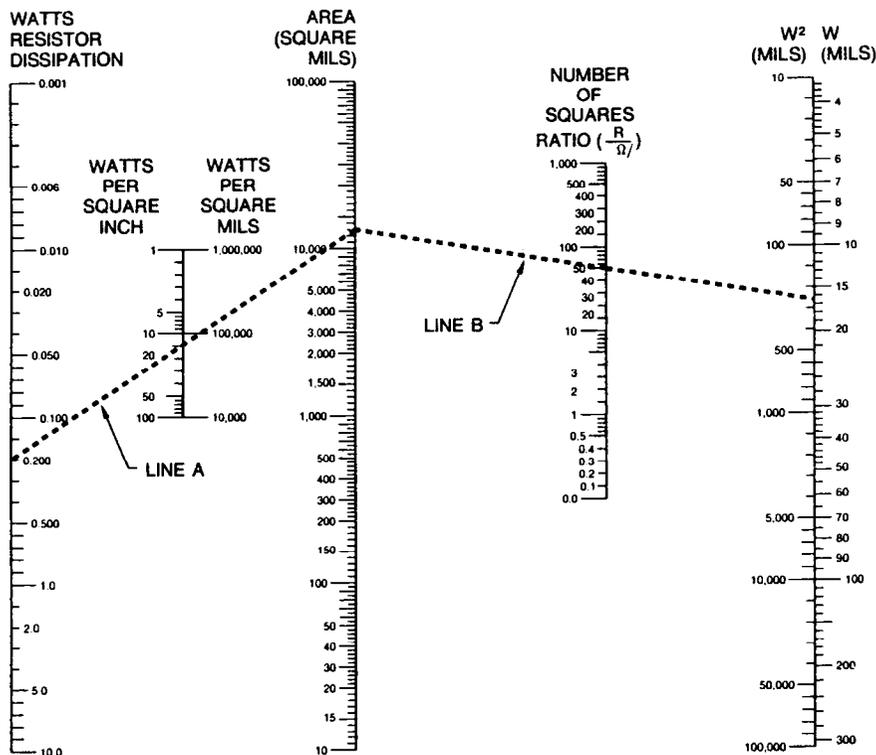


Figure 48. Nomograph for determining resistor width vs. power dissipation.

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Documentation and Specifications

1.0 DOCUMENTATION

The extent and depth of documentation required to produce and screen hybrid microcircuits depends on specific program requirements. The quantity of circuits to be produced and reliability expected are controlling factors. For example, if a small quantity is to be produced it is cost effective to use engineering documentation. If high-production quantities are involved, production-type documentation should be generated and released. For circuits that require high reliability (manned spacecraft, strategic defense, satellite, and medical implants), more detailed specifications covering certification, qualification, quality assurance, and testing are necessary.

Hybrid microcircuit documentation may be divided into two basic categories: circuit and circuit test equipment. The test equipment group includes both functional test and screen test equipment (test adapters and bum-in boards). The following list details documentation required for most hybrid circuits:

Hybrid Circuit Layout-A layout converts schematic and/or logic diagrams into circuit patterns from which artwork is produced.

Muster Artwork-A photo-plot generated from the layout, consisting of one or more sheets, depending on the number of layers.

Assembly Drawing-Book-form drawing, consists of title page, case outline, general-notes page, parts list, schematic, and assembly view (usually 10x). The assembly view is usually a half-size of the layout. This package can also consist of single sheets of various size drawings.

Ix Working Tools--The master artwork that has been photoreduced to actual size or printed directly from a CAD file.

Assembly and Screen Specification-A specific process specification for a given program detailing how a circuit is to be fabricated, tested, inspected, and screened to meet specific customer requirements.

Functional Test Specification-Details specific electrical test requirements for a hybrid.

Test Adapter Drawing-Defines the adapter required to interface the hybrid with the electrical test station.

Performance Board Drawing-Defines the adapter required to interface the hybrid with automated test equipment.

Burn-in Board Drawing-Defines the adapter required to interface the hybrid with the bum-in oven.

Operating Instruction Specification (OIS)-Converts engineering documentation and customer requirements into "how to" information for testing and screening a circuit.

Work Instruction (WI)-Converts engineering documentation into how-to-build information for Operations. Usually consists of, but is not limited to:

1. Assembly
2. Bonding
3. Passive test and trim (only required for deposited or screened resistors)
4. Marking
5. Bum-in
6. Lead forming

Manufacturing Order (MO)-Each operator must sign and date this form, which follows the assembly steps, as each step is completed.

Process WorkInstruction (PWI)-General information applicable to a specific process setup or operation of a specific piece of equipment.

Materials and Processes Specifications-General process specifications controlling typical hybrid processing steps.

Source Control Document (SCD)-Component specification used for component procurement to control specific characteristics of a device. These are required by MIL-STD-883 for class K hybrids.

Program Listing Document (PLD)-Listing of program tape used on auto tester.

Qual Plan-Detailed instructions on tests and requirements to qualify a hybrid or set of hybrids for a particular program.

Additional Specifications-Some programs may require other specifications which are unique, such as for inspection, x-rays, and rework.

2.0 MILITARY AND GOVERNMENT SPECIFICATIONS

The tendency to prepare more documentation than is needed should be avoided. Many military, federal, and other government-generated documents already exist and should be used before in-house documentation is generated. It is not cost effective to generate in-house documents simply to “parrot” existing documentation, however, at this writing some MIL-SPECS are being eliminated or not maintained. It is therefore possible that companies will have to prepare their own specifications.

The following is a list of standards and specifications that have in the past been applicable to hybrids

MIL-STD- 100	Engineering Drawing Standards
MIL-STD- 129	Marking for Shipment and Storage
MIL-STD-202	Test Methods for Electronic and Electrical Component Parts
MIL-STD-750	Test Methods for Semiconductor Devices
MIL-STD-883	Test Methods and Procedures for Microelectronics
MIL-STD- I33 1	Parameters to be Controlled for the Specification of Microelectronics
MIL-STD- I772	Product Assurance Provisions for Custom Hybrid Microcircuits Line Certification of Fabrication Processes
MIL-Q-9858	Quality Program Requirements
MIL-S-19500	Semiconductor Devices, General Specification for
MIL-C-26074	Military Specifications, Coatings, Electroless Nickel, Requirements for
MIL-M-385 10	Microcircuits, General Requirements for

MIL-G-45204	Military Specification, Gold Plating, Electrodeposited
MIL-1-45208	Inspection System Requirements
MIL-STD-45662	Calibration System Requirements
MSFC-STD-5 87	Design and Quality Standard for Custom Hybrid Microcircuits
MSFC-SPEC-592	Specification for the Selection and Use of Organic Adhesives in Hybrid Microcircuits
DOD-STD-1686	Electrostatic Discharge Control Program for Protection of Electrical and Electronic Parts, Assemblies, and Equipment
MIL-HDBK-2 17	Military Handbook Reliability Prediction of Electronic Equipment
FED-STD-209	Federal Requirement, Clean Room and Work Station Requirements, Controlled Environment
QQ-N-290	Nickel Plating (Electrodeposited)
MIL-PRF-38534C	Performance Specification, Hybrid microcircuits, general specification for

Of this list, MIL-PRF-38534 and MIL-STD-883 are most important for hybrid manufacture.

MIL-PRF-38534 is the military specification for the procurement of hybrid microcircuits. It deals with documentation, rework qualification, and screening. This specification requires hybrids to be tested according to MIL-STD-883, which details all the test procedures.

2.1 MIL-M-3851G General Requirements for Microcircuits

Originally this specification was written for semiconductor devices, but for lack of a specification on hybrid circuits it was also applied to hybrids. This caused some confusion as to which portions of the specification were applicable to hybrids. In August, 1977, Appendix G was added to MIL-M-385 10 to define hybrid procurement procedures, however, it only addressed hybrid microcircuit procurement procedures. Finally, in December of 1981 revision "E" was issued which completely revised Appendix G and entitled

it “General Requirements for Custom Hybrid Microcircuits.” With this revision, hybrids became officially recognized as a reliable technology through a defined specification. Then in the late 1980s a new specification, MIL-H-38534, was introduced to deal with hybrid microcircuits. This specification replaced the previously used MIL-M-385 10 and portions of MIL-STD-883. In August, 1995 MIL-PRF-38534C was generated to replace MIL-H-38534.

2.2 MIL-H-38534-Hybrid Microcircuits, General Specification For

The scope of MIL-H-38534, stated:

“This specification establishes the general requirements for hybrid microcircuits and specifies the quality and reliability assurance requirements which shall be met in the acquisition of such devices. The types of devices covered by this specification include, but not limited to, hybrid microcircuits, microwave hybrid microcircuits, and multichip modules (MCMs). Detail requirements, specific characteristics, and other provisions which are sensitive to the particular intended use shall be specified in the applicable device acquisition specification. Three quality assurance requirement options, which detail the qualification requirements, and two quality assurance levels, Class K and H, are defined in the specification.”

2.3 MIL-STD-883-Test Methods and Procedures for Microelectronics

This military standard is a collection of test methods and procedures to be followed by the hybrid manufacturer to verify the integrity and reliability of the hybrid.

Purpose: MIL-STD-883 establishes uniform methods, controls, and procedures for designing, testing, identifying, and certifying microelectronic devices suitable for use within military and aerospace electronic systems. This includes basic environmental tests to determine resistance to deleterious effects of natural elements and conditions surrounding military and space operations. This standard also includes physical and electrical tests; design, package and material constraints; general marking requirements; workman-

ship and training procedures; and such other controls and constraints that are necessary to ensure a uniform level of quality and reliability suitable to the intended applications of those devices. For the purpose of this standard, the term “devices” includes items such as monolithic, multichip, film and hybrid microcircuits, microcircuit arrays, and the elements from which the circuits and arrays are formed. This standard is intended to apply only to microelectronic devices. The test methods, controls, and procedures described herein have been prepared to serve several purposes:

- a. To specify suitable conditions obtainable in the laboratory and at the device level which give test results equivalent to the actual service conditions existing in the field and to obtain reproducibility of the results of tests. The tests described herein are not to be interpreted as an exact and conclusive representation of actual service operation in any one geographic or outer-space location, since it is known that the only true test for operation in a specific application and location is an actual service test under the same conditions.
- b. To describe in one standard all of the test methods of a similar character which now appear in the various joint services and NASA microelectronic device specifications so that these methods may be kept uniform and thus result in conservation of equipment, man hours, and testing facilities. In achieving this objective, it is necessary to make each of the general tests adaptable to a broad range of devices.
- c. The test methods described herein for environmental, physical, and electrical testing of devices shall also apply, when applicable, to parts not covered by an approved Military/NASA specification, Military/NASA sheet-form standard or drawing.
- d. To provide for a level of uniformity of physical, electrical, and environmental testing, manufacturing controls and workmanship, and materials to ensure consistent quality and reliability among all devices screened in accordance with this standard.

The above stated **purpose** is from MIL-STD-883D, Notice 2, dated April 24, 1994. The test methods in the standard are divided into four classes:

1. Methods 1001 to 1999, Environmental Tests
2. Methods 2001 to 2999, Mechanical Tests
3. Methods 3001 to 4999, Electrical Tests
4. Methods 5001 to 5999, Test Procedures

Figure 1 forms the basis for axis definitions to be used in the application of forces.

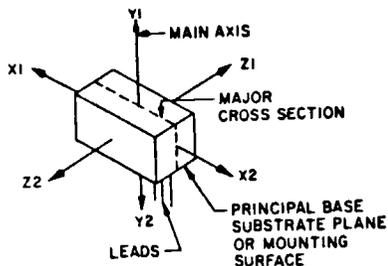
MIL-STD-883, Method 5008, was the controlling specification for element screening, process control, device screening, and qualification. In 1992 these requirements (starting in section 4.0 Quality Assurance Provisions) were incorporated in MIL-H-38534.

MIL-H-38534 is now MIL-PRF-38534. With the release of MIL-H-38534C this document has become a performance specification and is now called MIL-PRF-38534. This revision was dated 23 August, 1995, and has greatly changed the look and function of this document. The revision reorganized the specification, giving the manufacturer and the buyer of hybrid microcircuits new flexibility while continuing to require a specified level of quality and reliability. The main body of the specification gives a road map to the appendices and required that devices meet a specified quality assurance level. These levels are defined by test flows and design and construction criteria contained in Appendices C, D, and E. The main body requires the manufacturer to implement a quality program **per the guidelines of Appendices A or B.**

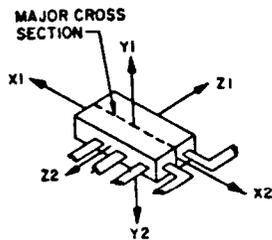
2.4 MIL-PRF-38534 Performance Specification, Hybrid Microcircuits, General Specification for

This document is a performance specification. It is intended to provide the device manufacturers an acceptable established baseline in order to support government microcircuit applications and logistics programs. The basic document has been structured as a performance specification which is supplemented with detailed appendices. These appendices provide guidance to manufacturers on demonstrated successful approaches to meeting military performance requirements. These appendices are included as a benchmark and are not intended to impose mandatory requirements.

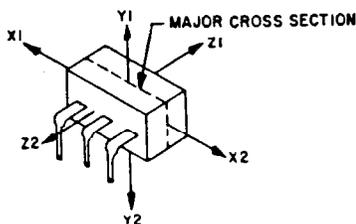
Scope. This specification establishes the general requirements for hybrid microcircuits, multichip modules (MCMs), and similar devices and the verification requirements for insuring that these devices meet the applicable performance requirements. Verification is accomplished through the use of two quality programs (Appendix A or Appendix B).



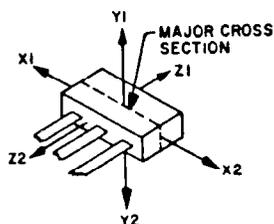
Orientation of microelectronic device to direction of applied force.



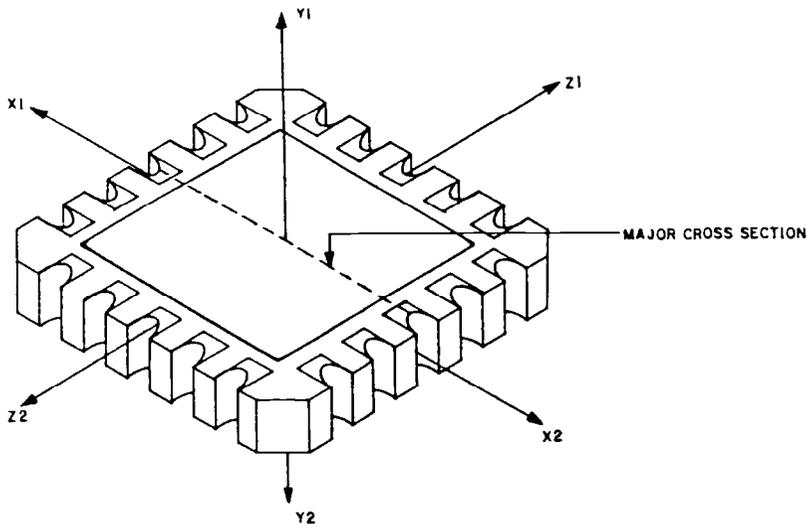
Radial lead flat packages.



Dual in-line package.



Flat package with radial leads from one side only.



Leadless chip carrier (top view).

NOTE: The Y1 force application is such that it will tend to lift the die off the substrate or the wires off the die. The reference to applied force actually refers to the force which operates on the device itself and may be the resultant of the primary forces applied in a different manner or direction to achieve the desired stress at the device. (e.g., constant acceleration).

Figure 1. Axis definition.

The main body of this specification describes the performance requirements and the requirements for obtaining a Qualified Manufacturers List (QML) listing. The appendices of this specification are intended for guidance to aid manufacturers in developing their verification program. Detail requirements, specific characteristics, and other provisions which are sensitive to the particular intended use shall be specified in the applicable device acquisition specification.

2.5 Exploring Your Options Under MIL-PRF-38534

Under the new specification, the manufacturer of hybrids and multi-chip modules has more flexibility than was available in the past. Under the new specification the manufacturer must test to the requirements of the specification or set up a technical review board (TRB), or get an approval from Defense Supply Center Columbus (DSCC) for any deviations. If a TRB system is put in place, DSCC will validate the TRB process and allow the TRB to then have control of the processing and testing of the devices. If a TRB is not used, the manufacturer's justification would then need to be examined by DSCC and the deviations approved prior to their implementation. In this way a manufacturer may deviate from the standard test flows of Appendix C and the design and construction requirements of Appendix E.

No matter which path a manufacturer may choose, many options are available. In this specification not only are the testing and design guidelines flexible, but the way in which each manufacturer implements his or her system is flexible. This flexibility will continue to provide high quality devices while lowering costs.⁽¹⁾ The following sections and tables discuss the performance verifications of MIL-PRF-38534, Appendix C. The paragraph and table references refer to MIL-PRF-38534. Since specifications change frequently, the reader is referred to the latest specification.

Table 1. Device Evaluation Summary. (Paragraph numbers and tables refer to MIL-PRF-38534.)

Requirement	Paragraph
Element Evaluation	C3
Process Control	C4
Device Screening	C5
Conformance Inspection	C6

Phase I: Die Evaluation. Before hybrid assembly, the element characteristics shall be evaluated per Table 2.

Table 2. Element Evaluation Summary

Element	Paragraph	Table
Microcircuit and semiconductor dice	C.3.3	II
Passive Elements	C.3.4	III
SAW Elements	C.3.5	IV
Alternate IC Evaluation	C.3.6	N/A
Substrates	C.3.7	V
Packages	C.3.8	VI
Adhesives	C.3.9	MIL-STD-883 M5011

Element Evaluation.

Microcircuit and Semiconductor Die.

- (1) All die shall be electrically tested, which may be done at the wafer level provided all failures are identified and removed from the lot when the die are separated from the wafer.
- (2) All die shall be visually inspected to assure conformance with the applicable related requirements of MIL-STD-883, Method 2010 (Internal Visual Monolithics).
- (3) From each wafer lot a sample shall be packaged in a suitable package that simulates the assembly methods and functional conditions of the intended application and then evaluated per Table 3.

Table 3. Active Die Evaluation Requirements.

Subgroup	Class		Test	MIL-STD-883		Quantity (accept number)
	K	H		Method	Condition	
1	X	X	Element electrical			100%
2	X	X	Element visual	2010 2072 <u>1</u> / 2073 <u>1</u> /		100%
3	X	X	Internal visual	2010 2072 <u>1</u> / 2073 <u>1</u> /		10 (0)
4	X	X	Temp Cycling	1010	C B, Y1 axis	10 (0) <u>2</u> /
	X		Mechanical shock	2002		
	X		or Constant acceleration	2001	A, Y1 Axis 240 hours min, 125C	
	X		Interim electrical	1015		
	X		Burn-In	1005		
X	Post burn-in electrical					
X	Steady-state life					
X	Final electrical					
5	X	X	Wire bond evaluation	2011		10 (0) wires or 20 (1) wires
6	X		SEM	2018		Method 2018

1/ MIL-STD-750 methods

2/ For class K sample size see C.3.3.4.1 of MIL-PRF-38534

Subgroups 3 and 4—Subgroup 3 checks for die diffusion, oxide, and metallization faults. Subgroup 4 checks electrical parameters after being subjected to environmental and mechanical screens. Class K: 3 die from each wafer, for a total of at least 10 samples, shall be used. Class H: 10 die from each wafer lot shall be used. Minimum electrical testing of Subgroup 4 shall be static tests at 25°C maximum and minimum rated operating temperature.

Subgroup 5—This subgroup is to evaluate the device metallization. From each wafer lot a sample of at least 5 die with 10 bond wires shall be selected. Each wire bond will be nondestructively tested then a minimum of 10 bonds shall be destructively tested.

Subgroup 6 is applicable to Class K devices only. Subgroup 6 provides a method of testing the quality of the element metallization.

Passive Element Evaluation.

- (1) Each element shall be electrically tested at 25°C as specified in the detail/procurement specification.
- (2) All die shall be visually inspected to assure conformance with the applicable related requirements of Method 2010 (Internal Visual Monolithics) and Method 2017 (Internal Visual Hybrid).
- (3) From each inspection lot a sample shall be packaged in a suitable package that simulates the assembly methods and functional conditions of the intended application and then evaluated per Table 4. The sample must contain at least 20 wire bonds.

Table 4. Passive Element Evaluation Requirements

Subgroup	Class		Test	MIL-STD-883		Quantity (accept number)
	K	H		Method	Condition	
1	X	X	Element electrical			100%
2	X	X	Visual inspection	2032 2032		100% 22 (0)
3	X X X X X X X	 X	Stabilization Bake Temp Cycling Mechanical shock or Constant acceleration Voltage conditioning or Aging (capacitors) Visual Inspection Electrical	1008 1010 2002 2001 2017	C C B, Y1 axis A, Y1 Axis	10 (0)
4	X	X	Wire bond evaluation	2011		10 (0) wires or 20 (1) wires

Substrate Evaluation. Substrates shall be evaluated for electrical, visual, physical dimensions, conductor thickness, film adhesion, solderability, TCR, wire bond evaluation, and die shear evaluation. Substrates manufactured using a qualified process shall be exempt from this evaluation.

Package Evaluation. From each package inspection lot, a randomly selected sample shall be evaluated per Table 5. Subgroups 1, 2, and 3 shall be accomplished periodically at intervals not to exceed 6 months. Subgroups 2, 3, and 4 apply to cases only. This completes the requirements for a hybrid/MCM.

Table 5. Package Evaluation Requirements

Subgroup	Class		Test	MIL-STD-883		Quantity (accept number)
	K	H		Method	Condition	
1	X	X	Physical dimensions	2016		3 (0)
2	X	X	Solderability	2003	Soldering temperature 245C ±5C	3 (0)
3	X	X	Thermal shock	1011	C	3 (0)
	X	X	High temp bake	1008	1 hour at 150C	
	X	X	Lead Integrity	2004	B2 Lead Fatigue D Leadless Chip Carrier	
	X	X	Seal	202B 1014	Pin Grid and rigid leads A4 Unlidded case	
4	X	X	Metal package isolation	1003	600 VDC 100na max	3 (0)
5	X	X	Moisture resistance	1004		5 (0)
6	X	X	Salt atmosphere	1009	A	5 (0)

Adhesive Evaluation. The polymeric adhesives used in device applications will be subjected to and pass the procedures detailed in MIL-STD-883 M5011.

Phase II: Process Control. The next phase of device control deals with the controls that are placed on the hybrid manufacturers processes. The processes indicated in Table 6 must be controlled

Table 6. Process Control Summary

Operation	Class		MIL-STD-883	
	K	H	Method	Condition
Wire Bonding	X X	X X	2011 2023	
Seal	X		1014	A, 1×10^{-6} atm/cc He

A wire bonding machine/operator evaluation shall be performed after any one of six events occur.

1. When a bonding machine is placed into operation
2. Periodically while in operation, not to exceed four hours
3. When an operator is changed
4. When a bonding machine part or an adjustment has been made
5. When a spool of wire is changed or a new lot of packages is started
6. When a new device type is started

The wire bond evaluation consists of nondestructive and destructive bond strength tests.

Destructive. A minimum of 10 wires from three devices (test samples may be used in lieu of a hybrid) shall be tested for class H and 15 wires for Class K. These 15 wires must contain one wire from each transistor, diode, capacitor, and resistor chip, and five wires from the substrate to header. If more than one size of wire is used, at least 4 samples of each type and size shall be tested.

Wire samples shall be bond pulled and test results recorded. Evaluation results are acceptable if no failures occur; however, if a failure occurs, the bonder shall be deactivated and not returned to service until corrective action has been taken. The machine will be subjected to another sample and will be returned to production when it passes the tests.

Nondestructive. From each wire bonding lot a sample of at least two devices shall be removed and subjected to nondestructive bond pull testing. A wire bonding lot consists of devices bonded by the same machine and operator, using the same wire, during the same time period, not to exceed 4 hours. **These** two devices shall contain at least 15 wires. These 15 wires must contain one wire from each transistor, diode, capacitor, and resistor chip, three wires from each type of integrated circuit, and five wires from the substrate to header. The wire lot will be acceptable if no failures occur. If one wire fails, another sample of two devices can be tested and, if no failures occur, the lot is acceptable. If more than one failure occurs, or the second sample contains a failure, the bonder shall be removed from operation. The failures shall be investigated and corrective action taken. After the corrective action another sample shall be tested.

Lid sealing is another process that must be controlled (Table 6). This control is for Class K hybrids only. All Class K hybrids shall be time leak tested, without pressure bomb, immediately after seal and before any other testing. Class K devices are sealed with a minimum 10% helium tracer gas.

Phase III: Device (Hybrid) Screening. Each hybrid microcircuit shall be subjected to and pass all of the applicable screening tests and inspections in accordance with Table 7.

Phase IV: Conformance Inspection and Periodic Inspection. **Conformance Inspection** (CI) and Periodic Inspection (PI) for a given hybrid microcircuit type are determined by the selection of a requirements option flow at the time of contract negotiation and acceptance. The requirements option flow selected shall determine the CI and PI requirements for the specific device manufactured. Where applicable, inspection lot sampling shall be in accordance with Appendix F of MIL-PRF-38534. Except where the use of final electrical test rejects or simulation samples is allowed, all devices shall have been previously screened and subjected to and passed all final electrical tests. Each inspection lot of devices shall be subjected to the requirements of Table 8. The table allows for two options: in-line and end-of-line. Groups A, B, C, and D of Table 8 are detailed in Tables 9, 10, 11, and 12.

Table 7. Device Screening

Test Inspection	MIL-STD-883		Requirements	
	Method	Condition	Class K	Class H
Preseal burn-in	1030		Optional	Optional
Nondestructive bond pull	2023		100%	N/A
Internal visual	2017		100%	100%
Temperature cycling or Thermal shock	1010	C	100%	100%
	1011	A, minimum	N/A	100%
Mechanical shock or Constant acceleration	2002	B, Y1 axis	100%	100%
	2001	A, Y1 axis	100%	100%
Particle impact noise detection (PIND)	2020	A or B	100%	N/A
Electrical	Applicable device specification		100%	Optional
Burn-in	1015		100%	100%

Table 8. CI and PI Summary

Requirement	Reference	Option 1 (In-line)	Option 2 (end-of-line)
General	Paragraph	C.6.3	C.6.4
Group A (CI)	Paragraph	C.6.3.1	C.6.4.1
	Table	IXa	IXa
Group B (PI)	Paragraph	C.6.3.2	C.6.4.2
	Table	N/A	IXb
Group C (PI)	Paragraph	C.6.3.3	C.6.4.3
	Table	IXc	IXc
Group D (PI)	Paragraph	N/A	C.6.4.4
	Table	N/A	IXd

Table 9. Group A Electrical Test

Subgroup	Parameters	Quantity (accept number)
1	Static test at 25°C	116(0)
2	Static tests at maximum rated operating temperature	76(0)
3	Static tests at minimum rated operating temperature	45(0)
4	Dynamic test at 25°C	116(0)
5	Dynamic tests at maximum rated operating temperature	76(0)
6	Dynamic tests at minimum rated operating temperature	45(0)
7	Functional tests at 25° C	116(0)
8	Functional tests at maximum and minimum rated operating temperature	76(0)
9	Switching tests at 25° C	116(0)
10	Switching tests at maximum rated operating temperature	76(0)
11	Switching tests at minimum rated operating temperature	45(0)

Table 10. Group B Testing (Option 2 only)

Subgroup	Class		Test	MIL-STD-883		Quantity (accept number)
	K	H		Method	Condition	
1	X	X	Physical dimensions	2016		2 (0)
2	X		PIND	2020	A or B	15 (0)
3	X	X	Resistance to solvents	2015		3 (0)
4	X	X	Internal visual and mechanical	2014		1 (0)
5	X	X	Bond strength a. Thermocompression b. Ultrasonic or wedge c. Flip-chip d. Beam lead	2011	C or D C or D F H	2 (0)
6	X	X	Die shear strength	2019		2 (0)
7	X	X	Solderability	2003	Solder temperature 245° ± 5° C	1 (0)
8		X	Seal a. Fine b. Gross	1014	A or B C or D	15 (0)
9	X	X	ESD a. Electrical parameters b. ESDS c. Electrical parameters	3015	Group A-1 Group A-1	3 (0)

Table 11. Group C Testing.

Subgroup	Class		Test	MIL-STD-883 Condition			Quantity (accept number)
	K	H		Method	PI	QML	
1	X	X	External visual	2009			5 (0)
	X	X	PIND	2020	N/A	A or B 1/5 passes	
	X		Temperature cycling	1010	C, 20 cycles	C, 100 cycles	
		X	Temperature cycling or Thermal shock	1010 or 1011	C, min or A, min	C, 100 cycles N/A	
	X	X	Mechanical shock or Constant acceleration	2002 or 2001	B, Y1 axis or A1, Y1 axis	B, Y1 axis and B, Y1 axis	
	X	X	Seal (fine and gross)	1014			
	X	X	PIND	2020	N/A	A or B, 1 pass	
	X		Radiographic	2012	Y axis	N/A	
	X	X	Visual inspection	1010			
	X	X	End-point electrical	2/			
	2	X	X	Steady-state life	1005	1000 hours 125° C	
X		X	End-point electrical	2/			
3	X	X	Internal water vapor content	1018 @ 100°C			3 (0) or 5 (1) 4/
4	X	X	Internal visual and mechanical	2014	Option 1 only		2 (0) 4/
	X	X	Wirebond strength	2011	Option 1 only		
	X	X	Element shear	2019	Option 1 only		2 (0) 4/

1/ Manufacturer's option

2/ In accordance with applicable device specification

3/ When group C, subgroup 2 is being performed for limited QML qualification or limited PI or class I changes only, a sample size (accept number) of 5 (0) may be used.

4/ Subgroups 3 and 4 samples shall have received subgroup 1 environmental exposure.

Subgroup 3 samples may be used to perform subgroup 4 tests.

Table 12. Group D Package Related Tests

Test	MIL-STD-883		Quantity (accept number)
	Method	Condition	
Thermal Shock	1011	C	5(0)
Stabilization Bake	1008	+ 150°C, 1 hour	5(0)
Lead Integrity	2004	B2 (Lead Fatigue) D (Leadless chip carrier)	1(0)
	2028	(Pin grid array leads and rigid leads)	
Seal a. Fine B. Gross	1014	A or B C or D	5(0)

2.6 MIL-STD-1772 Certification Requirements for Hybrid Microcircuit Facilities and Lines

MIL-STD-1772, released May 15, 1984, consisted of a “checklist” of items that a hybrid manufacturer must meet in order to be certified. The purpose of the specification is to provide a uniform method for evaluating materials and processes for custom hybrid microcircuits and to assure that the process and facility being certified continues to produce satisfactory products during the active certification period.

MIL-STD-1772 was generated by Rome Air Development Center (RADC) together with the Committee on Hybrid Technology (JC-13) so that hybrids achieve recognition by DoD on an equal basis with monolithic devices. There was a need to establish a uniform and standard procedure for conducting a certification audit on hybrids because different agencies had different requirements and each performed its own surveys. It was therefore desirable to standardize on one audit procedure and have one agency responsible for certifying each hybrid manufacturer.

The Defense Electronics Supply Center (DESC) (of the Defense Logistics Agency) in Dayton, Ohio, was responsible for performing the audit. This agency would audit hybrid manufacturers to assure that: all processes and procedures are documented; all processes are being controlled; there is strict conformance to MIL-H-38534; there is strict conformance to MIL-STD-883, Method 5008; supplier control is maintained, and there is standardization on documentation, testing, and control of hybrid microcircuits.

2.7 The Elimination of MIL-STD-1772

With the release of **MIL-PRF-38534**, revision C, all references to MIL-STD-1772 have been deleted. MIL-STD-1772 has been used as a Certification and Qualification guide throughout the history of this program. All essential elements of MIL-STD- 1772 have been moved into MIL-PRF-38534. Along with the recent inclusion of MIL-STD-883, Test Method 5008, into MIL-PRF-38534, this move makes MIL-PRF-38534 a stand alone document.

REFERENCES

1. Jones, M. C., QAdL Quarterly Desc-Elsh (October, 1995)

12

Failure Analysis

1.0 TYPES AND CAUSES OF HYBRID FAILURES

To produce reliable hybrid microcircuits with a high yield, any failures that occur must be analyzed, their causes determined, and corrective action taken to prevent the failure from recurring.

Failures in hybrid microcircuits may be attributed to one or more of six categories:

- Devices
- Wire bonds
- Die attachment
- Substrates
- Packages
- Contamination

Figure 1 depicts the percentage of failures for various failure modes. These data, collected by Rome Air Development Center, show that faulty active devices, marginal wire bonds, and contamination are major contributors to failures.†

Figure 2 identifies potential sites for failure in a cross section of a hybrid, which includes a device mounted on a thick-film substrate, in turn mounted in a hermetically sealed metal package.

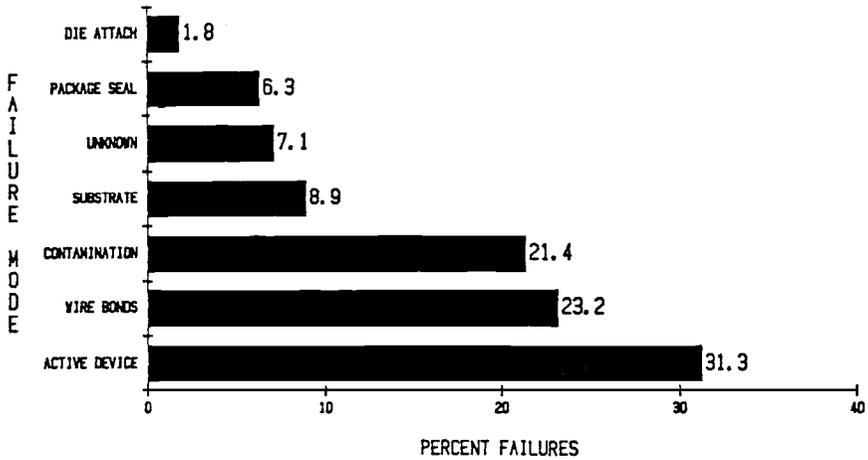


Figure 1. Percent failures by failure mode.

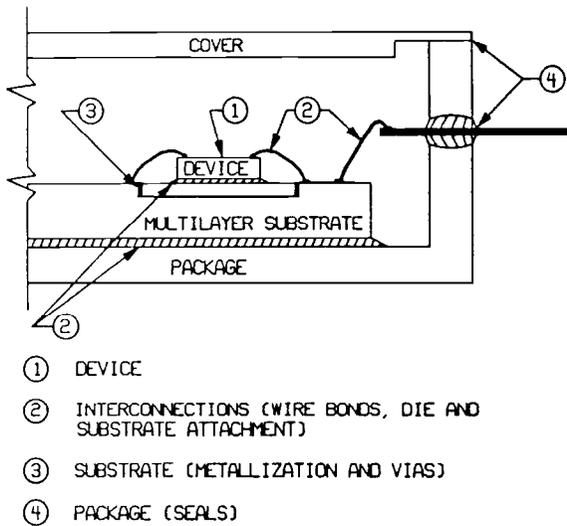


Figure 2. Main sites for potential hybrid failures.

1.1 Device Failures

Devices are the major contributors to hybrid circuit failures. One estimate is that devices account for over 31 percent of all hybrid failures (Fig. 1). Devices progress through three phases of failure, according to a Weibel or “bathtub” curve (Fig. 3): (i) period of infant mortality—where marginal devices fail within a few days of operation, (ii) life span—the period that a properly designed and produced device will function, and (iii) wear-out

period—when most of the devices are expected to fail due to aging. The life expectancy of devices that survive the infant mortality period may be in excess of ten years.

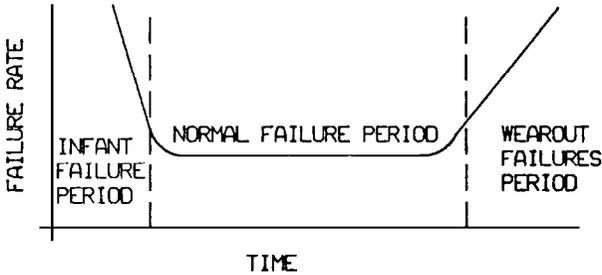


Figure 3. Typical Weibel (bathtub) failure curve.

Infant mortality, as the curve depicts, has a decreasing failure rate. Principal causes of failures associated with this stage include:

1. Weak/marginal components.
2. Inspection escapes.
3. Contamination.
4. Weak wire bonds.
5. Weak die attachment.

Most infant mortality failures occur during mechanical screen testing and can be repaired. The failure of weak components is further accelerated during burn-in. The major failure mechanism of a component stems from electrical aging,^[2] which can be accelerated by temperature and predicted by applying the Arrhenius equation:

$$F = A(e^{-E_a/kT})$$

- where:
- F = failure rate
 - A = a constant
 - E_a = activation energy (ev)
 - k = Boltzmann's constant (8.6×10^{-5} ev/K)
 - T = temperature (K)

1.2 Interconnection Failures

Interconnection failures, the most prevalent of which occur in wire bonds, account for the next largest category (23 percent) of hybrid failures. Wire bond failures can be minimized by conducting both material and substrate verification.

Material Verification. Samples of each lot of devices (ICs, transistors, diodes, chip resistors, substrates, and packages) should be wire bonded using standard production bonders and schedules. After bonding, the wires are destructively pull-tested according to Method 2012 of MIL-STD-883. Bi-metallic bonds should be aged for 1 hour at 250°C to accelerate any intermetallic formation, then destructively pull-tested. If the wire bond pull strengths are greater than a specified value the lot can be released to production. These tests insure that the device and substrate metallizations are capable of accepting a wire bond and that the bonds will not degrade over a period of time. Material verification is essential in identifying bonding problems prior to committing the parts to production.

Substrate Verification. In addition to the wire bond pull test, an adhesion test for the substrate metallization should be performed. A strip of cellophane tape is pressed onto the metallized substrate with a rubber roller, then pulled off in a single, swift motion, at a right angle to the substrate. If the tape removes any metal from the substrate the adhesion is marginal and the lot should be rejected.

Besides wire bond failures, other interconnection failures may be due to a marginal device or substrate attachment. If insufficient or non-uniform attachment material (epoxy or alloy) is used the substrate, or large capacitors, can loosen and separate during mechanical screens. This failure mode can be avoided by filleting the edges of the large capacitors with non-conductive epoxy.

1.3 Substrate Failures

Substrates contribute about nine percent to the total hybrid failures. A substrate failure may be mechanical or electrical in nature. Examples of mechanical failures include cracked, chipped, or broken substrates, most often resulting from rough handling or high mechanical stresses. Electrical failures are invariably due to design layout errors which result in electrical opens or shorts in the metallization pattern. With thick-film multilayer substrates the most common failure mode is electrical shorting between conductor layers through pinholes in the dielectric. Consequently, it is

recommended that each dielectric layer be double-screened to avoid pinholes. Another failure area in multilayer substrates is the via connection from one level to another. If the via is small and not completely filled with conductive paste, a high resistance path or even an open can occur. This is more likely to occur in multilayer substrates that have been designed with stacked vias making the connection path longer. By designing larger and staggered vias, this failure mode can be avoided or minimized.

There are two ways that the electrical integrity of a thick-film interconnect substrate can be checked prior to release to assembly:

1. Inspect and touch up each layer prior to firing.
2. Test for opens and shorts using a continuity tester. However, many companies have found that extensive visual inspection will afford reliable substrates without the need for electrical testing.

1.4 Package Failures

Packages, which account for about six percent of all failures, may fail for several reasons.

1. The package does not pass incoming visual inspection. The plating **may** be too thin, non-uniform, or have pinholes that can lead to corrosion.
2. The glass-to-metal seals (glass beads) around the leads may be cracked or broken through handling, lead forming or thermal/mechanical screen testing.
3. The lid-to-package seal may become non-hermetic during screen testing.

1.5 Contamination

Contamination accounts for about 21 percent of all hybrid failures and has long been recognized as a main cause of failures. In fact, many of the other failure categories may ultimately be due to contamination. For example, wire bonds and devices can fail because of trace amounts of ionic contaminants. Conductive particles from epoxy, solder, wire bonds, and packages can become dislodged and cause shorts between two conductors. Due to the high probability of failures due to particle contamination, industry and government have adopted many safeguards, among which are the PIND

test, use of particle getters, use of particle immobilizing coatings, and extensive cleaning and inspection.

1. Particle-Impact-Noise-Detection (PIND) Testing is a screen test requirement for all Class K hybrids. According to this test, the hybrid circuits are vibrated and shocked while a transducer registers noise due to any loose particles.
2. Particle getters can be applied to the inside of the hybrid covers, then the hybrid is shocked in an upside-down direction allowing any loose particles to become trapped on the getter.
3. The most effective, though also most expensive method, is to coat the entire inside of the hybrid with a thin particle immobilizing coating such as Parylene. This coating entraps any particles, preventing them from moving. Chapter 7 discusses this approach in detail.
4. Cleaning and visual inspection are very important in the removal and control of particles. Many manufacturers rely only on extensive inspections under magnification to detect particles. If a manufacturer employs efficient cleaning and inspection procedures, particle failures (as detected by PIND) can be held to below 3 percent.

A primary source of contamination in both semiconductor integrated circuits and hybrid circuits is human skin. Skin cells, oils, and salts are shed continuously and contain organic, particulate, and ionic contaminants. These skin contaminants can deposit the elements listed in Table 1. Eliminating harmful contamination at the source can only be done after the contaminant has been identified. If a contaminant is analyzed and found to contain two or more of the elements of Table I, its source is most likely from human handling.

Circuit failures may occur at three stages:

1. In-process (during fabrication and assembly).
2. In final functional test (acceptance).
3. In use (field failures).

The extent of analysis required depends on which of these categories the particular failure fits.

Table 1. Chemical Elements In The Human Body

Element	Percent
Oxygen	65
Carbon	18
Hydrogen	10
Nitrogen	3
Sulfur	0.25
Calcium	0.22
Phosphorous	0.8 to 1.2
Potassium	0.35
Chlorine	0.15
Sodium	0.15
Magnesium	0.05
Iron	0.004
Iodine	0.0004
Silicon	Trace Amounts
Copper	Trace Amounts
Fluorine	Trace Amounts
Manganese	Trace Amounts
Cobalt	Trace Amounts
Barium	Trace Amounts
Nickel	Trace Amounts
Lithium	Trace Amounts

In-process failures are those that are encountered during hybrid assembly and preseat testing. Table 2 summarizes some in-process failures.

Acceptance failures are failures detected during the final sell-off of the hybrid. Table 3 summarizes these failures.

Field failures are those that have occurred in hybrids that have been installed in a system that has been operating.

Failure analysis can range from fairly simple, for in-process failures (Fig. 4), to extensive, for system returns (Fig. 5).

For in-process failures it is usually only necessary to isolate the failure to a component, replace the component, take corrective action to prevent recurrence, and re-enter the hybrid in the assembly/test flow. In-process failure analysis is aimed at identifying corrective action and preventing the same failure type from recurring ^[4]

Table 2. Summary of In-Process Failures

Indicated Failure	Identified By	Probable Cause
Wire Bond	Visual Inspection Wire Bond Pull Electrical Test	Metallization Contamination Bonding Machine Schedule (force, time, power) Wire Workmanship Intermetallic Formation in Au/Al Bonds
Contamination	Visual Inspection Instrumental Analysis Chemical Analysis	Inadequate Cleaning Human Handling Epoxy Bleedout Solder Flux Loose particles (silicon, metal, epoxy) Corrosion
Substrate	Visual Inspection Electrical Test	Pin-Holes in Dielectric (thick film) Opens in Metallization Shorted Metallization Artwork Error Cracked Substrate
Device	Visual Inspection Electrical Test	Non-Operative Device Damaged Device Workmanship Overstressed Device (ESD, or Electrical) Part Mounted or Bonded Wrong Inadequate Cleaning Device Out of Tolerance

Table 3. Summary of Acceptance Test/Field Failures

Indicated Failure	Identified By	Probable Cause
Failure to Pass Test	Electrical Test	Device Failure (leakage currents, parameter drift, shorted, etc.)
	PIND Test	Wire Bond (open, high resistance)
Visual Damage	Visual Inspection	Loose Component
		Contamination (particles shorting conductors, ionic residues, silver migration)
		Electrical Overstress
		Electrostatic Damage
		Broken Pin
Visual Damage	Visual Inspection	Package Damage
		Cracked Glass Seals
		Incorrect Marking

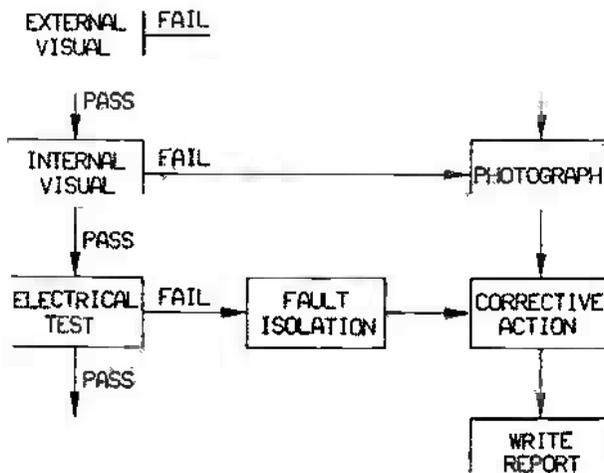
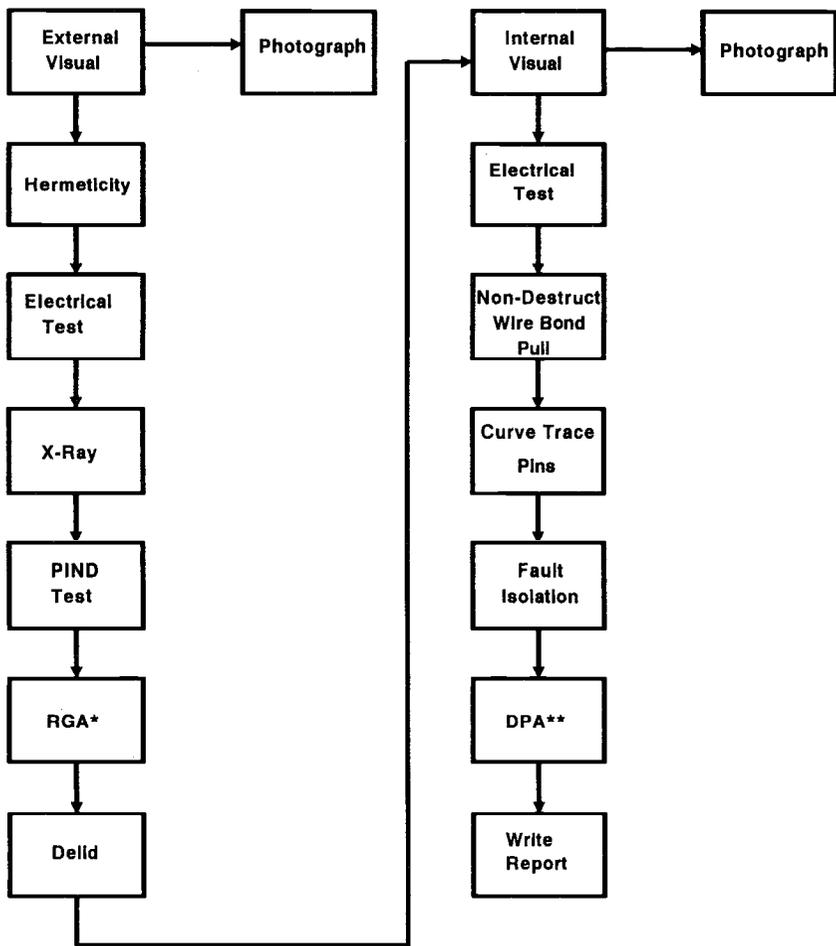


Figure 4. Steps in device failure analysis



* RGA = Residual Gas Analysis

** DPA = Destructive Physical Analysis

Figure 5. Complete failure analysis flow chart.

In analyzing failures that occur after sealing, it is very important to follow a definite sequence so that accurate conclusions can be drawn. Too often, hybrid circuits that have failed after burn-in or after being installed in a system are immediately de-lidded. This destroys data that may be significant in establishing the mode or mechanism of failure. For example, once a hybrid has been de-lidded a moisture or gas analysis can no longer be performed, nor can PIND or hermeticity tests be run. Furthermore,

radiographic inspection is no longer meaningful since metal particles may have entered the package during de-lidding. The failure analysis steps shown in Fig. 5 provide a logical sequence so that the maximum amount of data can be obtained and analyzed. In Fig. 5, DPA refers to Destructive Physical Analysis; more information on this topic may be found in Method 5003 of MIL-STD-883.

Today's failure analysis expert must be part electrical engineer, part chemist, part process engineer, and part super sleuth. Like a detective solving a crime, the specific analytical technique to be used depends on the failure mode.

2.0 FAILURE ANALYSIS TECHNIQUES

There are four basic methods that can be used in a failure analysis investigation: Electrical, Chemical, Thermal, and Physical. A summary of these methods is given below, but a more comprehensive review may be found in "*Failure Analysis Techniques*" compiled and edited by E. Doyle of Rome Air Defense Center and B. Morris of General Electric Company.

2.1 Electrical Analysis

Electrical analysis is used to isolate an electrical failure to a single component. This is accomplished by applying electrical stimuli to the hybrid and probing until the failed component is located. Electrical analysis should be the first step in any failure analysis. After the failed component has been identified, other failure analysis methods may be used to determine why the component failed.

2.2 Chemical Analysis

Wet chemical methods are seldom used in a hybrid failure investigation because of the large amount of sample required and the lengthy time it takes to run the analysis. However, chemical procedures such as calorimetric, gravimetric, and spot testing may be valuable in identifying some ionic residues if they are present in sufficient amounts. More likely, contaminants are present in trace amounts and only the physical/chemical (instrumental) methods are useful in detecting and measuring them.

2.3 Thermal Analysis

Thermal analysis by infrared scanning is a valuable tool in locating problem areas in a hybrid. When a thermal map of a failed hybrid is compared with the map of an operating unit the failure can be identified. A hot spot indicates a short whereas a cool trace identifies an open. As thermal imaging equipment becomes more sophisticated this will become a more useful tool in hybrid test and analysis.

2.4 Physical Analysis

Physical (instrumental) analysis is the most common method used in hybrid failure analysis. It is not possible to discuss here all of the different analysis methods and equipment that can be used in a failure analysis; however, Table 4 gives an overview of those most commonly used.

Analytical/instrumental techniques have been developed over the years to a degree of sophistication that now even the chemistry of the first 2-5 manometers of a surface can be characterized. All surface analysis methods are based on a similar principle. A sample is bombarded with a probe particle, causing either the back-scattering of the probe particle or the emission of secondary particles from the sample.¹⁴¹ Emitted particles are then analyzed for mass, energy, and wavelength and from these data the elemental composition of the sample can be derived. Each technique gives essentially the same information, differing only in sensitivity. It is largely up to the operator to decide which technique is best suited to a given situation. Figure 6 graphically depicts the technique of surface analysis.¹⁵¹

Parameters for commonly used surface analysis techniques may be found in Table 5.

3.0 ANALYTICAL TECHNIQUES

3.1 AES-Auger Electron Spectroscopy

AES involves the bombardment of the sample with electrons, which causes an outer shell electron to transfer its energy to another electron, imparting to that electron enough energy to be ejected from the sample. The ejected, *Auger*, electron is analyzed and the atom from which it derived is identified. By scanning across the surface and setting the detection system for a particular element, a video display of the surface distribution is obtained.

The major advantage of AES is the small area in which it can operate (1 μm or less), and the low levels of elements that it can detect (0.1 percent).

Table 4. Summary of Basic Analytical Techniques

Method	Principle	Advantages	Limitations
Infrared spectroscopy	Measures absorption of infrared radiation as a function of wavelength.	Identification of organic functional groups. Virtually no sample limitations. Impurity detection.	Medium sensitivity, no direct information about size of molecule. Does not detect metals or ions. Water interferes with analysis.
Mass spectroscopy	Ionization of molecule by cracking molecule into fragment ions. Separation according to charge to mass ratios.	Precision molecular wt (molecular ion) impurity detection. Excellent for detection of trace amounts of gases and low molecular weight organics.	Does not detect functional groups directly. Slow and destructive.
Gas chromatography	Partitioning and separation in the vapor phase.	General quantitative analysis of volatile organics. Highly efficient technique.	Identifies materials only in special cases. Not applicable to materials of low volatility.
Combined gas chromatography and mass spectroscopy	Combines separation efficiency of gas chromatography with sensitivity of mass spectroscopy.	Identification and analysis of trace organic materials. Identification of components in mixtures in low ppm.	Not applicable to materials of low volatility.
Radiographic (x-ray)	X-rays penetrate into cavity. Metal particles are opaque to x-rays and can be detected.	Loose metal particles, distorted wire bonds, and device anomalies may be detected without disturbing the seal. Nondestructive.	Sometimes hard to interpret. Some components may be damaged. Not all views meaningful.
Helium leak test	Helium is forced into sealed package by pressurizing, then detected by mass spectrometry as it escapes from package.	Determines the hermeticity of the package. Eliminates field failures due to moisture and air exposure.	Correlation between leak rate and failure rate not established. Bombing with He can damage large packages.
Scanning electron microscopy (SEM)	Collects secondary electrons ejected when electron beam strikes surface. These electrons modulate a CRT tube scanned in sync with the scanning beam.	High magnification (300,000X) with large depth of focus obtained. Excellent for magnifying abnormalities during failure analysis.	Glassivation must be removed. Vacuum is required. Electron bombardment may destroy surface conditions, causing failure.
Energy dispersive x-ray analysis (EDS or EDAX)	Solid-state crystal detector mounted in SEM chamber. It separates characteristic x-ray radiation according to its energy.	Rapid x-ray spectrum of chemical elements can be analyzed simultaneously.	Detection of chemical elements down to atomic number 6 possible with light element detectors.
Auger electron spectroscopy (AES)	Collects ejected secondary electrons. The electron energy identifies the atom.	Small depth of investigation (4-15 \AA)	Cannot detect hydrogen or helium.

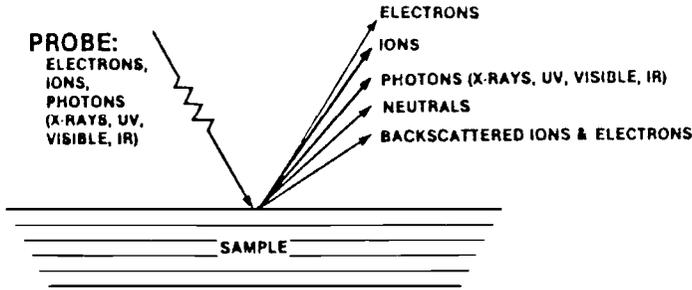


Figure 6. Surface analysis probe representation. Under vacuum, probing of a sample's surface with electrons, ions, or photons results in the occurrence of several events, including the emission of ions, electrons, and photons. Analysis of various characteristics of these events provides information concerning the sample.^[5]

Table 5. Typical Parameters of Common Surface-Analysis Techniques.

Analytical Parameter	Technique					
	AES	ESCA	SIMS	SEM/EDX/WDX	RBS	LIMS
Probe particle	Electrons	X-Rays	Ions	Electrons	Ions	Photons (Laser)
Detected entity	Electrons	Electrons	Ions	Electrons/X-Rays	Ions	Ions
Detectable elements	All > helium	All but hydrogen	All	All > beryllium	All > helium	All
Diameter for routine small-area analysis (μm)	Sub μm	150 - 300*	Sub μm to several μm	1-3 (EDX/WDX) 0.01 (SEM)	- 1000	- 1
Surface Sensitivity (nm)	- 1 - 4	- 4	- 0.3 - 1	>1000 (EDX/WDX)	Depth resolution is 2.5 - 20	LD-adsorbed material LI = 100
Routine detection limits† (atom %)	0.3 - 1	0.1 - 1	10 ² - 10 ¹	1 (EDX) 10 ⁻⁴ (WDX)	0.01 - 10	10 ⁻⁴ - 10 ⁻⁸
Routine survey (data acquisition) analysis time (minutes)	5	5	5	2 (EDX) 30 (WDX)	15	< Seconds

* Many older ESCA systems are limited to areas several millimeters square.

† Detection limits are expressed as a range because different elements exhibit different sensitivities. Minimum figures are difficult to achieve in routine work.

3.2 ESCA-Electron Spectroscopy for Chemical Analysis

ESCA, also **referred** to as XPS (X-ray Photoelectron Spectroscopy), utilizes x-rays (at 2 ev) as the probe, causing an electron to be emitted. The energy of the emitted electron is proportional to the difference between the photo energy and the binding energy of the electron. ESCA gives information about the chemical binding state of the elements which the other techniques cannot provide. ESCA, like AES, can be used to detect low levels of elements (0.1 percent), but with more repeatability; however it is not useful in detecting hydrogen and has a low sensitivity to helium.

3.3 SIMS-Secondary-Ion Mass Spectrometry

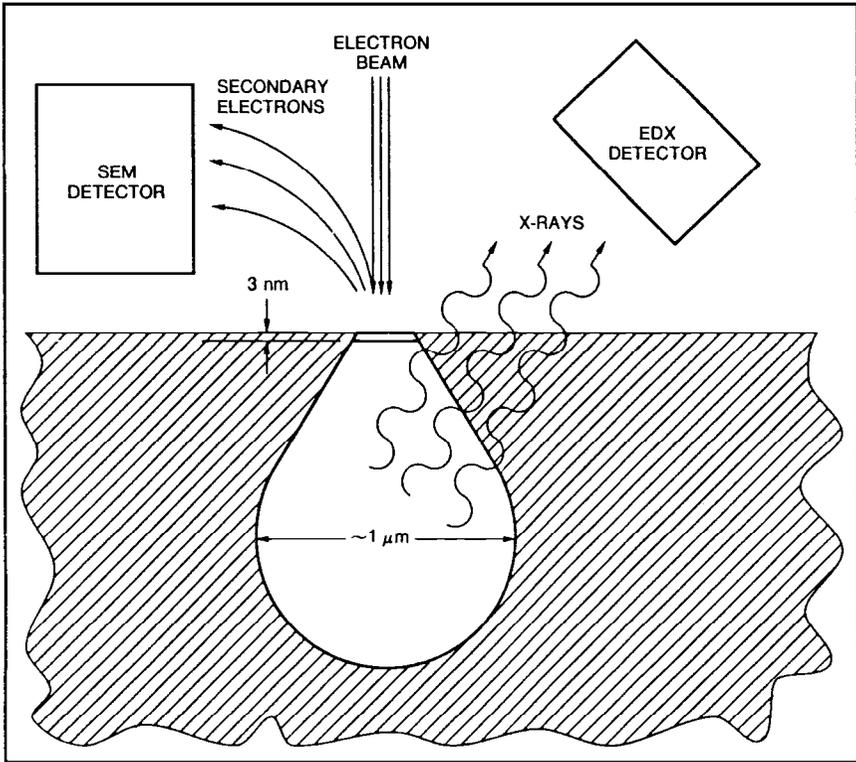
SIMS uses a high-energy (1-20 kev) ion beam probe (usually argon, cesium, or oxygen) which ejects neutral atoms, molecules, and other ions. Ions produced by this process are mass analyzed to provide elemental identification and chemical concentrations. SIMS can detect hydrogen and is more sensitive to the detection of elements of low atomic/molecular weight than either AES or ESCA.

3.4 SEM-Scanning Electron Microscopy

SEM employs a beam of electrons (500 ev to 40 kev) to eject low energy secondary electrons. The current from these ejected electrons is used to intensity-modulate the Z-axis of a cathode ray tube, resulting in a video image. The sample must be electrically conductive, or coated to provide a conductive surface. The SEM extends the magnification of optical microscopes to 200,000x.

3.5 EDX-Energy Dispersive X-Ray Analysis

When an x-ray detector is added to a SEM, compositional analysis can be obtained at the same time as SEM (Fig. 7). Therefore, it is possible to look at and photograph a specimen at high magnification, but also the selected areas may be analyzed and even mapped on the same scale as the secondary electron image.



SIMULTANEOUS USE OF SCANNING-ELECTRON MICROSCOPY AND ENERGY-DISPERSIVE X-RAY ANALYSIS. FOCUSED ELECTRON BEAM EXCITES SECONDARY ELECTRONS FOR SEM, AND ALSO PRODUCES X-RAY FLUORESCENCE FOR EDX.

Figure 7. EDX detector coupled to SEM.

3.6 WDX—Wavelength Dispersive X-Ray Analysis

WDX can also be added to a SEM/EDX system to increase its sensitivity. This technique also extends the elemental range to include boron, carbon, and oxygen. WDX is used to investigate oxides and nitrides in thin films.

3.7 RBS—Rutherford Backscattering Spectrometry

Rutherford backscattering spectrometry (RBS) employs high energy (1–3 MeV) particle beams (for example, helium ions) for both the incident and detected ion. A small fraction (1×10^{-6}) of the incident ions collide with the

sample and are backscattered. The energy loss they experience is characteristic of the sample and depth at which the collision occurred. RBS is thus based on two processes: the large angle scattering of two colliding nuclei and the energy loss incurred by swift particles in a medium. REXS is a nondestructive analytical technique that provides information on the atomic mass of the sample constituents, the distribution of the atoms in depth, and the structural arrangement of the atoms in space. Because of its modest vacuum requirements and the relatively short exposure times, RBS is fast compared with other depth profiling techniques. In characterizing electronic packaging materials BBS can be used to:

1. Detect impurities in substrate materials and in thin films.
2. Measure impurity distribution in depth.
3. Measure thicknesses of thin films (actually the number of atoms per unit area).
4. Analyze the composition of bulk samples and thin films.
5. Study the inter-facial reaction and interdiffusion between thin films or between thin films and a substrate.
6. Assess the quality of single crystals, such as epitaxial layers, using channeling techniques

Among some limitations of RBS are that it provides only atomic or elemental composition and it is not sensitive to detecting the light elements.

3.8 LIMS-Laser Ionization Mass Spectrometry

LIMS, sometimes called LMMA (Laser Microprobe Mass Analysis), utilizes a high-energy (10^7 to 10^8 watts/square centimeter) finely focused (1 micrometer diameter) laser pulse to volatilize and ionize the sample.⁴⁴ This technique uses two modes: laser desorption (LD) and laser ionization (LI). A time-of-flight mass spectrometer is then used to analyze the ions ejected from the sample. An advantage of this technique is that it does not charge the surface as do the other analysis methods.

3.9 EBIC-Electron Beam Induced Current

EBIC techniques are used to induce a current in a sample, which causes electrical paths to be highlighted on a display. Opens or shorts in metallization can be detected by this method.

3.10 Infrared

Infrared microscopy and thermography methods of analysis are discussed in Chapter 8.

3.11 SLAM and C-SAM

There are two types of acoustic microscopes which can be used to non-destructively investigate attachments. These have been used to study flip-chip interconnections and the attachment of components. The scanning laser acoustic microscope (SLAM) and the C-Mode scanning laser acoustic microscope (C-SAM) both use high frequency ultrasound to detect internal discontinuities in materials and components. The SLAM is a through transmission technique operating at frequencies between 10 and 500 MHz.¹⁷¹ The C-SAM operates in a pulse-echo mode. The acoustic signal is focused at a depth dependent on the interface of interest.¹⁷¹ An electronic gate is used to select a specific depth.

4.0 CAUSES OF HYBRID CIRCUIT FAILURES

The following are some of the causes of failures that have been encountered in hybrid circuits.

4.1 Tin Whiskers

Tin whiskers one-fortieth the diameter of a human hair have been reported to cause intermittent electrical shorts on an aircraft radar.^[7] These whiskers grew spontaneously from tin-plated covers used to seal the hybrids. Whiskers were 0.1 mil in diameter and as long as 0.1 inch. These can detach from the lid and fall onto the circuitry. One hybrid was found to contain 130 free-floating whiskers. Tin whiskers have also been encountered growing on TAB bonded devices; Figure 8 depicts four examples of tin whisker growth on TAB devices.

4.2 Metallic Smears

Ceramic substrates or ceramic packages can pick up smears of metals from tweezers, tooling, fixtures, or other metal objects. The danger in these smears is that there is a possibility of an electrical leakage path.^{9]}

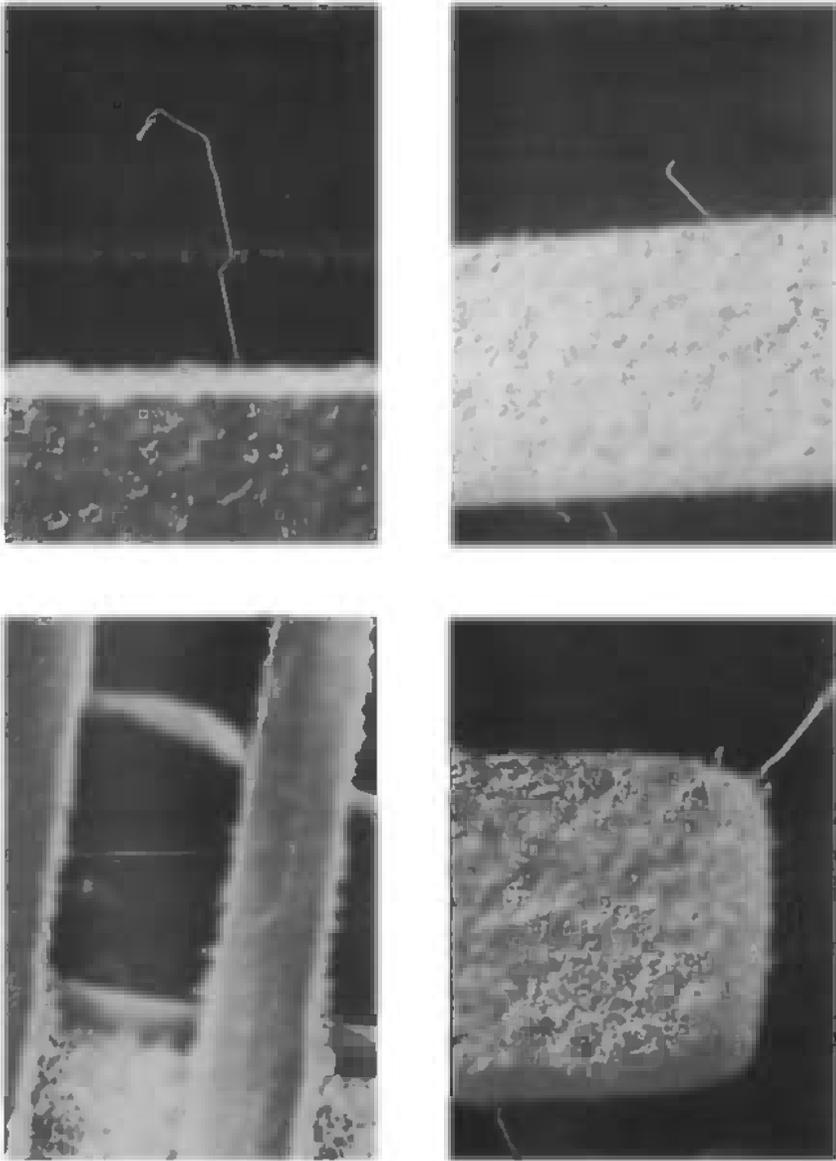


Figure 8. Tin whisker growth on TAB tape leads (lead size, approximately 3.0×1.4 mils),
(Courtesy International MicroIndustries.)

4.3 Particles

Particles are a common cause of hybrid failures. A hybrid was returned from the field with a short between power and ground. Visual examination disclosed a metal sliver bridging the power supply and ground. The sliver was analyzed using AES and found to be aluminum with other trace elements, correlating with the composition of aluminum bonding wire.

4.4 Flux Residues

Flux residues and tin contaminants from a solder sealing operation are often the cause of hybrid failures. Flux and tin contaminate gold-aluminum wire bond interfaces and cause corrosion or accelerate intermetallic growth that may eventually lead to a bond failure.

4.5 Cracked/Broken Die

A hybrid was returned from the field because of failure during a system temperature test. The hybrid was removed from the system and failed ambient electrical test. Upon de-lidding, a fractured transistor was detected. The die had a crack that had propagated through the silicon during temperature testing, finally breaking off a piece of silicon. The bare silicon caused a high resistance short when it contacted a wire bond.

4.6 Collapsed Wires

Hybrids were returned from the field due to opens in input leads. Upon delidding, the circuits were visually inspected and found to have “smashed” wires. Some wires were nearly touching the substrate (Fig. 9) while others had touched and been fused open. The wires had no sharp bends to indicate that they had been mechanically disturbed. The only wires affected were 1 S-mil-diameter gold post leads that were greater than 150 mils in length. It was determined through experimentation that the wires collapsed when centrifuged in the Y2 axis (instead of the Y 1 axis required by MIL-STD-883). These failures resulted from two conditions:

1. The hybrids were centrifuged at 5,000 g's in the wrong direction.
2. The wires were longer than the preferred design requirement of 100 mils.

It was also discovered that suspect hybrids (those with possible collapsed wires) could be corrected by re-centrifuging in the Y 1 direction.

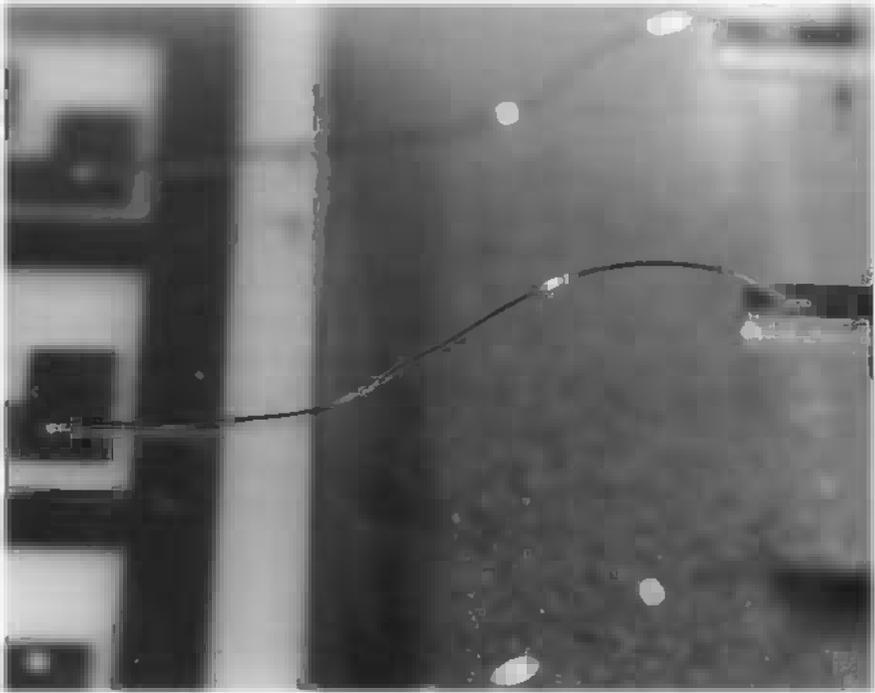


Figure 9. Collapsed gold wire (Courtesy Rockwell International.)

4.7 Package Plating

One hybrid manufacturer experienced a low sealing yield upon using a new lot of gold-plated packages. Gold-plated hybrid packages should have electro-less nickel containing phosphorus as a barrier material under the gold. This lot of packages was found to have “electrolytic” nickel containing no phosphorus, which made them extremely difficult to seal.

4.8 Package Discoloration

During Quality Assurance inspection of incoming package lots, irregular brown patches of discoloration were observed. Using Auger analysis, the colored stains were found to contain oxygen, iron, and chlorine. The stained packages were cross-sectioned and found to have only 80–90 microinches of nickel plating instead of the normal 100–200 microinches required by specification. Insufficient nickel plating (less than 100 micro-

inches) promotes rapid diffusion of the iron from the base Kovar to the surface of the package. Iron that diffused to the surface oxidized, resulting in the brown stain.

4.9 Nickel Ion Contamination

Thin-film hybrid microcircuits containing pnp high-frequency transistor die experienced failure rates greater than 80 percent after bum-in. The hybrid was encased in an electro-less nickel-plated Kovar package. An extensive failure analysis consisting of thermal profiling, electrical testing, and chemical analysis showed that nickel ions from the package plating had migrated to the transistor die where they diffused into the silicon dioxide passivation layer, causing electrical anomalies. The cases, as received from the vendor, had not been adequately cleaned and still contained free nickel ions, residues from the plating solutions. The problem was resolved by cleaning the cases as received, with a 50/50 solution of isopropyl alcohol and deionized water.^{10*}

5.0 CASE HISTORIES OF HYBRID CIRCUIT FAILURES

The following are case histories of device and hybrid circuit failures that have been selected and edited from the *Microcircuit Manufacturing Control Handbook*, 3rd Edition, published by Integrated Circuit Engineering Corp., Scottsdale, Arizona, and reprinted with their permission. Though some of these failures occurred in single-chip devices singly packaged, the failure modes described are such that they can also occur in devices assembled in a hybrid circuit.

5.1 Corrosion of Aluminum Wire Bonds

Observation. A low resistance open circuit.

Failure Summary. Corrosion of aluminum wire bonds by chlorinated solvents that entered the package through an inadvertent break in the seal of the flat pack.

Description. A 54LOO series TTL device had a low output voltage of 0.7 volt rather than 0.3 volts. This caused a system malfunction. Corrosion of wire bonds at both the die pads and package posts resulted in low resistance opens. Visual inspection showed corrosion products at several wire bond locations. SEM analysis of the corroded parts indicated that the

possible cause was chlorinated hydrocarbon solvents and other contaminants. A 400× SEM of the corrosion product on the wire bond is shown in Fig. 10. SEM-WDX (wave length detected x-ray) analysis indicated that the corrosion product had extraneous elements such as chlorine, sulfur, lead, and carbon. The main corrosion product appeared to be aluminum oxide and aluminumhydroxide.



Figure 10. Aluminum wire bond corrosion.

Diagnosis. Increased voltage drop across aluminum wire bonds resulted because of corrosion that was produced by solvent contamination. Chlorinated solvents entered the package cavity because the lid seal integrity had been destroyed. Other devices from the same lot, with hermetic seals, showed no corrosion.

Possible Causes Mechanical stress applied to flat packs during assembly into microwave systems probably destroyed the lid seal integrity. Solvents used to clean the system after assembly introduced contamination to the chip cavity through voids in the lid seal area. The solvents used are alcohols, fluorocarbons, and chlorinated hydrocarbons. Without moisture or sulfur contamination these solvents are chemically nonreactive. With moisture present in chlorinated hydrocarbons, an aluminum catalyzed reaction can produce hydrochloric acid. This acid reacts with the aluminum to

form aluminum chloride and subsequently oxide/hydroxide corrosion products by hydrolysis. Sulfur can have a synergistic influence on the acid formation and corrosive reaction.

Corrective Action. The main corrective action was to avoid mechanical stress on flat pack lids to assure a hermetic seal so that solvents and other contaminants cannot enter the package.

Process Control Techniques. Monitor torquing or mechanical stress placed on flat packs during system assembly.

Analytical Techniques

- a. Evaluate increased output low voltage versus sink current.
- b. Test for hermetic seal integrity.
- c. Examine visually and by SEM for corrosion products.
- d. Analyze corrosion products by WDX.

5.2 Corrosion of Nichrome Resistors

Observation. Discoloration and change in resistance of thin-film nichrome resistors.

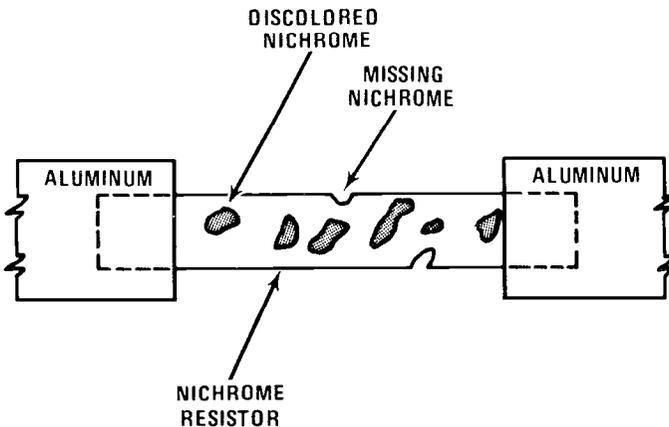


Figure 11. Nichrome resistor faults.

Failure Summary. Nichrome resistors attacked by the phosphorus contained in glass passivation layer.

Description. Dual-input radiation-hardened TTL gates incorporating thin-film Nichrome resistors were failing in burn-in due to very large increases in resistor values. Visual examination of the failed units revealed

a non-uniform discoloration of the resistors. The integrated circuits were passivated with a CVD glass, except for the bonding pads.

Diagnosis. Electron microprobe of the glass over the discolored resistors showed that it contained 3.7% by weight phosphorus.

Possible Causes. The nichrome was chemically attacked by the phosphorus contained in the glass thereby causing discoloration and changes in the nichrome resistance. Since the concentration of phosphorus in the glass is not high enough for the general formation of P_2O_5 , the mechanism of the attack is not clear.

Corrective Action. Remove all phosphorus from the CVD scratch protection glass. *Note:* Pure CVD glass is subject to cracking. A layered structure of pure glass/doped glass/pure glass might be desirable.

Process Control Techniques. Microprobe or chemically analyze a sample from each lot of glassed material for the presence of phosphorus.

Analytical Techniques. Microprobe of failed or suspected units.

5.3 Stress Corrosion of Kovar

Observation. Rusted and broken Kovar package leads.

Failure Summary. Stress corrosion cracking of Kovar rust formation.

Description. A number of losses have occurred in equipment, life test and field failures have been reported due to breakage of gold-plated Kovar leads. Failures have been reported on both metal flat packages and transistor packages. Failures normally occur after the device or equipment has been subjected to high humidity. This failure has increased recently because of the industry's trend toward the use of thinner gold plating.

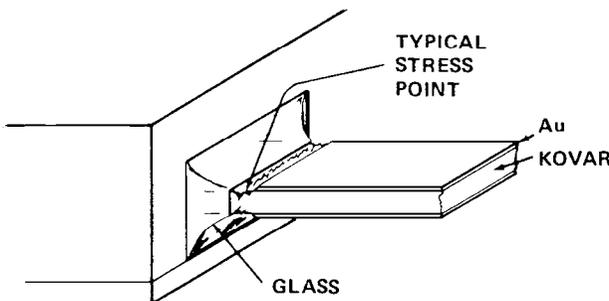


Figure 12. Stress of Kovar lead.

It has also been reported that there is a potential failure mode related to the Kovar lid of metal packages. Stress corrosion cracking can occur completely through the lid destroying the hermetic seal. This problem is now particularly important because of the present practice of plating lids with as little as 30 microinches of gold instead of the typical 100 microinches.

Diagnosis. SEM and optical micro-photographs of the fractured or rusted areas show visible evidence of corrosion, usually where the gold plating has been destroyed or was not sufficiently thick. A particularly vulnerable area is where the pins enter the package. Stress corrosion can occur there under high humidity and the presence of flux or chloride residues.

Possible Causes.

- a. **The** presence of a tensile force on a lead accelerates the cracking and thus the breakage of the lead.
- b. Insufficient nickel plating on the Kovar, and resultant lack of protective gold, exacerbates the problem.
- c. The presence of contaminants containing free chloride ions can accelerate the action.
- d. The practice of bending package leads after gold plating provides an opening for the chemical corrosion action to take place.

Corrective Action.

- a. Although thicker gold plating will not provide the complete answer, adequate nickel and gold plating will minimize some of the reported problems.
- b. In subsystems, the application of a conformal coating is recommended, to minimize the direct effect of humidity and moisture on the leads.
- c. It is recommended that devices be mounted utilizing approved guidelines for stress, relieving the leads.
- d. Thorough cleaning of the devices after PC board assembly, to remove solder fluxes, is required.
- e. The use of chemicals or cleaning agents that may generate chloride ions should be avoided.

Process Control Techniques.

- a. Incoming inspection is recommended on a sampling of all packages. Sample tests should be made on the thickness and uniformity of gold plating.
- b. Specifications should be written to ensure minimum lead bending and handling of the package to minimize cracking of leads near the glass seals.
- c. Written specifications should include adequate cleaning procedures for removal of solder fluxes after PC board assembly.

Analytical Technique. The defect is normally detected by use of an optical microscope. Inspection with a low power SEM is sometimes desirable to confirm the exact nature of the corrosion or cracking. Additional checking with x-ray fluorescence or Auger spectroscopy may be used.

Reports.

Weirick, L. J., A Metallurgical Analysis of Stress-Corrosion Cracking of Kovar Package Leads, *Solid State Technology*, March, 1975.

Reich, B., Stress Corrosion Cracking of Gold-Plated Kovar Transistor Leads, *Solid State Technology*, April, 1969.

Kolesar, S. C., Principles of Corrosion, *Proc. of the / 2th Annual Symposium on Reliability Physics*, 1974.

5.4 Intermetallics In Wire Bonds

Observations. Hybrid circuit defective after bum-in.

Failure Summary. High bond resistance caused by intermetallic compounds.

Description. Hybrid circuits constructed with ultrasonically bonded aluminum wire bonds on gold metallization on a ceramic substrate were subjected to a bum-in test of 168 hours at 160°C with current passing through the wire bonds. The hybrid circuits had also been subjected to 10 temperature cycles, from 150°C to -65°C, with a maximum of 5 minutes transfer time.

Failure analysis showed that all of the wire bonds had adequate pull strengths (>2 grams). However, upon checking bond resistance with a 4 point probe, it was determined that the bond resistance had risen sharply.

Diagnosis. A detailed investigation of the conditions contributing to a change in bond resistance was undertaken. Twenty tests were conducted to determine the effects of

- a. Elevated temperatures and times.
- b. DC and ac current.
- c. Nitrogen and air environment in the package.
- d. Temperature cycling.
- e. Parylene coating on aluminum wire bonds.

The parameters tested were bond strengths, electrical resistance, and wire bond break modes. Samples were fabricated from thick-film gold pastes (from two vendors) and from a thin-film gold on ceramic substrates.

Some findings of this extensive evaluation showed that the pull strengths decreased with time and temperatures above 75°C. The pull strengths were still relatively good (6 grams) at 200°C. Little or no effect on the integrity of the bonds was noted due to the type of gas in the package, the temperature cycling preconditioning, or the current flowing through the wire bonds. The Parylene application had no detrimental effects, rather, it enhanced the mechanical integrity of the bond at all temperatures.

It is clear that the most significant information obtained was the sharp increase in bond resistance above 150°C after having remained essentially constant below that temperature. The increase appears to be primarily a temperature and time related phenomenon and is attributed to the formation of intermetallics and the generation of Kirkendall type voids.

Possible Causes. The principal failure mode was found to be an increase in resistance of the aluminum wire bond to the gold metallization. The cause of the increase in resistance appears to be the depletion of the gold under the bond as it is absorbed into intermetallics at the bond interface. The gold depletion causes formation of Kirkendall type voids around the bond, effectively isolating the bond from the surrounding metallization.

Note that the intermetallics occurred on a hybrid circuit with aluminum wire ultrasonically bonded to gold metallization. It is similar to the more common case found in monolithic integrated circuits where gold wire bonds are attached to aluminum metallization.

Figure 13 shows a curve of the bond resistance versus time for the three different gold films that were used. The films were subjected to 168 hours of storage at the temperatures indicated. The resistances remained flat at temperatures up to 160°C. The resistance increased above this temperature. The constituents of the 99+ gold appear to retard the gold absorption and intermetallic formation.

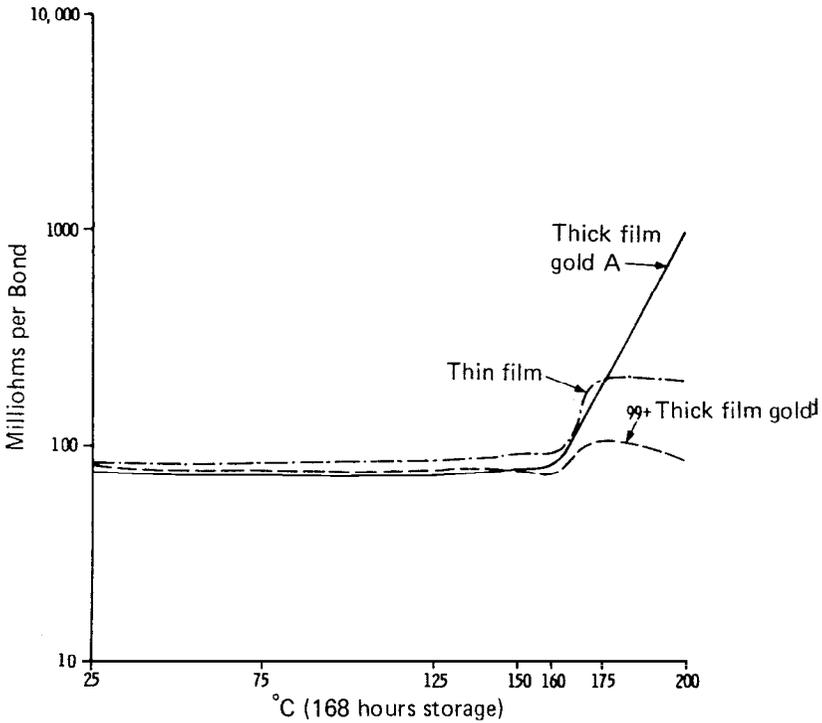


Figure 13. Bond resistance as a function of storage temperature (average value per bond of 36–60 bond loops in series).

Corrective Action. For hybrid circuits with the construction described above, exposure and storage temperatures should be kept below 160°C. At this time there is no reason to believe that this caution should not also extend to monolithic circuits using aluminum metallization and gold ball bonds. This caution may conflict with MIL-STD-883 and, in particular, with Method 1008, *High Temperature Storage*, in which burn-in at 200°C for 168 hours is permitted. The results of such a burn-in on these hybrid circuits is shown in Fig. 14.

Higher temperatures for shorter times are also permitted, however, it is recommended that the applicability of these tests to a specific product be first verified by making resistance measurements of the wire bonds before and after these tests. Further verification should be made with an SEM microscope.

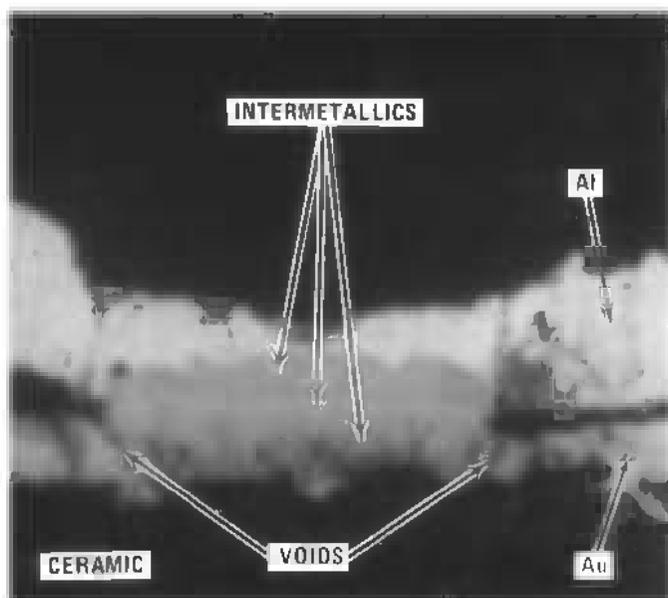


Figure 14. Wire bond cross-section of thick-film gold sample after aging 168 hours at 200°C.

Process Control Techniques. Process control techniques consist of first establishing maximum storage temperatures that the circuit may be subjected to without causing detrimental effects and the setting up of procedures to prevent the circuit from being subjected to temperatures above this critical value. In some cases this may extend the burn-in time substantially. Wire bond resistance measurements should be made periodically on circuits that have undergone high temperature storage.

Analytical Techniques. The most useful analytical technique was measurement of the bond resistance with a 4-point probe. Current was applied between the outermost probes and the voltage drop measured between the inner two probes. The contact resistance of the probes was thus eliminated. The initial resistance measured on most of the bonds was approximately 80 milliohms.

A scanning electron-beam probe was also used on selected bonds to obtain a highly magnified view of a cross-section of the bond.

5.5 Die Bond Surface Oxidation

Observation. Open circuits.

Failure Summary. Poor wetting of semiconductor die to metallized bonding pad due to oxidation of eutectic surface.

Description. Approximately 25% of a lot of hybrid thin-film integrated circuits hermetically sealed in a 4-pin TO type package failed a die bond shear test. The die sheared off with forces of 60 to 250 grams. Shear strengths were as low as 0.1 gram per square mil of die area, with a mean strength of 0.75 gram per square mil. The semiconductor die was processed by evaporating gold on the back of the wafer and forming a gold-silicon eutectic. The die were bonded to a sputtered gold metallized pad approximately 100 μm thick.

Diagnosis. Shear tests indicated that most of the die would lift off intact and visual examination of both the back of the die and the bond area indicated little or no wetting (see Fig. 15). The gold-silicon eutectic on the back of the die appeared to be undisturbed, along with most of the pad area. Eutectic around the die had a "scum-like" appearance indicative of a surface film.



Figure 15. Poor wetting of silicon die to header.

Possible Causes. There are several possible causes for this type of die bond failure.

- a. The die or pad could be contaminated with an organic material preventing proper wetting.
- b. The die bonding was performed in room atmosphere, thus allowing oxidation of the eutectic area.
- c. The temperature at the interface may have been less than 400°C. Although this temperature is above the eutectic point, 425°C is recommended for easier wetting.

Corrective Action. Assuming no contamination of the surface or of the die, the basic corrective action would be to perform the die bonding operation in an inert gas ambient. A dry nitrogen gas is recommended. The adjustment of the gas flow rate over the heat column is critical. A very slow laminar flow is recommended, to provide an adequate blanket. High flow rates will result in excessive cooling of the die and turbulence which can draw in oxygen and defeat the purpose of the inert gas. A temperature of 425°C is recommended at the floor of the package. Note: in some applications, this die bond temperature is sufficiently high to damage sensitive devices such as high-frequency “washed” emitter transistors and some MOS circuits. Thus, in some cases these devices must be attached with epoxy.

Process Control Techniques. The effective temperature of the heat column should be monitored twice daily using a special package and thermocouple which will measure the temperature at the exact location during normal gas flow conditions. The gas flow rates should also be recorded daily.

Analytical Techniques. The shear strength of the die was measured by using a “gram gauge” in a special holder and a micro-manipulator. The surfaces were inspected through a vertical illumination microscope for wetting. A microprobe analysis of the non-wetted areas was performed to detect any organic contamination.

Reports.

Johnson, J. E., Die Bond Failure Modes, Westinghouse Electric Corp., *12th Annual Proc. Reliability of Physics*, 1974, IEEE Catalog No. 74CHO-389-1 PHY.

5.6 Loose Particle Short

Observation. Pin shorted to substrate

Failure Summary. Conductive particle lodged between bonding wire and scribing grid.

Description. Loose conducting particles (silicon chips, gold slag, weld fragments, aluminum metal, etc.) sometimes are inadvertently sealed into hermetic packages. If the wire bonding in the packages is also done in reverse, e.g., bonded to the package frame first, then the chip pad, the angle of the wire to the pad may be small. The small conductive particles are likely to wedge between the wire and scribe grid, shorting the wire to the substrate (see Fig. 16).



Figure 16. SEM photograph of conductive particle under lead after lead has been raised up.

Diagnosis. The small angle of the wire of “reverse bonded” circuits allows small conductive particles to short the bonding wire to the substrate.

Possible Causes.

1. “Reverse bonding” causes the bonding wire to come off the pad at a small angle.
2. Small conductive particles are sometimes left in a hermetic package.

3. The power leads attract the conductive particles.
4. The conductive particles become wedged between the bonding wire and the scribing pad

Corrective Action. Carefully screen parts for conductive particles at precap visual.

Process Control Techniques. Reduce occurrence of conductive particles in packages by careful inspection and elimination of the source of particles. Increase the angle of the wire bonds by bonding to the pad first and maintaining the proper loop in the wire during bonding.

Analytical Techniques. Electrical measurement between each pin and the substrate, visual inspection after delidding, and SEM analysis were the principal techniques used.

5.7 Wire Bond Short, Case I

Observation. Pin shorted to substrate.

Failure Summary. Short between wire bond and bare silicon at the edge of a laser-scribed die.

Description. A short which resulted in a blown circuit occurred between the power lead and substrate of an operational amplifier (see Fig. 17).

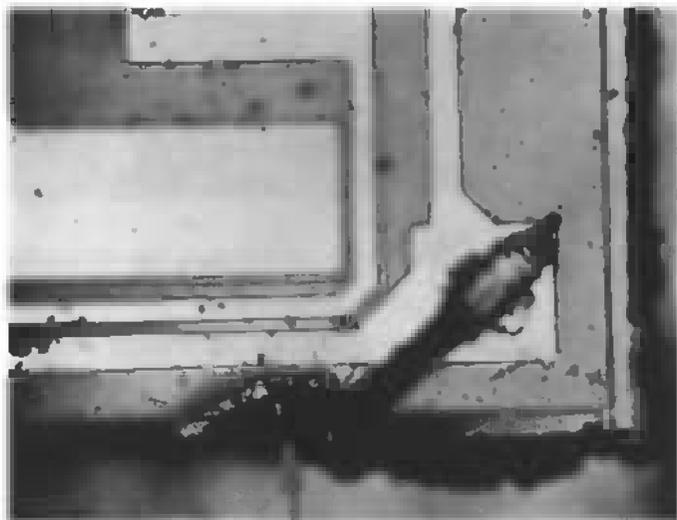


Figure 17. Wire bond short to bare silicon.

Diagnosis. The wire bond came off the bonding pad at a small angle to the surface of the die. Mounds of silicon residue, formed during the laser scribe operation, were observed along the edge of the die. After the circuit was sealed, a short developed and blow-out occurred when power was applied.

Possible Causes. The short may have been caused by a loose particle, vibration or shock, or electrical attractions, but the failure was the result of two contributing factors:

1. Low angle of the wire bond probably resulted from “reverse bonding” (bonding first to the lead frame and second to the pad on the chip).
2. Piles of silicon residue along the edge of the die caused by too much power applied during the laser-scribe operation.

Corrective Action. The recommended correction action is two-fold.

1. The angle of the wire bond should be increased to allow more space between bonding wire and scribing grid. A space of at least 1 mil is recommended.
2. The power of the laser used for scribing should be reduced to prevent build-up of silicon residue along the edge of the die.

Process Control Techniques. Increase the angle of the wire bonds by bonding to the pad first and maintaining the proper loop in the wire during bonding.

Adjust the power of the laser scriber to eliminate the silicon residue build-up along the edge of the die.

Inspect at least once each shift to ensure that the machines remain properly adjusted.

Analytical Techniques. Electrical measurement between each pin and the substrate, visual inspection after deidding, and SEM photographs were the principal analytical techniques used.

5.8 Wire Bond Short, Case 2

Observation. Electrical shorting from package pins to ground.

Failure Summary. 1 mil diameter wire shorted to edge of die.

Description. Problem with wire sagging onto active area at edge of semiconductor die and causing electrical short circuit. Problem caused by necessity to “reverse bond” from package post to die because of inadequate

space in package. “Reverse bonding” causes the best loop to be where it is not needed, at the package post, while the flatter portion of the wire loop is at the die.

“Forward bonding” is the technique whereby the first bond is made to the semiconductor die pad and the second to the package post. This places the best loop over the semiconductor die and minimizes the possibility of wire sagging and shorting to the active portion of the die. See Figs. 18 and 19.

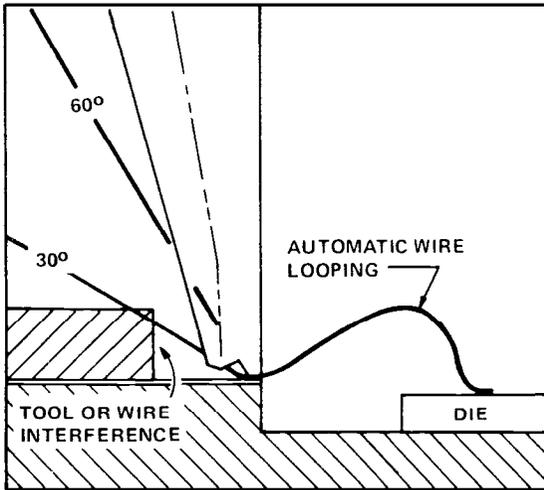


Figure 18. Forward bonding.

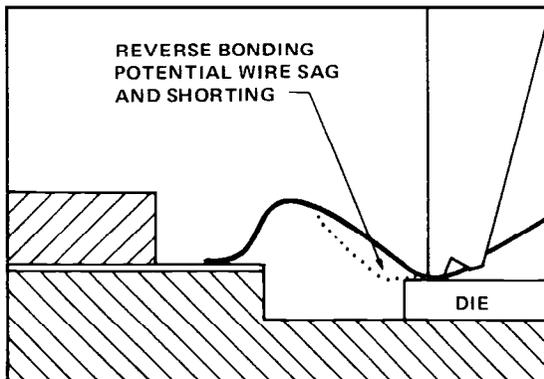


Figure 19. Reverse bonding.

The low angle wire of the “reverse” bond makes the circuits susceptible to shorts caused by loose particles wedged between the bonding wire and substrate.

Diagnosis. It is normal practice in wire bonding to make the first bond on the die pad. When using gold ball bonding, this places the gold ball bond on the pad. When using ultrasonic or thermocompression bonding, this procedure takes advantage of the natural tendency of the wire to form a high loop after the first bond.

When ultrasonically bonding into a deeply recessed package, it becomes difficult to make the bonds in this sequence because of the interference between the bonding tool, the wires or the wire clamp, and the side of the package. The common solution to the problem is to reach across the die with the bonding tool and bond first to the package lead frame and second to the die pad. This procedure results in a high loop on the package where the wire may possibly short to the lid and a low loop on the die where it is likely to cause some of the above described problems.

Possible Causes. The principle cause of the problem was the practice of “reverse bonding.”

Corrective Action. “Forward bonding” is required to ensure consistent, reliable wire bonds with a high loop at the die. If the package does not permit the use of a standard bonding tool with a 30° angle wire hold, either the package or the tool should be changed. Bonding tools with 45° and 60° wire holes are now available.

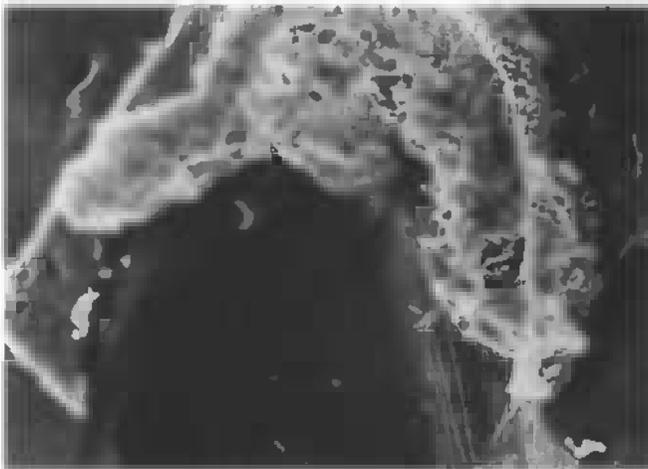


Figure 20. Silicon pulled loose during wire bond pull test

Process Control Techniques. Operator instructions should specify that without specific engineering approval only forward bonding be permitted. Quality Assurance visual inspection should be used to assure that adequate looping is occurring.

Analytical Techniques. Optical inspection for proper looping and recording of inspection results.

5.9 Weak Wire Bonds, Case 1

Observation. Weak wire bonds

Failure Summary. Crystallographic damage of silicon during wire bonding.

Description. During wire pull testing, wire bonds pulled loose from the chip at the Si-SiO₂ interface, leaving a small hole in the silicon substrate (see Fig. 20). This phenomenon has been observed on gold thermocompression ball bonds as well as aluminum ultrasonic bonds. The strength of the bonds may meet MIL-STD-883 (2 grams for 1 mil Al, 3 grams for 1 mil Au wire), but will be below that of properly made bonds. Components such as diodes placed beneath these pads will show degraded characteristics.

Diagnosis. The crystalline structure of the silicon beneath the pad is damaged during the wire bonding step because of poor wire bonding techniques and/or materials. Severely damaged silicon will lift off the wire bond during a destructive wire pull test. Less severe damage produces no visible effects, but can degrade the electrical characteristics of the semiconductor junction beneath the bond.

Possible Causes. Cratering in the thermocompression bonding may result from:

1. Too high a bonding force.
2. Too great a tool-to-substrate impact velocity.
3. Contact of the metallization by the bonding tool.

Cratering in ultrasonic bonding may result from:

1. Too hard a wire.
2. Excessive ultrasonic power.
3. Too large a bonding pressure (bond deformation should be 1.5 diameters without any ultrasonic power applied).
4. Excessive tool-to-substrate impact velocity

Corrective Action. Adjustment of wire bond machine at the beginning of each shift. Good quality control of bonding wire.

Process Control Technique.

1. Check wire bonder adjustments at beginning of each shift.
2. Make a destructive pull test of a small sample of wire bonds during each shift.
3. Visually inspect wire bonds for appearance (deformation, angle, looping, etc.).

Analytical Technique. Severe cratering may be observed by pulling the wire bonds to destruction.

Threshold damage. Threshold level damage may be determined by removing the bond and metallization with HCl or aqua regia and then lightly etching the substrate with buffered HF (if the pad is over an oxide) or with a weak HE-HNO₃ solution or a special preferential etch (if the pad is over silicon). Damaged areas etch at a faster rate than undamaged ones. Observation of threshold damage revealed through etching is generally easier with an optical microscope using vertical light than with a scanning electron microscope.

Reports:

Harmon, G., Metallurgical Failure Modes of Wire Bonds, *Proceedings of the 12th Annual Symposium on Reliability Physics*, 1974.

5.10 Weak Wire Bonds, Case 2.

Observation. Gold wire bonds lift during 125°C bum-in.

Failure Summary. Wire bonds show high resistance and lift off.

Description: A "silox" glassivation layer was used over an integrated circuit having aluminum metallization. A buffered HF solution was used to etch windows in the silox for the wire bonding pads. Gold wires were ultrasonically bonded to the aluminum pads. After a 480 hour 125°C bum-in some bonds developed high electrical resistance. SEM and microprobe analysis showed erratic diffusion between the gold and aluminum to the extent that Kirkendall voids were evident in some areas. In adjoining areas no reaction had taken place, (See Fig. 21).

A similar problem has been experienced by another manufacturer except that the device was a small-signal transistor with a nitride layer. The wire bond system was also gold thermocompression.

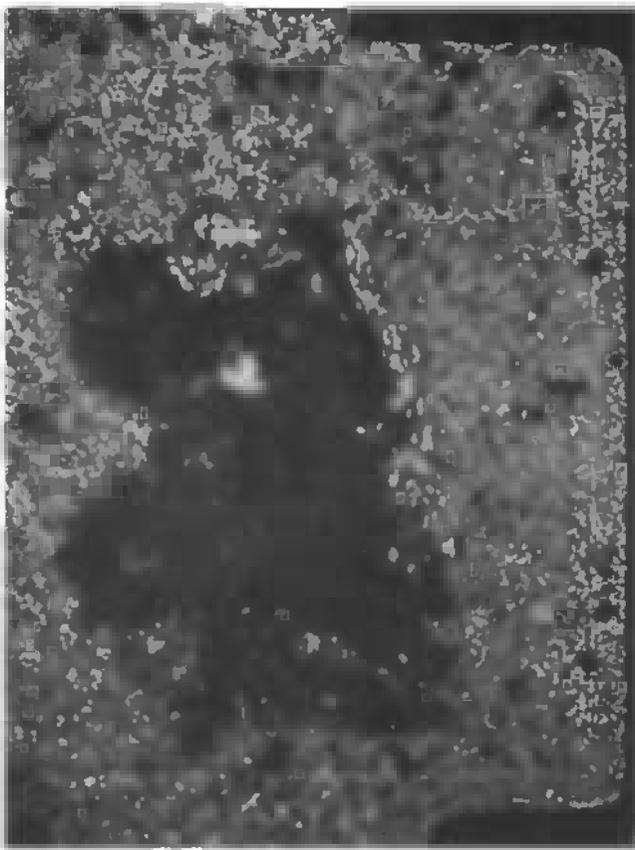


Figure 21. Diffusion between wire bond and aluminum pad caused high resistance/weak bond.

Diagnosis. Some reaction at seemingly random locations caused intermetallics to form and bonds to weaken at relatively low temperatures. The reaction was associated with the glassivation coating and occurred only in the presence of silox and nitride coatings. Bonds made to chips that had no glassivation coating survived several thousand hours at 125°C. Temperatures higher than 150°C are usually required to form appreciable amounts of intermetallics.

Possible Causes. Analysis found that moisture in the buffered HF etchant attacked the aluminum in the bonding pad areas after the glassivation layer had been etched. The resultant aluminum oxide and residues left from the glass etch caused the reliability problems of the wire bonds.

Corrective Action. Addition of a few drops of glycol to the buffered I-IF etchant is an effective solution to the problem.

Process Control Techniques. High temperature burn-in of a sampling of wire bonded devices discloses if there is a problem with the lot.

As a normal process control procedure, bonds are periodically tested on a nondestructive pull tester during each shift.

Analytical Technique. An increase in resistance was the first symptom of the defective wire bonds. The problem was then confirmed by a wire pull test and by inspection with a metallurgical microscope, SEM, and microprobe analysis. Auger analysis was used to identify the aluminum oxide interface layer.

Reports:

Mann, J. E., Anderson, W. E., Raab, T. J., and Rollins, J. S., Reliability of Deposited Glass, Final Report for Period 1 March, 1974 to 1 April, 1975, RADC, TR-75, Rockwell International Corp., Anaheim, California.

5.11 Open Wire Bonds

Observation. Open circuits after ultrasonic cleaning.

Failure Summary. Metallurgical fatigue of bonding wires due to ultrasonic energy.

Description. Circuits bonded with gold thermocompression bonds in flat packs mounted on circuit board subassemblies failed after immersion in an ultrasonic cleaning bath. In one case damage was caused in less than one minute.

Diagnosis. The ultrasonic bath caused the relatively heavy gold wires to resonate and otherwise vibrate with sufficient excitation to cause metal fatigue (see Fig. 22a and 22b). Some bonds were broken while others remained undamaged, apparently depending on the geometrical resonant frequency and plane of vibration of each individual bond. Calculations show that typical gold wire bonds may resonate with excitation in the 3 to 5 kHz range while aluminum bonds require excitation frequencies greater than 10 kHz. Centrifugal forces greater than 30,000 g's for gold wire and greater than 100,000 g's for aluminum are required to produce significant stress on typical wire bonds.

Possible Causes. Ultrasonic cleaning to remove flux residues from PC boards may have sufficient energy at the resonant frequency of the bonding wires to cause damage. The high resonant frequency precludes most other vibrational sources encountered in the field from causing damage to the IC.

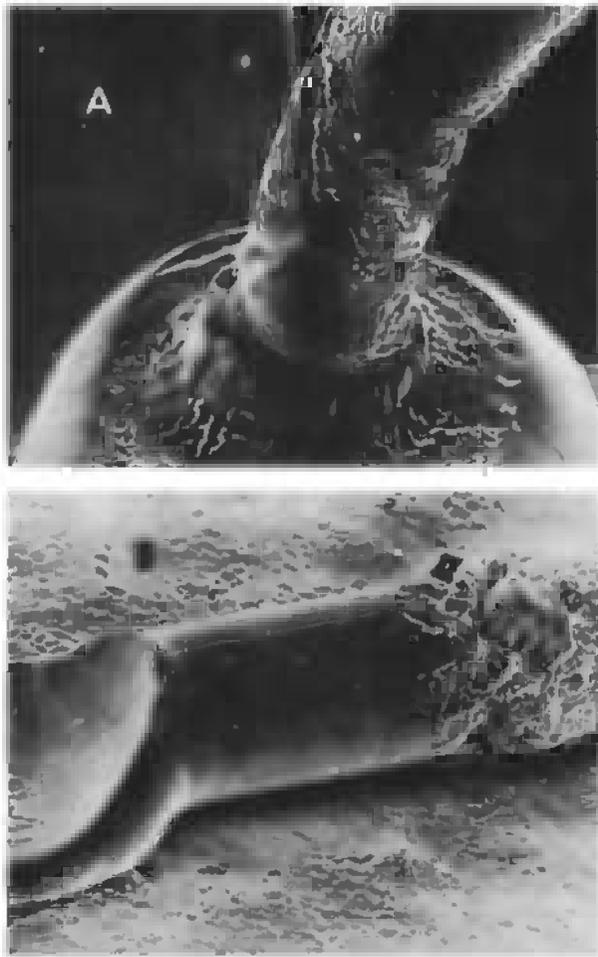


Figure 22. Metallurgical fatigue of wire bonds due to ultrasonic energy

Corrective Action. Avoid ultrasonic cleaning processes unless careful study has verified that it causes no wire bond damage.

Process Control Technique. None required.

Analytical Techniques. Electrical testing before PC board assembly and after cleaning. De-lidding showed some bonds broken. SEM photographs showed evidence of metal fatigue.

Reports:

Beall, J., Problems Experienced with Bonds to Gold Thick-Film Conductors, Invited paper presented at ASTM F-1, Interconnection Bonding Section, Palo Alto, CA, Sept. 5, 1973.

Schafi?, H. A., Testing and Fabrication of Wire-Bond Electrical Connections, A Comprehensive Survey, Natl. Bureau of Standards, Tech, Note 726, 1972.

Ramsey, T. H., Metallurgical Behavior of Gold Wire in Thermal Compression Bonding, *Solid State Technology* 16, 973.

Harmon, G., Metallurgical Failure Modes of Wire Bonds, *12th Annual Proceedings IEEE, Reliability Physics, 1974.*

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Multichip Modules: A New Breed of Hybrid Microcircuits

Multichip modules (MCM) have been defined in several ways and are being treated as a new discovery and packaging approach for all electronic circuits. Yet, in spite of all definitions, glamour, and hyperbole that is being bestowed on MCMs, they are basically extensions of hybrid microcircuits; the differences are in the degree of complexity, density, and performance. Thus, to a large extent the basic hybrid microcircuit technologies that have been discussed in the previous twelve chapters also apply to MCMs and must be understood in order to be used as a foundation for further advancements in high-density, high-performance electronic packaging.

Hybrids have been multichip modules all along and have served as the packaging approach of choice for both high-density thin and thick-film circuits, integrating bare chip devices of both the active semiconductor varieties and passive devices.

With the progression of integrated circuit chips to higher performance, higher speed, and greater densities and power, it was soon realized that advances had to be made in finer line interconnect substrates, shorter interconnection paths, and dielectric isolation layers that would provide controlled impedance and low electrical losses. Although multilayer thick-film interconnect substrates have been used successfully for over thirty years, it wasn't until the mid 1980s that thin-film multilayer structures were developed.¹ Large area, micron-thin, pinhole-free dielectrics having low dielectric constants such as polyimides, benzocyclobutenes (BCB), and silicon

oxides were perfected and the so-called MCM-Ds (deposited dielectric) were born. These very fine dimension circuits in which the conductor line widths are less than 25 μm and the interline spacings are less than 75 μm satisfy most requirements for high-speed (greater than 100MHz) digital circuits. Almost simultaneous with the emergence of MCM-Ds, thick-film, cofired ceramic interconnect substrates (MCM-C, Ceramic) and high density printed wiring boards (MCM-L, Laminate) were developed and used where the ultra-high density of MCM-Ds was not needed and cost was a factor.

MCMs may be defined as hybrid microcircuits that provide at least one order of magnitude increase in packaging density (lower weight and volume) and/or an order of magnitude improvement in electrical performance. MCMs emerged to meet the need for packaging the very high density speed VLSIC and VHSIC chips that were developed during the 1970s and 1980s. In order to elicit the maximum performance from these chips, advancements had to be made primarily in the interconnect substrate, specifically in finer lines and spacings, smaller vias, and in the use of low dielectric constant interlayer insulation to shorten signal paths, provide controlled impedance, and reduce crosstalk and parasitics.

Hence the classification of MCMs by the Institute of Interconnecting and Packaging Electronic Circuits (IPC), now universally used, is based on the type of fine-line interconnect substrate that is used, there being three major types:¹⁴¹

- MCM-D-a multilayer substrate processed by thin film deposited metals and interlayer dielectrics
- MCM-C-a multilayer substrate processed by ceramic cofired with metal, there being two main versions: high temperature cofired ceramic (HTCC) and low temperature cofired ceramic (LTCC)
- MCM-L-a multilayer substrate processed from plastic laminates as in printed wiring boards (PWB), but using finer interconnect geometries

Besides these three basic types, combinations have been found to be very useful in reducing costs yet preserving performance. These combinations generally involve the processing of one or two layers of MCM-D onto either MCM-C or MCM-L, thus minimizing and restricting the use of the more expensive thin-film (MCM-D) processing to the top-most layers. MCMs are therefore classified primarily by the design and type of interconnect substrate; however, advances also have been made in the interconnection methods, packaging protection from the environment, and in testing and rework. This chapter highlights these elements and issues, but is not intended

as a thorough discussion. Several books on multichip modules have been published in the last several years to which the reader is referred for more detailed information.¹⁵¹⁻¹⁸¹

1.0 APPLICATIONS

To date the most extensive applications of MCMs have been in the high-end electronics hardware such as mainframe computers, signal and data microprocessors, and telecommunications where in many cases twice the performance can be delivered in half the space. Noteworthy examples of major applications include the thermal conduction modules (TCM) developed by IBM and used in its mainframe ES9000 computer, signal processors used in Hughes' F-22 and F-18 radars, and switching circuits produced by AT&T for its telecommunications systems. The latest design of the TCM uses MCMs that combine MCM-C with MCM-D. A specifically formulated glass-ceramic tape co-fireable with copper thick-film paste at low temperatures (850-950°C) comprises the bulk of the module (63 layers). Fine conductor lines and flip-chip bonding pads are patterned on the top layers by using thin-film MCM-D processing (Fig. 1).¹

The first major military use of MCMs may be attributed to Hughes Aircraft where signal and data microprocessors were designed for the radar systems of the F-22 and F-18 fighter planes. In both cases the interconnect substrates for hermetically sealed modules are being produced by sequentially multi-layering and photoetching thin films of polyimide and aluminum (Figs. 2 and 3). MCM-Ds are particularly suited for the high-end military and space applications including processors for radar, sonar, signal intelligence and imaging systems. A scalable signal processor developed by Loral Computer Systems in association with MMS (MicroModule Systems) consists of 4 to 8 MCMs that support data rates of up to 2560 Mbytes/set. Each multichip module has one Intel i860 RISC processor chip, 4 to 16 Mbytes of DRAM, and an ASIC chip. The modules are of the MCM-D type and consist of five copper conductor layers isolated by polyimide dielectric (Fig. 4).

Among the highest production applications was another thin-film MCM-D design produced by AT&T using their POLYI-IIC (Polymer Hybrid Interconnect) process. According to this process, a specially formulated photosensitive triazine was used as the interlayer dielectric. The triazine cures at a low temperature (approximately half that of polyimide) rendering it compatible with thin-film tantalum nitride resistors.¹ Other MCM applications, **associated** products, and manufacturers are listed in Table 1.¹¹

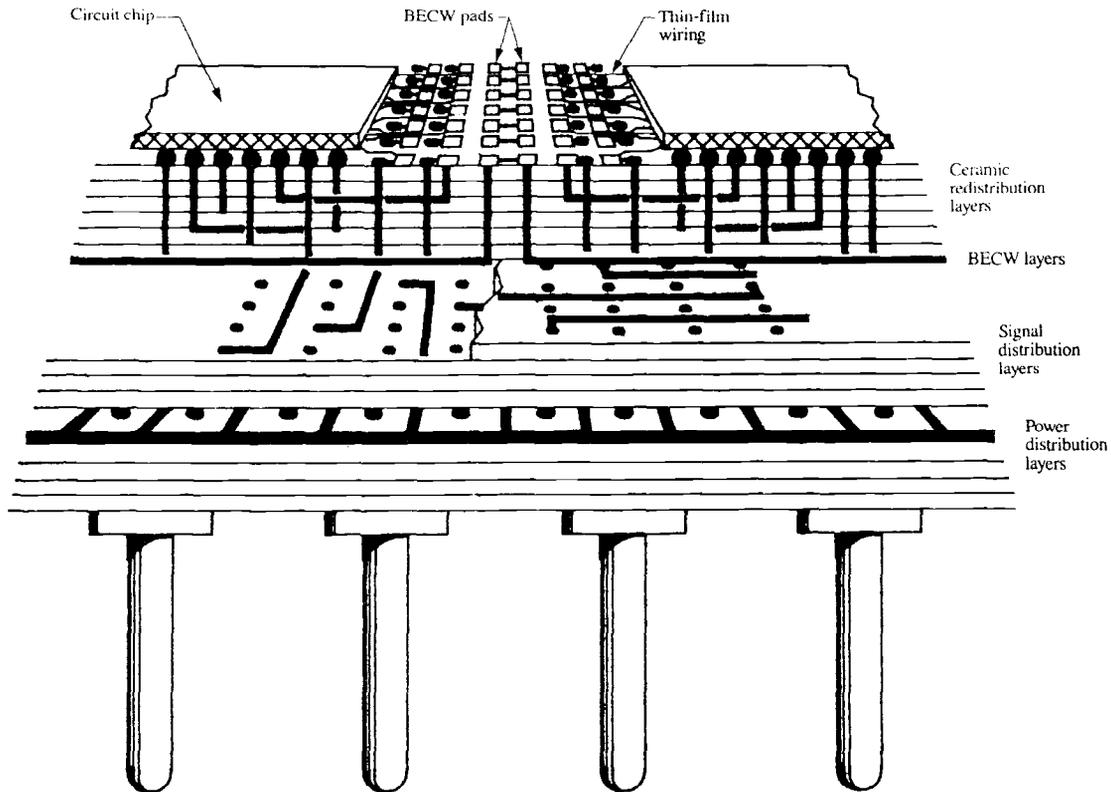
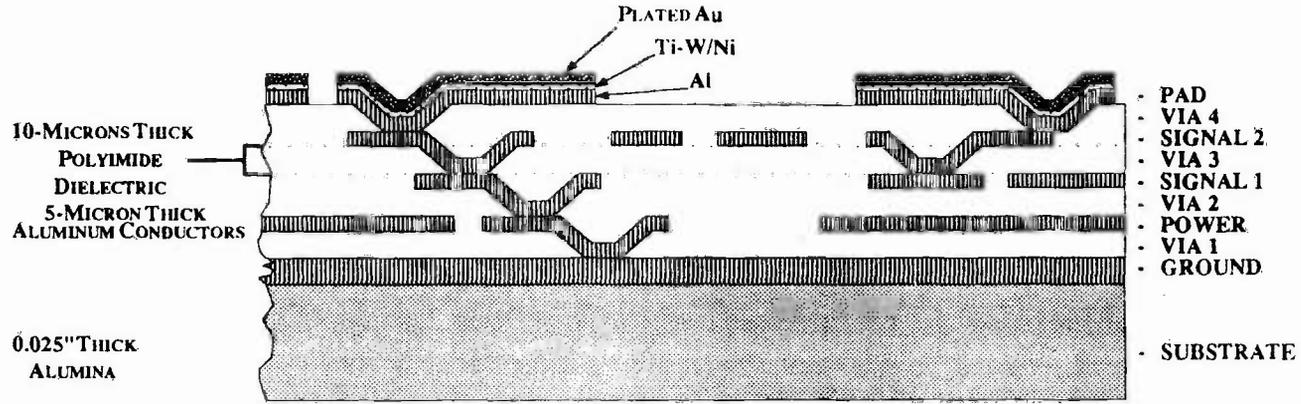


Figure 1. View of ES/9000 module showing MCM-D structure formed over MCM-C. (Courtesy IBM.)



- 6" DIAMETER ALUMINA
- 2" X 4" SUBSTRATES
- 5-CONDUCTOR LAYERS
- SPECIAL LOW CTE POLYIMIDE
- PLASMA ETCHED VIAS
- ALUMINUM INNER LAYERS
- Ti-W/Ni - Au TOP LAYER

Figure 2. High density multichip interconnect (HDMI) cross-section. (Courtesy Hughes Aircraft.)

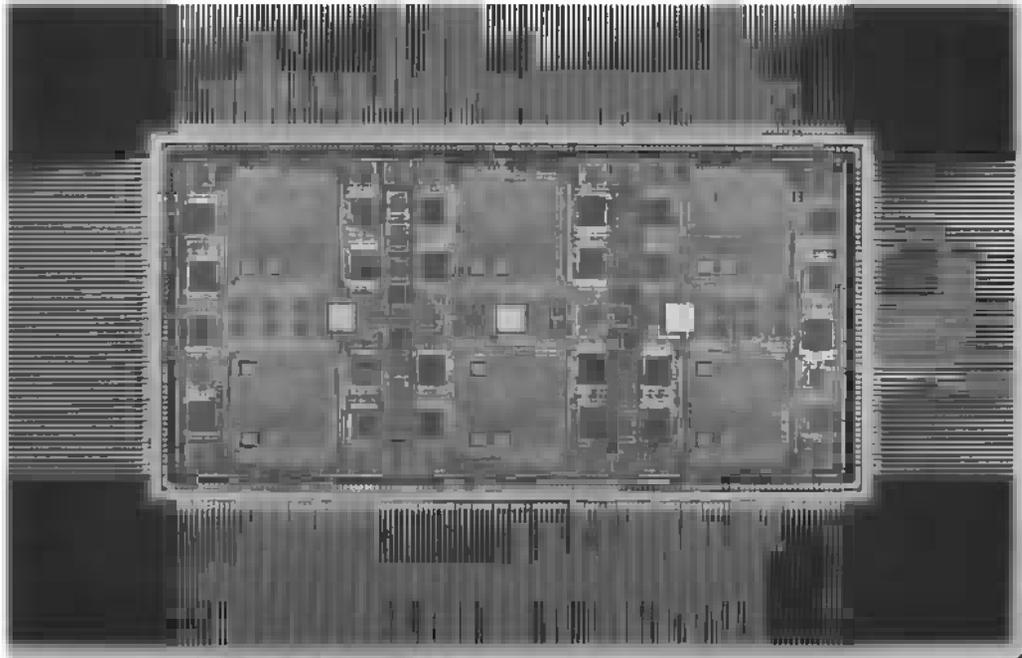


Figure 3. MCM-D data memory module. *(Courtesy Hughes Aircraft)*

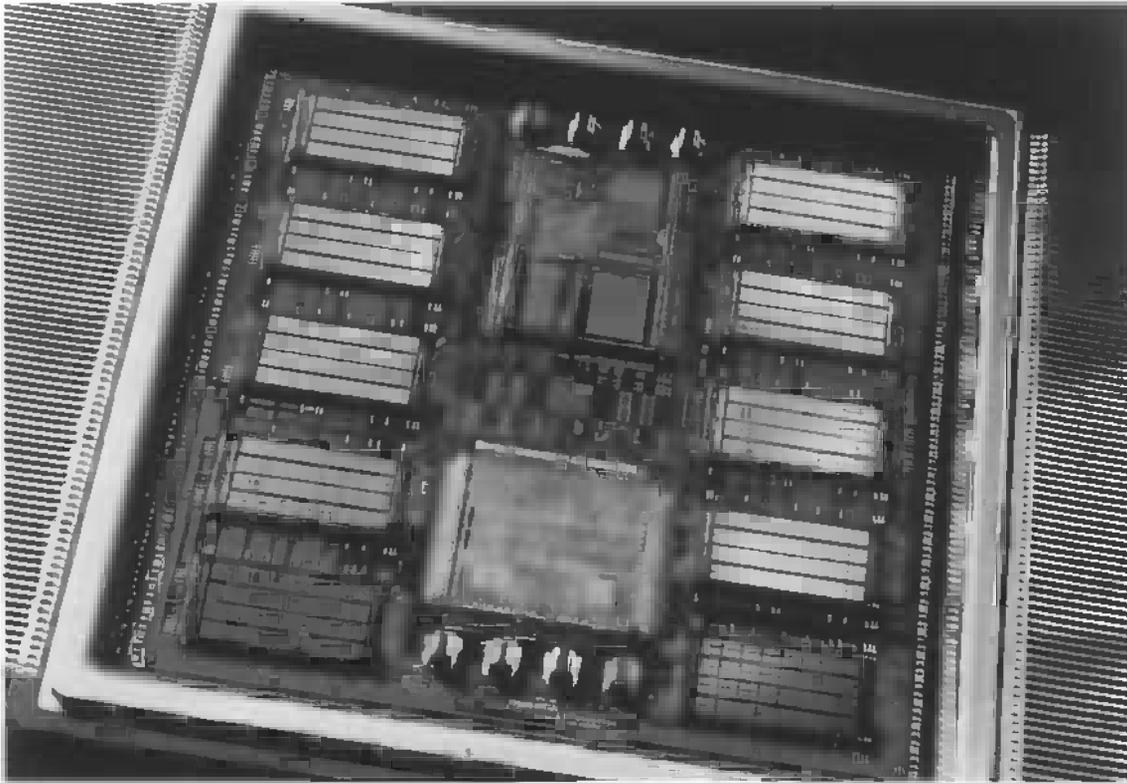


Figure 4. MCM-D from MicroModule Systems used for Loral Rohm Scalable Signal Processor. (Courtesy Loral Rohm Computer Systems.)

Table 1. Multichip Module Applications. (Table based on *Multichip Module Outlook: A Perspective on Low-Cost Solutions* by E. Jan Vardaman, Techsearch International, Inc.)^[12]

Application	Company	Product	MCM Type (Substrate)
Workstation	Control Data	4680	Thin Film (Silicon)
	IBM	RS/6000, ES/9000	Thin Film (Alumina)
	Fujitsu	K6000	Thin Film (AlN)
	NEC	UP4800	Thin Film (Alumina)
	Sun Microsystems	Server	Thin film on metal
	Silicon Graphics	Iris	4 cavity PGA (Alumina)
Personal Computer	MMS	Processor	Thin Film (Metal)
	IBM	9095	Thin Film (Alumina)
	Seiko Epon	PCMCIA Card	Laminate
	Industrial Microelectronics Center - Sweden	486 Computer Module	Thin Film (Silicon)
Telecom	AT&T	Telephone	Thin Film (Silicon)
	Rockwell	Fax/Modems	Laminate
	Nokia	Cellular Phone	Laminate
	Toshiba	Cellular Phone	Laminate
Consumer	Various	Automotive	Laminate
	Matsushita	Camcorder	Ceramic
	Matsushita	VCR	Thin Film (Silicon)
Military	National Semiconductor	Flash Memory	Low Temp Cofired
	Hughes	Processor	Thin Film (Silicon)
	Virginia Polytechnic	Underwater DSP	Low Temp Cofired

A major drawback to the wider use of multichip modules, notably in consumer and commercial applications, has been their high cost, especially for the MCM-D versions which require leading-edge process technologies and expensive semiconductor equipment and facilities. However, this

situation is rapidly changing with advancements that are being made in low-cost MCM-L types where much of the existing infrastructure for printed wiring boards can be extended to produce finer circuit geometries. Further, consumer applications will not require hermetically sealed packages, but can simply be encapsulated with an epoxy or silicone, as in the so-called glob top structures (Fig. 5). These modules are already finding a market in consumer electronics such as camcorders, laptop and desk top personal computers, facsimile machines, telecommunications equipment, and smart cards. With strict in-process controls using tested dice (known good die), the yields can be high, costs low, and any failed units can be treated as throwaways.

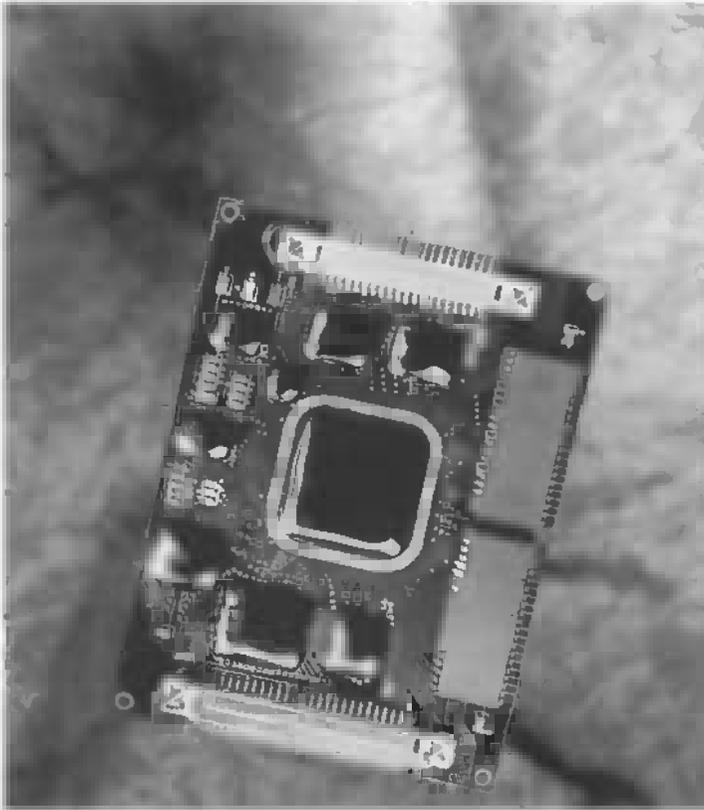


Figure 5. MCM-L chip on board with glob top epoxy encapsulated devices. (Courtesy S-MOS Systems.)

2.0 INTERCONNECT SUBSTRATE DESIGNS AND FABRICATION METHODS

Almost all MCMs, regardless of their type, require multilayer interconnect substrates. The number of layers depends on the complexity and number of I/Os of the chips and on the conductor line widths, spacings, and via diameters that can be produced by the chosen substrate process. For example, in a thin-film MCM-D where line widths, spacings, and via diameters are approximately 25 μm , two signal layers, a ground plane, and a power plane may be all that are needed to interconnect 6 or more large ASIC chips, whereas the same chips on a cofired ceramic substrate would require 15 or more layers.¹¹³¹ The choice is invariably based on a tradeoff among cost, density, and performance.

2.1 MCM-D

The key feature common to all MCM-Ds is the sequential deposition of thin films (2 μm to 10 μm thick) of metals (for conductor traces) and insulation for the dielectric isolation layers. Both metals and inorganic dielectrics may be deposited by sputtering, vacuum evaporation, or chemical vapor deposition.¹¹⁴¹ Polymer dielectrics may be spin coated (similar to photoresists) or spray coated. Thin-film processes, equipment, and disciplines that are used in semiconductor processing must also be used to fabricate MCM-D interconnect substrates, for example, to photolithographically pattern the conductor traces and plasma or wet etch the vias. It is therefore not surprising that most MCM-D substrates are being produced by semiconductor firms who already have in place the clean rooms, alignment and exposure equipment, and thin-film sputtering or vapor deposition equipment. A widely used process involves forming the multilayer structure on silicon or alumina wafers, though AlN or even aluminum wafers may be used. A polyimide precursor coating is first spun onto the wafer and step-cured up to 425°C. Vias are then plasma etched by using a photoresist as a sacrificial mask or by using a photopatterned metal or spun-on-glass (SOG) mask. Metal, typically aluminum or copper, is then sputtered over the entire surface simultaneously coating the walls of the vias. The metal is then patterned to form the conductor traces by a photolithographic process. The processes are repeated by spin coating another layer of polyimide, curing, etching vias, and metallizing until the required number of signal, ground, and

power layers are produced (Fig. 2). Other dielectrics, less widely used than polyimide, are benzocyclobutene (BCB)^[15] and silicon dioxide.^{[16]-[18]}

The nCHIP process is distinct from other processes in using silicon dioxide as the interlayer dielectric instead of the more customary polyimides or organic polymer coatings. nCHIP's use of silicon dioxide as the interlayer dielectric is reported to have several key advantages over organic dielectrics among which are: no outgassing, improved thermal stability, harder surface for better wire bondability, higher thermal conductivity, and reduced stresses. The silicon dioxide is deposited by a plasma enhanced chemical vapor deposition. A cross-section of an nCHIP module is shown in Fig. 6 and photo of an assembled module for the Ross Technology SPARC processor is shown in Fig. 7.

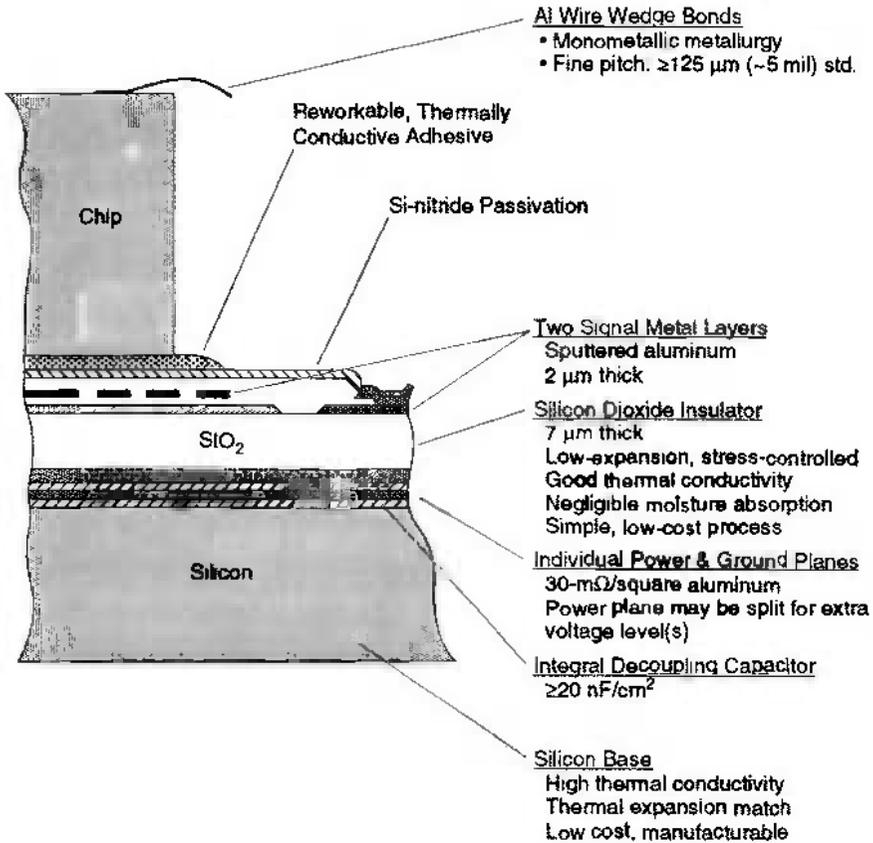


Figure 6. Cross-section construction of MCM-D using silicon dioxide as the interlayer dielectric. (Courtesy nCHIP.)

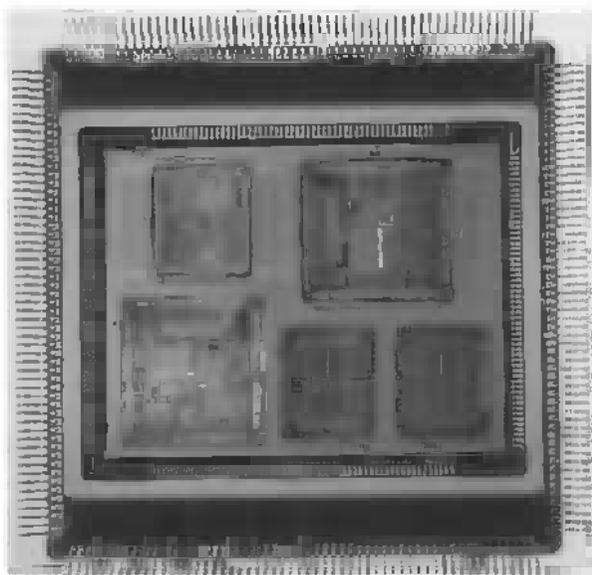


Figure 7. MCM-D for Ross Technology SPARC Processor. (Courtesy nCHIP.)

2.2 “Chips Last” versus “Chips First” Designs

Most MCM designs involve fabricating the interconnect substrate first, then attaching and interconnecting the chips, the so-called “chips last” approach. A unique variation of the MCM-D designs is the HDI (High Density Interconnect) or overlay process originally developed by General Electric and subsequently acquired by Lockheed-Martin. In this process the sequence of forming the interconnect layers is reversed. Instead of first forming the interconnect structure then attaching the chips, the chips are attached first, then the interconnect structure is formed over the tops of the chips, hence the designation “chips first”.

Among the key advantages of the chips first or HDI process are:

- No wire bonds are used. The interconnections are batch formed. Figure 8 shows the HDI fabrication and assembly steps.
- Interconnect paths are very short and offer low inductance for high speed signals.

- Thermal conductance occurs through the bottom substrate or frame while electrical paths occur through the overlying copper polyimide etched layers. Thus, the thermal level is separated from the electrical level, providing higher routing density. The substrate may thus consist of a very high thermal conductivity material such as AlN, BeO, copper, metal matrix composites, and even diamond or composites of diamond.
- Highest packaging density in the x-y plane; the die are almost touching one another.
- Potential for three dimensional (3-D) stacking for even greater density.

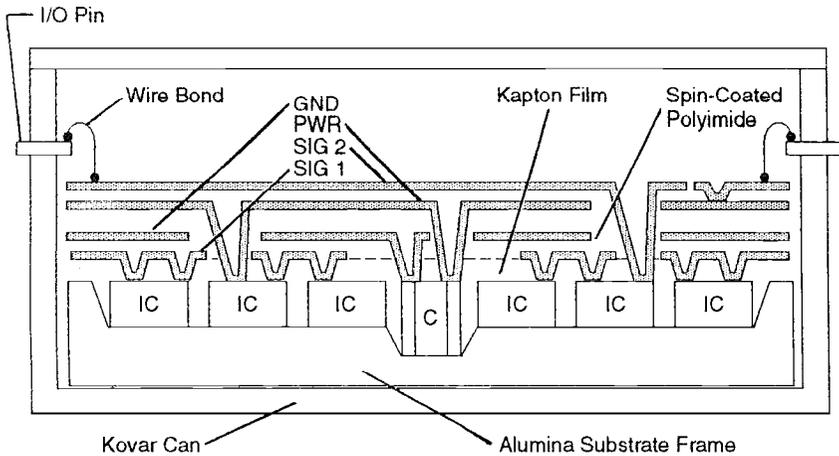


Figure 8. Cross-section of MCM-D, "chips first" HDI. (Courtesy General Electric.)

2.3 MCM-C

MCM-C interconnect substrates are produced from either low temperature cofired ceramic (LTCC) or high temperature cofired ceramic (HTCC). In both cases the predominant ceramic is alumina containing varying amounts of glass (see Chapter 4). Of the two processes, LTCC is receiving greater attention for multichip modules because its lower firing temperatures (850–950°C) and use of ambient (air) during firing are compatible with gold, copper and silver metallizations that provide much higher electrical conductivities than the refractory metals that must be used with

HTCC (Table 2). On the other hand, the refractory metals (tungsten or molybdenum) that must be used in HTCC processing must be fired at temperatures of 1200–1550°C in a reducing hydrogen atmosphere.

Table 2. Comparison of Cofired Ceramic Technologies

	HTCC	LTCC
Electrical		
Conductor Resistance,mohm/sq	15(Tungsten)	5(Gold) 3(Silver)
Dielectric Constant	9.7	4.0-8.0
Dissipation Factor,%	<0.03	0.03-0.20
Dielectric Strength, V/mil	550	>1000
Volume Resistivity,ohm-cm	>10(14)	>10(14)
Thick-film resistors	No	Yes
Thermal/Mechanical		
Thermal Conductivity,W/ m K	15-20	2.0-5.0
CTE, ppm/C	6.5	5.0-8.0
Flexural Strength,Kpsi	60	20-30
Density,g/cm(3)	3.7	2.9
Physical		
Conductor Width,mils	5 or less	5 or less
Via size,mils	4.0-6.0	4.0-6.0

The LTCC process, because of the lower temperature used in sintering, is also compatible with many available thick film resistor, capacitor, and inductor pastes which can be integrated with the substrate. In cofired ceramic the ability to fabricate the substrate and package as an integral unit provides some of the lowest profile packages ever designed resulting in very high density modules that are hermetic. A further advantage of LTCC lies in the similarities in equipment and processes with already established thick film screening and firing facilities available to most users.

A major limitation of LTCC is its poor thermal conductivity which is due to the large amount of glass (up to 50%) that is used in its formulation. Thermal conductivity is approximately 20 to 25% that of the alumina used for thick-film substrates and only 10 to 12% that of alumina used for thin-film substrates. To compensate for this low thermal conductance, metal filled vias (thermal vias) are formed through the tape. After firing, a heat spreader such

as copper or a copper alloy can be brazed or otherwise attached to the underside of the packages. A second limitation of LTCC, which is true for any cofired ceramic, is the high shrinkage that occurs during firing. If measured exactly and taken into account during the design, this shrinkage is manageable and does not present a problem. Finally, the cofired ceramic processes cannot produce the very fine pitch lines and vias that are inherent to the thin-film processes. Hence, for a defined density of interconnections more thick film layers need to be formed to be equivalent to its thin film counterpart.

2.4 MCM-L

MCM-L modules are emerging as the dominant technology for the very large billion dollar consumer and commercial markets due largely to their low cost and established available infrastructure. MCM-Ls use essentially the same materials, processes, and equipment that have been used for printed circuit boards and multilayer laminates for over 30 years. Refinements to the process, however, have been made to produce finer lines, spacings, and vias. MCM-L is based on plastics processing in which copper foil or electroplated copper on epoxy, polyimide, BT (bismaleimide triazine), or other polymer laminate, is photo etched. Through holes may be drilled in the laminate and plated or blind vias may be formed during the lamination process to form a multilayer structure. As with colored ceramic, the very fine pitch geometries of MCM-D thin films are not yet available. However, the consumer market is already designing its modules as small “few chip” modules in which very high density is not required. [9] Another feature which further reduces the cost of MCM-Ls is selectively sealing each of the assembled bare chips with a glob of epoxy (glob-top) or encapsulating them with a silicone. Because of the low cost of these glob-top chip-on-board (COB) modules they are treated as throwaways if failures occur.

2.5 Combinations of MCM Technologies

Because among the three main classifications of multichip modules there is no ideal technology that combines very high performance, high density, and low cost, many manufacturers have resorted to combining two MCM technologies into one structure. Hence, the more expensive, finer geometry, higher performance MCM-D process has been used sparingly only for the few top layers of either an MCM-C or an MCM-L interconnect structure. Examples of an MCM-C topped with MCM-D (polyimide and

photoetched thin film copper) are IBM's thermal conduction modules and NTK's modules for their mainframe computers. An example of an MCM-L substrate topped with MCM-D is IBM Japan's Surface Laminar Circuitry (SLC).[20] This approach uses standard low-cost printed circuit board materials and processes for the bulk of the interconnect structure. The fine-line top distribution layers are then formed by depositing polyimide, BCB, or epoxy, photoetching vias, metallizing, and photoetching conductor lines and bonding pads.

3.0 ASSEMBLY METHODS

The assembly and packaging processes for multichip modules are generally similar to those that have been used for many years for hybrid microcircuits (see Chapter 7) except that for MCMs there may be a tenfold increase in the number of wire bonds (some up to 4000 bonds per substrate), the chips are closer packed (some less than 50 mils apart), and the I/O pitch on the die may be as small as 4 mils. Further, the high cost of the dice and of the interconnect substrate requires improved rework and repair procedures and greater attention to the use of pretested known good die, especially for the MCM-D versions.

The attachment of dice to the substrates involves essentially the same materials and processes used in hybrid microcircuits. For example, silver-filled, electrically conductive epoxies are still almost universally used, while eutectic or alloy attachments are used only in cases involving high power or high heat dissipating devices with rework always being a drawback in those cases. Even reworking adhesive-attached dice presents more of a problem in MCMs because the dice are larger and more closely spaced than in hybrid circuits and because the underlying relatively soft polyimide can be easily damaged during die removal. Hence, there has been renewed interest in thermoplastic adhesives which soften and melt at a specified temperature without decomposing then resolidify on cooling. Both the processing and rework are faster and easier for thermoplastic adhesives. Epoxies which belong to the thermosetting family of adhesives soften at temperatures higher than their glass transition temperatures, but do not solidify. Once cured, thermosetting adhesives cannot be reused.

Thermosonic bonding using gold wire or ultrasonic bonding using aluminum wire are still the dominant processes although major improvements have been made in automatic wire bonding of closely spaced dice having fine pitch I/Os. Flip chip and tape automated bonding (TAB) processes that have

been available for decades, but sparsely used in hybrid circuits are finding renewed interest in MCMs because their shorter, more geometrically controlled interconnect paths reduce impedances and result in higher speed circuit performance.

Area array interconnections provide much higher density of I/Os at the chip level than perimeter I/Os. Ball grid arrays (BGA) is a form of flip chip bonding in which bumps of solder or other metallization are formed on the backsides of the die, interconnect substrate, or package base.¹¹ With MCMs there is also a greater need for thermally conductive, electrically insulative adhesives because of the higher wattage devices that are used and the cumulative heat that can be generated in a high density circuit. In these cases diamond filled or aluminum nitride filled epoxies are improvements over the traditionally used silica or alumina filled epoxies.

The third major assembly step is sealing the module. Sealing can be hermetic or non-hermetic (plastic). Packages for hermetic sealing of MCMs are generally constructed from combinations of ceramic and metal. Because MCMs have higher I/O pin counts (often over 400) and require closer conductor spacings than hybrids, integral lead cofired ceramic packages must be used. Kovar metal packages with glass-to-metal feedthroughs cannot meet the high density requirements nor would they be reliable. The top surface of the ceramic wall is either metallized or brazed to a metal seal ring to permit welding on a lid.

3.1 Plastic Encapsulation/Glob Topping

Plastic encapsulation has always received considerable attention. Shortly after the invention of the transistor, plastic encapsulants were used as protection from the environment and to facilitate handling and testing. The low cost and simplicity of packaging devices in plastic were understood and appreciated. Unfortunately, the early plastic formulations (epoxies, phenolics, silicones) of the 1950s and 1960s were not formulated for compatibility with semiconductor surfaces and fine wire bonds, nor were the early passivations free of pinholes and porosity. Over the next 20 years advancements have been made in both areas so that today highly reliable plastic encapsulated devices are produced and used for practically all commercial and consumer products. High purity epoxy formulations having low CTEs are now available for both transfer molding high production parts and for liquid encapsulation. Plastic encapsulation or molding is used primarily for single chip components. With large multichip modules or hybrids there is a greater risk of molding pressures or mismatches in CTEs causing stresses and

cracking or distortion of wire bonds. Multichip modules are also much more expensive than single chips and reworking is important. On the other hand, plastic encapsulated modules are essentially throwaways. However, selective encapsulation instead of total encapsulation is a viable approach for consumer and some high reliability products. Epoxy encapsulant as a liquid can be dispensed over some or all of the chips assembled onto a board, a process called glob-topping. In this chip-on-board (COB) approach the interconnect board may be a plastic multilayer board (epoxy, polyimide, or BT laminate) or a ceramic multilayer ceramic such as LTCC.

In spite of the advances and history of excellent reliability with plastic encapsulated microelectronics, there is still concern in using them in harsh moisture/temperature environments where human lives are at stake. All plastics absorb and permeate moisture, the extent of which is a function of relative humidity, temperature, and time. Plastics also differ in the amount of moisture permeation, depending on their molecular structure, formulation ingredients, and degree of cure. Besides permeation, stress cracking or delamination can offer sites for the rapid penetration of moisture, for example along the leads to the inside of the circuit.

4.0 TESTING AND TESTABILITY

The electronics industry is rapidly shifting to multichip modules because of their smaller size and higher performance, but these same attributes have placed burdens on testing. The combination of very large die and high-density packaging makes testing and fault isolation much more difficult and expensive than for hybrid circuits. In order to counter this, it is important that test strategies be developed early in the design process.¹²²¹ This need is driven by several factors among which are:

- The high cost of high performance die
- Unavailability of fully tested known good die
- Relative immaturity of the processes used to fabricate multichip modules
- Inaccessibility of test pads due to very tight geometries, close packing of die, and high pin counts

Like integrated circuits, MCMs require full at-speed testing and, like printed circuit boards and hybrid microcircuits, they require easy fault isolation because rework is limited.

4.1 Hierarchical Test Methodology^[23]

The hierarchical approach for testing MCMs is a tried and proven strategy commonly used in testing an entire system. It is cost-effective since faults can be detected early in the assembly cycle, isolated, and corrected. For example, it is less costly to find a marginal or bad IC on a substrate during its assembly than after the completed system has been delivered and subsequently fails in the field. The hierarchical method requires sequential testing at each stage of fabrication and assembly: the IC wafer, the singulated ICs, the interconnect substrate, the populated (assembled) substrate, the packaged module, the subsystem, and the system. Essentially four categories are involved for thorough testing (Fig. 9):

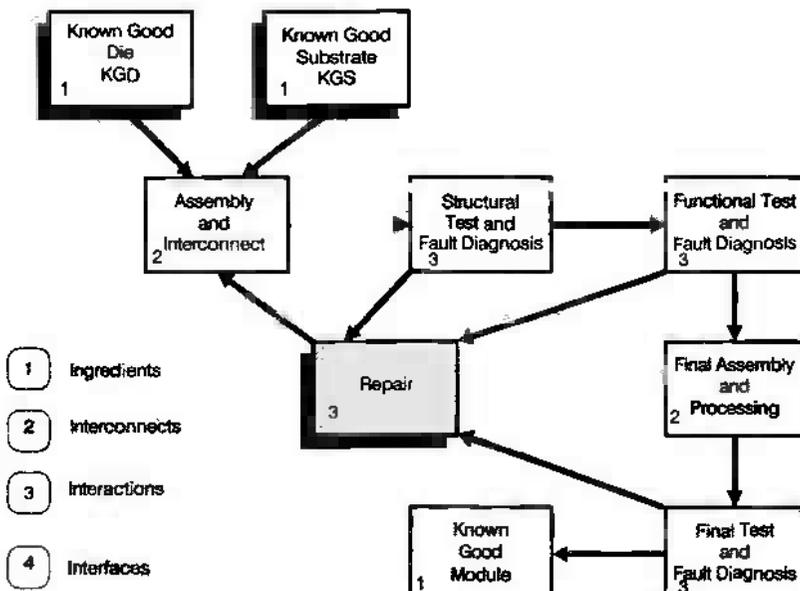


Figure 9. Methodology for MCM test and validation.

- *Ingredients*—Known good die, known good substrates, and known good packages
- *Interconnects*—Electrical interconnections and die and substrate attachments such as wire bonds, TAB, flip chip, ball grid array, epoxy, and solder

- Interactions-Component performance and electrical, chemical, and mechanical interactions (compatibility) with other components after assembly, on the interconnect substrate
- Interface-Compatibility at the next level; testing the module to see if it can drive the next level

4.2 Design For Test[241]

The approach to be taken in testing is best addressed in the initial design phase since there are numerous roadblocks to easy and complete testing of MCMs. Design for test (DFT) therefore plays a major role for the more advanced high-density electronic modules. Testability is the extent to which a design can be tested for the presence of a variety of manufacturing defects, subject to the constraints of time and cost. DFT is an up-front necessity to assure the quality of the end product.

DFT begins with the individual chips by designing them so that they can be easily tested and fault isolated. One approach to locating defects is to build physical partitions into the multichip module design and treating the module as if it were a system, which it really is except on a smaller scale. Two DFT methods, boundary scan and BIST (built in selftest), introduced by IC designers in the 1990s now dominate the high-end ASIC chips. Among benefits they offer are:

- Automatic pattern generation
- Low cost for fault simulation
- Use of scan paths to facilitate design debugging
- Use of scan paths to assist in fault detection
- Low cost of automatic testing and shorter turnaround

However, there are also several penalties that come with boundary scan and BIST that have limited their wider use. Among these are:

- Scan paths take up extra silicon which reduces the yield per wafer and increases costs
- Size of the die increases due to the extra pins required
- Design cycle time is longer

4.3 Boundary Scan

Boundary scan techniques are defined by IEEE 1149.1, “1990 Test Access Port and Boundary Scan Architecture.” This standard applies to card, MCM, board, and system testing. For boundary scanning, the IC must have boundary scan latches at each chip I/O (Fig. 10). These latches are serially connected to form a shift register.¹²⁵ The chip must also contain a four-port standard connection—the test access port (TAP) which provides access to the “shift register” and controls the various test modes. If all the chips in a MCM have boundary scans it is easy to test the interconnections by shifting data into the first chip, around the chip, over to the next chip, around that chip, out to the next, and so on. This ensures that all the connections are intact. Testing MCMs would be greatly simplified if all commercially available ICs complied with IEEE 1149.1. Unfortunately, only 20% of all systems built in 1994 were 100% compliant.¹²⁵ A main drawback is that many boundary scan designs do not provide fault-locating diagnostics: therefore cost-effective means of localizing the fault must be available. Among other limitations of boundary scan are:¹²⁶

- Not all devices are available with boundary scan
- Devices that do not have boundary scan can interfere with testing of those that do
- Ground bounce can cause false clocking
- “Illegal states” (those that could not occur in real life) can be clocked into a scan device and destroy it

4.4 Built-in Self Test (BIST)¹²⁷

BIST is a technique that allows ICs to test themselves with on-board testers. For a simple RAM device only one tester is required. This tester will contain test generation, test application, and response analysis capabilities to declare the device acceptable or not acceptable. For a complex device several different BIST testers are needed, for example, one each for memories, logic, data path, and input/output signals. The key attribute of BIST is that it can be used at all levels: during assembly, after manufacture, at the MCM level, at the system level, and in the field.

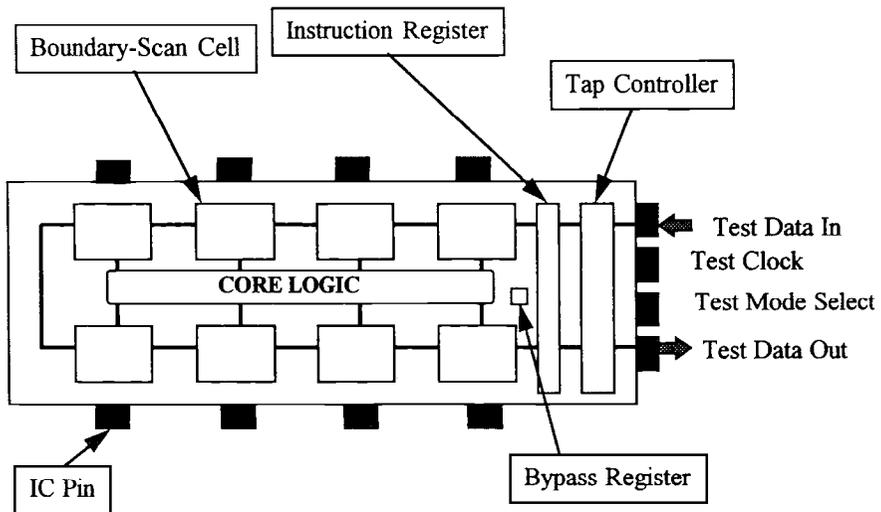


Figure 10. Integrated circuit design with boundary scan.

4.5 Known Good Die (KGD)

The KGD issue is a widely discussed and controversial subject. Some believe there is no such thing as *known good die*, which is true depending on how KGD are defined. One definition by MCC (Microelectronics and Computer Technology Corp.) is “Unpackaged ICs that are known at a high confidence level to perform to packaged device specifications and will continue to perform to specification throughout their life.” While the semiconductor industry has done a good job of continuously upgrading the quality of packaged die they have not supported the bare die at these same levels.^[28]

It is clearly impossible to test every electrical parameter of a complex die. The number of test vectors in a die are often greater than a tester can handle. Even after the wafer has been tested to be “known good,” faults can still be introduced from subsequent dicing and packaging steps. Therefore, no matter how good the KGD is, there must be provisions for rework at the next level. It is estimated that the cost of a KGD can be 2 to 4 times greater than the cost of a packaged die and it may be cheaper to test after assembly, locate a bad die and replace it than to pay a premium for KGD.

KGD processing techniques can be classified into four general categories, according to Table 3.^[28]

Table 3. Categories for KGD Testing

Category	Techniques
Additive Contact	Tape automated bonding (TAB) Chip on substrate Testable ribbon bonding Post processing masking
Temporary Contact	Temporary wire bonds Temporary TAB Temporary solder bumps
Pressure Contact	Compliant membrane Z-axis contacts Membrane dielectric isolation
Probe Scrub Contact	Peripheral array probes Area array probes

KGD By Additive Contact Testing. Examples of achieving KGD through additive contacts include TAB, Chip on Substrate, Testable Ribbon Bonding, and Post Processing Masking. In Tape Automated Bonding (TAB), the die are wire bonded to miniature lead frames that are processed on a plastic tape carrier (see Chapter 7). TAB allows the die to be fully tested and burned-in prior to assembly on a substrate. COS (chip-on-substrate) uses a host substrate, a chip carrier onto which the die is assembled and tested. The die and its carrier are then assembled onto the mother board. This approach decreases the packaging density of the die because of the additional area required for each carrier. A variation of this process in which the carrier is expendable was developed at Hughes Aircraft Company. Called TRB™ (Testable Ribbon Bonding), the die is ribbon bonded to a ceramic carrier having a thick-film interconnect pattern. After burn-in and testing, the ribbon leads are laser cut close to the carrier bonds, the die removed from the carrier, and rebonded to the final interconnect substrate.^[29] Post processing involves additional masking, metallization and photoetching steps, usually at the wafer stage, to enlarge the bonding pads on the die. The die can then be assembled into a standard package and tested. The die is removed from the package by severing the bonds at the die level. In reassembling the die on the final interconnect substrate, new bonds are formed in the extended unused pad portion.

KGD By Temporary Contact Testing. In using temporary contacts, the bonds or interconnections are purposely formed by marginal processes enough to form electrical connections to electrically test, but not sufficient to form the final optimum mechanical bond. According to one method, Softool™, developed by Micron Semiconductor and licensed to Chip Supply, the chip is wire bonded into a standard package by using a marginal bonding schedule so that after testing the bonds can be peeled off and the die installed onto the final multichip module. Like temporary wire bonds, temporary TAB and flip chip bonds can also be made. A temporary solder bump process called R3 (reduced radius removal) entails the flip chip attachment of the die to a test carrier. After testing, the die is sheared off and the solder bumps reflowed.

KGD By Pressure Contact Testing. In pressure contact testing, the die is pressed against bumps on a test membrane or substrate so that the bumps contact the I/O pads of the die and make electrical connections while under pressure. The membrane generally consists of a flexible polyimide film having a photodelineated thin-film interconnection pattern. The membrane is connected to a socket for electrical testing. Key issues with this approach are:

- Ability to form low resistance, stable electrical contacts without damaging the die pads
- Achieving proper alignment of the contacts
- Maintaining alignment and constant contact resistance during temperature excursions

There are many variations of probes used to contact the die pads and different methods to break through the thin oxide of the aluminum pads. Some contacts are formed by applying forces which cause a scrubbing or burnishing motion [30][31] while others use piercing contacts which require a much lower force to break through the oxide layer.[3*]1

Examples of a bumped contact and a piercing contact are shown in Fig. 11.

KGD By Probe Scrub Contact Testing. Peripheral and array needle probes such as KGD Plus™ from Micron Semiconductor use a die carrier that interfaces with an oxide-piercing probe set.

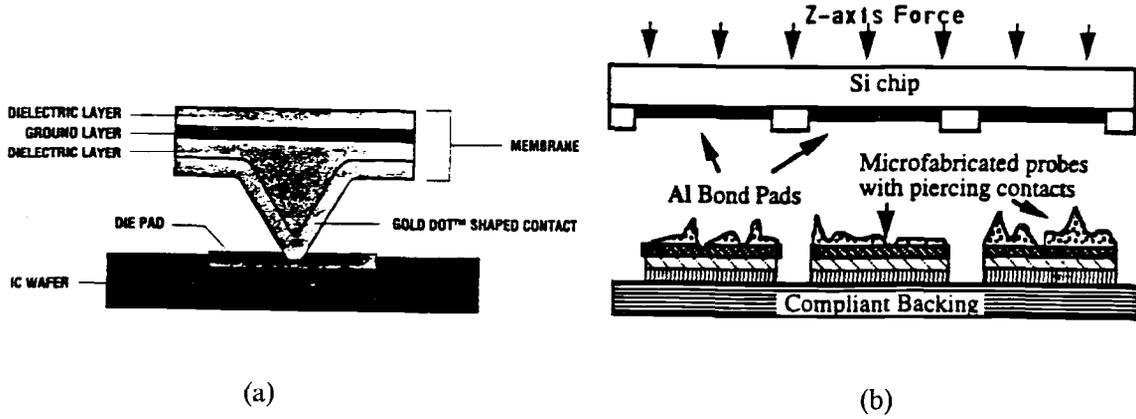


Figure 11. Pressure contact testing for known good die. (a) Bump contacts deforming pad oxide. (b) Diamond particles piercing through die pad.

4.6 Multichip Module Test Equipment

Table 4 lists some companies that sell testers and instrumentation for testing modules assembled with boundary scan devices.^[33] Hewlett Packard's Model 82000-MCM is a 200 MHz tester with a guided probe for MCM applications. In 1993 Integrated Measurement Systems (IMS) introduced an MCM test station that uses their ATS Blazer test system with Teradyne's Victory boundary scan software. Automated testers are essential in reducing the cost of multichip modules. The specifications for a MCM tester fall between those of a circuit board tester and an IC tester.^[34] Table 5 gives specifications for a low-cost MCM tester.

Table 4. Suppliers of Electrical Test Equipment for Multichip Modules

Company	Location
Alpine Image Systems	Los Altos, CA
Corelis, Inc.	Cerritos, CA
Fluke Corp.	Everett, WA
GenRad, Inc.	Concord, MA
Hewlett-Packard	Santa Clara, CA
Integrated Measurement Systems	Beaverton, OR
LSI Logic	Milpitas, CA
Tektronix	Beaverton, OR
Teradyne	Boston, MA
Texas Instruments	Dallas, TX

Table 5. Low-Cost Multichip Module Tester Specifications

Pin Count	512, expandable to 1,024
Speed	DC to 5 MHz
Pattern Depth	64K vectors, expandable to 512K
Power Supply	0 to 6 V, 5 A expandable to 20 A
Driver Formats	NRZ, RZ, DNRZ, RO
Driver Range	0 to 6 V
Driver Accuracy	100 mV
Driver Timing	10 ns accuracy
Driver Current	50 mA
Loads	50 mA, programmable
Comparator Specs	Same range and accuracy as driver
Analog Test	Capability to test up to 100 passives

5.0 ISSUES

Besides testing and testability, there are several other issues that are more pronounced with MCMs than with either hybrid circuits or printed circuit assemblies. Among these are cost, yield, thermal management, and rework and repair.

5.1 cost

Cost has always been an issue with electronic circuits, but is even more so with MCMs where yields can be quite low due to their higher complexities and densities and the use of more expensive ASIC and memory die. Cost is also driven up by the number of steps, (often over 100 steps) required in fabricating a high density multilayer substrate. Interconnect substrate yields for the MCM-D have been rather low, especially for the larger substrates with very fine features. For example, in processing two 2 x 4 inch substrates from a 6 inch diameter wafer, a single particle, 12 to 25 pm, immediately lowers the yield to 50%. Hence, processing smaller substrates from larger wafers or panels and maintaining strict clean room controls improves yields and reduces the per unit cost. The processing of thin-film multilayer interconnections from large 18 x 24 inch panels is actively being pursued under ARPA's TRP (Technology Reinvestment Projects).

5.2 Thermal Management

With the advent of high-speed, very high frequency VLSIC, VHSIC, gallium arsenide MIMIC chips, and high performance microprocessors, coupled with the high packing densities being used in multichip modules and three-dimensional modules, new materials and approaches are required to remove heat.^{15]}

Whereas previously, an entire module might dissipate 30- 100 watts, now single chips can dissipate as much (Fig. 12). Liquid or forced air heat exchangers, heat pipes, and metal fins currently used are heavy and bulky and defeat the advances that have been made in electronics miniaturization. Hence, portable electronics, such as high performance laptop computers, require improved materials and methods for removing heat through conduction and convection. There is also a trend toward using low-cost plastic encapsulation and greater use of GaAs devices, which further exacerbates the thermal dissipation problem due to their low thermal conductivities.

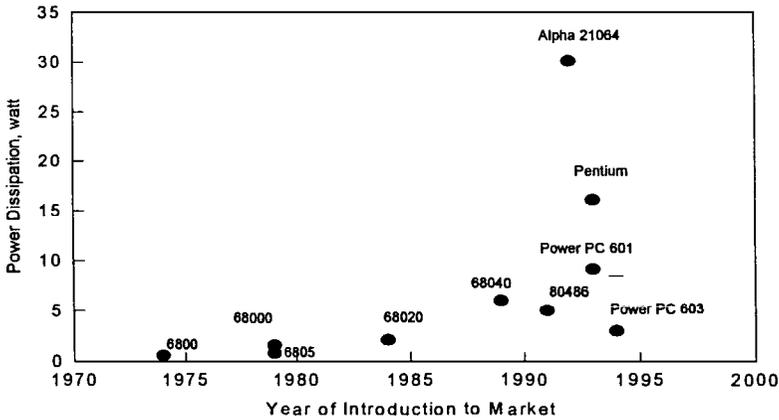


Figure 12. Semiconductor power dissipation is on the rise.

To meet these challenges, three emerging materials are receiving considerable attention in electronic packaging: aluminum nitride, CVD deposited diamond, and metal matrix composites.

5.3 Aluminum Nitride (see also Chapter 2)

Although its thermal conductivity is no better than that of beryllia, aluminum nitride (AlN) has distinct advantages of potentially lower cost, no restrictions or toxicity controls on processing, and a coefficient of thermal conductivity (CTE) that closely matches that of silicon. Aluminum nitride's key advantage over alumina ceramics is its approximately 10 times greater thermal conductivity while maintaining all the other desirable electrical insulation and dielectric properties.^[35] Compared with copper, AlN has approximately half the thermal conductivity, but benefits from having a much lower density and being an electrical insulator.

Several grades of AlN ceramic are available based on their thermal conductivities, quality, and cost. The highest grade, essentially a pure, high density AlN, has a thermal conductivity of approximately 260 W/mK, but is also the most expensive. Most commercially available grades range from 70 W/mK to 190 W/mK allowing the design engineer to tradeoff his thermal requirements versus cost.

AlN substrates that are used for either thick film or thin film deposited conductors and resistors are available from many Japanese and some American and Canadian suppliers. Special thick film conductor and resistor pastes that are compatible with the AlN have been developed.^[36] Processes have also been developed to fabricate high density cofired multilayer interconnect substrates and packages using either a pressureless or a hot press process. A composite of properties reported for AlN produced by both processes is given in Table 6.

Table 6. Properties of Aluminum Nitride Ceramic. (Note: Values are composites of several values reported in literature and by suppliers.)

Property	Units	Value
Volume resistivity	ohm-cm	$> 10^{14}$
Dielectric constant (RT to 1MHz)		8.5-8.7
Dielectric constant (9.3 GHz)		8.3
Dissipation factor (RT to 1MHz)		0.0002
Dissipation factor (9.3 GHz)		0.0012
Dielectric Strength (94-mil thick)	V/mil	330
Thermal conductivity	W/m K	170-200
Coefficient of thermal expansion (RT to 100°C)	ppm/°C	3.2
Coefficient of thermal expansion (RT to 200°C)	ppm/°C	3.7
Coefficient of thermal expansion (RT to 400°C)	ppm/°C	4.3
Density	g/cm ³	3.3
Hardness, Knoop	GPa	11.8
Flexural strength	MPa	276-280
Young's modulus	GPa	331-340
Poisson ratio		0.25
Modulus of rupture	MPa	280
Surface roughness	μinch	<35

* Values are composites of several values reported in the literature and by suppliers

Figure 13 shows a 4 × 4 inch AlN package having 612 leads on 25 mil pitch developed by a team of Hughes, W. R. Grace, and Coors Electronic Package Company under a Navy contract. A 3.6 × 3.6 inch MCM-D silicon substrate consisting of seven conductor layers separated by polyimide interlayers was used for interconnecting the chips. The AlN package was produced by the hot-press process.^{[37]-[39]}

Subsequently, Honeywell and Coors Electronic Package Co. under a separate Navy program,^[40] developed a process technology for fabricating three dimensional (stacked) aluminum nitride multichip modules. Aluminum nitride multilayer interconnect substrates were fabricated by the hot-press

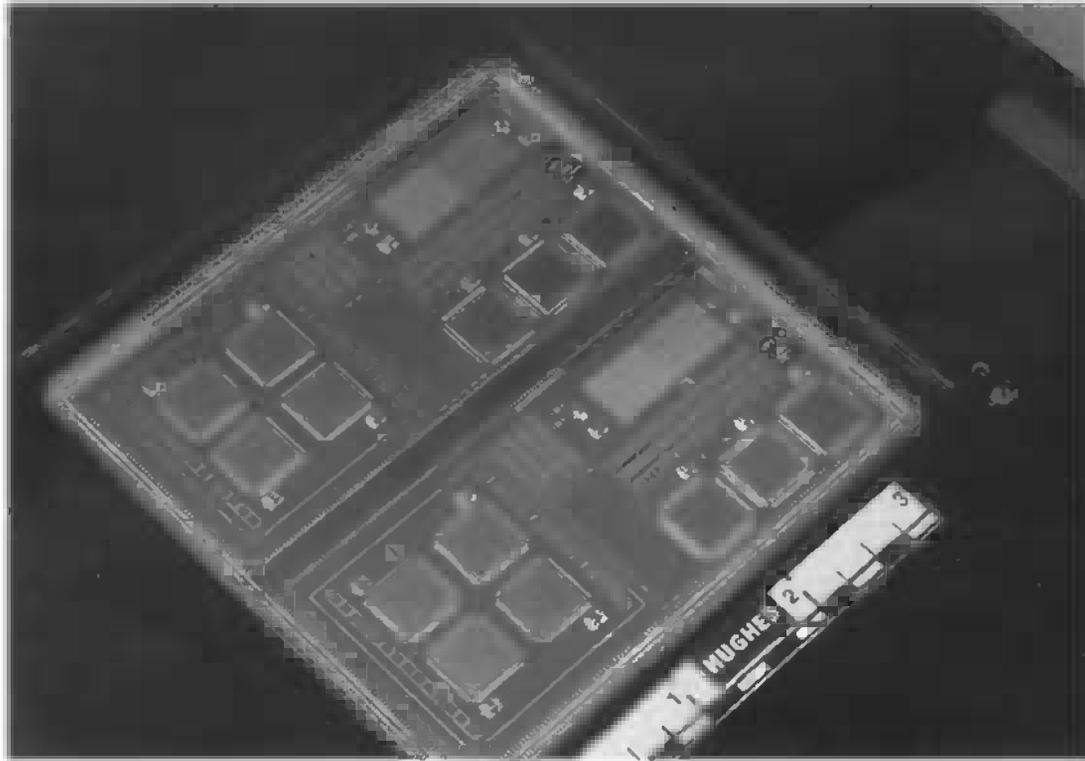


Figure 13. Aluminum nitride package (4 × 4 in.) containing MCM-D (Courtesy Hughes Aircraft Company.)

process and seal rings consisting of Kovar in a C-shape (to relieve stresses) were brazed on both sides of the AlN. Bare chips were then assembled and the modules hermetically sealed with welded Alloy 42 lids. The two-sided modules were then stacked and electrically interconnected using AlN spacer bars cofired with z-direction metal-filled vias. Solder balls were attached for mating with the substrates (Figs. 14 and 15).

5.4 CVD Diamond

Diamond is considered the ultimate thermal conductor. Among all known materials occurring naturally or synthesized, diamond has the highest thermal conductivity, surpassing even that of the best metals. For example, diamond has five times the thermal conductivity of copper yet it is an excellent electrical insulator and dielectric (Table 7). Considerable research has been done, and is continuing, in developing low pressure, low temperature processes for producing high quality diamond by various chemical vapor deposition (CVD) processes in which hydrogen and hydrocarbon gases such as methane or acetylene are converted to diamond films. The CVD process may be enhanced by RF and microwave plasma or may be produced by the hot filament or dc arc-jet. Besides the deposition method used, parameters that are critical in producing high quality films include rate of deposition, deposition temperature, and ratio of hydrogen to hydrocarbon gases.

The optimum substrate temperatures for producing polycrystalline diamond range from 750- 1000°C. Although one of the main limitations of all processes is the slow growth of the films and therefore high cost, considerable progress has been made in increasing the deposition rates without degrading the material properties. Wafers as large as six inches in diameter and 0.040 inch thick have been reported by Norton Diamond Film, but more typical wafers are 4 inches in diameter and 0.020 inch thick. For most applications, small diamond substrates are required and used as heat spreaders for high power GaAs FETs and laser diode devices. Processes have been developed for metallizing diamond so that devices can be directly attached using solder or metal alloys enabling devices to maintain low junction temperatures. Semiconductor junction temperatures and lateral temperature gradients have been reduced 30-50% by using CVD diamond heat spreaders in dual-in-line and pin-grid array packages. Microwave circuits on CVD diamond instead of on alumina have shown a seven to eight-fold reduction in thermal impedance and a lower loss tangent at 20 GHz.

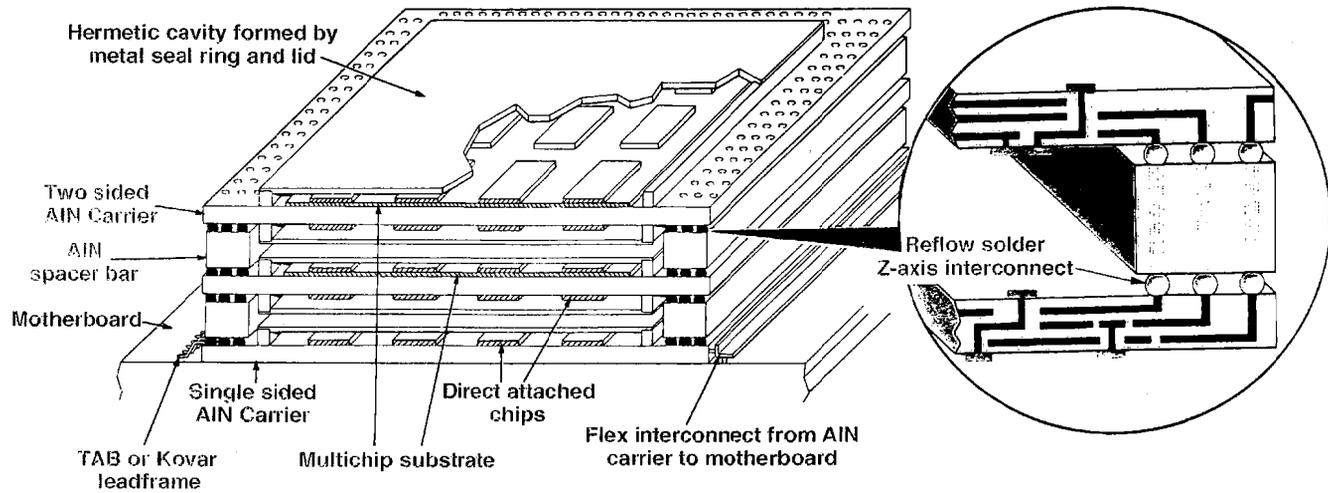


Figure 14. Sketch of stacked multichip modules using AlN process technology. (Courtesy Honeywell Solid State Electronics Center.)

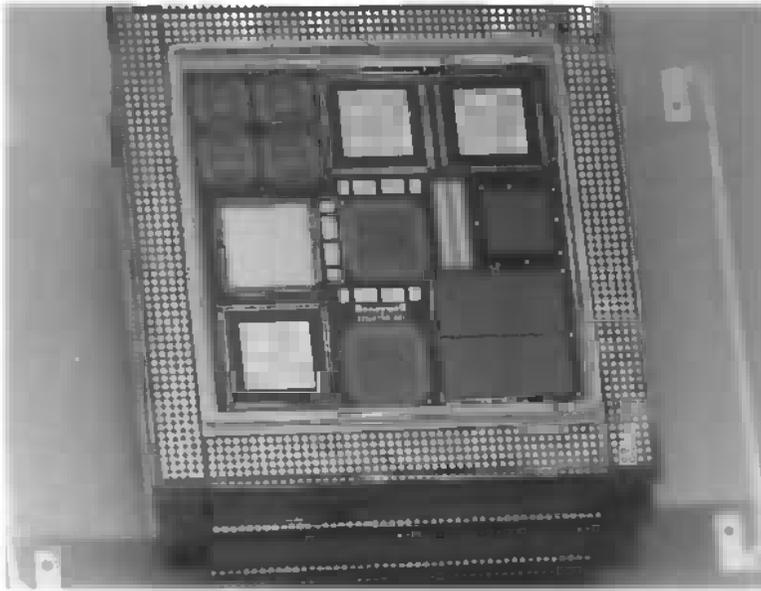


Figure 15. Three dimensional aluminum nitride multichip demonstration module. (Courtesy Honeywell Solid State Electronics Center.)

Table 7. Properties of Polycrystalline CVD Diamond Compared With Other Electronic Packaging Materials

Property	CVD Diamond	BeO	AlN	Alumina (96%)	Copper
Thermal Conductivity, W/ m K	1300-2000	220	170-200	18-20	400
Thermal Diffusivity, cm ² /sec	7.4	0.67	0.65	0.05	1.2
CTE, ppm/ ^o C	~ 2	6.0	4.4-4.7	6.2	16.8
Dielectric constant	5.5-5.7 (45MHz-20GHz)	6.7	8.8	9.5	
Dissipation factor(1MHz)	<0.001 (45MHz-20GHz)	0.0002	0.0005	0.0004	
Electrical resistivity, ohm-cm	>10 ¹¹	10 ^{1*}	>10 ¹³	10 ¹³	1.6 x 10 ⁻⁶
Dielectric strength, v/ml	7500-8750	850	330	600	

Values are a composite of those reported by several suppliers

5.5 Metal Matrix Composites

Metal matrix composites (also discussed in Chapter 2) are a unique class of man-made materials that integrate metals with ceramics and provide many desirable properties for electronic packaging that each of the constituents alone cannot provide. Among the current composites of interest in electronic packaging are silicon carbide reinforced aluminum (SiC/Al), copper/diamond, nickel-iron/silver, and copper impregnated carbon-carbon. Silicon carbide/aluminum is available from Lanxide Electronic Components under the trade name of Lanxide, but is also produced by several other companies including Alcoa and Ceramics Process Systems. Copper/diamond, under the trade name Dymalloy, is being promoted by Lawrence Livermore Laboratories and Sun Microsystems,[47] while a nickel-iron alloy composite with silver has been introduced by Texas Instruments under the trade name Silvar.14811491 The copper-impregnated carbon-carbon composite is a development of B. F. Goodrich Company.[50]

The most advanced and widely studied example of a metal matrix composite is that of silicon carbide reinforced aluminum (SiC/Al). This commercially available material is produced by infiltrating SiC particles, or a porous preform of silicon carbide, with molten aluminum or molten alloys of aluminum. Preforms may be produced by either tape casting or dry pressing in various shapes.[51]

The process may be either pressureless, in which liquid aluminum is allowed to percolate through the SiC under normal ambient pressure, or it may be pressure assisted. Because of the long time required for the pressureless process (sometimes up to 12 hrs. to completely penetrate the SiC depending on the thickness of the part) pressure-assisted automated processes have been developed.[52][53] A slurry can also be prepared by dispersing the silicon carbide with the molten Al and then casting it into a mold. In either case net or near-net shape parts are produced.

Metal matrix composites are of interest largely because they combine the high thermal conductivity of metals with the low and tailorable coefficient of thermal expansion of ceramics. In addition they provide greater stiffness than the metal and low weight, depending on the metal used. Metal matrix composites are therefore used mostly in the thermal management of high-power circuits and devices such as gallium arsenide (GaAs) power chips for microwave applications. Heat spreaders, substrates, lids, and entire packages have been produced. The focus has been largely on GaAs microwave devices because of their fragile nature and inherent poor thermal conductivity. The CTE of the composites can be tailored to closely match that of GaAs

(6.5 ppm/°C) by varying the volume percentage of SIC; the higher the percentage the lower the CTE. Volume percentages of SIC ranging from 50-70% result in CTEs ranging from approximately 8 to 6.2 ppm/°C, respectively. A further advantage of metal matrix composites is the ability to fabricate net shape products obviating the need to perform expensive machining and loss of material. Cavity packages with feedthroughs for microwave and power circuits have been fabricated.

Among the few limitations of metal matrix composites are their electrical conductivity and relatively high cost. Because of their electrical conductivity, substrates may require insulation to prevent shorting of interconnections. Unlike ceramics such as alumina or AlN, metal matrix composites cannot be fired to form multilayer circuit substrates. Their high cost has also been a factor limiting their more extensive use, however new automated pressure-assisted processes have been developed that should bring down cost.^[53]

5.6 Rework and Repair

Reworking high density multichip modules is more difficult than reworking hybrid microcircuits, due to the closer spacings between chips and the greater variety of process technologies that are being used. With hybrids, where only 3 to 10% of the substrate surface area is populated with silicon chips, it is relatively easy to insert a heated wedge-shaped tool between devices to shear off a failed device. Also, with hybrids there is little risk of damaging the base substrate since it is generally a hard ceramic. With MCMs, especially the MCM-D, spacings between die may be as close as 40 mils and the silicon die-to-substrate area is over 30% and can be over 100% with 3-D modules. Wire bond densities are also very high in MCMs, some over 800 bonds per square inch. ^[54] It is therefore almost impossible to shear off a faulty die without damaging an adjacent good die. Further, because organic interlayer dielectrics such as polyimide are extensively used in MCM-D fabrication, there is the added risk of damaging these dielectrics because of their softness. Techniques and tools have therefore been developed to remove the chips by first adhesively attaching a thermode to the top of the chip then applying heat simultaneous with a combined tensile and twisting force. Thermoplastic (hot melt) adhesives are also finding greater use since they soften and melt at either a precise temperature or over a narrow temperature range. Thermoplastic adhesives reversibly melt on heating and resolidify on cooling, hence, facilitate removal and replacement of die.^[55]

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564 Hybrid Microcircuit Technology Handbook

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Index

A

- Abrasion cleaning 232
- Abrasive trimming 182
- Acceleration test 233
- Acoustic microscopy 342, 500
- Acrylics 166
- Active devices 206
- Adapter 463
- Additive contacts 548
- Adhesion 36, 157
 - copper to ceramic 153
- Adhesion layer 74
- Adhesion mechanism 134
- Adhesion strength 135
- Adhesion test 486
- Adhesion values 132
- Adhesives 227, 229, 230, 344, 474
 - electrical conductivity 233
 - organic 236
 - specification 228
 - thermoplastic 541, 560
 - underfill** 204
- Advantages 19
- AES 494
- Aging 485
- Air burn-in 331
- Air cleanliness 350
- Air gap microbridge 100
- Air-circulating ovens 335
- Algorithmic trimming 189
- Alloy 42 556
- Alloy attachment 239
- Alloy-attached 224
- AlN powder 50
- Alumina 33, 36, 456
- Alumina content 37
- Alumina substrates
 - grades 38
- Aluminum etching 99
- Aluminum nitride 49, 553
- Aluminum nitride packages 201
- Aluminum paste 153
- Aluminum wire 244, 254
- Aluminum wire bonds 504
- Analog circuits 126
- Analog-to-digital converters 22
- Analysis procedures
 - moisture 346
- Analysis techniques 494
- Anhydride cured epoxy 230
- Annealing 78
 - conditions 85
- Anthracene 294
- Applications 14, 17
 - commercial 22
 - high-frequency 22
 - military 24
- Aquaregia 85, 97
- Area array interconnections 542

566 *Hybrid Microcircuit Technology Handbook*

Argon
 ions 99
 sputtering gas 68
Arrhenius equation 485
Artwork 431, 455, 462
Artwork generation 376, 377
"As-fired" substrates 424
Assembly aids 4 11
Assembly drawing 462
Assembly procedures 224
Assembly processes 10, 54 1
Assembly steps 12, 225
Atomic mass 499
Attachment materials 191, 226
Attachment method 24 1
Attachments 142, 224, 226
 metallurgical 239
Auger Electron Spectroscopy 494
Automated testing 322
Automatic bonding 258
Automatic wire bonding 262
Axial leads 442
Azeotropes 273
Azodye 91

B

Ball bonding 245, 254
Ball grid array packages. See BGA packages
Ball grid arrays 542
Ball-entrapment 257
Barium ruthenate 144
Barium titanate 151, 214
Barrier metallization 73
Bathtub curve 484
Beam-lead bonding 256
Belt-furnace sealing 300
Bent(L) resistors 447
Benzocyclobutenes 526, 536
Bertandite 57
Beryllia 45, 57, 398
Beryllia packages 20 1
Beryllia substrates 370
Beryllia tape 116
Beryllium oxide 45
BGApackages 193, 202, 204
Bimetallic joint 244
Binders 13 1

Bipolar devices 2 10
Bismaleimide triazine 204
Bismuth ruthenate 144
BIST 545, 546
Bleed-out 229, 283
Boltzmann's constant 330, 485
Bond evaluation 475
Bond failures 486, 502
Bond resistance 509, 510
Bond shear test 5 13
Bond sites 440
Bond strength 4, 232
 C-C 8
 Ge-Ge 8
 Si-Si 8
Bondability 206
Bonding 207, 244, 476, 514, 519, 520, 541
 automatic 258
 ultrasonic 250
Bonding machine 262
Bonding pads 44 1
Bonding processes 225, 257
Bonding tool 246, 252
Bonds 244
 aluminum-to-gold 157
 oxidation 5 13
Boron 498
Boundary scan 545, 546
Breakdown voltage 148
Breather hole 300
Brown plague 58
BTAB 258
Bumps 258, 269
Bum-in 330, 331, 511
Bum-in test 509
Bum-out zone 162
Butterfly packages 193, 197, 369, 370

C

C-4 process 268, 269
C-SAM 500
CAD 374, 407, 455
Calibration procedures
 moisture 346
Camber 35
Capacitance computer program 389
Capacitor paste 151

- Capacitors 10, 212, 214, 431
 - classified 2 14
 - parallel plate 152
- Capillary bonding tool 246
- Carbon 498
- Carbon dioxide jet spray cleaning 287
- Carboxylic acid 93
- Case histories 504
- Cation 66
- Cavity packages 193
- Center-line average 34
- Centrifuge test 233
- Centriming 502
- Ceramic
 - AJN 553
- Ceramic packages 200
- Ceramic substrates 57
- Ceramic tape 116
- Ceramics 36
 - resistivity of 5
- Cermet resistors 167
- Cermets 65, 73, 84
- Certification 481
- Chemical analysis 493
- Chemical reactions
 - photoresists 90
- Chemical resistance 33
- Chip capacitors 2 12, 2 14
- Chip carrier pads 429
- Chip inductors 2 19, 222
- Chip resistors 217, 219, 370
- Chip-carrier pads 444
- Chip-on-board 540, 543
- Chip-on-substrate 548
- “Chips last” 537
- Chlorinated hydrocarbons 505
- Chlorocarbon blend solvents 275
- Chlorofluorocarbons 284
- Cinnamic acid 90
- Class 10 350
- ClassH 326, 330, 472
- ClassK 296, 326, 330, 472, 473
- Cleaning 225, 271, 277, 279, 284, 287, 336, 348
 - automated 278
- Cleanroom 350
- Clearance
 - resistor 449
- CMOS 210
- Co-fired ceramic 45, 113, 539
- CO, cleaning 284
- Coatings 288, 294
 - conformal 162
 - organic 76
- Cotire tape process 117
- Commonality 364
- Component density 369
- Component parts 191
- Composites 20 1
 - metalmatrix 53, 559
- Compositional analysis 497
- Computer aided layout 374
- Conduction
 - heat 399
- Conductive epoxies 227, 54 1
- Conductive pads 442
- Conductivity 132
 - adhesive 233
- Conductor compositions 426
- Conductor densities 435
- Conductor layers | 12, 118
- Conductor levels 436
- Conductor parameters 370
- Conductor pastes 167
- Conductors 1, 132, 160, 371, 437
 - copper thick-film 157
 - polymer 164
- Conformal coatings 162
- Conformance inspection 476
- Contact testing 549
- Contaminants 232, 249, 271, 272, 344
- Contamination 142, 350, 487, 488
- Controlled Collapse Chip
 - Correction 268
- Convection 399
- Copper conductors 157, 160
- Copper pastes 152, 164
- Copper thick-film pastes 155
- Copper thick-film systems 154
- Copper-impregnated carbon-carbon 559
- Copper/diamond 559
- Corrosion 78
- Corrosion cracking 508
- Corrosivity 238
- Cosmetics 352
- Covalent 4
- CPU subsystems 22

568 *Hybrid Microcircuit Technology Handbook*

- Cracks 228
 - detecting 342
- Cratering 520
- Cross-talk 42 1
- Crosslinked polymers 90
- Crosslinking 90, 93
- Crossovers 100
- Cure temperatures 166
- Cure time 232
- Cured polymer 166
- Curie temperature 15 1
- Current-carrying capacity 37 1
- Current-carrying requirements 377
- Cuts
 - resistor trimming 186
- Cyanate ester adhesives 23 1
- D
- Damage
 - static 357
- Damage susceptibility 356
- De-lidding 493
- Degreasing 278
- Delamination 436
 - detecting 342
- Dendritic growth 140
- Densities
 - circuit-function 18
- Deposition process 63
- Deposition rates
 - sputtering 67
- Depressors 15 1
- Design
 - thermal 403
- Design flow diagram 373
- Design for test 545
- Design requirements 363
- Design review 377
- Design Transmittal Document 363
- Destructive physical analysis 343
- Destructive tests 475
- Detergent cleaning 279
- Developer 90
- Device density 364
- Devices 10, 467
- DFT 545
- Diamond 201, 553, 556
- Diamond drills 424
- D&ides 90
- Die bonding 5 14
- Die removal 541
- Dielectric constant 148, 15 1
 - AlN 50
- Dielectric isolation layers 535
- Dielectric pastes 147, 162
- Dielectric strength
 - beryllia 47
- Dielectrics 168, 214, 431, 526, 560
 - resistors on 173
- Differential scanning calorimetry 236
- Diffusion barrier 74
- Diffusion Patterning 129
- Digital cut 188
- Digital integrated circuits 2 10
- Diodes 10, 209
- Diradicals 90
- Direct current sputtering 66
- Direct eutectic attachment 239
- Direct writing 126
- Discoloration 503
- Documentation 462
- DOD-HDBK-263 357
- DOD-ST-D-1686 357, 465
- Doping 339
- Double bonds 90
- Double-plunge cut 186
- Down-trimming 172
- DPA 343, 493
- Drilling
 - laser 425
- Dry box 296, 297
- Dry cleaning processes 284
- Dry etch 96, 98
- Drying 108
- Dye-penetrant test 60
- Dymalloy 559
- Dynamic trimming 172
- E
- E-beam welding 307
- EBIC 499
- EDX 497
- EL4 Standard RS-47 1 36 1
- Electrical analysis 493
- Electrical conductivity
 - adhesive 233
- Electrical integrity 487

- Electrical parameters
 - measured 321
 - Electrical test equipment 323
 - Electrical testing 317, 318, 321
 - Electrolytic corrosion 78
 - Electron beam evaporation 66
 - Electron Beam Induced Current 499
 - Electron beam welding 302
 - Electron vacancy 9
 - Electronic flame-off 246
 - Electrophoretic deposition 58
 - Electrostatic damage 354
 - Electrostatic discharge 357
 - Electrostatic spraying 58
 - Elements
 - detection 494, 497
 - Emulsion process 106
 - Enameled substrates 58
 - Encapsulation 192, 298, 542, 543
 - End-points 454, 455
 - Energy Dispersive X-Ray Analysis 497
 - Epoxy 6, 166, 227, 344
 - conductive 54 1
 - Epoxy adhesives 225, 235, 246, 283, 309
 - Epoxy-attached 224
 - Epoxy formulations 228
 - Epoxy-sealed packages 193, 20 1
 - Epoxy sealing 309
 - Equations
 - multilayer hybrid 420
 - Equipment
 - test 321
 - ESCA 497
 - Etch rate 99
 - Etchants 85
 - Etching 86, 96, 98
 - gold 97
 - nichrome 97
 - Ethyl cellulose 15 1
 - Eutectic alloys 239
 - Eutectic attachment 24 1
 - Evaporation deposition 64
 - Expansion coefficient 33
- F**
- “F” indicator 433
 - Fabrication processes 10
 - substrate 370
 - Failure analysis 342, 489, 492, 493
 - Failure mechanisms 237
 - Failure rate 330
 - Failures 244, 483, 489, 500, 502
 - interconnection 486
 - microcircuit 137
 - package 487
 - substrate 486
 - Fatigue 228, 523, 524
 - Fatigue resistance 204
 - Faulty bonds 244
 - FED STD 406 238
 - FED-STD-209 278, 465
 - Filler
 - metal 166
 - Film adhesives 229
 - Fine line dimensions 127
 - Fire cleaning 279
 - Firing 109, 116, 135
 - dielectric 162
 - Firing copper pastes 156
 - Firing temperature 122
 - Flame-off 246
 - Flash evaporation 65, 85
 - Flatness 35
 - Flatpack packages 193, 197
 - Flat-pack-style package 369
 - Flexible membrane 164
 - Flip-chip bonding 225, 258, 268, 542
 - Flip-chip process 269
 - Floor mats 36 1
 - Flow behavior
 - paste 107
 - Fluorescence 294
 - Fluorinated compounds 100
 - Flux removal 277
 - Flux residues 275, 502
 - Fodel 128
 - Forward bonding 5 18, 5 19
 - Four-point probing 185
 - Free electrons 1
 - Freon 273, 284
 - Frit 132
 - Fritless paste 109, 132, 134, 135
 - Fritted paste 134
 - Functional material 13 1
 - Functional testing 320, 325
 - Furnace 109

570 *Hybrid Microcircuit Technology Handbook*

G

GaAs

CTE 54

GaAs devices 552
GaAs microwave devices 54, 559
Gang-bonded 256, 258
Gaseous insulators 6
Germanium
 resistivity 6
Getters 295, 336, 488
Glass 132, 507
Glass binder 43
Glass content 144
Glass frit 57, 109
Glass sealing 308
Glass transition 236
Glass transition temperatures 167, 231
Glob top 534
Glossary
 laser trimming 175
Glow discharge 66
Glycol 523
Gold etchant 97
Goldpad 191
Gold paste 129
 replacement 152
Gold wire 207, 244
Gold wire bonding 270
Grading 207
Grain size 36
Granularity 36
“Green” 44
Green tape 49, 113, 122
Ground planes 419
Grounding
 personnel 360
Group I B 1
Group III elements 9
Group IV elements 8
Group V elements 9

H

Hand soldering 299
Handling procedures 348
Hard solder 299
Hard-bake 86, 96
Heat conduction 399
Heat dissipation 400

Heat flow 404
Heat sink 369
Heat source 406
Heat spreaders 201
Hermetic 204
Hermetic packages 192
Hermetic sealing 297, 542
Hermetically sealing 302
Hermeticity testing 310
HF etchant 523
Hierarchical testing 544
High Density Interconnect 537
High Q capacitors 214
Holes
 substrate 424, 440
Hot-press process 50
HTCC 113, 116, 527, 539
Human-generated particles 352
Humidity 361
Hybrid 10
Hybrid circuits 11
 size 14
Hydrochlorofluorocarbons 284
Hydrofluorocarbons 284
Hydrogen 497
Hydrolysis 506
Hydrophilic
 solvents 273
Hydrophobic solvents 273

I

IC 10
Identification 433
Impedance 420
Impurities 499
impurity atoms 9
Incoming inspection 206
Iridium alloys 300
Inductance 392, 394, 396
Inductors 219, 222
Infant mortality 330, 484, 485
Infrared furnace 109
Infrared imaging 337
Infrared microscopy 500
Infrared thermography 500
Inks 130
Inner-lead bonding 258
input/output leads 368

Inspection 473, 476
 visual 327
 Insulation 32
 Insulation resistance 148
 Insulators I, 4
 gaseous 6
 liquid 6
 Integral-lead packages 193, 200
 Integrated circuit. *See* IC
 Inter-electrode capacitance 389
 Interactions 545
 Interconnect bonds 244
 Interconnection failures 486
 Interconnections 112, 118, 142, 204,
 224, 244, 419, 500
 Interconnects 535
 Interdiffusion 73, 499
 Intermetallic growth 502
 Intermetallics 5 10
 Intrinsic semiconductivity 9
 Ion migration 330
 Ionic impurities 238
 Ionic residue 237
 IR imaging 338
 IR microscopy 339
 IR thermography 339
 Iridium 144

J

J-cut 188
 Jet spray cleaning 287
 Junction failure 359
 Junction temperature 33 1, 335

K

Kerfs 173, 189
 Ketene 93
 KGD 547
 KGD Plus 549
 Kirkendall voids 5 10, 52 1
 Known good die 547
 Kovar 197, 232, 556
 corrosion 507
 welding 304
 Krypton 3 10

L

L-cuts 188, 189
 Laminate
 polymer 540
 Lanxide 559
 Laser Ionization Mass Spectrometry 499
 Laser machining 424
 Laser Microprobe Mass Analysis 499
 Laser trim systems 175
 Laser trimming 173, 174
 Laser welding 302, 308
 Latches
 boundary scan 546
 Latent defects 265
 Layout 374, 408, 456, 462
 Leach resistance 143
 Lead acetate paper 348
 Lead counts 198
 Lead solder 142
 Lead titanate 15 1
 Leaded devices 206
 Leads
 input/output 368
 Leak rate 344
 Leak testing 3 10
 Lid sealing 476
 Life expectancy
 devices 485
 Lightning protection 189
 LIMS 499
 Line width 371, 423
 Linear integrated circuits 209
 Liquid burn-in system 33 1
 Liquid insulators 6
 LIVIMA 499
 Loop shape 265
 Low K dielectrics 2 14
 Low-temperature process 124
 LTCC 113, 122, 527, 538, 539

M

Masking technique 292
 Mass spectrometer 346
 Mass spectrometry 345
 Material verification 486

572 *Hybrid Microcircuit Technology Handbook*

- MCM 20, 526
 - applications 528
 - classification 527
 - cost issues 552
 - technologies 540
 - test station 551
- MCM-C 538
- MCMD 535
- MCM-L 540
- Meander pattern 393
- Measurement** accuracy
 - resistors 186
- Measuring resistors 183
- Medical electronics 22
- Memory tester 323
- Mesh material
 - screen printing 106
- Mesh screens 162
- Metal-filled adhesives 227, 233
- Metal filler 166
- Metal matrix composites 53, 201, 553, 559
- Metalmigration 137, 138, 140
- Metal migration test 149, 150
- Metallizations 207, 436, 473, 486
- Metallo-organic compounds 127
- Metallurgical attachment 239, 241
- Metallurgical sealing 299
- Metals 1
- Microbridge process 100
- Microcracks 188
- Microgap bonding 254
- Microscopes
 - acoustic 500
- Microstrip transmission lines 127
- Microstructure 34
- Microwave circuits 11, 126
- Migration 137, 138
- Migration test 149, 150
- MIL-I-45208 465
- MIL-B-81705 357
- MIL-C-26074 464
- MIL-C-55681A 214
- M&G45204 465
- MIL-H-38534 466
- MIL-HDBK-2 17 465
- Mil-146058 289
- MIL-M-38510 464-466
- MIL-PRF-38534 377, 418, 468, 476
- MIL-PRF-38534C 465
- MIL-Q-9858 464
- MIL-R-55342C 2 19
- MIL-S-19500 464
- M&SPECS 464
- MIL-STD- 100 464
- MIL-STD-129 464
- MIL-STD-1331 464
- MIL-STD-1772 464, 481
- MIL-STD-202 464
- MIL-STD-45662 465
- MIL-STD-750 464
- MU-STD-883 205, 226, 228, 265, 288, 311, 344, 357, 418, 464, 466, 502
- Method 1014 311
- Method 1018 344, 345
- Method 2010 471
- Method 2012 486
- Method 2017 327
- Method2020 288, 337
- Method 3015 357
- Method 5003 493
- Method 5009 343
- Method 5011 228, 474
- Method 707 1 238
- Military weapons system 24
- Mixed-bonded pastes 134, 135
- MOD process 127
- Model circuit 384
- Modifications 384
- Moisture 236, 237, 296, 310, 344
- Moisture barriers 289
- Moisture condensation 325
- Moisture penetration 202
- Moisture permeation 543
- molecular bonding 132
- Monolithic integrated circuits 18, 21
- Montreal Protocol 284
- MOS 210
- Motor control hybrids 27
- MSFC-SPEC-592 228, 233, 237, 238, 465
- MSFC-ND-587 465
- Multichip modules 11, 20, 22, 526.
 - See **also** MCM
 - assembly and packaging 541

- Multilayer cofned tape
 - process 113, 118, 121
- Multilayer design 436
- Multilayer interconnect circuits 111
- Multilayer process 535
- Mylar film 124, 238
- N**
- N-type semiconductors 9
- Nailhead 245
- Naphthoquinone diazide 93
- NAVSEA SE 003-AA-'RN-010 357
- nCHIP process 536
- Negative photoresist 86, 90
- Neodymium YAG laser 308
- Net shape 54
- Nichrome 73, 76
- Nichrome etchant 97
- Nichrome resistors 78, 506
- Nickel 132
- Nickel etchant 97
- Nickel ions 504
- Nickel paste 153
- Nickel plating 503
- Nickel-chromium alloy 65
- Nickel-iron/silver 559
- Nitrogen atmosphere
 - firing in 153
- Nitrogen environment 348
- Nitrogen-tired pastes 162
- Nitrogen-tired resistors 163
- Noble metal pastes 117
- Noble metals 132
- Nomograph
 - resistors 457
- Non-noble metal 153
 - pastes 152
- Nondestructive tests 476
- Novolac 93
- NPO capacitors 214
- O**
- Ohmic contact 227
- Organic binder 122
- Organic coatings 76, 288
- Organic contaminants 249
- Organic interlayer dielectrics 560
- Osmium 144
- Osmium alloy bonding tip 252
- Outer-lead bonding 262
- Outgassing 295
- Outgassing products 228, 236, 237, 300
- Overcoating 427
- Overglazes 428
- Overlap 437, 449
- Oxidation
 - bond 513
 - copper 156
- Oxides 36
- Oxygen 498
 - impurity in AlN 49
- Ozone depleting effect 273
- Ozone depleting substances 284
- P**
- P-polyxylyene polymers 288
- P-type 9
- Package design 418
- Package failures 487
- Package inspection 474
- Package qualification 205
- Package sealing 297
- Package types 193
- Packages 192, 503
 - AlN 554
 - custom design 196
 - flat-pack-style 369
 - Kovar 197
 - low profile 122
 - metal 197
 - profile 539
- Packaging density 538
- Packaging processes 541
- Packing densities 269
- Pad grid array packages 202
- Palladium 82, 85, 133
- Parallel conductors 436
- Parametric tests 320
- Parasitic capacitance 385
- Particle contamination 487
- Particle counter 350
- Particle getters 295, 488
- Particle immobilizing 288
- Particles 336, 352, 502
- Particulates 94
- Partitioning 364

574 *Hybrid Microcircuit Technology Handbook*

- Parts
 - component 191, 192
- Parylene 225, 288, 291, 293, 336, 488
 - properties 289
- Passivation 76, 206, 542
- Passive components 121
- Passive devices 212
- Passive element evaluation 473
- Passive trimming 172
- Paste adhesives 229
- Pastes 130, 134, 143, 151
 - conductor 132
 - gold 129
 - patternable 128
 - silver-glass 241
- Pattern recognition 264
- Pattern writing 126
- Pd 460
- PDA 326
- PDS 326
- Peel adhesion 135
- Peel test 136, 137
- Pertfluorocarbons 284
- Performance specification 468
- Periodic Inspection 476
- Phenolic 93, 166
- Phosphorus 507
- Photo-etch process 128
- Photoemulsion 106
- Photolithographic process 535
- Photolithography 89
- Photoresist 86, 89, 90
 - processing 94
- Physical analysis 494
- Pin configuration 193
- Pin counts 197
- Pin spacing 369
- PIND 288, 336, 488
- Pinhole identification test 149
- Pinholes 112, 148, 162, 487
- Pink-poly 360
- Pins
 - input/output 364
- Plasma 66
- Plasma cleaning 232, 279, 282, 283
- Plasma etching 98, 99
- Plastic encapsulated modules 202
- Plastic encapsulated packages 193
- Plastic encapsulation 542
- Plastic insulating materials 6
- Plastics
 - processing 540
 - sputtering 69
- Platen soldering 302
- Platform plug-in package 197
- Platinum 133
- Plug-in packages 193, 197
- Plunge-cuts 186, 189
- Polyesters 166
- POLYHIC process 528
- Polyimide adhesives 230
- Polyimides 166, 526
- Polymer conductors 164
- Polymer laminate 540
- Polymer thick tihns 164
- Polymer thick-tilm dielectrics I68
- Polymers 90, 166
 - phenohc 93
- Polyvinyl alcohol 90
- Porosity 148
- Positive photoresist 86
- Positive photoresists 90
- Post-terminated resistors 146
- Power dissipation 455
- Power chip resistors 219
- Power dissipation 364, 383, 398,
452, 460
- Power hybrids 26, 27, 201
- Power ratings 454
- Pre-terminated resistors 146
- Precious metals 132
- Preferred parts 372
- Prescoring 44
- Press process 57
- Pressure contact testing 549
- Pressureless process 50, 54
- Printed circuit board 11
- Printed wiring assembly 11
- Printed wiring board 11
- Probe card 183
- Probe pads 439
- Probing
 - two-point 184
- Process Control 475
- Process specification 463
- Processing of photoresrst 94
- Profilometer 33
- Projection welding 308

- Prototypes 126
- PTF 164, 167
- Pull strengths 25 1, 262, 265, 509
- Pull testing 520
- Pulse durations 174
- Pulse testing 320
- Punch through 207
- Purple plague 268
- Pyrolysis 162

- Q**
- QEIQ 372
- QFP packages 204
- QQ-N-290 465
- Quad flat packs 202
- Qualification tests 266
- Quality assurance 372
- Quality conformance 3 17

- R**
- R3 549
- Radiation
 - thermal 399
- Radioactive isotope 3 10
- Rapid-cycle method 345
- Rare earth oxide 15 1
- RBS 498
- Reactive plasma etching 98, 99
- Reactive sputtering 69, 82
- Read right emulsion 434
- Redesign 384
- Reduced radius removal 549
- Refractory metals 116
 - deposition 66
- Reliability 17
- Reliability testing 3 17
- Resins 164
- Resistance
 - bond 509
- Resistance drift 78
- Resistance *errors* 185
- Resistance heating 65
- Resistance welding 302
- Resistive tillers 167
- Resistivity 2, 426, 448
 - humidity effects 6
 - semiconductors 6
- Resistor characteristics 144
- Resistor density 454, 457
- Resistor geometries 112
- Resistor materials 73
- Resistor measurements 183
- Resistor pastes 143, 154, 167, 426
- Resistor stability 173, 174
- Resistortrimming 172, 189, 457
- Resistor values 113
- Resistors 10, 72, 74, 217, 449, 452, 454
 - cermet 84
 - nichrome 78
 - nitrogen-fired 163
 - rectangular 446
 - TaN 82, 83
- Resolution 127
- Reverse bonding 515, 517, 5 19
- Reworking 241, 560
- RF sputtering 69
- Rheology
 - paste 107
- Rhodium 144
- Ring
 - seal 442
- Roughness 33
- Rubylith 376
- Ruthenium 144
- Rutherford Backscattering Spectrometry 498

- s**
- Safety precautions 282
- scan-cut 188, 189
- Scanning Electron Microscopy 497
- Schematic 373
- Screen mesh count 106
- Screen test methods 372
- Screen tests 327, 343
- Screen-printing 104, 107
- Screening tests 476
- Screens
 - tine mesh 127
- Scrub contact testing 549
- Scrubbing 234, 241, 280
- Scuff cleaning 232
- Seal ring 442
- Sealing 224, 297, 298, 299, 302, 304, 476, 542
 - belt-furnace 300
 - operation 296

576 *Hybrid Microcircuit Technology Handbook*

- Seam sealers 305
- Seam sealing 302
- Seam soldering 301
- Secondary-Ion Mass Spectrometry 497
- Self-inductance 396
- SEM 497
- Semiconductor processing 535
- Semiconductors 1, 6
- Serpentine-cut 188
- Shadow cut 186
- Shear stress 136
- Shear test 513
- Sheet resistance 78, 79, 84, 117, 132, 144, 167
- Sheet resistivity 426, 448
- Shorting 148
- Shorts 517
- Shrinkage 123, 124, 540
- Sic/Al 559
- Sidewall packages 198
- Silicon
 - crystal structure 8
 - resistivity 6
- Silicon carbide reinforced aluminum 53
- Silicon oxides 526
- Silicon-on-sapphire 191
- Silicone 162
- Silicone coatings 294
- Silicone gels 336
- Silicone getters 295
- Silox 521
- Silver 559
- Silver migration 137, 138
- Silver terminations 348
- Silver-tilled adhesives 227
- Silver-tilled epoxy bumps 270
- Silver-glass matrix 243
- Silver-glass pastes 241
- Silver-palladium pastes 166
- SIMS 497
- Sintering 49, 539
- Size 14
- SLAM 500
- Smears 500
- Smoothness 33, 36, 37
- Snap cure 232
- Snow cleaning 284, 287
- Soft bake 86, 96
- Soft solder 299
- So&+’ 549
- Solder 142, 431
- Solder balls 202
- Solder bumps 225, 269
- Solder wetting 241
- Soldering 299, 302
- Solvent cleaning 284
- Solvents 131, 272, 273
- Source Controlled Drawings 222
- Spacing
 - conductors 437
- Specification 463, 468
- Spin-speed vs thickness 94
- Spinel structures 134
- Sputter etching 98
- sputtering 66
 - radio Gequency 69
 - reactive 69
- Sputtering vs. vapor deposition 72
- Sputtering yield 68
- Squeegee 104
- Stack laminated 113
- Stacked modules 554
- Stains 503
- Standard Avionics Modules 11
- Standard Electronic Modules 11
- Staticcharge 354, 360
- Static damage 357
- Static trimming 172
- Storage 348
- Storage temperatures 512
- Strength
 - bond 232
- Stress 163, 505
- Strippable maskant 292
- Strontium titanate 151
- Structural strength 123
- Substrate dimensions 425
- Substrate failure 486
- Substrate materials 420
- Substrate sizes 44
- Substrate thickness 39
- Substrate verification 486
- Substrates 32, 37, 424, 456, 474
 - AlN 50
 - as-fired 39
 - cleaning 278
 - manufacture 57
 - specifications 59
 - thick tihn 38
 - thin film 40

Subtractive process 127
Sulfur hexafluoride 6
Surface analysis 494
Surfacemount 142, 164

T

TAB 225, 258, 262, 541, 548
Tantalum nitride 74, 82
 sputtering 71
Tantalum nitride resistors 528
Tantalum pentoxide
 passivation layer 83
TAT 546
Tape 44, 50
Tape adhesives 229
Tape automated bonding 225, 244, 258
Tape process 57, 113
 cofine 117
TC 245
TCR 2
 negative 5
Tektronix 3260 tester 323
Telephone equipment 189
Temperature
 processing 33
 transition 15 1
Temperature cycling 228
Temperature profile for solder
 sealing 301
Temperature zones 109
Temperature-coefficient-of-
 resistivity. See TCR
Temporary contacts 549
Tensile adhesion 135, 426
Tensile test 136
Terminal pads 442
Termination effect 146
Terminations 348, 449
Terpineol 15 1
Test access port 546
Test equipment 32 1
Test methods 467
Test procedures 465
Test requirements 463
Testability 364, 545, 552
Testable Ribbon Bonding 548
Testers
 MCM 551

Testing 3 17, 543
 die 471
 hierarchical 544
Tests
 screens 343
TGA 235
Thermal analysis 407, 494
Thermal conduction modules 528
Thermal conductivity 45, 399, 538,
 539, 556
 AlN 49, 50
 LTCC parts 123
Thermal cracking 162
Thermal dissipation 552
Thermal expansion
 AlN 49, 50
Thermal imagers 337
Thermal imaging system 408
Thermal impedance 400
Thermal performance 402
Thermal properties 398
Thermal radiation 399
Thermal resistance 335, 400, 404
Thermal runaway 335, 403
Thermal stability 33
Thermal transfer 227, 399
Thermal vias 539
Thermal/mechanical screen-testing 3 17
Thermocompression bonding 520
Thermocompression bonds 246, 523
Thermocompression wire bonding 245
Thermography 339, 341, 500
Thermogravimetric analysis 235
Thermoplastic adhesives 54 1, 560
Thermoplastic polymers 166
Thermosetting polymers 166
Thermosonic bonding 252, 54 1
Thick films 12, 34
 substrate 50
Thick-film chip resistors 2 19
Thick-film circuits 423
Thick-film conductor 426
Thick-film paste 107, 130
 non-noble-metal 152
Thick-film processes 104, 12 1
Thick-film substrates 424
Thickness measurement 499
Thin film resistors 72, 217, 456

Thin films 12, 34
 substrates 50
Thin-film deposition 63
Thixotropy
 paste 107
Three-volume calibration valve 346
Threshold damage 52 1
Through-holes 440
Throughput
 trim systems 182
Tie layer 74
Tin
 &ray 8
Tin contaminants 502
Tin whiskers 500
Tin/lead 142
Titanates 15 1
Titanium 82
Tophat 446, 447, 456
Topography 450
Transistors 10, 207
Transition temperature 15 1
TRB 548
Triazine 528
Triboelectric Series 354
Trim capability 2 14
Trim cuts 186
Trim methods 188
Trimtime 181
Trimming 172, 457
 abrasive 182
TTL 210
TVCV 346
Twenty percent rule 369
Two-point probing 184

u

U-cut 189
Ultrasonic bonding 250, 520, 54 1
Ultrasonic cleaning 280, 523, 524
Ultrasonic drilling 424
Uncased chip 191
Undercutting 377
Underfill adhesive 225, 270
Uniwall packages 198
Uptrimming 172, 459

V

Vacuum baking 295
Vacuum deposition 65
Valence electrons 1, 4
Vapor degreasing 278
Vapor deposition 63, 72
VHSIC 12, 18
Via diameters 124
Via resolution 151
Vias 112, 118, 129, 439, 440, 487
 thermal 539
Vinyl 166
Visual inspection 3 17, 327, 488
VLSI 18
Voids
 detecting 342
Voltage planes 436

W

Warpage 163
Water Break-Free Test 279
Water extract resistivity 238
Water-drop test 140, 148, 150
Water-like solvents 273
Wavelength Dispersive X-Ray
 Analysis 498
WDX 498
Wedge bonding 245
Weibel curve 484
Weight loss
 epoxy adhesives 235
Welding 299, 302, 307, 308
Wet etch 96
Wetting 274, 275, 513, 5 14
Whiskers 500
"Window frames" 201
Wire bond densities 560
Wire bond evaluation 475
Wire bond lengths 4 14
Wire bond short 5 16
Wirebonding 12, 245, 258, 283
 automatic 262
Wire bonds 266
 corrosion 504
 number of 54 1
 weak 520

Wire-wound inductors 222
Wires 244, 442
 low-temperature-coefficient 257
Wrist strap 360
writing
 direct 126

X

X-ray Photoelectron Spectroscopy 497

Y

YAG laser 173
Yield
 first-time 3 18
 multilayer 435
Yield problem 206

Z

Zygló 60